

# **Zeta and L functions of Voevodsky motives**

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Work in progress

Aim of talk: associate to a Voevodsky motive  $M$  over a global field  $K$  a Dirichlet series

$$L^{\text{near}}(M, s) = \prod_{\mathfrak{p} \text{ finite}} L_{\mathfrak{p}}^{\text{near}}(M, s)$$

with

- $L^{\text{near}}(M, s)$  is absolutely convergent for  $\Re(s) \gg 0$  (explicit).
- $L_{\mathfrak{p}}^{\text{near}}(M, s) \in \mathbf{Q}(N(\mathfrak{p})^{-s})$ .
- If  $M' \rightarrow M \rightarrow M'' \rightarrow M[1]$  exact triangle in  $DM_{\text{gm}}(K, \mathbf{Q})$ ,

$$L_{\mathfrak{p}}^{\text{near}}(M, s) = L_{\mathfrak{p}}^{\text{near}}(M', s) L_{\mathfrak{p}}^{\text{near}}(M'', s).$$

- If  $M = M(X)$ ,  $X$  smooth projective,

$$L_{\mathfrak{p}}^{\text{near}}(M, s) = \zeta(\mathcal{X}_{\mathfrak{p}}, s) \text{ if } X \text{ has good reduction at } \mathfrak{p}$$

( $\mathcal{X}_{\mathfrak{p}}$  special fibre of a good model of  $X$  at  $\mathfrak{p}$ ).

- If  $K = \mathbf{F}_q(C)$ :  $L(M, s) \in \mathbf{Q}(q^{-s})$ ; functional equation.

**Remark 0.1.**  $X/K$  smooth projective,  $i \geq 0$ : Serre's L-function

$$L^{\text{Serre}}(H^i(X), s) = \prod_{\mathfrak{p}} L_{\mathfrak{p}}^{\text{Serre}}(H^i(X), s),$$

$$L_{\mathfrak{p}}^{\text{Serre}}(H^i(X), s) = \det(1 - \varphi_{\mathfrak{p}} N(\mathfrak{p})^{-s} \mid H^i(\bar{X}, \mathbf{Q}_l)^{I_{\mathfrak{p}}})^{-1}$$

$I_{\mathfrak{p}}$  inertia at  $\mathfrak{p}$  (well-defined modulo weight-monodromy conjecture). So

$$L_{\mathfrak{p}}^{\text{near}}(M(X), s) = \prod_{i=0}^{2d} L_{\mathfrak{p}}^{\text{Serre}}(H^i(X), s)^{(-1)^i}$$

if  $X$  has good reduction at  $\mathfrak{p}$ .

But cannot expect Serre's L-function extends to Euler-Poincaré characteristic on  $DM_{\text{gm}}(K)$ , because of the invariants under inertia. So,  $L^{\text{near}} =$  “best triangulated approximation” of Serre's L-function.

Since  $L^{\text{near}}$  differs from  $L^{\text{Serre}}$  only at finitely many Euler factors, maybe one can use it to study the Beilinson conjectures.

## 1. CRASH-REVIEW OF VOEVODSKY'S MOTIVES

### 1.1. Grothendieck's pure motives.

$\sim$  adequate equivalence relation on algebraic cycles

$$\mathrm{Sm}^{\mathrm{proj}}(K) \rightarrow \mathrm{Cor}_{\sim}(K, \mathbf{Q}) \xrightarrow{\natural} \mathcal{M}_{\sim}^{\mathrm{eff}}(K, \mathbf{Q}) \xrightarrow{\mathbb{L}^{-1}} \mathcal{M}_{\sim}(K, \mathbf{Q})$$

( $\natural$  pseudo-abelian completion,  $\mathbb{L}^{-1}$ : inverting the Lefschetz motive).

$\mathcal{M}_{\sim}(K, \mathbf{Q})$  rigid  $\mathbf{Q}$ -linear  $\otimes$ -category.

