



**भारतीय प्रौद्योगिकी संस्थान गुवाहाटी**  
**INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI**

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**शासी मंडल की 114<sup>th</sup> वी बैठक**  
**THE ONE HUNDRED AND FOURTEENTH MEETING OF THE BOARD OF**  
**GOVERNORS**

**कार्यवृत्त**  
**MINUTES**

**DATE: 05.04.2023**

**VENUE: IIT GUWAHATI**



# MINUTES OF THE 114<sup>TH</sup> MEETING OF THE BOARD OF GOVERNORS HELD ON

05.04.2023

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**Agenda of the 114<sup>th</sup> meeting of the Board of Governors of Indian Institute of Technology Guwahati held on**  
**05.04.2023**

The One Hundred Fourteenth Meeting of the Board of Governors of the Institute was held on 05.04.2023 in the Board Room of the Institute with some members joining through video conferencing mode. Following members were present:

1. Dr. Rajiv I. Modi	Chairman
2. Prof. P.K Iyer	Officiating Director
3. Prof. S.K. Srivastava	Member (via Video-conference Mode)
4. Dr. Prahlada Rama Rao	Member (via Video-conference Mode)
5. Prof. Varadraj B Bapat	Member (via Video conference Mode)
6. Mr. Neel Prakash Chhetri	Member
7. Prof. Tamal Banerjee	Member
8. Prof. M. Jawed	Member
9. Prof. G. Krishnamoorthy	Registrar in charge, Secretary
10. Prof. Sashindra Kumar Kakoty	Special Invitee

Additional Secretary (HE) MoE and Commissioner & Secretary to the Government of Assam, Higher Education, could not attend the meeting due to their prior engagements and they were granted leave of absence.

The Board was apprised about appointment of Prof. P. K Iyer as the officiating Director of IIT Guwahati on 20.12.2022 (AN), with the approval of Hon'ble Minister of Education in his capacity as Chairperson IIT Council till regular incumbent takes over or till further orders whichever is earlier following release of Prof T.G Sitharam to join AICTE as Chairman. Again, on release of Shri P. K. Ray Registrar & Secretary BOG of the Institute upon his resignation to join as Registrar, IISER, Berhampur w.e.f. 06.12.2022 (AN), Prof. G. Krishnamoorthy, was assigned the charge of the Registrar, IIT Guwahati w.e.f. 06.12.2022 (AN) till the joining of new regular Registrar or till further orders whichever is earlier.

Further it was also informed to the Board that with expiry of the term of Prof. Pranab Goswami as Member BOG w.e.f 31.12.2022, Prof Mohammad Jawed, Department of Civil Engineering is nominated as member BOG by the Senate for a term of two years ending on 31<sup>st</sup> December 2024.

Hon'ble Chairman, BOG welcomed all the members including the new members present in the meeting and asked Prof J. K. Deka, Head Dept of Computer Science and Engineering and Prof K. S. R. K. Murthy, Head Dept of Mechanical Engineering to present their departmental activities. The presentations by the head of the

Departments was at Annexure-1(not enclosed). After the presentations the agenda of the day were taken up and the Board unanimously resolved the following:

### **SECTION - A: Confirmation of Minutes, Action Taken Report & Presentation**

#### **Item No. 1 (A)**

#### **To consider the confirmation of the Minutes of the 113<sup>th</sup> meeting of the Board of Governors of the Institute held on 29.10.2022**

The Board was apprised that the 113<sup>th</sup> meeting of BOG was held on 29.10.2022 in the Board Room of the Institute in hybrid mode. The minutes of the Meeting was circulated among the members of the Board for their comments / observations. One comment from the Ministry of Education has been received vide letter F No 6-8/2018-TS I dated 07.11.2022 as per which it was requested that the comments of AS (TE) as member BOG, sent vide letter dated 31.10. 2022.on the agenda of the 113<sup>th</sup> BOG meeting may be incorporated. The comments of the Ministry were noted for compliance.

