## Goa August 12, 2010 On the modulo p Satake isomorphism with weight Marie-France Vignéras

This is a common work with Guy Henniart.

**1** Let p be a prime number, k a finite field of characteristic p, F a local non archimedean field of residue field k.

Let  $\underline{G}$  be a connected reductive group over F, the group  $G = \underline{G}(F)$  of F-rational points of  $\underline{G}$ .

A representation of G on a vector space is called smooth when the stabilizer of any vector is open in G.

It is interesting to study the complex irreducible smooth representations of G because they are local components of automorphic representations of adelic groups. The local counterpart of the congruences between automorphic representations are irreducible smooth representations of G over fields of positive characteristic.

Let  $K \subset G$  be an open compact subgroup and let  $\mathcal{H}(G, K)$  be the convolution ring of  $Z[K \setminus G/K]$  (the functions on the double coset space  $K \setminus G/K$  with Z-values).

Let  $\mathbb{C}$  be the field of complex numbers.

 $W \to W^K$  gives a bijection from the isomorphism classes of complex smooth irreducible representations W of G with  $W^K \neq 0$  onto the isomorphism classes of simple  $\mathcal{H}(G, K) \otimes_Z \mathbb{C}$ -modules.

When  $\mathbb{C}$  is replaced by a field C of characteristic p, this is false. Still it is interesting to study the  $\mathcal{H}(G, K, C) := \mathcal{H}(G, K) \otimes_Z C$ -modules.

Let C a commutative ring, B, L, U be closed subgroups of G such that B = U.L is the semi-direct product of U normal with L. When we have an Iwasawa decomposition G = BK and  $B \cap K = (L \cap K)(U \cap K)$ , there is a natural map

$$S : \mathcal{H}(G, K, C) \to \mathcal{H}(L, L \cap K, C)$$
$$Sf(x) := \sum_{u \in U/U \cap K} f(xu) .$$

One says that S is a Satake isomorphism when S is injective and one can determine its image.

From now on, B is a minimal parabolic subgroup of G with Levi decomposition B = U.L. We say that K is L-special, if K fixes a special vertex of the apartment associated to L in the Bruhat-Tits building of G. Let  $W := N_G(L)/L$  be the finite Weyl relative group.

**2** The complex case  $C = \mathbb{C}$ .

Satake in 1963 did not considered S but  $S\delta_B^{1/2}$  where  $\delta_B$  is the modulus of B. For a maximal compact subgroup  $K \subset G$  satisfying axiomatic conditions which were known to be true when G is a classical group and K a natural maximal open compact subgroup, the group  $L \cap K$  is the unique maximal open compact subgroup of L, the quotient  $L/L \cap K$  is commutative free finitely generated, the algebra  $\mathcal{H}(L, L \cap K, \mathbb{C})$  is naturally isomorphic to

 $\mathbb{C}[L/L \cap K]$  and that W acts on  $L/L \cap K$ . Satake showed that  $S\delta_B^{1/2}$  is injective of image  $\mathbb{C}[L/L \cap K]^W$ . He showed also that  $\mathcal{H}(G, K, Z) \simeq Z[T_1, \ldots, T_n]$  when G is simple with no center.

Later, Bruhat and Tits showed that any L-special maximal open compact subgroup K of G satisfies the axioms used by Satake, and the article of Cartier in Corvallis became the classical reference for the Satake isomorphism.

The Satake isomorphism, when G is classical and unramified (quasi-split and split over an unramified extension) and K a natural maximal open compact subgroup (an L-hyperspecial group), is the starting point of the definition by Langlands in 1970, of the L-group of G. Langlands sees the Satake isomorphism as a bijection between the isomorphism classes of complex smooth irreducible representations W of G with  $W^K \neq 0$  and the irreducible characters of  $\mathbb{C}[L/L \cap K]^W$ , and those are in bijection with certain semi-simple conjugacy classes in the L-group of G.

Then Langlands define the partial L-functions of automorphic representations using the L-group and the fact that the local components of an irreducible automorphic representation are - except for a finite number of places - irreducible smooth representations associated to semi-simple conjugacy classes in the local L-group.

In 2009, Haines and Rostami considered the connected part K of an L-special maximal open compact  $\tilde{K}$  of G, called a maximal L-special parahoric subgroup of G. They show that  $\Lambda := L/(L \cap K)$  is commutative finitely generated of torsion subgroup  $\Lambda_{tor} = (L \cap \tilde{K})/(L \cap K)$ . The quotient group  $\tilde{\Lambda} := \Lambda/\Lambda_{tor}$  is  $L/(L \cap \tilde{K})$ . They adjusted the proof of Cartier to show that  $S\delta_B^{1/2}$  is injective of image  $\mathbb{C}[L/L \cap K]^W$ .

When G splits over an unramifed extension of F, then  $K = \tilde{K}$ .