### 1.2. Voevodsky's triangulated motives.

$$\begin{aligned} \mathrm{Sm}(K) &\rightarrow \mathrm{SmCor}(K) \rightarrow K^b(\mathrm{SmCor}(K), \mathbf{Q}) \\ &\rightarrow K^b(\mathrm{SmCor}(K), \mathbf{Q}) / \langle HI + MV \rangle \\ &\xrightarrow{\natural} DM_{\mathrm{gm}}^{\mathrm{eff}}(K, \mathbf{Q}) \xrightarrow{\mathbf{Z}(-1)} DM_{\mathrm{gm}}(K, \mathbf{Q}). \end{aligned}$$

$DM_{\mathrm{gm}}(K, \mathbf{Q})$  rigid  $\mathbf{Q}$ -linear  $\otimes$ -triangulated category.

### 1.3. Relationship.

$$\mathcal{M}_{\text{rat}}(K, \mathbf{Q}) \rightarrow DM_{\text{gm}}(K, \mathbf{Q})$$

fully faithful  $\otimes$ -functor.

Voevodsky's construction extends over a base.

### 1.4. 6 operations. Need a 2-functor

$$\mathbb{D} : \{\mathbf{Z} - \text{schemes ess. of finite type}\}^{op} \rightarrow \{\text{triangulated categories}\}$$

with

- (i)  $S$  regular:  $\mathbb{D}(S) = DM_{\text{gm}}(S, \mathbf{Q})$ .
- (ii) A theory of six operations  $f^*, f_*, f^!, f_!, \otimes, \underline{\text{Hom}}$  (Ayoub, following hints of Voevodsky).
- (iii)  $l$ -adic realisations:  $l$  prime invertible on  $S$ ,  $D_c^b(S, \mathbf{Q}_l)$  Ekedahl's triangulated category of  $l$ -adic sheaves: covariant functor

$$R^l : \mathbb{D}(S) \rightarrow D_c^b(S, \mathbf{Q}_l)$$

commuting with the 6 operations.

By Voevodsky and Ayoub, given a 2-functor  $\mathbb{D}$ , to have 6 operations one only needs a few of them plus certain axioms, esp. glueing complementary closed/open subsets.

Ayoub proves (his Astérisque, Ch. IV) that  $\mathbb{D}(S) = DA^{\text{ét}}(S, \mathbf{Q})$  (defined using étale sheaves without transfers) verifies (ii).

For  $S \mapsto DM(S, \mathbf{Q})$ , axioms are not easy. Done by Cisinski-Dégliise for  $S$  normal, but not in general. They also prove that  $\mathbb{D} = DA^{\text{ét}}$  verifies (i), even for normal schemes. (More direct variant of this proof by Ayoub.)

For (iii): Ivorra constructed contravariant realisations for  $DM$ . Need to take their duals. But one should construct them on the other theories and check compatibility with the 6 operations (for  $DA^{\text{ét}}$ : Ayoub, in progress).

In sequel I take  $\mathbb{D}(S) = DA^{\acute{e}t}(S, \mathbf{Q})$  (so (i) + (ii) OK, (iii) not completely in the literature).



## 2. ZETA FUNCTIONS

**2.1. Traces in rigid categories.**  $\mathcal{M}$  rigid  $F$ -linear  $\otimes$ -category (char  $F = 0$ ). We assume  $\text{End}_{\mathcal{M}}(\mathbf{1}) = F$ .

$M \in \mathcal{M}$ ,  $f \in \text{End}(M)$ : recall the *trace of  $f$* :

$$\mathbf{1} \xrightarrow{\eta} M^* \otimes M \xrightarrow{1 \otimes f} M^* \otimes M \xrightarrow{\sigma} M \otimes M^* \xrightarrow{\epsilon} \mathbf{1}$$

$\text{tr}(f) \in \text{End}_{\mathcal{M}}(\mathbf{1}) = F$ .

