

1 **A Stress-Deformation based Algorithm for Quasi-Static Designing**
2 **Unreinforced Unpaved Roads Incorporating Operational Stabilities**

3
4 **Nayan Jyoti Sarma**

5 Research Scholar, Department of Civil Engineering, Indian Institute of Technology Guwahati,
6 Guwahati, Assam, India. Email: nayan.jyoti@iitg.ac.in

7
8 **Arindam Dey**

9 Associate Professor, Department of Civil Engineering, Indian Institute of Technology Guwahati,
10 Assam, India. Email: arindamdey@iitg16@gmail.com

11
12 **ABSTRACT**

13 This paper presents a coupled stress-deformation based algorithm in a finite element framework
14 for quasi-static designing of unreinforced unpaved roads comprising generalized subgrade. In
15 contrary to the traditional limit equilibrium based design that considers only the undrained shear
16 strength of the soft cohesive subgrades, this algorithm considers both frictional (ϕ) and cohesive
17 (c) components of subgrade shear strength. Further, in contrary to the conservative assumption of
18 non-deformability of subgrade and aggregate layers in the earlier approach, this algorithm
19 considers the deformability based operational failure of individual components of unpaved road
20 section. In the algorithm, the limiting strength parameters of aggregate and subgrade are to be
21 identified that would ensure the operational stability of the natural subgrade under aggregate
22 loading as well as the stability of the aggregate layer under quasi-static vehicular loading. Even
23 after the operational stabilities are ensured, weaker subgrades when subjected to heavier axle loads
24 has the tendency to exhibit failure due to the transmission of the secondary stresses, which is also
25 considered in the design algorithm. The coupled stress-deformation based design algorithm
26 reported herein provides a comprehensive design approach duly incorporating all such operational
27 failure conditions.

28
29 **Keywords:** Unreinforced unpaved roads; Coupled stress-deformation approach; Finite element
30 analysis; Operational stability; Secondary stress; Design algorithm

32 **Introduction**

33 For many developed and developing countries around the world, significant stretches of unpaved
34 (or, unsurfaced) roads are managed by the national authorities. As per the Central Intelligence
35 Agency (CIA) world fact-book (2016), approximately 79% of the total road network in South
36 Africa comprises unpaved roads, while the same is around 35% for USA (CIA, 2012) and
37 approximately 45-50% in India (MORTH, 2023). There are various types of unpaved roads
38 (including dirt roads, haul roads and access roads), out of which the most common is the one where
39 a gravel layer, lateritic soil layer or even a layer of tire-reinforced recycled aggregates is placed
40 directly over the natural soil subgrade (Paige-Green *et al.*, 2015; Mendoza *et al.*, 2022) without
41 the application of any permanent surfacing such as those given for an asphalt or concrete pavement.
42 Unpaved roads are supposed to carry lesser volume of traffic and, customarily, if the traffic
43 exceeds about 300 vehicles per day, it is often economically viable to surface them with a
44 bituminous seal (Richards, 1978). Although the quality of service from the unpaved roads is
45 expectedly lower in comparison to paved roads, yet it provides a major transportation carriageway
46 to support the movements of goods and carriage vehicles in the lesser accessible areas. Although
47 an economically viable option for lower volume traffic, there are cases where unpaved roads have
48 to sustain heavier vehicles such as the roads connecting a large industrial plant to a major road,
49 approach roads to newly constructed sites and embankments, major village roads connecting to
50 nearby highway, heavy vehicle roads inside forest areas etc. In most cases, unpaved roads have to
51 laid on natural soil subgrades with insufficient bearing resistance against heavily loaded vehicles,
52 and consequently undergoes short-term or long-term distresses leading to potholes, rutting,
53 corrugation, washboard formations, surface degradation and dust emission (Alzubaidi and
54 Magnusson, 2002; Shoop *et al.*, 2006; Edvardsson, 2009; Jones 2015; le Vern 2022; Ibagon *et al.*,
55 2023). In order to prolong the performance life of such roads (as indicated by Jones, 2015; Huber
56 *et al.*, 2020), it is important that an engineered design methodology of unpaved roads is developed
57 by incorporating the geotechnical characteristics of the bearing subgrade. In this regard, although
58 some studies are conducted over the years, a thorough geotechnics-based analysis is yet pending
59 for the same.

60

61 Giroud and Noiray (1981) pioneered a design methodology for unpaved roads resting on a
62 saturated cohesive subgrade with low permeability. The thickness of the aggregate layer was

63 estimated by adopting a quasi-static approach, which is based on the stress transferred through the
64 aggregate layer following a load dispersion mechanism and subsequently resisted by the undrained
65 shear strength of the subgrade. Furthermore, the increment in the required aggregate layer
66 thickness due to repeated passages of traffic was also estimated for a specified rut depth of 50 mm.
67 Holtz and Sivagukan (1987) extended the work by incorporating a wider practical range of rut
68 depths (75 mm – 300 mm) to estimate the aggregate layer thickness for unpaved roads subjected
69 to standard axle load and varying tire pressures. For both plane-strain and axisymmetric loading
70 conditions, Miligan *et al.* (1989a, 1989b) further extended the pioneering work of Giroud and
71 Noiray (1981) by considering the development of horizontal outward shear stresses at the
72 subgrade-fill interface due to the applied quasi-static vertical load. Boresi and Palmer (1995)
73 presented a review of the design methodologies for unpaved roads existing in that era, which
74 indicated that the WES models, based on rut-depth as the major failure criterion (incorporating
75 subgrade, aggregate and traffic parameters), were best suited in assessing the thickness of the
76 aggregate layer of unpaved roads (Barber *et al.*, 1978; U.S. Army Corps of Engineer Waterways
77 Experiment Station, 1978). Based on the research on South African roads, Paige-Green (1990)
78 provided a guideline on the design of unpaved roads while primarily focusing on the construction
79 and maintenance. Douglas and Valsangkar (1992) proposed to use the stiffness of the unpaved
80 road as the key design criterion instead of the rut depth, as the latter can be eradicated by a periodic
81 maintenance of unpaved road. Based on the concept proposed by Milligan *et al.* (1989a) and its
82 subsequent calibration through the numerical analyses results of Burd and Frydman (1997), an
83 empirical relation of equivalent load spread angle was proposed for unreinforced unpaved roads
84 resting over soft subgrade and subjected to monotonic loading condition (Houlsby and Burd,
85 1999). The equivalent load dispersion angle was established as a function of friction angle of the
86 granular fill and the ratio of subgrade strength to the overburden pressure from the fill. Jones
87 (2015) highlighted the importance of conducting rigorous geotechnical tests (such as grading
88 analysis, plasticity test and California Bearing Ratio, or CBR, test) to assess the grading coefficient
89 (G_c) and shrinkage product (S_p) of locally available material, which may be incorporated in the
90 design methodology for unpaved roads. Kuttah (2016) emphasized the influence of subgrade
91 moisture conditions and heavily loaded traffic on the performance of gravel roads that can be
92 incorporated in its design methodology. With the aid of the proposed semi-empirical methods
93 (Giroud and Han, 2004; Han and Pokharel, 2015), DACE software[®] was introduced as a supportive

94 computational tool for calculating the design thickness of unpaved roads having CBR<3% (Diaz
95 *et al.*, 2020).

96
97 All the earlier researches carried out on unpaved roads considered the subgrade to be
98 predominantly comprising soft clayey or silty soils. For such saturated subgrades, an undrained
99 condition mostly prevails during the momentary passage of the vehicle. Consequently, to
100 accommodate such worst scenario of undrained analysis, the subgrade resistance was primarily
101 quantified by its undrained shear strength that was correlated to the field CBR value. However,
102 depending on the degree of saturation, permeability and the stratigraphy of the subgrade, the state
103 of drainage can vary (i.e., it may exhibit an undrained, partially drained or fully drained states),
104 which, in turn, would govern the choice of the subgrade strength parameters (effective or undrained
105 strength parameters) and their magnitudes. At the same time, the consideration of purely saturated
106 cohesive medium is mostly idealistic against the realistic prevalence of ‘generalized’ subgrades
107 that are characterized by both of its shear strength parameters, namely cohesion (c) and angle of
108 internal friction (ϕ); such soils are also commonly referred as ‘ c - ϕ ’ soils. Owing to inherent higher
109 subgrade strength of the c - ϕ soils, the application of the conventional design methodology provides
110 an overestimated magnitude of aggregate thickness that might not be practically required. Hence,
111 there is an ardent requirement to improve upon the existing design consideration by incorporating
112 both the shear strength parameters of the generalized subgrade, which would help to attain both
113 safe and economically viable unpaved roads.

114
115 In this regard, Meena *et al.* (2013) improvised upon the analytical design methodology proposed
116 by Giroud and Noiray (1981) and produced a pioneering research by considering both the shear
117 strength parameters of subgrade soil to incorporate its bearing resistance in the assessment of the
118 aggregate layer thickness. Such approach revealed recognizable reduction in the aggregate
119 thickness as compared to the outcomes from earlier analytical formulations. However, the
120 traditional limit-equilibrium based analytical formulation (Giroud and Noiray, 1981; Meena *et al.*,
121 2013) did not consider the deformability aggregate and subgrade layers. In such scenario, there
122 were no avenues of assessing the internal stresses and strains developed in different layers of
123 unpaved road due to aggregate and/or wheel loadings. Consequently, the analytical approaches
124 debatably inferred that for stronger subgrades, there would be no requirement of aggregate layer,

125 while for very weak subgrades, exorbitantly large aggregate thickness would be required. Under
126 the latter condition, the subgrade would not be resisting enough to even bear the weight of the
127 aggregate layer itself during construction and, thus, aggregates would penetrate inside the
128 underlying soft subgrade. Furthermore, it was also considered that the aggregate layer would not
129 fail under vehicular loading. However, in the absence of fine-grained binding material (IRC SP:77,
130 2008), the stacked unbounded and poorly-graded coarse aggregate would not be mechanically
131 stable to sustain the vehicular loading due to stress concentration at the tire edges. Thus, in a
132 nutshell, as the aggregate and subgrade layers actually deforms under an external load, it is
133 immensely important to assess the performance of an unpaved road under operational conditions.

134
135 Thus, considering the deformability of the aggregate and subgrade layers, this paper presents the
136 development of a coupled stress-deformation based design algorithm for unpaved roads in a finite
137 element framework. Although the developed algorithm still confines to the traditional quasi-static
138 design as attempted in earlier researches, at the same time, it improvises upon the same by
139 incorporating the stability of the individual components of unpaved road under all operational
140 conditions (i.e. stability of deformable subgrade under aggregate loading and stability of
141 deformable aggregate under quasi-static vehicular loading).

