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**Government of India  
Indian Institute of Technology, Guwahati  
Indian Institute of Technology Guwahati  
Guwahati**

Dated: 23/09/2019

To

Shri Kuldeep Singh Khaira  
House No 125, Ishar Nagar  
Ludhiana  
141006

**Registration Number : IITGW/R/2019/50125**

Dear Sir/Madam

I am to refer to your Request for Information under RTI Act 2005, received vide letter dated 23/08/2019 and to say that *Desired information against your RTI application is enclosed herewith as Uploaded Reply Document..*

In case, you want to go for an appeal in connection with the information provided, you may appeal to the Appellate Authority indicated below within ***thirty days*** from the date of receipt of this letter.

**Dr. Suresh S.M.**

FAA & Registrar

Address: Indian Institute of Technology GuwahatiGuwahati

Phone No.: 0361-2690761

**Yours faithfully**

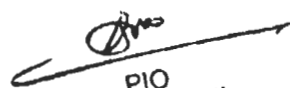
**( Dilip Boro)  
CPIO & Joint Registrar  
Phone No.: 0361-2582985  
Email : pio@iitg.ac.in**

Desired information against the RTI application of Mr. Kuldeep Singh Khaira (Reg. No. IITGW/R/2019/50125) is as follows:

1. There is no patent or commercialization bio-based product from Centre for Energy, IITG.
2. Same as reply to query no.1.
3. Circular No.AD/101/BOG/98-03/Vol-II/8240 dtd. 08.06.2005, attached herewith as ANNEXURE-A (03 pages).
4. List of Technologies Commercialized by IITG and details after 01.01.2015

Sl. No.	Title	Inventor	Party
01	Apparatus and method for removal of fluoride, iron, arsenic and microorganism from contaminated drinking water	Prof. Mihir Kumar Purkait, Vijaykumar L. Dhadge, Chitta Ranjan Medhi	M/s RD Grow Green India Pvt. Ltd., Guwahati
02	Production of catechins from natural sources using membrane based technology	Prof. Mihir Kumar Purkait, Vijaykumar L. Dhadge	M/s Vixudha Bio Products Pvt. Ltd., Guwahti

5. Desired information is yet to be received from Custodian of Information/Record. The same will be furnished to you as soon as we receive any input from Custodian of Information/Record.

  
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## ANNEXURE -A



भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

ADMINISTRATION

Ref. : AD/101/BOG/98-03/Vol-III/8240

Date :

08 JUN 2005

To

Dean(R&D)  
IIT Guwahati

Sub: Follow up action of the decision of the 41<sup>st</sup> meeting of the BOG held on 25.02.2005.

Sir,

Inviting reference to the above subject, I am directed to enclose herewith the decisions of the Board vide Resolution No. R 41/3/2005, R 41/4/2005 and R 41/11/2005 for necessary follow up action.

Yours faithfully,

Deputy Registrar (Admn.)

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## Minutes of a Meeting on the Issue of Transfer of Technology and Patents

A meeting was convened to discuss patent and transfer of technology issues on 4/1/2005. Following members were present.

Prof. Gautam Barua, Director  
 Prof. Mihir K. Chaudhari, Dean (R&D)  
 Mr. Ravi Mokashi Punekar, Assoc Dean (SA)  
 Prof. Anup Kumar Gogoi, HOD (ECE)  
 Prof. Sukumar Nandi, HOD (CSE)  
 Dr. P. S. Robi, HOD (ME)  
 Dr. S. Talukdar, HOD (CE)  
 Prof. A. D. Sahasrabudhe, DD

Prof. Prabin Bora, Dean (Acad) could not attend the meeting due to other engagements.


Director informed the members about patent and other issues involved when transferring technology to interested agencies and the need for formulating guidelines to address these issues.

Recommendations of the IPR committee of the institute on this issue were circulated in advance to the members and a discussion took place.

It was felt by the members present that the draft IPR policy prepared by the IPR committee needs detailed study and hence an IPR policy for the Institute may be taken up later. It was decided to address the issue of how income from these activities are to be disbursed, in this meeting.

