


# Lipton & Tarjan's Planar Separator Theorem<sup>1</sup>

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<sup>1</sup>Prepared in '10; References: The Design and Analysis of Algorithms by D. C. Kozen. 

Let  $G(V, E)$  be an undirected planar graph (with  $|V| \geq 3$ ). There exists a partition of  $V$  into disjoint sets  $A, B$  and  $S$  such that:

- $|A|, |B| \leq \frac{2n}{3}$
- $|S| \leq 4\sqrt{|V|}$
- $(A \times B) \cap E = \emptyset$
- Moreover, such a partition can be found in linear time.

# Outline

- 1 An application
- 2 A constructive proof
- 3 Other variants

# Maximum cardinality matching in planar graphs

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- if  $G$  contains no augmenting path with end node  $v$ , then  $M$  is a maximum matching in  $G$
- otherwise, for an augmenting path  $P$ ,  $M \oplus P$  is a maximum matching in  $G$ .

# Maximum cardinality matching in planar graphs (cont)

Recursively do the following: divide  $G$  using planar separator theorem; conquer the separated pieces; for each vertex in the separator, apply the above theorem to combine.

Leads to  $T(n) = 2T(\frac{2}{3}n) + O(n^{3/2})$ ; solving which yields  $O(n^{1.709})^2$

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<sup>2</sup>more precise analysis of this algorithm leads to  $O(n^{1.5})$

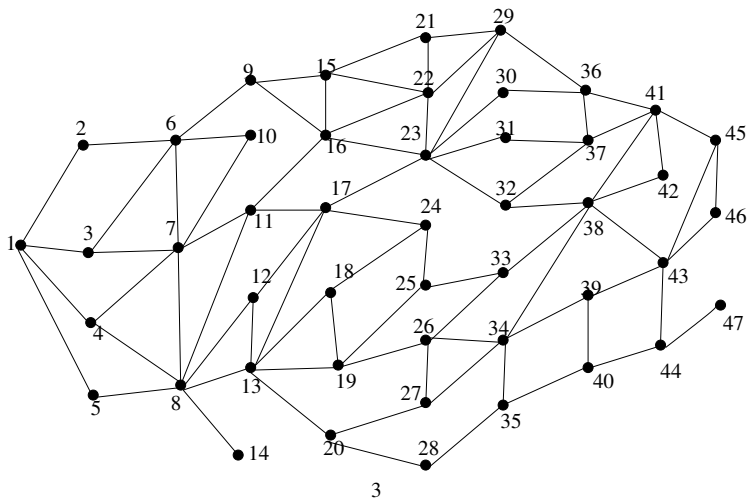
(The planar separator theorem)

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# Embed $G$ in plane

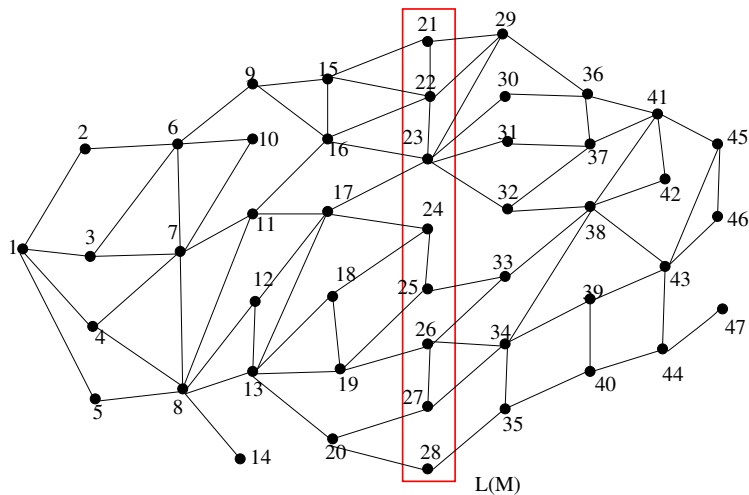


- In linear time using Hopcroft-Tarjan's algorithm.

<sup>3</sup>figures in this lecture are from Kozen's text

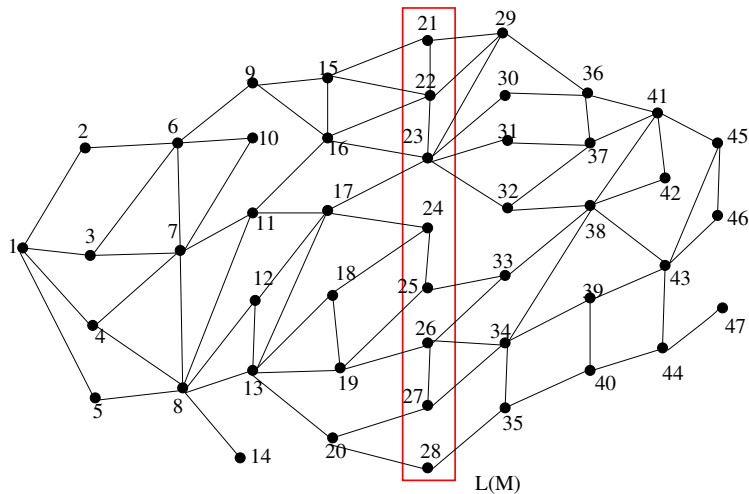
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# Find median level using BFS