**Lemma 2.1** (The trace formula).  $\mathcal{N}$  rigid  $E$ -linear  $\otimes$ -category ( $E \supseteq F$ ),  $R : \mathcal{M} \rightarrow \mathcal{N}$   $K$ -linear  $\otimes$ -functor. Then  $\forall M \in \mathcal{M}$ ,  $\forall f \in \text{End}(M)$ :

$$\text{tr}(R(f)) = R(\text{tr}(f)) \quad (= \text{tr}(f), \text{ computed in } E).$$

*Proof.* Trivial. □

**2.2. The zeta function.**  $M \in \mathcal{M}$ ,  $f \in \text{End}(M)$ .

**Definition 2.2.**

$$Z(M, f, t) = \exp\left(\sum_{n \geq 1} \text{tr}(f^n) \frac{t^n}{n}\right) \in F[[t]].$$

**Theorem 2.3 (-).**  $\mathcal{M}$  abelian semi-simple “of homological origin”:

- (i)  $Z(M, f, t) \in F(t)$ ;  $\deg(Z(M, f, t)) = \chi(M) := \text{tr}(1_M)$ .
- (ii) If  $f$  invertible, functional equation

$$Z(M^*, {}^t f^{-1}, t^{-1}) = (-t)^{\chi(M)} \det(f) Z(M, f, t)$$

where  $\det(f) = \text{value at } t = \infty \text{ of } (-t)^{\chi(M)} Z(M, f, t)^{-1}$ .

(Other formula for  $\det$ :  $\det(1 - ft) = Z(M, f, t)^{-1} \in F(t)$ .)

**2.3. Example: numerical motives.** Here  $F = \mathbf{Q}$ ,  $\mathcal{M} = \mathcal{M}_{\text{num}}(k, \mathbf{Q})$ ,  $k$  a field.

**Theorem 2.4 (Jannsen).**  $\mathcal{M}$  is abelian semi-simple.

Moreover  $\mathcal{M}$  is of “homological origin” thanks to homological equivalence, so Theorem 2.3 applies.

**Example 2.5.**  $k = \mathbf{F}_q$ : every  $M \in \mathcal{M}$  has its *Frobenius endomorphism*  $F_M$  and

$$Z(h(X), F_{h(X)}, t) = Z(X, t)$$

if  $X$  smooth projective.

**2.4. Voevodsky's motives over a finite field.**  $k$  field: the triangulated  $\otimes$ -category  $\mathcal{M} = DM_{\text{gm}}(k, \mathbf{Q})$  is rigid by de Jong's theorem ( $\Rightarrow$  it is generated by the  $M(X)$ ,  $X$  smooth projective). So  $Z(M, f, t)$  makes sense here.

If  $k = \mathbf{F}_q$ , every  $M \in DM_{\text{gm}}(\mathbf{F}_q, \mathbf{Q})$  has its Frobenius endomorphism  $F_M$ .

*Awkward problem:* would like to define

$$Z(M, t) = Z(M, F_M, t)$$

but this causes compatibility problems with  $l$ -adic realisation (philosophy:  $S \mapsto DM_{\text{gm}}(S, \mathbf{Q})$  is a “homology theory” but to compute L-functions you use cohomology with compact supports).

Solution: slightly artificial definition of zeta function.

**Definition 2.6.** For  $M \in DM_{\text{gm}}(\mathbf{F}_q, \mathbf{Q})$ :

$$Z(M, t) = Z(M^*, F_{M^*}, t) = Z(M, F_M^{-1}, t)$$
$$\zeta(M, s) = Z(M, q^{-s}).$$

**Theorem 2.7.** a)  $M' \rightarrow M \rightarrow M'' \rightarrow M'[1]$  exact triangle:

$$\zeta(M, s) = \zeta(M', s)\zeta(M'', s).$$

b)  $\zeta(M, s) \in \mathbf{Q}(q^{-s})$ , degree  $\chi(M)$ .

c) Functional equation

$$\zeta(M^*, -s) = (-q^{-s})^{\chi(M)} \det(F_M)^{-1} \zeta(M, s).$$

d) Identities

$$\zeta(M[1], s) = \zeta(M, s)^{-1}, \quad \zeta(M(1), s) = \zeta(M, s - 1).$$

e)  $f : X \rightarrow \mathbf{F}_q$  scheme of finite type:

$$\zeta(f_! \mathbf{Z}, s) = \zeta(X, s).$$

(In e),  $f_! : \mathbb{D}(X) \rightarrow \mathbb{D}(\mathbf{F}_q) = DM_{\text{gm}}(\mathbf{F}_q, \mathbf{Q})$ . It is for this formula that I take the weird definition of  $\zeta(M, s)$ .)