142
143 **Numerical Methodology**

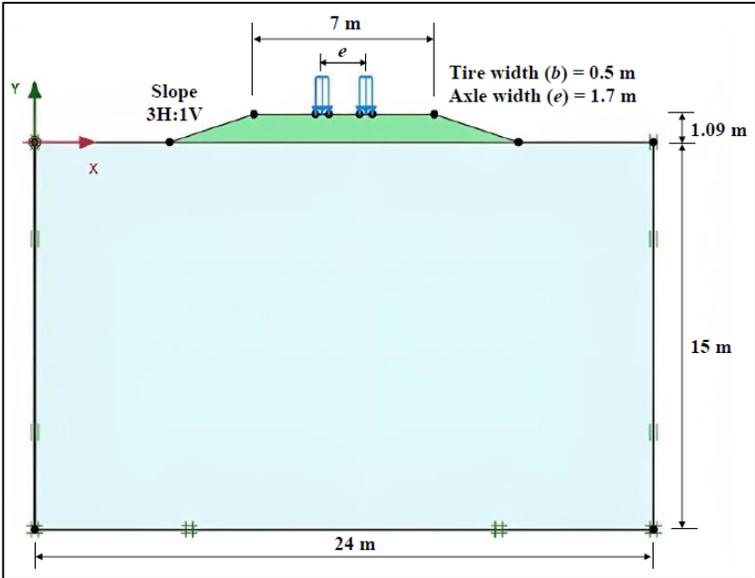
144 *Description and validation of the FE model*

145 For the present study, FE analysis of an unreinforced unpaved road system is carried out using
146 PLAXIS 2D v2018 numerical modeling software. Figure 1 exhibits a typical geometry of the
147 unpaved road modelled as a two-layered system comprising the overlying aggregate and
148 underlying subgrade layers. The cross-section is considered identical along its longitudinal
149 direction and, hence, the same is represented by a plane-strain model. The lateral boundaries of
150 the subgrade layer are provided with fixities against horizontal displacement, while the bottom
151 boundary is restricted from displacements in any direction. The aggregate layer is modeled as a
152 finite layer over subgrade with sustainable 3H:1V or 4.5H:1V side slopes, such that local slope
153 failure of the aggregate layer can be avoided. Based on the traffic studies that are supposed to be
154 carried out before the actual construction of road, one can ideally ensure a particular value of

155 embankment slope that is to be realistically chosen. Vehicular axle load is applied on the surface
156 of the aggregate layer as a uniformly distributed load at the tire contact area.

157
158 Both the aggregate and the subgrade layers are modeled using linear elastic – perfectly plastic
159 Mohr–Coulomb constitutive model, which uses five parameters; Young’s modulus (E) and
160 Poisson’s ratio (ν) for soil elasticity, while the plasticity is accounted by angle of internal friction
161 (ϕ), cohesion (c) and angle of dilatancy (ψ) (Lade, 2005). For the present study, dilatancy is not
162 considered. Based on the existing literature and practice, various magnitudes of the strength
163 parameters for the subgrade and the aggregate layer are selected over their suitable ranges
164 (mentioned in appropriate later sections), while the common model parameters (as per Meena *et al.*
165 *et al.*, 2013; Yaghoubi *et al.*, 2016) are listed in Table 1. These are some typical values of the
166 parameters that falls within the wide range of material parameters and could be reasonably
167 encountered in the construction of such unbounded roads. For the subgrade layer and aggregate
168 layers, the unit weight (γ) is kept same owing to the fact that the unit weight of soil and locally
169 available aggregates are mostly similar and that slight variations in this parameter does not
170 significantly affect the deformation response of the unpaved road system (Meena *et al.*, 2013).
171 Based on the strength parameters of aggregate and subgrade, the thickness of the aggregate layer
172 is assessed as per existing analytical solutions (Meena *et al.*, 2013).

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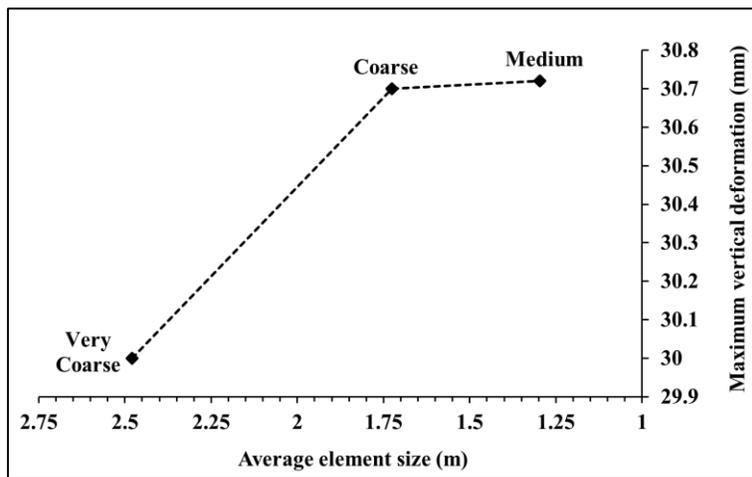
Figure 1. A typical geometry of unreinforced unpaved road

Table 1. Some typical material parameters used in the FE model

Parameters	Subgrade	Aggregate
Constitutive model	Mohr-Coulomb	Mohr-Coulomb
Unit weight (γ) (kN/m ³)	19	19
Elastic modulus (E) (MPa)	20	6
Poisson's ratio (ν)	0.4	0.3

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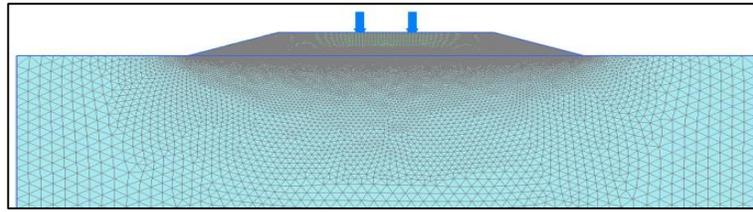
178 Aided by a robust triangulation scheme with options for global and local mesh refinements, an
 179 automatic generation of unstructured 2D finite element mesh of 15-noded triangular elements is
 180 used to discretize the model domain. In order to obtain a non-oscillating outcome from the FE
 181 analysis, it is important to conduct a mesh quality check and a mesh convergence study to identify
 182 the sensitivity of the FE model to the variation in mesh size. In this regard, a mesh convergence
 183 study was conducted for a particular model configuration (Figure 2) for three different global
 184 meshes, i.e. ‘very coarse’, ‘coarse’ and ‘medium’ respectively, having successively reducing
 185 relative mesh sizes. It is noted that beyond the ‘medium’ mesh size with average mesh element
 186 size as 1.25 m, the variation in maximum vertical deformation is negligible, thereby indicating the
 187 achieved convergence. Further local mesh refinements are conducted in the areas expecting large
 188 stress concentration or large deformation gradients (e.g. aggregate-subgrade interface, corners of
 189 the aggregate layer, etc.). Based on the results, Figure 3 shows the optimally discretized model
 190 domain assuring best possible symmetry and mesh quality, with an average element size of
 191 0.07727 m.



192

193 **Figure 2.** Identification of optimal mesh size from a typical mesh convergence study

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195

196 **Figure 3.** FE discretization and meshing of a typical unreinforced unpaved road geometry

197

198 *Validation of FE model*

199 A validation study has been carried out to establish the efficacy of the coupled stress-deformation
200 based FE model. Analogically, in terms of the geometry and loading, the FE model adopted for
201 the present study is no different than a problem of interfering surface strip footings resting on two
202 layered media. Hence, for validation, the experimental problem reported by Ghosh and Kumar
203 (2011) is considered in which the footing width (B) is 50 mm, center-to-center spacing of the
204 footing (S) is $3.0B$ ($= 150$ mm), and thickness of the top layer (D) is $1.0B$ ($= 50$ mm). The angle of
205 friction of the overlying and underlying layers (ϕ_1, ϕ_2) are 32.6° and 38.9° , respectively. Based on
206 the remaining information related to the footing material, soil properties, loading conditions and
207 measurement points, the developed FE model is suitably modified. Following the experimental
208 procedure, the load-settlement response obtained from the numerical analysis is compared to that
209 obtained from experimental observation. An appreciable agreement is obtained between the load-
210 settlement responses; the ultimate load obtained from the experimental and numerical exercise is
211 33 kg and 35 kg, respectively, both corresponding to a settlement value of 6 mm (Figure 4). With
212 the minor dissimilarity within the tolerance limit ($<10\%$), it can be well inferred that the developed
213 FE model is suitably validated for the closely spaced loading representing the dual wheels at the
214 two ends of the axle and the same is considered in the rest of the study.

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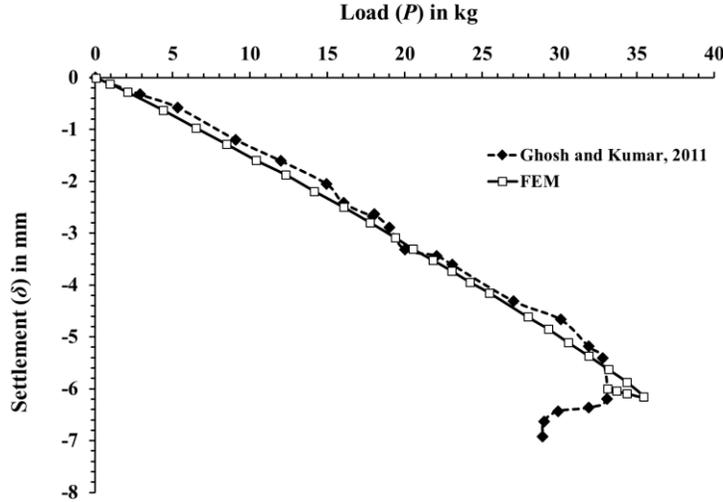
216 **Coupled Stress-Deformation based Design Methodology for Unreinforced Unpaved Roads**

217 *Operational failure conditions and limiting cohesion*

218 As mentioned earlier, the analytical formulations did not address the operational failure conditions,
219 which are presently incorporated in the developed algorithm. In order to counteract the stated
220 operational failures, it is necessary to improve the strength parameters of the aggregate and soil
221 subgrade. In this regard, given the frictional property, the minimum cohesion required individually

222 by the subgrade and aggregate are determined from limit analysis so that their nominal stability is
 223 ensured.

224



225

226 **Figure 4.** Comparison of the load-settlement response between the experimental and FE results

227

228 ***Limiting cohesion in subgrade layer required to sustain aggregate loading.***

229 The subgrade should be capable enough of sustaining the aggregate load during its placement.

230 Following Terzaghi’s bearing capacity formulation for a strip load resting on supporting soil

231 (Terzaghi, 1943), the expression for limiting cohesion has been developed by equating the

232 aggregate load (γh) to the allowable bearing capacity of the subgrade, and is expressed as follows:

233
$$\gamma h = \frac{c_{s,\min} N_c + 0.5\gamma B N_\gamma}{\text{FoS}} \quad (1)$$

234 where, h is the height of the aggregate layer, $c_{s,\min}$ is the limiting cohesion required in subgrade to

235 sustain the aggregate loading under operational conditions, and FoS is the safety factor against

236 ultimate bearing strength. The corresponding bearing capacity factors (N_c, N_γ) are to be determined

237 based on the friction angle of the subgrade material (Terzaghi, 1943). If the subgrade already

238 possesses cohesion more than the limiting magnitude, then this assessment would not be required.