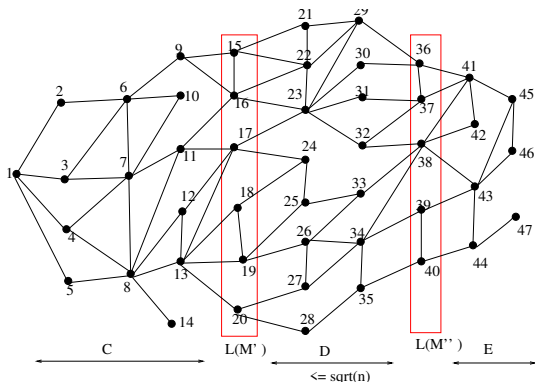
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# Find median level using BFS



- Find the median level, say  $M$ , in which  $\frac{n}{2}$ th element resides in breadth-first ordering.
- Can  $L(M)$  be a *valid* separator  $S$ ?

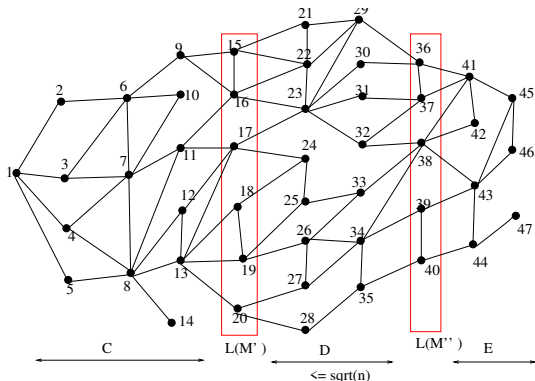
# Find two closest levels $L(M')$ and $L(M'')$ to $L(M)$



- There exists levels  $L(M')$  and  $L(M'')$  such that  $M' \leq M$  and  $M'' > M$  and  $|L(M')| \leq \sqrt{n}$ ,  $|L(M'')| \leq \sqrt{n}$ , and  $L(M'') - L(M') \leq \sqrt{n}$ .

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- Can  $L(M') \cup L(M'')$  be a *valid* separator  $S$ ?

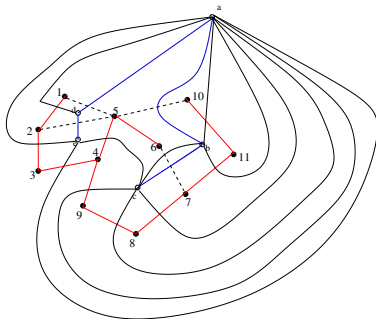
\* yes it is provided  $|D| \leq \frac{2}{3}n$

\* otherwise, since  $|C| + |E| \leq \frac{n}{3}$ , we find a  $\frac{1}{3}$ - $\frac{2}{3}$  separator  $X$ - $Y$  of  $D$  with  $\leq 2\sqrt{n}$  vertices and combine this with  $L(M')$  and  $L(M'')$  to get a separator  $S$  of interest; and combine  $X$ ,  $Y$ ,  $C$ ,  $E$

appropriately

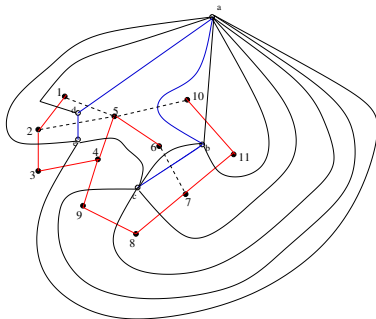
(The planar separator theorem)

# A property of plane graph and its dual



Let  $G(V, E)$  be a connected plane triangulated graph, and let  $G^*(V^*, E)$  be its dual. Also, let  $E' \subseteq E$ .