An example of a compact torus  $G = \tilde{K} \neq K$  (Pappas-Rapoport)

 $p \neq 2$ , E/F is a ramified quadratic extension with uniformizers  $p_E^2 = p_F$  and  $y \to \overline{y}$  the non trivial *F*-automorphism of *E*. Let <u>*G*</u> be the *F*-torus Ker (Norm :  $R_{E/F}\mathbb{G}_m \to \mathbb{G}_m$ ).

Then  $G = \{y \in E^* \mid y\overline{y} = 1\}$  is compact and  $G = \tilde{K}$ . By Hilbert's theorem 90, there exists  $x \in O_E$  such that  $y = x/\overline{x}$ . Then  $K = \{y = x/\overline{x} \mid \operatorname{val}_E(x) \text{ even}\}.$ 

And also the group of unitary similitudes of a hermitian vector space of even dimension  $\geq 4$  over E for other examples.

**3** Let C be a field of characteristic p. We cannot keep  $\delta_B$  and we loose the symmetry by the Weyl group. Let  $K \subset \tilde{K}$  as in Haines-Rostami.

An element  $x \in L$  is called anti-dominant if  $x^{-n}(U \cap K)x^n$  does not blow up when n goes to  $\infty$ . Then the elements of  $x(L \cap \tilde{K})$  are also antidominant. Let  $L^-, \Lambda^-, \tilde{\Lambda}^-$ , be the monoids of anti-dominant elements. The commutative monoids  $\Lambda^-, \tilde{\Lambda}^-$  are finitely generated.

The monoid  $\tilde{\Lambda}^-$  is a fundamental domain for the action of W on  $\tilde{\Lambda}$  and every element of  $\Lambda_{tor}$  is fixed by W.

Proposition. S is injective of image the functions in  $\mathcal{H}(L, L \cap K, C) = C[L/(L \cap K)]$  with support in  $\Lambda^-$ .

In particular, the C-algebra  $\mathcal{H}(G, K, C)$  is commutative of finite type.

We can deduce from Haines Rostami that  $\mathcal{H}(G, K, Z) \subset \mathcal{H}(G, K, \mathbb{C})$  is commutative hence  $\mathcal{H}(G, K, C) = \mathcal{H}(G, K, \mathbb{Z}) \otimes_{\mathbb{Z}} C$  is commutative.

**3** The proposition is the particular case V = C of a more general theorem.

Let C be any field and let  $K \subset G$  be any open compact subgroup. Let V be an absolutely irreducible smooth C-representation of K. Let  $\mathcal{H}(G, K, V)$  be the convolution algebra of functions  $f : G \to \operatorname{End}_C(V)$  supported on finitely many cosets of  $K \setminus G/K$  and satisfying f(kgk') = kf(g)k' for all  $k, k' \in K, g \in G$ .

For a smooth C-representation W of G,  $\operatorname{Hom}_{K}(V, W)$  is a module for  $\mathcal{H}(G, K, V)$ .

When  $C = \mathbb{C}$ , then  $W \to \operatorname{Hom}_K(V, W)$  gives a bijection between the isomorphism classes of complex smooth irreducible representations W of G with  $\operatorname{Hom}_K(V, W) \neq 0$  and the isomorphism classes of simple  $\mathcal{H}(G, K, V)$ -modules.

We can say more when V is a type. When V is trivial on the unique maximal normal pro-p-subgroup  $K_+$  of K, one says that V is of level 0. The algebras  $\mathcal{H}(G, K, V)$  for the types of level 0 when  $K \subset G$  is a parahoric subgroup have been computed by Morris in 1993.

From now on C is a field of characteristic p and  $K \subset \tilde{K}$  as in Haines-Rostami. Then  $K_+$  acts trivially on V. The quotient  $K/K_+$  is a finite group of Lie type. This is the main reason to replace  $\tilde{K}$  by the parahoric K.

By the theory of representations of finite groups of Lie type,  $\dim_C V^{U\cap K} = 1$  and  $L \cap K$  acts on  $V^{U\cap K}$  by a character  $\chi$ , called the highest weight.

Let  $L_{\chi}$  be the normalizer of  $\chi$  in L, equal to the subgroup of  $x \in L$  such that  $\chi(xkx^{-1}) = \chi(k)$  for all  $k \in K \cap L$ . We have  $L \cap K \subset L_{\chi}$  and  $(L \cap K) / \text{Ker } \chi$  is commutative.

Theorem (Henniart V.) The map

$$S : \mathcal{H}(G, K, V) \to \mathcal{H}(L, L \cap K, V^{U \cap K})$$
$$Sf(x) := \sum_{u \in U/U \cap K} f(xu) .$$

is injective of image the functions in  $\mathcal{H}(L, L \cap K, V^{U \cap K})$  supported on the anti-dominant submonoid  $L_{\chi}^{-}$  of  $L_{\chi}$ .