*Sketch of proofs.* a) uses theorem of J. Peter May on additivity of traces:  $\mathcal{T}$  rigid  $\otimes$ -triangulated category [coming from a model structure],  $M' \rightarrow M \rightarrow M'' \xrightarrow{+1}$  exact triangle in  $\mathcal{T}$ . Any commutative diagram

$$\begin{array}{ccccccc} M' & \longrightarrow & M & \longrightarrow & M'' & \longrightarrow & M'[1] \\ f' \downarrow & & f \downarrow & & & & f'[1] \downarrow \\ M' & \longrightarrow & M & \longrightarrow & M'' & \longrightarrow & M'[1] \end{array}$$

may be completed into

$$\begin{array}{cccccccc} M' & \longrightarrow & M & \longrightarrow & M'' & \longrightarrow & M'[1] \\ f' \downarrow & & f \downarrow & & f'' \downarrow & & f'[1] \downarrow \\ M' & \longrightarrow & M & \longrightarrow & M'' & \longrightarrow & M'[1] \end{array}$$

so that

$$\mathrm{tr}(f) = \mathrm{tr}(f') + \mathrm{tr}(f'').$$

Want to apply this with  $\mathcal{T} = DM_{\text{gm}}(\mathbf{F}_q, \mathbf{Q})$ ,  $f' = F_{M'}^{-1}$ ,  $f = F_M^{-1}$ . Would like  $f'' = F_{M''}^{-1}$ . Given May's  $f''$ ,

$$(f'' - F_{M''}^{-1})^2 = 0.$$

Is the trace of nilpotent endomorphisms 0? Yes, thanks to the  $l$ -adic realisation.



b) and c): commutative diagram

$$\begin{array}{ccc}
 K_0(\mathcal{M}_{\text{rat}}(\mathbf{F}_q, \mathbf{Q})) & \xrightarrow{\Phi} & K_0(DM_{\text{gm}}(\mathbf{F}_q, \mathbf{Q})) \\
 \downarrow & \searrow & \downarrow \\
 K_0(\mathcal{M}_{\text{num}}(\mathbf{F}_q, \mathbf{Q})) & \longrightarrow & \{\text{zeta functions}\}
 \end{array}$$

$\Phi$  bijective by Bondarko (relying on de Jong), so reduce to pure numerical motives.

d): trivial.

e)  $f : X \rightarrow \operatorname{Spec} \mathbf{F}_q$ ,  $g : Z \rightarrow \operatorname{Spec} \mathbf{F}_q$  closed subscheme,  $h : U \rightarrow \operatorname{Spec} \mathbf{F}_q$  open complement; exact triangle

$$h_! \mathbf{Z} \rightarrow f_! \mathbf{Z} \rightarrow g_! \mathbf{Z} \xrightarrow{+1}$$

If we had resolution of singularities, we could reduce to  $X$  smooth projective and then use  $\mathcal{M}_{\text{rat}}(\mathbf{F}_q, \mathbf{Q})$ . (This works if  $\dim X \leq 2$ ). de Jong's theorem not sufficient. So, need to use the  $l$ -adic realisation and the Grothendieck-Verdier trace formula.

(Maybe one can use Fakhruddin-Rajan's proper correspondences on smooth varieties?)

**2.5. Zeta functions of motives over a base.**  $S = \mathbf{Z}$ -scheme of finite type.

**Definition 2.8.**  $M \in \mathbb{D}(S)$ :

$$\zeta(M, s) = \prod_{x \in S_{(0)}} \zeta(i_x^* M, s)$$

$S_{(0)}$  = set of closed points of  $S$ .