239

240 ***Limiting cohesion in aggregate layer required to sustain quasi-static vehicular loading.***

241 The aggregate layer having poor bearing strength can fail under punching shear due to the imposed

242 quasi-static vehicular load. For preventing such punching failure, the stress concentration under

243 edges of the tire contacts should be dispersed to a magnitude lower than the allowable bearing
244 capacity of the aggregate alone. The equilibrium of the same can be expressed as:

$$245 \quad \frac{P}{2lb} = \frac{c_{a,\min}N_c + 0.5\gamma bN_\gamma}{\text{FoS}} \quad (2)$$

246 where, P is the axle load, l and b are the dimensions of the equivalent contact area of the dual
247 wheel system on aggregate layer (Giroud and Noiray, 1981), and $c_{a,\min}$ is the limiting cohesion
248 required to prevent punching shear failure in the aggregate layer due to the imposed quasi-static
249 vehicular loading. The corresponding bearing capacity factors are to be determined based on the
250 friction angle of the aggregate material. It may be noted here that addition of fines in the aggregate
251 layer would require additional attention to the drainage behavior of the aggregate; however, the
252 same is beyond the scope of the reported work.

253

254 ***Additional cohesion requirement of subgrade considering deformability of aggregate and***
255 ***subgrade.***

256 The earlier sub-sections described the necessity to evaluate the minimum strength parameters
257 required by the subgrade and aggregate to ensure their individual operational stability against
258 failure. The subgrade stability was ensured solely against the aggregate placement, while the
259 aggregate stability was ensured solely under the vehicular load considered it to be resting on a non-
260 deformable subgrade. However, in practical scenario, the stress-deformation mechanism of the
261 unpaved road system will be coupled and the subgrade would be a deformable medium; thereby,
262 the stability of subgrade and aggregate layers would be affected by the stress-deformation
263 interaction between the layers. Thus, even after achieving independent operational stability based
264 on the assumptions of non-deformability of individual components, once the aggregate layer is
265 strengthened, additional stress from vehicular loading gets transferred to the subgrade that was not
266 considered when the subgrade was strengthened only to sustain the placement of aggregate layer.
267 This additional stress passes to the subgrade through stress-deformation mechanism at aggregate-
268 subgrade interface and is primarily observed when aggregate layer is subjected to vehicles with
269 high axle-loads. This additional stress is termed a ‘secondary stress’ and needs to be taken into
270 account in the design to ensure the overall stability of the subgrade. Hence, when such a situation
271 is encountered, the cohesion of the subgrade needs to be further readjusted to arrive at a modified
272 minimum value ($c_{sa,\min}$) that would render the subgrade enough bearing strength to sustain the

273 overall imposed stress. Further details about the described procedure is provided in the next
274 section.

275

276 ***Detailed design methodology***

277 The following section provides a step-by-step procedure to be adopted for the design of
278 unreinforced unpaved roads through a coupled stress-deformation based approach as proposed in
279 the present study employing FE analysis.

280

281 **Step 1.** Make a preliminary assessment of the required aggregate thickness based on the
282 analytical expression developed by Meena *et al.* (2013).

283

284 **Step 2.** Develop the FE model in PLAXIS 2D using aggregate thickness assessed in Step 1. The
285 shear strength parameters ($c_{subgrade}$, $\phi_{subgrade}$; and $\phi_{aggregate}$) for subgrade and aggregate
286 layers is to be kept same as that used in Step 1 for assessing the aggregate thickness.
287 The values of other model parameters such as modulus of elasticity (E), Poisson's ratio
288 (ν), unit weight (γ) and initial void ratio (e_{init}) are adopted as per field specifications.

289

290 **Step 3.** The simulation of the FE model developed in Step 2 is undertaken to investigate the
291 operational instability of the subgrade solely due to aggregate loading. If the operational
292 stability is not jeopardized, consider $c_{s,min}=c_{subgrade}$ and continue to Step 6. If the FE
293 model exhibits stress-based failure in the subgrade, continue to Step 4.

294

295 **Step 4.** Assess the limiting magnitude of cohesion ($c_{s,min}$) required in the subgrade layer (as per
296 Eqn. 1) to sustain the operational aggregate loading.

297

298 **Step 5.** Using the $c_{s,min}$ value obtained in Step 4, analyse the FE model developed in Step 2 to
299 ascertain the operational stability of the subgrade under aggregate loading. If the
300 subgrade remains stable under the aggregate load, continue to Step 6. If the subgrade
301 still portrays failure, repeat Step 4 to re-estimate $c_{s,min}$ with increased FoS.

302

- 303 **Step 6.** Reform the FE model by incorporating $c_{s,min}$ as the cohesive parameter for the subgrade
304 (obtained in Step 5) over and above the friction parameter of the subgrade ($\varphi_{subgrade}$).
305 Investigate whether the aggregate layer (with strength parameter adopted in Step 1 or
306 Step 2) is operationally stable and able to sustain the stress concentration imposed by
307 the quasi-static vehicular load against punching shear failure.
308
- 309 **Step 7.** If operational stability of aggregate layer is ensured, the design of unpaved road is
310 deemed complete with $\varphi_{subgrade}$ and $c_{s,min}$ as the shear strength parameters for the
311 subgrade, and $\varphi_{aggregate}$ as the shear strength parameter for the aggregate.
- 312 **Step 8.** If the aggregate fails under the imposed vehicular load, determine the minimum value
313 of cohesion required ($c_{a,min}$) in the aggregate using Eqn. 2.
314
- 315 **Step 9.** Analyse the reformed FE model developed in Step 6 (already having $\varphi_{subgrade}$, $c_{s,min}$ and
316 $\varphi_{aggregate}$) by incorporating $c_{a,min}$ as limiting aggregate cohesion to reassess its
317 operational stability.
318
- 319 **Step 10.** If the aggregate still exhibits operational instability, considering a higher FoS. Further,
320 proceed to Step 9 to include the re-estimated $c_{a,min}$ in the reformed FE model that is
321 already incorporating the $\varphi_{subgrade}$, $c_{s,min}$ and $\varphi_{aggregate}$ (from Step 6). If the reformed FE
322 model with higher magnitude of $c_{a,min}$ in aggregate layer exhibits operational stability,
323 proceed to Step 11; else, repeat Step 10 again by heuristically and iteratively enhancing
324 $c_{a,min}$ to a higher value.
325
- 326 **Step 11.** If the aggregate does not exhibit stress-based failure under imposed load and that the
327 operational stability of the aggregate is ensured, the strength parameters of unpaved road
328 system is finalized to $\varphi_{subgrade}$ and $c_{s,min}$ as the shear strength parameters for the subgrade,
329 along with $\varphi_{aggregate}$ and $c_{a,min}$ as the shear strength parameter for the aggregate. Further,
330 it is necessary to check whether the reformed FE model exhibits failure in the subgrade
331 due to such secondary stresses generated from simultaneous aggregate and vehicular
332 loading. Following this principle, the unpaved road system is further checked for failure
333 under secondary stresses.

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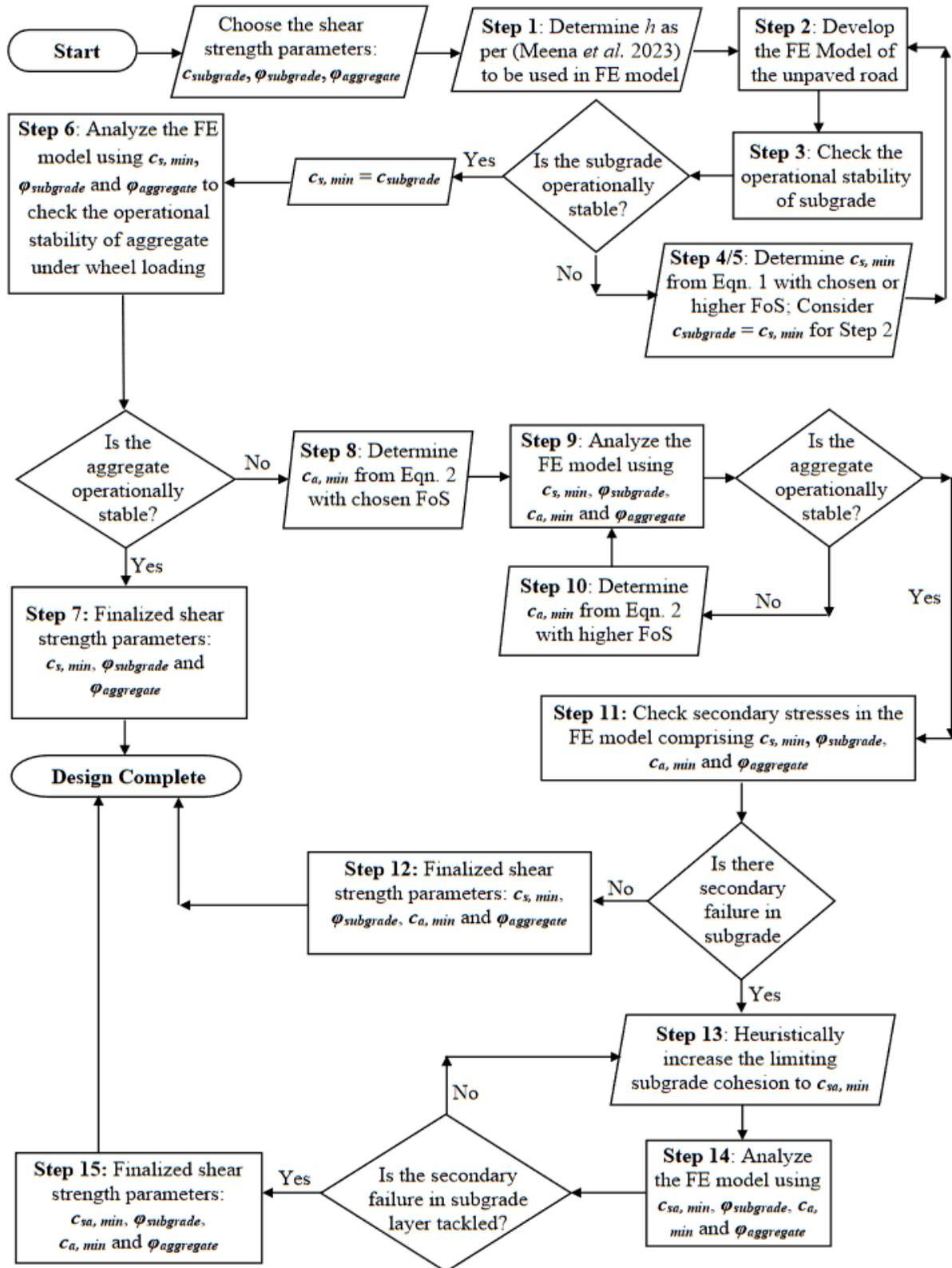
Step 12. If no secondary stress-based failure is noticed, the design of unpaved road system is deemed complete with the strength parameters finalized and mentioned in Step 10.