This is a theorem of Barthel and Livne (1993) when G = GL(2, F), of Florian Herzig (2008) when G is unramified, K hyperspecial, F of characteristic 0. The Satake isomorphism with weight V is the first step of the classification by Barthel-Livne and Herzig of the irreducible admissible smooth representations of GL(n, F) over an algebraic closure of k. In the case of Barthel-Livne and of Herzig,  $\tilde{K} = K$ , L is commutative hence  $L = L_{\chi}$ . Hence  $\mathcal{H}(G, K, V)$  is commutative isomorphic by S to the subalgebra of  $\mathcal{H}(L, L \cap K, V^{U \cap K})$  supported on  $L^-$ .

On the positive side,  $\tilde{K} = K$  and  $\mathcal{H}(G, K, V)$  is commutative for all V when G is semisimple simply connected or splits on an unramified extension.

But we have examples where K = K and  $\mathcal{H}(G, K, V)$  is NOT commutative.

The commutator  $(x, y) = xyx^{-1}y^{-1}$  of two elements of L belongs to  $L \cap K$ . Let  $L'_{\chi}$  be the subgroup of  $x \in L$  such that  $(x, L_{\chi}) \subset \text{Ker } \chi$ .

Theorem. The center of  $\mathcal{H}(G, K, V)$  is the inverse image by S of the functions in  $\mathcal{H}(L, L \cap K, V^{U \cap K})$  supported on the anti-dominant elements in  $L'_{\chi}$ .

 $\mathcal{H}(G, K, V)$  is a finitely generated module over its center and the center is a C-algebra of finite type.

Corollary.  $\mathcal{H}(G, K, V)$  is commutative if and only if  $L_{\chi}/\operatorname{Ker} \chi$  is commutative.

4 Example of a non commutative Hecke algebra  $\mathcal{H}(G, K, V)$ .

When G is a non abelian group of order 8, the center K has order 2 and G/K is abelian non cyclic of order 4. Let  $\chi$  be a non trivial character of K. We have  $G_{\chi} = G$  and  $(G, G) \neq \{1\} = \text{Ker } \chi$  hence  $\mathcal{H}(G, K, \chi)$  is not abelian.

Suppose p = q = 3. Let E/F be a ramified quadratic extension, let  $y \to \overline{y}$  be the non trivial Galois automorphism of E/F, let D/F be a division algebra of center F, reduced degree 4 over F and containing E, let  $d \to Nrd(d)$  the reduced norm of D/F. Let

$$G: \{ (d, x, y) \in D^* \times E^* \times E^* \mid Nrd(d)x^2 \frac{y}{\overline{y}} = 1 \}$$

The kernel of  $(d, x, y) \mapsto (x, y) : G \to E^* \times E^*$  is the kernel  $D^1$  of the reduced norm. The group G is  $\underline{G}(F)$  for a reductive connected F-group  $\underline{G}$ , extension of an F-torus  $\underline{T}$  by a reductive connected F-group  $\underline{G}_1$ 

$$1 \to \underline{G}^1 \to \underline{G} \to \underline{T} \to 1$$

Let  $U_E, U_D$  the group of units of the integers of E, D. Then

$$K = \tilde{K} = G \cap (U_D \times U_E \times U_E) \quad .$$

We have  $|k^*| = |k^*_E| = 2$  and  $|k^*_D| = 80 = 16 \times 5$ . We have

$$K/K_{+} = \{ (z, t, u) \in k_{D}^{*} \times k^{*} \times k^{*} \mid n(z)t^{2} = 1 \}$$

where  $n(z) = z^{1+3+9+27} = z^{40}$ . Hence

$$K/K_{+} = \{(z, t, u) \in k_D^* \times k^* \times k^* \mid z \text{ is a square } \}.$$

Lemma. The algebra  $\mathcal{H}(G, K, \chi)$  is not commutative when  $\chi$  is the character of K inflating the character

$$\epsilon(z,t,u) = z^5$$

We have  $G_{\chi} = G$ . Let  $(d, x, y) \in G$ . Then  $Nrd(d)x^2 \frac{y}{y} = 1$  hence the valuation v of d is even. The conjugation by d induces on  $k_D$  the map  $z \to z^{3^v}$ . Clearly  $3^v - 1$  is divisible by 8. When  $z \in k_D^*$  is a square then  $(z^{3^v-1})^5 = 1$ .

We have  $(G, G) \not\subset \text{Ker } \chi$ . We take two elements of G of the form g = (d, 1, y),  $h = (p_D^2, Nrd(p_D)^{-1}, 1)$ , for  $d \in U_D$  of reduced norm Nrd(d) = -1 hence of reduction z with  $z^{40} = -1$ ,  $y \in E^*$  with  $y^2 \in F^*$  and  $p_D$  an uniformizer of D. The reduction of  $p_D^2 dp_D^{-2} d^{-1}$  is  $z^8$ . Hence  $\chi(g, h) = z^{40} = -1$ .

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