**Theorem 2.9.** a) *This defines a Dirichlet series, absolutely convergent for  $\Re(s) \gg 0$ .*

b) *If  $f : S \rightarrow T$  is a morphism,*

$$\zeta(M, s) = \zeta(f_! M, s).$$

c) *If  $T = \text{Spec } \mathbf{F}_q$  in b),  $\zeta(M, s) \in \mathbf{Q}(q^{-s})$ .*

d) *If  $S$  smooth projective of dimension  $d$  in c), functional equation*

$$\zeta(M^*, d - s) = (-q^{-s})^{\chi(f_! M)} \det(F_{f_! M})^{-1} \zeta(M, s)$$

*with  $M^* := \underline{\text{Hom}}(M, \mathbf{Z})$ .*

*Sketch of proof.* 2 steps:

1) Prove b) via the  $l$ -adic realisation (but almost have a proof purely using  $\mathbb{D}$ ). c) and d) follow from Theorem 2.7 c) and the 6 functors formalism.

2) If  $S \rightarrow \operatorname{Spec} \mathbf{Z}$  is not dominant, done. If dominant, 1) reduces us to  $S = \operatorname{Spec} \mathbf{Z}$ , crucial case.

$f : X \rightarrow \operatorname{Spec} \mathbf{Z}$  smooth scheme of finite type:  $\zeta(f_! \mathbf{Z}, s) = \zeta(X, s)$  and Serre proved (elementarily) absolute convergence for  $\Re(s) > \dim X$ . Since the  $f_! \mathbf{Z}$  “generate”  $\mathbb{D}(\mathbf{Z})$ , should suffice. But they generate only up to idempotents (the devil is in the idempotents).

Thus need a more sophisticated and expensive argument: uses  $l$ -adic realisation, Bondarko’s isomorphism, Weil conjecture (Riemann hypothesis) + Deligne’s generic constructibility theorem (SGA 4 1/2, th. finitude).  $\square$

**2.6. A theorem of Serre.** (Talk at Chevaleret, Feb. 2010).

$K$  number field: for  $M \in \mathbb{D}(O_K)$  and  $\mathfrak{p} \subset O_K$ , define

$$N_M(\mathfrak{p}) = \text{tr}(F_{M_{\mathfrak{p}}^*})$$

the number of points of  $M$  modulo  $\mathfrak{p}$ .

**Theorem 2.10.** *Let  $M \in \mathbb{D}(O_K)$ . Suppose that  $\zeta(M, s)$  is not a finite product of Euler factors. Then the set*

$$\{\mathfrak{p} \mid N_M(\mathfrak{p}) = 0\}$$

*has a density  $1 - \epsilon$ , with*

$$\epsilon \geq \frac{1}{b_{\infty}(M)^2}$$

*where  $b_{\infty}(M) = \sum_i \dim H_l^i(M_K)$ .*

*Proof* Same as Serre's.  $H_l(M) \in D_c^b(O_K[1/l], \mathbf{Q}_l)$   $l$ -adic realisation of  $M$ . By Deligne's generic base change theorem,  $\exists$  open subset  $U \subseteq \text{Spec } O_K[1/l]$  such that  $H_l(M)|_U$  commutes with any base change. In particular, may compute

$$\text{tr}(F_{M_{\mathfrak{p}}^*} \mid H_l^*(M_{\mathfrak{p}})), \mathfrak{p} \in U$$

as traces of [conjugacy class of] arithmetic Frobenius  $\varphi_{\mathfrak{p}} \in \text{Gal}(\bar{K}/K)$  acting on  $H_l^*(M_K)$ . Statement then reduces to

**Theorem 2.11 (Serre).**  $G$  compact group,  $K$  locally compact field of characteristic 0,  $\rho : G \rightarrow GL_n(K)$ ,  $\rho' : G \rightarrow GL_{n'}(K)$  two continuous  $K$ -linear representations of  $G$ . Then

- (i) either  $\text{tr}_\rho = \text{tr}_{\rho'}$ ;
- (ii) or the set  $\{g \in G \mid \text{tr}_\rho(g) \neq \text{tr}_{\rho'}(g)\}$  has a Haar density  $\geq \frac{1}{(n+n')^2}$ .