Step 13. Any instability in the subgrade arising due to the secondary stresses (as in Step 11) can be tackled by heuristically and iteratively increasing the value of $c_{s,min}$ to a modified higher value ($c_{sa,min}$).

Step 14. The FE model is reanalysed with $c_{sa,min}$ as subgrade cohesion to reconfirm the stability of the system.

Step 15. If the stability against secondary stresses is achieved, the design of unpaved roads is deemed complete with the strength parameters of unpaved road system is finalized to $\phi_{subgrade}$ and $c_{sa,min}$ as the shear strength parameters for the subgrade, along with $\phi_{aggregate}$ and $c_{a,min}$ as the shear strength parameter for the aggregate. If the stability is yet to be achieved, repeat from Step 13.

For easy visual perception, the described coupled stress-deformation based design methodology of unreinforced unpaved roads is illustrated through a flowchart in Figure 5. It is to be noted that any type of strength enhancement of the subgrade calls for ground improvement techniques (Miekos *et al.*, 2019; Georgees and Hassan, 2022; Magalhaes *et al.*, 2022; Pooni *et al.*, 2023). The effective depth of such improvement in the subgrade can be identified based on the extent of strain concentration zone within the subgrade. With regard to the strength enhancement of aggregate, mixing of fines with the aggregate material is the most viable solution (Thogersen *et al.*, 2013; Barbieri *et al.*, 2019, 2020; Lopez-Uceda *et al.*, 2020; Freira *et al.*, 2021; Ramdas *et al.*, 2021). However, the entire context of ground improvement and aggregate strengthening techniques is beyond the scope of present study.



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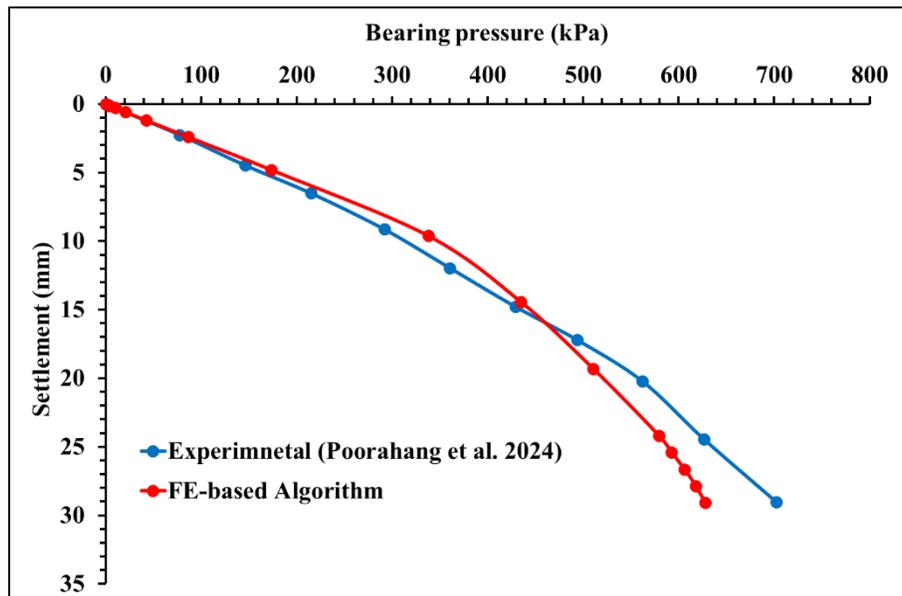
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Figure 5. Flowchart of proposed stress-deformation based design algorithm for unpaved roads

364 **Validation of the Developed Algorithm**

365 For any developed design algorithm, a validation study is required to ensure the proper application of the
366 methodology in real field scenario. In this regard, for validating the coupled stress-deformation based
367 design algorithm developed in the present study, the large-scale experimental work reported by Poorahong
368 *et al.* (2024) involving a fully instrumented unpaved road model constructed on soft clay subgrade is
369 considered. The experimental unpaved road structure comprised a crushed rock aggregate layer resting on
370 a soft clay subgrade layer. The unpaved road model was designed to support standard axle load of 80 kN
371 with tire pressure set at 550 kPa, thereby leading to a tire contact dimensions of 0.38 m \times 0.27 m (Dey and
372 Meena 2013; Meena *et al.*, 2013). The California Bearing Ratio (CBR) of the aggregate and subgrade layer
373 is provided as 80% and 4%, respectively (Poorahong *et al.*, 2024). Accordingly, undrained cohesion of the
374 individual layers of the unpaved road model is determined using the correlation
375 c_u (kPa) = 30 (CBR in %) as proposed by Giroud and Noiray (1981), which comes out to be 2400 kPa
376 and 120 kPa, respectively. Since the soft clay subgrade is represented with undrained cohesion, the bearing
377 capacity factors are considered for a $\phi = 0$ condition that comes out to be $N_c = 5.7$, $N_q = 1$ and $N_\gamma = 0$
378 (Terzaghi, 1943). The unit weights of the aggregate and subgrade layers are given as 22 kN/m³ and 17.8
379 kN/m³, respectively. Based on the parameters ascertained for the unpaved road model, the preliminary
380 assessment of the aggregate thickness is carried out as per Step 1 of the algorithm. It is noted that a nominal
381 aggregate cover of 0.15 – 0.2 m can be provided (Sarma and Dey, 2024a, 2024b). The thickness of the
382 crushed rock aggregate layer used in the experiment is 0.2 m, which conforms to the assessment from the
383 developed algorithm. Following the design methodology, the FE model of the unpaved road is designed.
384 Firstly, as per Step 3 of the design algorithm, the operational stability of the subgrade under base layer
385 loading is checked, in which the subgrade is found to be operationally stable. This conformed to the
386 experimental observation that the subgrade did not exhibit failure under placement of the aggregate layer.
387 Further, as per Step 8, the operational stability of crushed stone base layer is checked under the vehicular
388 load placement is checked, in which it is found to be operationally stable as no punching shear failure was
389 noticed within the aggregate layer. The same was noticed in the experimental program, in which it was
390 noticed that under the vehicular loading, the failure pertained to the local shear failure. Hence, the design
391 algorithm developed in the present study suitably determined the stability of the unpaved road section as
392 determined in the experimental program by Poorahong *et al.* (2024). In this regard, the performance of the
393 recognized design section was ascertained through a load deformation response of the unpaved road when
394 subjected to the stated vehicular load. Figure 6 shows the comparison between the FE results and
395 experimental results, that exhibits appreciable agreement in the trend and magnitudes of bearing pressure
396 and settlement.

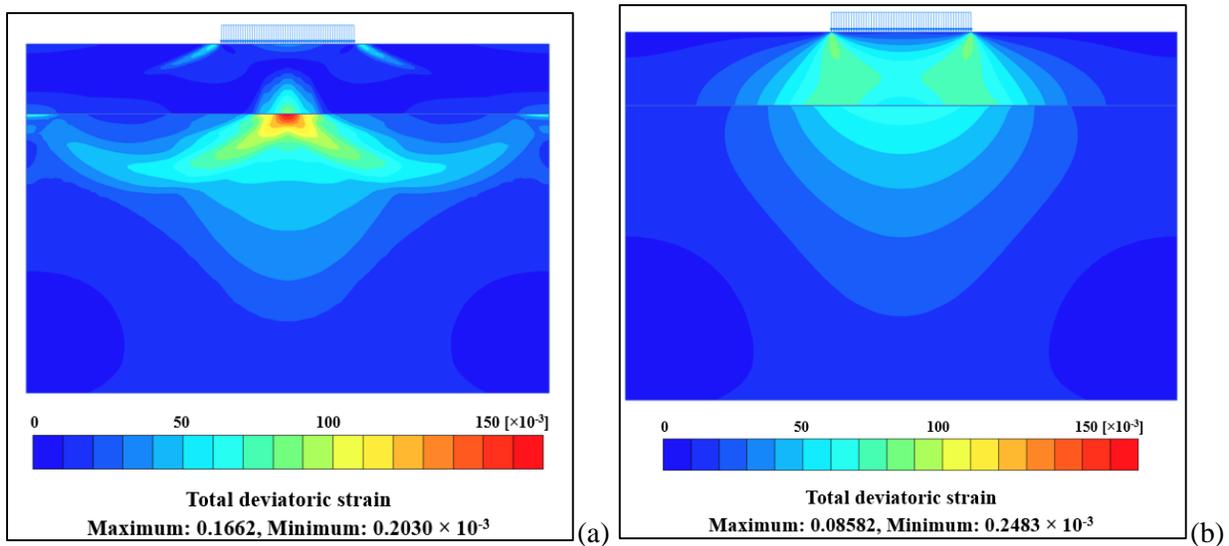
397



398

399 **Figure 6.** Comparison of bearing pressure vs settlement response between the experimental and FE results
400 for the unreinforced unpaved road

401



402

403 **Figure 7.** (a) Development of secondary stresses in the subgrade due to combined aggregate and
404 vehicular loading (b) Arresting of the developed secondary stresses within the aggregate layer by
405 heuristically increasing the strength parameter of the subgrade equal to that achieved by geogrid-
406 reinforced unpaved road section

407

408 Under this scenario, as per Step 11, the secondary stresses in the subgrade are ascertained. It is noted from
409 Fig. 7a that significant secondary stresses are generated in the subgrade layer due to combined loading of
410 the aggregate and vehicular loading. In further tests conducted by Poorahong *et al.* (2024) using a geogrid
411 layer at the interface of base layer and subgrade layer, the bearing capacity increases to approximately to
412 1040 kPa. This implies at this particular value, the geogrid has captured the deviatoric strains within the
413 aggregate layer when subjected to combined aggregate and vehicular loading. As an analogy, following the
414 Step 13 of the developed design algorithm, the necessary ground improvement required for unreinforced
415 unpaved road is addressed by heuristically increasing the undrained strength of the subgrade layer to a value
416 of 1000 kN. The corresponding deviatoric strains are shown in Figure 7b, which essentially shows that the
417 deviatoric strains are captured within the base-subgrade interface. With these above mentioned
418 conformation to the large-scale experimental tests conducted by Poorahang *et al.* (2024) to assess the
419 bearing capacity of an unpaved road structure (comprising crushed rock aggregate layer resting on soft clay
420 subgrade) when subjected to standard vehicle axle load, the ‘stress-deformation based algorithm for quasi-
421 static designing unreinforced unpaved roads incorporating operational stabilities’ stands validated.