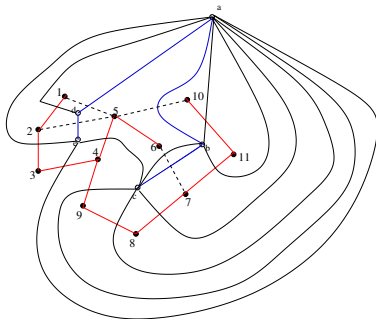
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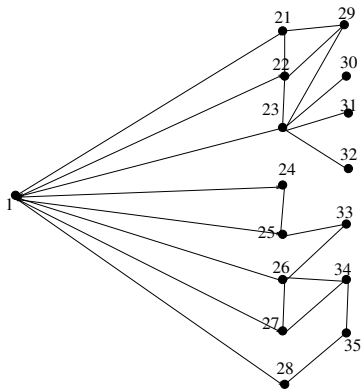
Let  $G(V, E)$  be a connected plane triangulated graph, and let  $G^*(V^*, E)$  be its dual. Also, let  $E' \subseteq E$ .

- The subgraph  $(V, E')$  of  $G$  has a cycle if and only if the subgraph  $(V^*, E - E')$  of  $G^*$  is disconnected.
- $(V, E')$  is a spanning tree in  $G$  if and only if  $(V^*, E - E')$  is a spanning tree in  $G^*$ .

For a set  $E'$  of edges of a spanning tree  $T$  of  $G$ , the edges in  $E - E'$  are *fronds*; all the fronds together define a spanning tree  $T^*(V^*, E - E')$  in  $G^*$ .



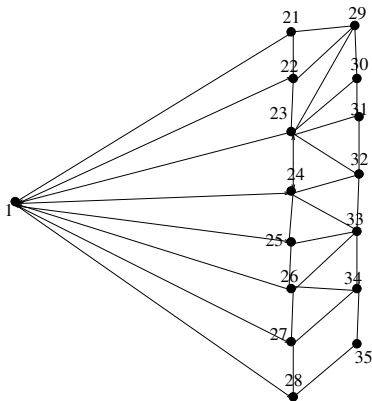
# Role of graph induced by nodes in $D$



source vertex connected to vertices in level  $M' + 1$

- Now the objective is to compute a  $\frac{1}{3}$ - $\frac{2}{3}$  separator for  $D$  in the above figure.

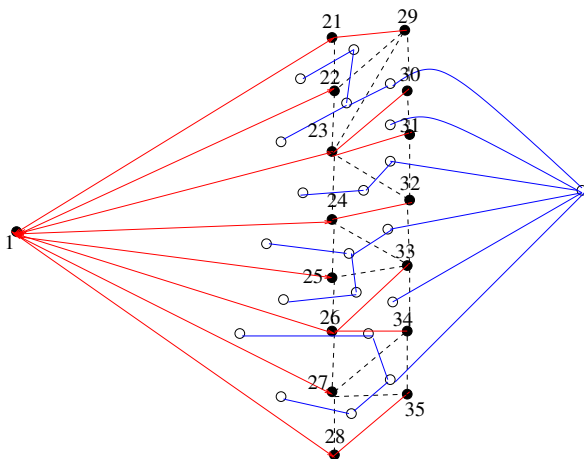
# Triangulating graph induced by nodes in $D \cup \{1\}$



- Obtain a triangulation  $TR$  to utilize the property mentioned above.
- Compute a spanning tree  $T^*$  of  $D^*$  from a spanning tree  $T$  of  $TR$ .

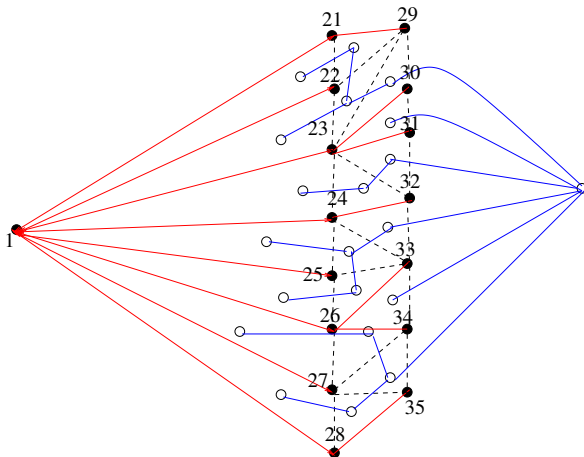
Make an arbitrary node of  $T^*$  as the root of  $T^*$ ; and, orient all the edges of  $T^*$  away from the root.

# Construct spanning tree $T^*$ in $D^*$ from a spanning tree $T$ of $TR$



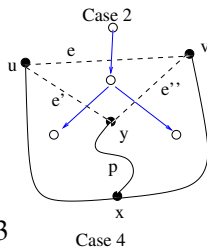
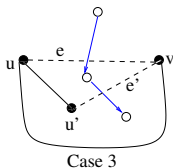
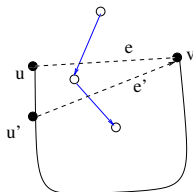
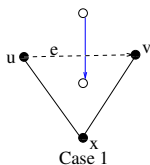
- For any frond  $f(u, v)$ , unique path from  $u$  to  $v$  in  $T$  is a separator for  $TR$ , though it may not necessarily be a *valid* separator.

