

- Let  $A$  be an algorithm to a problem  $\Pi$ . For any instance  $I$  of  $\Pi$ , let  $be(I)$  be the binary encoding of  $I$ . Let  $|I|$  be the length of  $be(I)$ , that is  $|I| = |be(I)|$  (ex. the length of a 0-1 knapsack instance is  $O(n((\lg \max_i v_i) + (\lg \max_i w_i)) + \lg W)$ ). Also, let  $\beta$  be the length of unary representation of a maximum number in  $I$  (ex.  $W$  in a 0-1 knapsack instance), or 0 if no numbers occur in  $I$ . Significantly, the length of unary encoding of  $\beta$  is not necessarily bounded by  $poly(|be(\beta)|)$ .

In the time complexity of  $A$ <sup>1</sup>,

- if the number of objects in  $I$  is in the exponent, then  $A$  is called an *exponential time* algorithm (ex. naive  $O(2^n)$  time algo for vertex cover),
  - if  $|I|$  is not in the exponent and  $\beta$  occurs in the base, noting that  $\beta = 2^{\lg \beta}$ ,  $A$  is called a *pseudo-polynomial time* algorithm (ex. naive  $O(n)$  time algorithm for determining whether the given positive integer  $n$  is a prime; or the dynamic programming based algorithm for 0-1 knapsack, which takes  $O(Wn) = O(2^{\lg W} n)$  time),
  - if both  $|I|$  and  $\lg \beta$  are not in the exponent, but  $\lg \beta$  does occur in the base, then  $A$  is a *weakly-polynomial time* algorithm (ex. computing  $\gcd(a, b)$  for  $a > b \geq 1$  in  $O(\lg b)$  time)
  - if  $\beta$  does not appear at all and the number  $n$  of objects in  $I$  appears in the base, then  $A$  is called a *strongly-polynomial time* algorithm, and (ex.  $O(n \lg n)$  time algorithm to merge sort  $n$  numbers)
  - if the time complexity of  $A$  is  $2^{(\lg n)^c}$  for  $c > 1$ , then  $A$  is called a *quasi-polynomial time* algorithm (such algorithms are considered better to exponential time algorithms but not as efficient as polynomial time algorithms).
- It is desirable to have a strongly-polynomial time algorithm. Both the strongly- and weakly-polynomial time algorithms are polynomial time algorithms. However, if the algorithm's time complexity is a weakly-polynomial, then that algorithm is said to be a weakly-polynomial time algorithm; otherwise, we simply say that it is a polynomial time algorithm. Further, both the pseudo-polynomial time and exponential time algorithms are exponential in time complexity since the input complexity is in the exponent of time complexity; significantly, a pseudo-polynomial time algorithm takes exponential time when the input instance has large numbers.
  - Assuming  $P \neq NP$ , no NP-hard problem is solvable in polynomial time. However, the NP-hardness of a problem  $\Pi$  does not necessarily rule out the possibility of solving it with a pseudo-polynomial time algorithm. If  $\Pi$  is NP-hard and  $\Pi$  does not have numerical parameters, then  $\Pi$  cannot be solved by a pseudo-polynomial time algorithm unless  $P = NP$ . Thus, assuming  $P \neq NP$ , only NP-complete problems that are potential candidates for being solved by pseudo-polynomial time algorithms are those problems that have numerical parameters. Some of the examples for numerical problems include partition, 0-1 knapsack, integer partition, bin packing, and TSP. While other problems being numerical problems is obvious, the TSP problem is a numerical since the edge weights are numerical parameters. On the other hand, the CLIQUE problem may not be considered as a numerical problem since the clique size  $k$  in any reasonable instance is upper bounded by  $n$ .

From the above definitions, an algorithm that solves a problem  $\Pi$  is called a pseudo-polynomial time algorithm for  $\Pi$  if its time complexity is  $O(poly(|I|, \beta))$ . For any decision problem  $\Pi$ , let  $\Pi_p$  denote

<sup>1</sup>Though we use  $\lg n$  bits to label/index any object among  $n$  objects  $I$  has, in the word-RAM model of computation, usually, any of these labels or references to them fit in a word and hence occupy  $O(1)$  space.

the subproblem of  $\Pi$  obtained by restricting  $\Pi$  to only those instances  $I$  that satisfy  $\beta \leq \text{poly}(|I|)$ . (To remind,  $|I|$  is  $|be(I)|$ .) The problem  $\Pi$  is called *strongly NP-hard* if  $\Pi_p$  is NP-hard.

**Lemma.** *Unless  $P = NP$ , there can be no pseudo-polynomial time algorithm for any strongly NP-hard problem.*

*Proof.* For the sake of contradiction, assume a pseudo-polynomial time algorithm  $\mathcal{A}$  exists for a strongly NP-hard problem  $\Pi$ . That is,  $\Pi_p$  is NP-hard. Given any input string  $w$ , first check whether  $w$  encodes an instance  $I$  of  $\Pi$  satisfying  $\beta \leq \text{poly}(|I|)$ . (That is, we check whether  $w$  is an instance of  $\Pi_p$ .) If so, apply  $\mathcal{A}$  to  $I$ . For any  $I \in \Pi_p$ , the  $\mathcal{A}$  takes  $\text{poly}(|I|, \beta) = O(\text{poly}(|I|)) = \text{poly}(|I|)$  time, contradicting the supposition  $P \neq NP$ .  $\square$

In other words, a decision problem  $\Pi$  is strongly NP-hard if every problem in NP can be reduced to  $\Pi$  in polynomial time such that the length of every number in unary representation in the reduced instance  $f(w)$  is at most a polynomial in the length of the binary encoding of  $w$ . That is, to prove  $\Pi$  is strongly NP-hard, one needs to give a polynomial time reduction from any strongly NP-hard problem to  $\Pi_p$ . One such strongly NP-hard numerical problem is binpacking, thanks to polynomial time reduction from 3-dimensional matching. The TSP is a strongly NP-hard problem since it remains NP-hard even if each number involved in it is upper bounded by the number of nodes in the graph. (And, even if one considers the CLIQUE problem as a numerical problem due to number  $k$  in any instance, this problem is strongly NP-hard since it remains NP-hard even if  $k$  in the instance is upper bounded by the number of nodes in the graph.) A decision problem  $\Pi$  is *strongly NP-complete* if  $\Pi$  belongs to NP and  $\Pi$  is strongly NP-hard.

- An NP-hard problem that is not strongly NP-hard is said to be *weakly NP-hard*. Any weakly NP-hard problem can have a pseudo-polynomial time algorithm without disobeying  $P \neq NP$ . The example weakly NP-hard numerical problems include 0-1 knapsack, integer knapsack, subsetsum, and partition. A decision problem  $\Pi$  is *weakly NP-complete* if  $\Pi$  belongs to NP and  $\Pi$  is weakly NP-hard.

References:

"Strong" NP-Completeness Results: Motivation, Examples, and Implications by M. R. Garey and D. S. Johnson, JACM '78, Vol 25, No 3, pp 499-508.