422

423 **Results and Discussions**

424 ***Outcomes from a typical FE-based simulation involving $c_{s,min}$ and $c_{a,min}$***

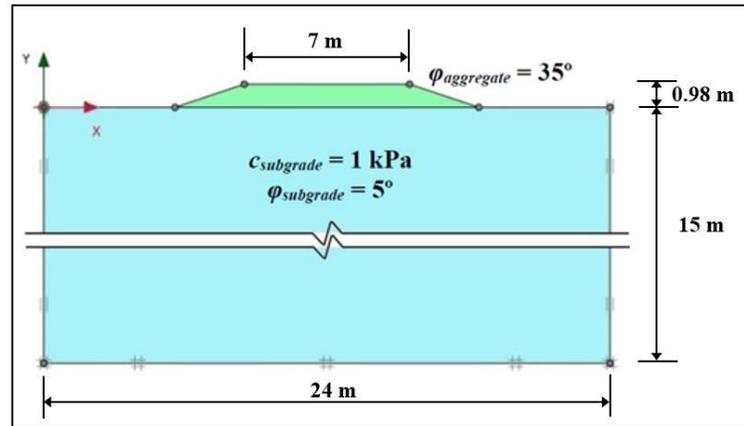
425 Several FE models were developed with different combinations of parameters, namely axle load
426 (P), tire pressure (P_c), cohesion of subgrade ($c_{subgrade}$), angle of internal friction of soil ($\phi_{subgrade}$),
427 angle of internal friction of aggregate ($\phi_{aggregate}$) and Factor of safety (FoS). However, only one
428 typical model is highlighted here to illustrate the various outcomes and inferences from the FE-
429 based design methodology. The parametric values for the parent model considered herein are $P =$
430 30 kN, $P_c = 600$ kPa, $c_{subgrade} = 1$ kPa, $\phi_{subgrade} = 5^\circ$ and $\phi_{aggregate} = 35^\circ$. Based on the chosen axle
431 load and tire pressure, b is obtained to be 0.27 m. A FoS = 1.5 is used for the analysis. Through
432 this consideration, the subgrade is considered to be sufficiently weak so that all the steps of the
433 FE-based design methodology could be highlighted. The design steps and their outcomes are
434 described as follows:

435

436 ➤ **Step 1:** Based on the given data and using the analytical expression developed by Meena
437 *et al.* (2013), the aggregate thickness (h) is preliminarily assessed to be 0.98 m.

438

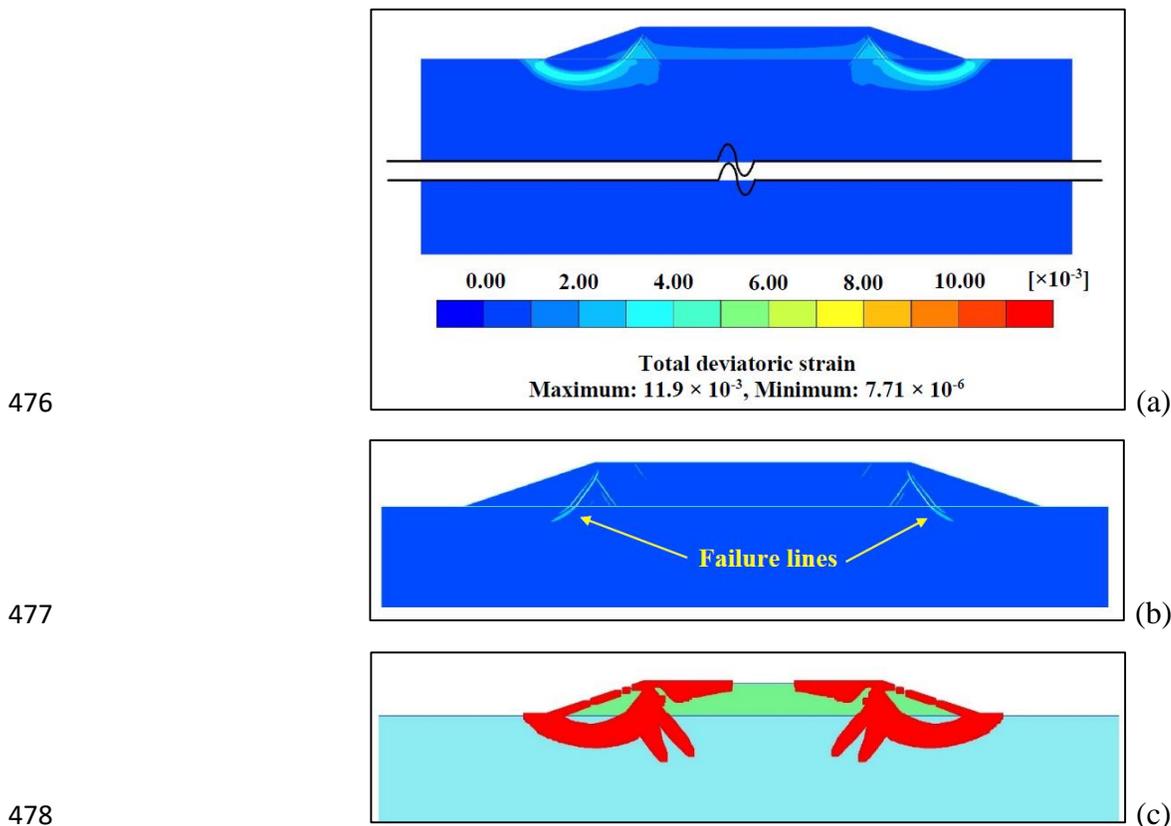
439 ➤ **Step 2:** A finite element model is designed with the assessed thickness of aggregate layer
 440 having side slopes adjusted to 3H:1V to prevent any unwarranted slope failure. Figure 8
 441 shows the typical FE model wherein the subgrade layer is subjected to aggregate loading.
 442



443
 444 **Figure 8.** FE model of unpaved road with subgrade subjected to aggregate loading
 445

446 ➤ **Step 3:** In this step, the operational stability of the subgrade under the aggregate loading
 447 (as mentioned in Step 2) is checked through the total and incremental deviatoric strain
 448 diagrams. The total deviatoric strain diagram portrays deviatoric strain accumulated at the
 449 end of successive calculation steps (at the end of each load-stepping procedure) when the
 450 stress-deformation problem is being solved. The incremental deviatoric strain is the
 451 differential deviatoric strain calculated between latest and the preceding load-step. The
 452 incremental deviatoric strain distinctively highlights the strain concentrations at the end
 453 of each load-step, which are represented as slip lines. Successive representation of these
 454 diagrams elucidates the evolution of potential failure lines during the simulation. Figure
 455 9a and 9b clearly show the distinct development of strain concentration zones and the
 456 development of slip lines through the aggregate layer and propagating to the subgrade
 457 layer. The output results imply that in contrary to the assumptions of the analytical model,
 458 the subgrade is not sufficiently strong to bear the aggregate loading and that it would fail
 459 even due to the laying of the aggregate, thereby necessitating a ground improvement
 460 technique to enhance its strength properties. It can be noted that the developed strains are
 461 not confined to the side slopes of the aggregate, rather they are penetrating considerably
 462 within the subgrade through the aggregate-subgrade interface. Thus, it is not a simple side-

463 slope failure in the aggregate, rather the subgrade is failing due to the concentration of
 464 strains originating due to aggregate loading. The failure region is also noticeably marked
 465 by stress concentrations that are indicated through the development of plastic points as
 466 shown in Figure 9c. Plastic points are the locations which exhibit complete or nearly-
 467 complete mobilization of the shear strength of soil at that location. It can be noted that the
 468 plastic points are densely spread in the aggregate, and a significant accumulation takes
 469 place in the subgrade beneath the aggregate. From all these observations, it is clear that
 470 with the typical basic parametric set considered herein, the subgrade undergoes failure
 471 even under the aggregate loading. This phenomenon is not reflected in the analytical
 472 estimation of the aggregate thickness. This observation, highlighted by the coupled stress-
 473 deformation approach, necessitates the stabilization of the subgrade to achieve an
 474 enhanced strength in order to sustain the operational stability under aggregate loading.
 475

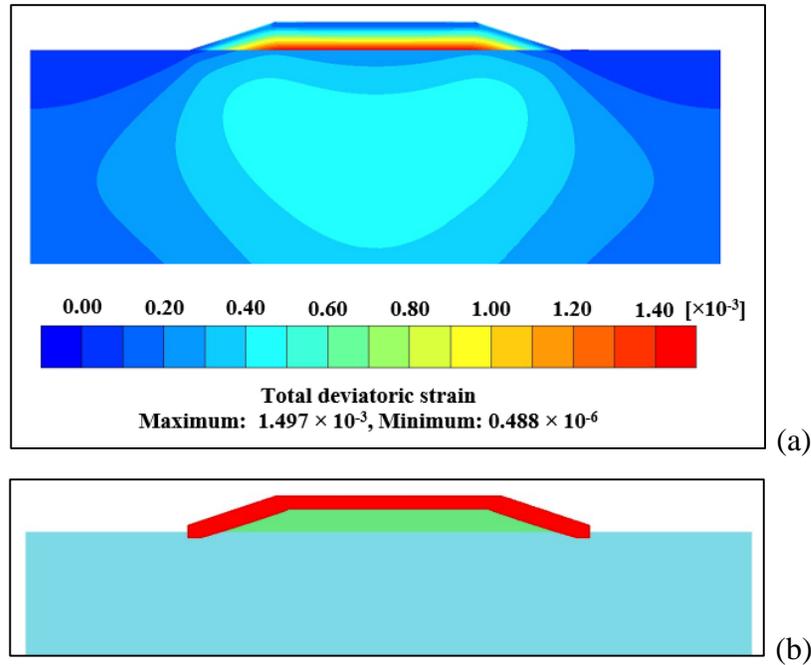


479 **Figure 9.** Strain-deformation response of subgrade subjected to aggregate loading
 480 considering basic parametric set as obtained from analytical modeling: (a) Total deviatoric
 481 strain shading (b) Incremental deviatoric strain shading (c) Plastic point distribution

482 ➤ **Step 4:** It is established in Step 3 that enhancement of subgrade strength is required. In
483 this regard, to maintain the operational stability, the minimum cohesion ($c_{s,min}$) required
484 in the subgrade layer to sustain the aggregate loading is assessed (as per Eqn. 1) to be 3.65
485 kPa, which is more than the previous value of $c_{subgrade} = 1$ kPa. It is to be noted that any
486 ground improvement method adopted would also increase the friction angle of the
487 modified subgrade. However, in this study, only the increment in cohesion is considered,
488 thereby rendering the design to feasibly conservative.