# Construct spanning tree $T^*$ in $D^*$ from a spanning tree $T$ of $TR$



- For any frond  $f(u, v)$ , unique path from  $u$  to  $v$  in  $T$  is a separator for  $TR$ , though it may not necessarily be a *valid* separator.
- Since the diameter of  $T$  is  $\leq 2\sqrt{n}$ , the cardinality of separator that correspond to a frond is upper bounded as well.

# Do DFS on $T^*$ to find separator for $D$



- case 1:  $internal(e) = 0; oncycle(e) = 3$
- case 2:  $internal(e) = internal(e'); oncycle(e) = oncycle(e') + 1$
- case 3:  $internal(e) = internal(e') + 1; oncycle(e) = oncycle(e') - 1$
- case 4:  $internal(e) = internal(e') + internal(e'') + |p| - 1; oncycle(e) = oncycle(e') + oncycle(e'') - 2|p| + 1$

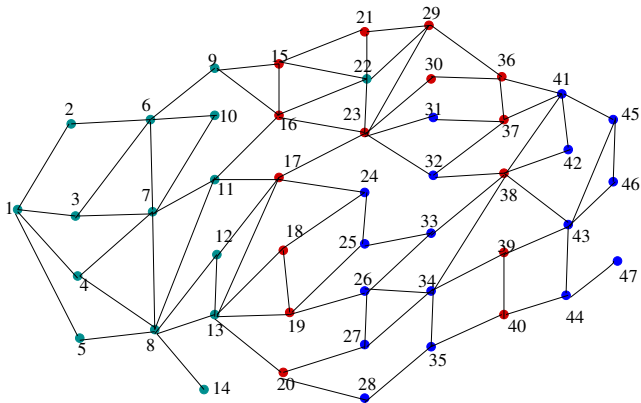
and, maintain nodes on each cycle in each case (in linear time)

∃ a frond that correspond to a  $\frac{1}{3}$ - $\frac{2}{3}$  separator for  $D$

For the first frond  $e$  encountered on the way out from the leaves of  $T^*$  to the root such that  $internal(e) + onccycle(e) \geq \frac{n}{3}$ , note that  $outside(e) \leq \frac{2n}{3}$  and more importantly  $internal(e) \leq \frac{2n}{3}$ . Hence, the cycle corresponding to  $e$  is a  $\frac{1}{3}$ - $\frac{2}{3}$  separator  $(X, Y)$  for  $D$ . Indeed, such a  $e$  always exists.

- case 1:  $internal(e) = 0$
- case 2:  
 $internal(e) + onccycle(e) < internal(e') + onccycle(e') + 1 < \frac{n}{3} + 1$
- case 3:  $internal(e) + onccycle(e) < internal(e') + onccycle(e') < \frac{n}{3}$
- case 4:  $internal(e) + onccycle(e) =$   
 $inside(e') + inside(e'') + onccycle(e') + onccycle(e'') - |p| \leq \frac{2n}{3} - |p|$

## Output



- vertices in  $S$

- vertices in  $A$

- vertices in  $B$

- Let  $XY_{max}$  be the maximum cardinality set among  $X$  and  $Y$ . Let  $XY_{min}$  be the minimum cardinality set among  $X$  and  $Y$ . Let  $CE_{max}$  be the maximum cardinality set among  $C$  and  $E$ . Also, let  $CE_{min}$  be the minimum cardinality set among  $C$  and  $E$ . Following are the required sets:

$$A = XY_{max} \cup CE_{min}$$

$$B = XY_{min} \cup CE_{max}$$

$S$  = vertices along  $S'$  unioned with  $M'$  and  $M''$

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# Other planar separator theorems of interest

- *Planar separator theorem with edge-weights*: There is a linear-time algorithm that, for a plane graph  $G$  and  $\frac{1}{3}$ -proper assignment<sup>4</sup> of nonnegative weights to edges, returns subgraphs  $G_1$  and  $G_2$  such that  $E(G_1), E(G_2)$  is a  $\frac{2}{3}$ -balanced partition of  $E(G)$ , and  $|V(G_1) \cap V(G_2)| \leq 4\sqrt{V(G)}$ .
- *Planar cycle separator theorem*: There is a linear-time algorithm that, for any simple undirected biconnected triangulated plane graph and any  $\frac{3}{4}$ -proper assignment of nonnegative weights to faces, edges, and vertices, returns a  $\frac{3}{4}$ -balanced cycle separator  $C$  of size at most  $4\sqrt{n}$ .

— neither of these are proved in this talk

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<sup>4</sup>an assignment is  $\alpha$ -proper if it does not assign more than  $\alpha$  times of the total weight of edges (resp. faces) to any edge (resp. face)