### 3. L-FUNCTIONS OVER GLOBAL FIELDS

#### 3.1. Motives with good reduction.

**Definition 3.1.**  $S/\mathbf{Z}$  essentially of finite type:

$$\mathbb{D}^{\text{proj}}(S) = \langle f_! \mathbf{Z} \mid f : X \rightarrow S \text{ smooth projective} \rangle.$$

**Example 3.2.**  $S = \text{Spec } k$ :  $\mathbb{D}^{\text{proj}}(k) = \mathbb{D}(k)$  (by de Jong).

**Definition 3.3.**  $S$  a trait (spectrum of a dvr),  $j : \eta \hookrightarrow S$  generic point:

$M \in \mathbb{D}(\eta)$  has good reduction if  $M \in \text{ess-im}(\mathbb{D}^{\text{proj}}(S) \xrightarrow{j^*} \mathbb{D}(\eta))$ .



**Lemma 3.4.**  $i : x \rightarrow S$  immersion of the closed point,  $M \in \mathbb{D}(S)$ .

a)  $\exists$  natural transformation

$$u_M : i^* M(-1)[-2] \rightarrow i^! M.$$

b) If  $M \in \mathbb{D}^{\text{proj}}(S)$ ,  $u_M$  isomorphism.

(Proof of a) uses 6 operations. Proof of b) uses an “absolute purity” theorem due to Cisinski-Déglise, relying on Quillen’s localisation theorem for algebraic  $K$ -theory.)

**3.2. The total L-function.**  $K$  global field,  $C_K = \operatorname{Spec} O_K$ ,  $O_K$  ring of integers (in char. 0), or smooth projective model (in char.  $p$ ),  $j : \operatorname{Spec} K \rightarrow C_K$  inclusion of the generic point.

$M \in \mathbb{D}(K)$ : would like to define an L function of  $M$  as the zeta function of  $j_*M$ .

This object exists but in a “large” category (it is not constructible). However,

$$2 - \varinjlim_{U \subseteq C_K} \mathbb{D}(U) \xrightarrow{\sim} \mathbb{D}(K)$$

which leads to:

**Definition 3.5.**  $x$  closed point of  $C_K$ ,  $S_x = \operatorname{Spec} \mathcal{O}_{C_K, x}$ ,  $i_x : x \rightarrow S_x$ ,  
 $j_x : \operatorname{Spec} K \rightarrow S_x$ .  
For  $M \in \mathbb{D}(K)$ ,

$$L_x^{\operatorname{tot}}(M, s) = \zeta(i_x^*(j_x)_* M, s)$$

$$L^{\operatorname{tot}}(M, s) = \prod_{x \in C_K} L_x^{\operatorname{tot}}(M, s).$$

**Theorem 3.6.**  $L^{\operatorname{tot}}(M, s)$  is an absolutely convergent Dirichlet series,  
for  $\Re(s) \gg 0$ .

*Proof:*  $M$  has good reduction at  $x$  for almost all  $x \in C_K$ . More precisely,  $\exists U \subseteq C_K$  and  $\mathcal{M} \in \mathbb{D}^{\text{proj}}(U)$  such that  $j_U^* \mathcal{M} = M$  for  $j_U : \text{Spec } K \rightarrow U$ . For  $x \in U$ , let  $j_{U,x} : S_x \rightarrow U$  and  $\mathcal{M}_x = j_{U,x}^* \mathcal{M}$ . Localisation exact triangle

$$(i_x)_* i_x^! \mathcal{M}_x \rightarrow \mathcal{M}_x \rightarrow (j_x)_* j_x^* \mathcal{M}_x \xrightarrow{+1}$$

apply  $i_x^*$ :

$$i_x^! \mathcal{M}_x \rightarrow i_x^* \mathcal{M}_x \rightarrow i_x^* (j_x)_* M \xrightarrow{+1}$$

Thus

$$L_x^{\text{tot}}(M, s) = \frac{\zeta(i_x^* \mathcal{M}_x, s)}{\zeta(i_x^! \mathcal{M}_x, s)} = \frac{\zeta(i_x^* \mathcal{M}_x, s)}{\zeta(i_x^* \mathcal{M}_x, s + 1)}$$

by Lemma 3.4.