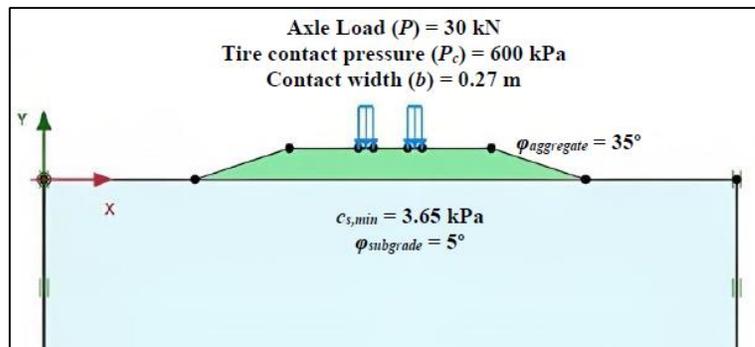
489
490 ➤ **Step 5:** Using the modified subgrade cohesion $c_{s,min}$, the FE model is further analysed.
491 Under this scenario, the aggregate-subgrade system did not exhibit any failure, thereby
492 proving the sufficiency of the improved subgrade strength considered in the analysis.
493 Figure 10 shows the total deviatoric strain diagram and the plastic point distribution
494 developed in the system. It can be noted that the strains are well captured and restricted
495 within the aggregate layer, while the plastic points diminished significantly in comparison
496 to what was noted in Figure 9c. It is to be noted that increase in the subgrade cohesion
497 substantially arrested the internal deformation within the unpaved road system under
498 aggregate laying scenario, thereby distinctively highlighting the formation of plastic
499 points throughout the unconfined free surface of the aggregate layer in Figure 10b, and is
500 not related to a real rupture. Plastic points are expectedly observed only on the free surface
501 of the deformable cohesionless aggregate. After incorporating $c_{s,min}$ value, it is found from
502 Figure 10a that the maximum magnitude of total deviatoric strain is
503 1.497×10^{-3} , which is almost 8 times lesser than the maximum value of 11.9×10^{-3} obtained
504 in Step 3. These observations conclusively indicated that the subgrade system with
505 improved strength parameters (as estimated in Step 4) is capable of bearing the aggregate
506 load and would not fail under this particular phase of operation. This step reenacts the
507 necessity to depend on coupled stress-deformation based finite element modeling to assess
508 the operational response of subgrade under aggregate loading.

509



512 **Figure 10.** Response of subgrade subjected to aggregate loading considering the improved
 513 strength parameter of subgrade: (a) Total deviatoric strain (b) Plastic point distribution

- 514
- 515 ➤ **Step 6:** Further, the quasi-static vehicular load is applied on to the aggregate layer resting
 516 on the reformed subgrade, as shown in Figure 11, and the corresponding responses are
 517 studied. Under vehicular load, it is noted that the FE model simulation exhibited failure.



519 **Figure 11.** FE model of unreinforced unpaved road subjected to vehicular load

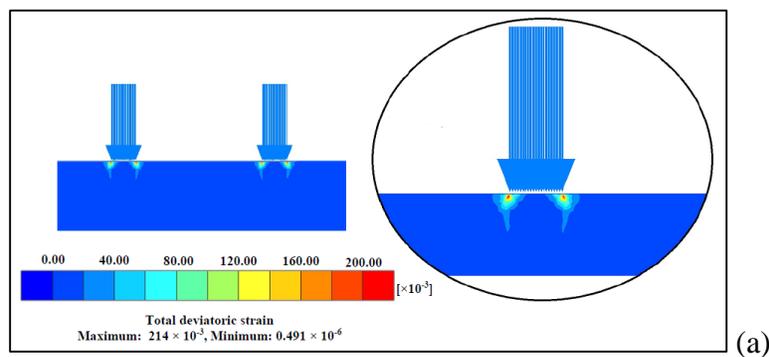
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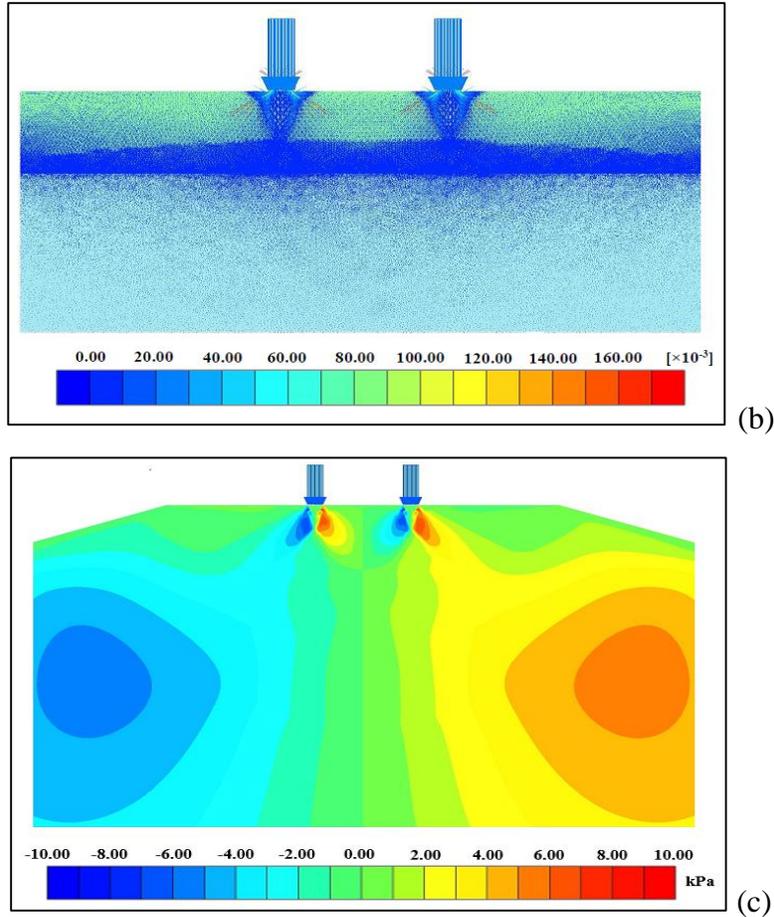
522 Figure 12a shows the total deviatoric strain diagram under the point of applications of
 523 quasi-static wheel loads. It can be observed that the strains are heavily concentrated within
 524 the aggregate and closer to the free surface. A closer view shows that the strain

525 concentration is maximum beneath the edges of the wheels, having a substantially high
 526 value of 214×10^{-3} . This is a distinct indication of the development of punching shear
 527 failure mechanism within the aggregate layer due to the imposed wheel load. It can also
 528 be noticed that the failure lines develop from beneath the edges of the wheel remain
 529 confined within the aggregate layer and do not propagate all the way down to the subgrade
 530 layer. Figure 12b exhibits the total major principal strains developed under the wheel
 531 loading. It can be noticed that the significant strains have developed in the aggregate layer,
 532 and the developed strains are well restrained within the aggregate-subgrade interface.
 533 Figure 12c exhibits the development of shear stress concentrations beneath the wheels.
 534 Based on the overall observation, it is understood that the strength of the chosen aggregate
 535 is insufficient to prevent punching failure of the aggregate subjected to the considered
 536 wheel stresses. Hence, there is a necessity to improve the aggregate strength in order to
 537 bear the wheel stresses and maintain the operational stability of the aggregate layer.

538
 539 ➤ **Step 8:** In order to tackle the punching shear failure scenario in the aggregate layer as
 540 highlighted in Step 6, the shear strength of aggregate needs to be improved by the addition
 541 of fines in the aggregate layer. For the present problem, using Eqn. 2, the minimum value
 542 of cohesion required ($c_{a,min}$) in the aggregate is determined to be 12.77 kPa, which is
 543 supposed to ensure the operational stability of the aggregate layer while sustaining the
 544 quasi-static wheel load.



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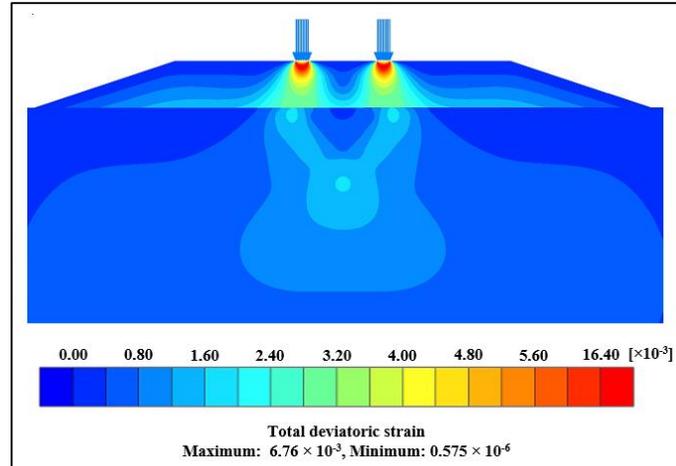
547

548 **Figure 12.** Response of the FE model of unreinforced unpaved road with basic strength parameters
 549 of aggregate layer and subjected to vehicular load (a) Total deviatoric strain (b) Total major
 550 principal strain and (c) Cartesian shear stress distributions

551

552 ➤ **Step 9:** Using the modified cohesion value of aggregate ($c_{a,min}$), the FE model developed
 553 in Step 6 is further analysed. Figure 13 shows the total deviatoric strain diagram for the
 554 particular case, which clearly highlights that the strains are distributed evenly to a larger
 555 area, successively from wheel to aggregate and then to the subgrade. The maximum strain
 556 developed is obtained as 6.74×10^{-3} , which is almost 30 times less than the maximum strain
 557 value obtained in Step 6 (i.e. 214×10^{-3}).

558



559

560

Figure 13. Response of subgrade subjected to vehicle loading considering the improved strength parameter of aggregate in terms of total deviatoric strain developed in the system

561

562

563

- **Step 11:** Thus, based on these observations, it is concluded that the modified aggregate strength, demarcated by the usage of minimum cohesion $c_{a,min}$ in the aggregate layer, is effective in maintaining the operational stability of the aggregate layer and prevent punching shear failure under the quasi-static vehicular load. In regard to the model parameters chosen for this exemplification, there is no noticeable secondary stresses developed in the subgrade due to the deformation of the strengthened aggregate layer. Yet, there might be situations when the subgrade layer succumbs to a failure even after the strength improvement of the aggregate layer due to the development of secondary stresses, which would be highlighted in the next example.

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- **Step 12 / Final step:** The limiting cohesion of the subgrade and the aggregate layers ($c_{s,min}$ and $c_{a,min}$: obtained from Step 4 and Step 8, respectively), along with their corresponding friction angles, are considered to be the final strength parameters for this particular configuration of unpaved road system.