Following recommendations were made:

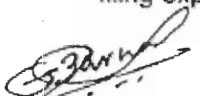
1. There are two kinds of income when a technology transfer takes place. a) a technology transfer fee (usually one time, but could be in instalments; however, this fee is not tied to the income generated by the technology; in fact any income which is not a royalty payment will be treated as a technology transfer fee), and, b) royalty payments.
2. All payments will be made to the Institute as is the case for consultancies.
3. No overhead will be charged by the Institute for the technology transfer fee (for consultancies, a 10% overhead is charged on all amounts received).
4. For every technology transfer (or a set of related transfers), a separate R&D account will be created. All money received will be deposited in this account.
5. Expenditure from the technology transfer fees can be made for work related to the technology (such as testing, refining the process / product, extensions, etc.). Expenditure for travel, consumables etc. can also be made. The current R&D rules will govern these expenditures.
6. All or part of the technology transfer fee can be disbursed to the faculty / staff / students involved in the development of the technology (referred to as inventor(s) from now on). Only employees and students of the Institute will be eligible for receiving payment, except if the person concerned has left the Institute, but was in the Institute and had participated in the development of the technology. That is, no outsider can receive payments because he/she has participated in the development of the technology. If the technology was developed jointly among a number of institutions, the payments will be divided among these institutions anyway. The disbursement rules will be as per the details given below.
7. The Institute will take a portion of all royalty received as per the disbursement rules given below. The rest of the amount can be distributed among the inventor(s), or expenditure can be incurred from them as per R&D project rules.
8. Disbursement Rules. A progressive slab based division of receipts between the Institute and the inventor(s), along the lines of the income tax slabs is proposed.

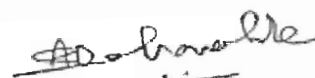
  
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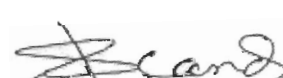
Slab	Inventor(s):Institute Ratio
Income of the first Rs. 10 lakhs	70:30
Income beyond Rs. 10 lakhs but less than or equal to Rs. 25 lakhs	60:40
Income beyond Rs. 25 lakhs but less than or equal to Rs. 50 lakhs	50:50
Income beyond Rs. 50 lakhs	20:80

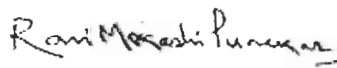
9. The technology transfer fees will be treated separately from the royalty payments. The amounts received will be cumulative. Thus, if the first instalment of technology transfer fees is Rs. 10 lakhs it will be disbursed in the ratio 70:30. If the second and last instalment is Rs 30 lakhs, then Rs. 15 lakhs will fall in the second slab (and so the ratio will be 60:40) and Rs. 15 lakhs will fall in the third slab (and so the ratio will be 50:50). Similarly, as royalty income is received, depending on the amount received so far, any new receipt will fall in an appropriate slab. The amount received as technology transfer fee will not be used in deciding the slab for amounts received as royalty.
10. Royalty will be paid to inventor(s) for a maximum period of 15 years from the date of receipt of the first royalty payment, irrespective of whether the inventor is continuing to serve the institute or has retired from service. Thereafter, the institute will have the entire share of royalty.
11. However, if the technology commercialisation takes a long time after transfer of technology, the 15 year period may begin after a long time. A ceiling of 30 years will apply on the total duration up to which royalty may be paid to the inventor(s) from the date of transfer of technology. Thus if commercialisation takes place after 20 years, royalty will be paid for only ten years to the inventor, notwithstanding the clause above.
12. In case of death of an inventor, the payment of royalty to the legal heir will be as per relevant legal provisions of the Government.
13. Any technology developed in the Institute will be patented only in the name of the Institute and the inventor(s) (and /or funding agencies or government agencies). An outside party cannot be a co-holder of a patent. However, if a firm is interested in filing a patent on behalf of the Institute and the concerned inventor(s), this will be allowed. The inventor(s) should negotiate the terms and conditions of such a filing and get the approval of the institute before proceeding. In particular, the following should be defined: terms of operation(exclusive use, first use, global, country specific, etc.), who will bear the patent filing expenses, technology transfer fees, royalty basis.


  
(Gautam Barua)

  
(A. D. Sahasrabudhe)

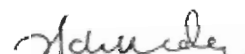
  
(M. K. Chaudhari)


  
(S. Nandi)

  
(Ravi Mokashi-Punekar)

  
(P.S. Robi)

  
(A.K. Gogoi)

  
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