But  $\prod_{x \in U} \zeta(i_x^* \mathcal{M}_x, s) = \zeta(\mathcal{M}, s)$  convergent by Theorem 2.9, so we win.

### 3.3. The nearby L-function.

**Lemma 3.7.**  *$f = \sum_{n=1}^{\infty} a_n n^{-s}$  convergent Dirichlet series with complex coefficients, with  $a_1 = 1$ . Then the equation*

$$f(s) = g(s)/g(s+1)$$

*has a unique solution as a convergent Dirichlet series (with first coefficient 1), namely*

$$g(s) = \prod_{m=0}^{\infty} f(s+m).$$

*Moreover,  $g$  has the same absolute convergence abscissa as  $f$ . If the coefficients of  $f$  belong to  $F \subseteq \mathbf{C}$ , so do those of  $g$ .*

**Definition 3.8.**  $M \in \mathbb{D}(K)$ :

$$L^{\text{near}}(M, s) = \prod_{x \in C_K} L_x^{\text{near}}(M, s)$$

given by the rule

$$L_x^{\text{tot}}(M, s) = \frac{L_x^{\text{near}}(M, s)}{L_x^{\text{near}}(M, s + 1)}$$

cf. Lemma 3.7.

**Theorem 3.9.** a)  $\forall x \in C_K, L_x^{\text{near}}(M, s) \in \mathbf{Q}(N(x)^{-s})$ .

b)  $L^{\text{near}}(M, s)$  convergent Dirichlet series.

c) If  $M$  has good reduction at  $x$  and  $\mathcal{M}_x$  is a good model at  $x$ , then

$$L_x^{\text{near}}(M, s) = \zeta(i_x^* \mathcal{M}_x, s).$$

d) If  $K$  function field over  $\mathbf{F}_q$ ,  $L^{\text{near}}(M, s) \in \mathbf{Q}(q^{-s})$ , and functional equation between  $L^{\text{near}}(M, s)$  and  $L^{\text{near}}(M^*, 1 - s)$ .

*Sketch of proof:* The main point is a). Pass to  $l$ -adic realisation:

$$L_x^{\text{tot}}(M, s) = L(i_x^* R(j_x)_* R^l(M), s).$$

If  $V$   $l$ -adic representation of  $G_K$ , need to show that

$$L(i_x^* R(j_x)_* V, s) = f(N(x)^{-s}) / f(N(x)^{-s-1})$$

for some  $f \in \mathbf{Q}(t)$ .

We have

$$L(i_x^* R(j_x)_* V, s) = \frac{\det(1 - \varphi_x N(x)^{-s} \mid H^1(I_x, V))}{\det(1 - \varphi_x N(x)^{-s} \mid H^0(I_x, V))}.$$

This is an Euler-Poincaré characteristic, so may assume  $V$  *semi-simple*. Then  $I_x$  acts by a finite quotient by the  $l$ -adic monodromy theorem, thus

$$H^1(I_x, V) = V_{I_x}(-1) \simeq V^{I_x}(-1)$$

and

$$L(i_x^* R(j_x)_* V, s) = \frac{L^{\text{Serre}}(V, s)}{L^{\text{Serre}}(V, s+1)} \text{ (in the semi-simple case).}$$



**Remark 3.10.** Last computation gives explicit formula for  $L_x^{\text{near}}(M, s)$ :

$$L_x^{\text{near}}(M, s) = L_x^{\text{Serre}}(R^l(M)^{ss}, s)$$

$R^l(M)^{ss}$  semi-simplification of  $R^l(M)$ .

(Since action of inertia factors through finite quotient, “Serre L-function” could be replaced by “Artin L-function”.)