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Outcomes from a typical FE-based simulation involving $c_{s,min}$, $c_{a,min}$ and $c_{sa,min}$

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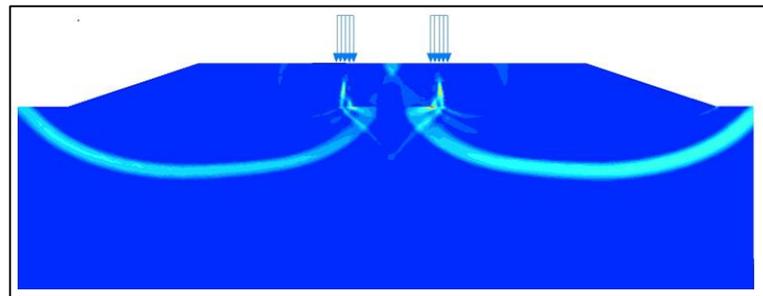
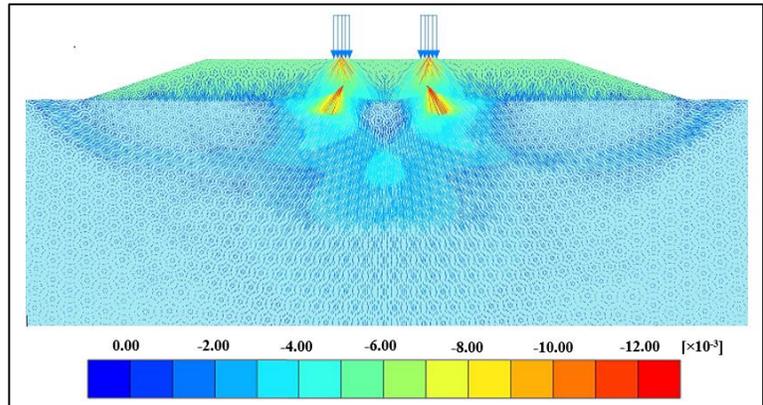
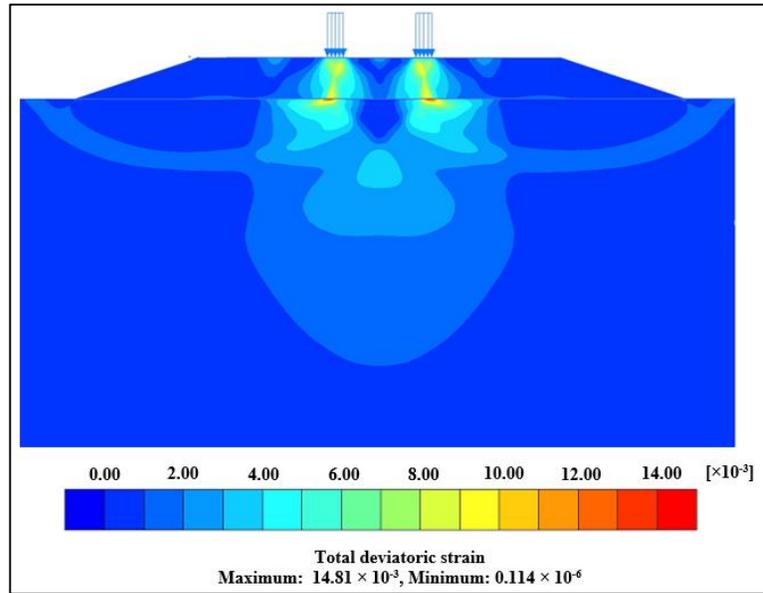
This example highlights the design steps associated with the situation when secondary stresses develop in the subgrade layer after strengthening of the aggregate layer to satisfy its operational stability. Such a situation is expected when higher axle loads are to be supported by the unpaved

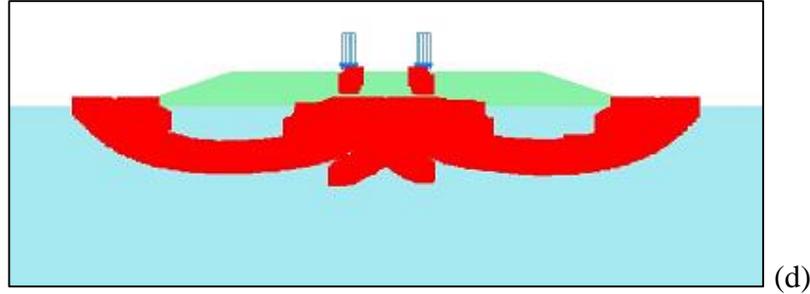
582 road. Under such a scenario, even after adopting limiting strength parameters ($c_{s, min}$ and $c_{a, min}$) to
583 suffice the operational stability, further strength improvement of the subgrade would be necessary.
584 In this regard, as an exemplification, a higher axle load (as compared to that adopted in previous
585 case) is chosen for the present case, and a model configuration is chosen as follows: $P = 80$ kN
586 (Standard axle load), $P_c = 600$ kPa, $b = 0.37$ m, $c_{subgrade} = 1$ kPa, $\varphi_{subgrade} = 5^\circ$ and $\varphi_{aggregate} = 25^\circ$.
587 For the sake of brevity, Steps 1-7 are not reiterated further, as it follows the same suit as discussed
588 in previous case. For this chosen configuration, the additional limiting cohesion required in the
589 subgrade ($c_{s, min}$) and aggregate ($c_{a, min}$) layers are obtained as 1.82 kPa and 15.56 kPa, respectively.
590 Further detailing to illustrate the stated issue is discussed from Step 11 onwards.

591

592 ➤ **Step 11:** The FE model incorporating $c_{s, min}$ and $c_{a, min}$ is analysed. In contrary to the
593 observation at this same step made in the previous section, the current FE model with
594 higher axle stresses exhibited further failure, as illustrated in Figure 14. It is to be
595 remembered that the subgrade was already strengthened a-priori to support the operational
596 aggregate loading. However, as the aggregate layer is further strengthened to arrest the
597 strains within the layer (and to prevent punching from heavier axle loads), more stresses
598 (generated due to higher axle load) eventually gets transmitted towards the subgrade,
599 thereby leading to secondary stress concentration within the subgrade. Since the subgrade
600 was not initially strengthened to sustain this unforeseen additional stress below the
601 aggregate-subgrade interface, a secondary failure of the aggregate-subgrade system is
602 experienced. Figure 14a exhibits a high deviatoric strain (14.81×10^{-3}) accumulated below
603 the aggregate-subgrade interface. Further, a distinct migration of strain concentration from
604 the aggregate to the subgrade through their interface can be clearly noted with the aid of
605 a total principal strain diagram, as in Figure 14b. With the aid of incremental deviatoric
606 strain diagram for this particular configuration (as shown in Figure 14c), consequential
607 development of the slip lines within the subgrade can be clearly noticed, thereby indicating
608 the bearing capacity failure of the subgrade with recognizable demarcations of the failure
609 zones (as generally noticed in the Terzaghi's bearing capacity failure problem for shallow
610 foundation systems). A reconciling observation is made from the accumulation of plastic
611 points (as shown in Figure 14d). It can be clearly noticed that the plastic points trace the

612 shear stress yielding from beneath the wheel contacts in the aggregate layer and, further,
613 get distributed over a wide region beneath the aggregate-subgrade interface.
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Figure 14. Response of the aggregate-subgrade system subjected to higher axle load after strength improvement of the aggregate layer: (a) Total deviatoric strain (b) Principal strain (c) Incremental deviatoric strain (d) Plastic points

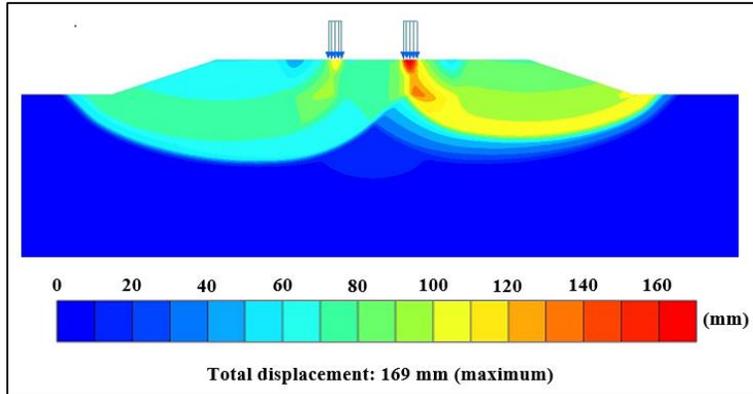
An additional attempt is made by further strengthening the aggregate layer to investigate if it would prevent secondary failure of the subgrade. In this context, a higher FoS of 1.5 is considered, and the $c_{a,min}$ value is assessed to be 24.01 kPa. This modified $c_{a,min}$ value is used along with $c_{s,min}$ value of 1.81 kPa to analyse the model for obtaining its response. Even this attempt with modified strength parameters exhibits a failure in the unpaved road section, with no decipherable changes in the maximum deviatoric strain and slip lines (the contour diagrams are omitted here for the sake of brevity). These observations reinforce the understanding that with higher axle loads, the failure in the subgrade is mainly governed by the secondary stresses transferred to the subgrade due to the strengthening of the aggregate layer (as ideated earlier). Hence, in this circumstance, further strengthening of the subgrade layer would be necessary.

- **Step 13:** From Step 11, it is clearly understood that the shear strength of subgrade, that satisfied its operational stability, remains insufficient to bear the transmitted secondary stresses. This is dealt by iteratively and heuristically increasing the value of $c_{s,min}$ to an enhanced value ($c_{sa,min}$) to cater the secondary stresses at the aggregate-subgrade interface. Hence, for the next level of analysis of managing secondary stresses in the subgrade, the $c_{s,min}$ is increased from 1.82 kPa to 3.5 kPa.
- **Step 14:** The reformed FE model is analysed with $c_{sa,min}$ of 3.5 kPa. It is found that the simulated FE model did not exhibit further failure; therefore the enhanced $c_{sa,min}$ becomes

645 caters the modified subgrade shear strength parameters, thereby rendering it sustainable
646 under various operational conditions (stresses from aggregate loading as well as secondary
647 stresses quasi-static wheel load on a strengthened aggregate layer).

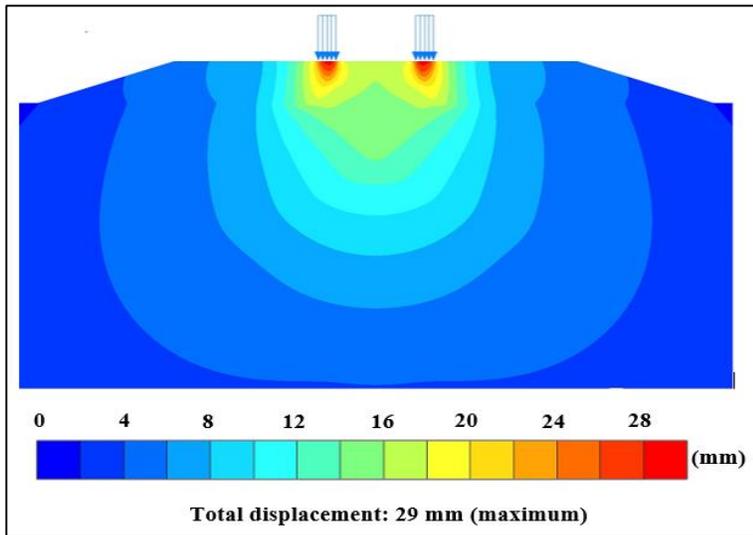
648
649 Figures 15 and 16 represent the improvement in the response of the aggregate-subgrade
650 system due to the strength improvement of the subgrade soil considering two different $c_{s,min}$
651 values, 2 kPa and 3.5 kPa. It can be noted that even minor enhancements in the strength
652 parameters profoundly affects the response of unpaved road system. Figure 15 highlights
653 the total displacement diagrams that reveal a significant reduction in displacement from
654 169 mm to 29 mm even with a nominal increment in $c_{s,min}$ from 2 kPa to 3.5 kPa. Similar
655 observation is made from the total deviatoric strain diagrams (Figure 16), which shows a
656 reduction in the corresponding maximum magnitudes from 810×10^{-3} to 39×10^{-3} . Apart
657 from the declining magnitudes, it can also be noted that the failure mechanism also
658 undergoes a recognizable change with the increment in the subgrade cohesion. For
659 subgrade cohesion $c_{s,min} = 2$ kPa, distinct development of the slip lines, analogous to a
660 general shear failure condition, is noticed in the subgrade (Figure 16a). It can be noted that
661 the deviatoric strains are concentrated beneath the wheels (due to a strengthened aggregate
662 layer), while the same spreads over large area below the aggregate-subgrade interface as
663 well. The failure lines extend beyond the toe of side slopes, thereby clearly indicating the
664 possibility of the extended failure of the unpaved road system along the entire width of the
665 aggregate mound. This scenario is an extreme detrimental case which should be avoided at
666 all conditions. On the other hand, when the subgrade cohesion was increased to be $c_{sa,min} =$
667 3.5 kPa, a significant transition in the evolution of the failure mechanism is noticed. Figure
668 16b shows a more unified and uniform distribution of the total displacement contours,
669 indicative of a uniform stress transfer mechanism through the aggregate-subgrade
670 interface. Further, Figure 16b also exhibits that the total deviatoric strains are diffused and
671 considerably restrained below the aggregate-subgrade interface and is confined within the
672 central portion of the aggregate-subgrade system. This is indicative of a distinct restrain on
673 the spread of the failure mechanism by controlling the cohesion of the subgrade.

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(a)

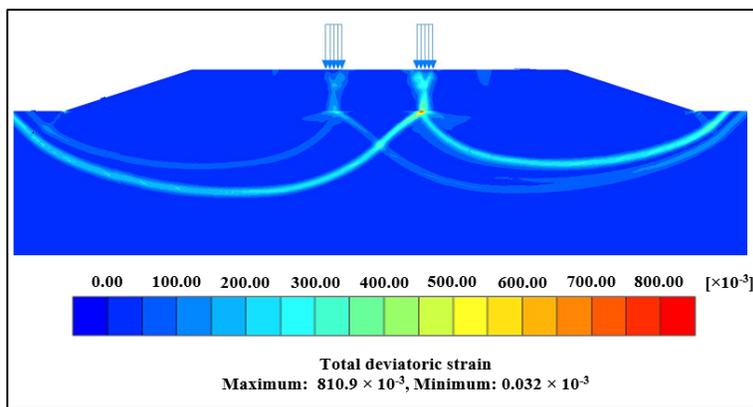


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(b)

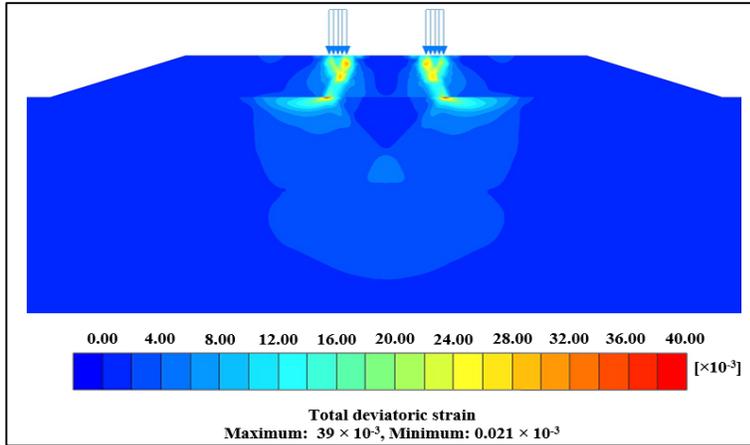
677 **Figure 15.** Modification in the total displacements for varying subgrade cohesion (a) $c_{s,min} = 2$ kPa

678 (b) $c_{s,min} = 3.5$ kPa



679

(a)



(b)

680

681 **Figure 16.** Modification in the total deviatoric strain for different subgrade cohesion magnitudes

682 (a) $c_{s,min} = 2$ kPa (b) $c_{sa,min} = 3.5$ kPa

683

684 ➤ **Step 15 / Final step:** Based on the analysis, assessments and inferences (as presented in
 685 the previous steps), the limiting cohesion of the aggregate and the subgrade ($c_{a,min}$ and
 686 $c_{sa,min}$: obtained from Step 8 and Step 13, respectively), along with their friction angles
 687 ($\phi_{aggregate}$ and $\phi_{subgrade}$), are considered to be the final strength parameters for this particular
 688 configuration of unpaved road system. This combination of strength parameters is capable
 689 in arresting the stresses from both operational aggregate loading and secondary stresses
 690 transmitted to the subgrade, thereby improving the overall sustainability and performance
 691 of the subgrade.

692

693 All the above-stated example simulations are undertaken with the aid of a 2.1 GHz Eight-Core
 694 Intel Xeon processor with 64-bit operating system. Depending on the vehicular axle load, the steps
 695 required to complete the design methodology might be different (as illustrated earlier in the two
 696 examples). Nevertheless, it is observed that any simulation is completed within 25-75 minutes,
 697 thereby exhibiting the computational efficacy of the proposed approach.

698

699 **Conclusions and recommendations**

700 This paper illustrates the necessity of adopting a coupled stress-deformation based approach in
 701 lieu of the conventionally adopted limit equilibrium-based approach for designing the unpaved
 702 roads. Finite element-based stress-deformation approach is administered to infuse a more realistic

703 design of the unreinforced unpaved road considering deformability of the individual components
704 and their response under operational loading conditions. Following are the important outcomes
705 and conclusions from the present study:

- 706 ➤ A step-by-step design algorithm is provided to identify the aggregate and subgrade strength
707 parameters that would ensure the stability of unreinforced unpaved road subjected to
708 simultaneous aggregate and quasi-static vehicular loading.
- 709 ➤ Following the conventional limit equilibrium based solutions considering non-deformable
710 components, analytical formulations are developed to determine the limiting cohesion
711 values of subgrade ($c_{s,min}$) and aggregate ($c_{a,min}$) layers that would satisfy the operational
712 stability of the individual components while being non-deformable. These assessments
713 should be used in the coupled stress-deformation approach in case the operational stability
714 of the of the individual components is not achieved.
- 715 ➤ The importance of coupled stress-deformation based design approach is exclusively
716 highlighted for relatively weaker subgrades with low shear strength parameters and
717 recognizable deformability characteristics. It is revealed that even after using the aggregate
718 thickness (as estimated from existing analytical formulations), the subgrade can exhibit
719 failure under operational conditions due to the aggregate loading itself; thereby establishing
720 the necessity of improving the minimum cohesion of the subgrade to $c_{s,min}$.
- 721 ➤ The FE analyses highlighted that the aggregate layer can be susceptible to operational
722 failure due to substantially high stress concentrations at the edges of the wheel leading to
723 the punching shear failure mechanism within the aggregate layer. Under such conditions,
724 sufficient strengthening of the aggregate layer is necessary by enhancing the limiting
725 cohesion in the aggregate layer to $c_{a,min}$.
- 726 ➤ The coupled stress-deformation approach confirmed that a subgrade strengthened to meet
727 its operational stability under aggregate loading can still exhibit failure under higher axle
728 loads due to the generation of secondary stresses that are transferred from the aggregate
729 layer strengthened against its own individual operational stability. In such condition,
730 additional improvement in subgrade strength is required by heuristically enhancing the
731 minimum cohesion to $c_{sa,min}$.
- 732 ➤ With the aid of the total and deviatoric strain concentration plots, the coupled stress-
733 deformation approach distinctly portrays various failure mechanism of unpaved road under

734 different parameter combinations. Upon incorporating the limiting cohesion components
735 in the analyses, a recognizable change in the strain concentrations and slip lines are noticed
736 that manifested arresting the extended failure mechanisms within confined regions of the
737 domain.

738
739 This study presents a pioneering coupled stress-deformation based design algorithm in a finite
740 element framework that addresses the design of unpaved roads considering a quasi-static vehicular
741 loading. It is understood that the number of load repetitions play an instrumental role in the long-
742 term performance of an unpaved road. Although this design algorithm does not directly include
743 the same, it would take a little effort to include the influence of load repetitions on the assessments
744 of accumulated residual deformations and strains, as the basic design principle remains the same
745 for each passage of vehicular load. Furthermore, it may be noted that the sustained performance
746 an unpaved road can be hampered by many conditions such as poorly controlled traffic and
747 vehicular loading, climatic conditions and locations, and successive shear failures due to heavy
748 rains. However, such scenarios need to be checked through response analysis of the designed
749 section to ascertain its performance. Based on the outcomes arising out of these site-specific
750 scenarios, the designed section might require necessary modifications and proper maintenance of
751 the unpaved would be required to increase its longevity.

752
753 **Declaration of Interest**
754 The authors declare that there is no potential conflict of interest between the authors of this
755 manuscript.

756
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871

872 **Figure Captions**

- 873 Figure 1: A typical geometry of unreinforced unpaved road
- 874 Figure 2: Identification of optimal mesh size from a typical mesh convergence study
- 875 Figure 3: FE discretization and meshing of a typical unreinforced unpaved road geometry
- 876 Figure 4: Comparison of the load-settlement response between the experimental and FE results
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879 FE results for the unreinforced unpaved road
- 880 Figure 7: (a) Development of secondary stresses in the subgrade due to combined aggregate and
881 vehicular loading (b) Arresting of the developed secondary stresses within the
882 aggregate layer by heuristically increasing the strength parameter of the subgrade equal
883 to that achieved by geogrid-reinforced unpaved road section
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887 shading (b) Incremental deviatoric strain shading (c) Plastic point distribution
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893 (b) Total major principal strain and (c) Cartesian shear stress distributions
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- 896 Figure 14: Response of the aggregate-subgrade system subjected to higher axle load after strength
897 improvement of the aggregate layer: (a) Total deviatoric strain (b) Principal strain (c)
898 Incremental deviatoric strain (d) Plastic points
- 899 Figure 15: Modification in the total displacements for varying subgrade cohesion (a) $c_{s,min} = 2$ kPa
900 (b) $c_{sa,min} = 3.5$ kPa
- 901 Figure 16: Modification in the total deviatoric strain for different subgrade cohesion magnitudes
902 (a) $c_{s,min} = 2$ kPa (b) $c_{sa,min} = 3.5$ kPa