The Board was also informed that, minutes of the 112<sup>th</sup> meeting of BOG was confirmed except item no 4 & 9 in the 113<sup>th</sup> meeting of BOG held on 29.10.2022 with the stipulation that after necessary follow up with the MOE, it will be confirmed in the subsequent BOG meeting.

In regards to item no 9 of 112<sup>th</sup> BOG Minutes ,the MOE vide their letter dated 21.11.2022 has concurred the draft RR of the SMO, SSO, AE& JE post (as was placed in item 9 of 112<sup>th</sup> Special meeting) subject to the condition that the career progression of these non-teaching staff, Institute may ensure that there is no deviation from GOI prescribed norms with regard to minimum service required in a scale for promotion to next higher scale. This should not be less than the minimum service prescribed by GOI, that is to say that the promotion policy of non-teaching staff of Autonomous bodies should not be superior than that of Central Government Employees.

In view of the above, the RR of the above post (item no 9 of 112<sup>th</sup> BOG Minutes) was approved for implementation to the extent of BOG's approval and above.

Further in regards to item no 4 of 112<sup>th</sup> BOG Minutes , the Board in its 113<sup>th</sup> meeting vide resolution R\_113BOG /13/2022 resolved the following: *"Agenda Item 13-(a) & (b)- On Board of Governors/on Director viii)The letter No. 6-13/2018.TS-I, dated 27<sup>th</sup> October, 2022 from the Ministry of the Education, Gol on the subject of Disciplinary proceedings against Dr. B K Rai has been placed on the table of the BoG as enclosed as Annexure-15 (not enclosed) of this Minute, the Board has perused the same and directs the Institute to implement the Board's Resolution No: R\_110BOG/30/2022 as taken under agenda Item No-30 of the 110<sup>th</sup> meeting of the BoG as held on 31.03.2022 against Dr. B K Rai now."*



Thus, the Board was requested to confirm the minutes of the 113<sup>th</sup> BOG Meeting of the Institute as given in Annexure-2A (not enclosed as already uploaded), and to confirm the item no 4 of 112<sup>th</sup> BOG minutes as per Board resolution R\_113BOG/13/2022: Agenda Item 13-(a) & (b) (viii) (copy enclosed as Annexure 2B (not enclosed) and also to confirm Item 9 as resolved in 112<sup>th</sup> BOG minutes. (the extract of the 112<sup>th</sup> Minute is given as Annexure-2C (not enclosed)

**Resolution No: R 114 BOG/01(A) /2023**

**RESOLVED** that the minutes of the 113<sup>th</sup> Meeting of the Board of Governors of the Institute held on 28.10.2022 be **CONFIRMED** as given as Annexure-2A and item 4 & 9 of 112<sup>th</sup> BOG minutes as reported above.

**Item No. 1 (B)**

**To confirm the items approved by circulation**

It was apprised to the Board that the following items were approved by the Board by Circulation and actions were taken on the matter accordingly.:

1. To consider proposal from Department of Mathematics to start a new M Sc programme in Mathematics and to revise the existing M Sc programme in Mathematics & Computing
2. Approval to the recommendation of the Selection committee for considering financial upgradation in respect of Ms Amaya Phukan, Assistant Registrar.
3. Approval to the recommendation of the 164<sup>th</sup> meeting of the Senate on the proposal for IIT Guwahati Alumni Awards.

The Board was requested to confirm the afore-mentioned items approved by BOG by Circulation.

The Board resolved as below:

**Resolution No: R 114 BOG/01(B) /2023**

**RESOLVED** that the above-mentioned items approved by Board by Circulation be **CONFIRMED**.

**Item No. 2**

**To consider the Action Taken Report (ATR) on the decisions of the 113<sup>th</sup> meeting of the Board of Governors of the Institute held on 29.10.2022 and action taken on the decision on the items approved by Board by circulation**

The Action taken report (ATR) on the decisions of the 113<sup>th</sup> Meeting of BOG of the Institute held on 29.10.2022 and on the decisions taken by BOG on items approved by circulation is placed as Annexure-3 (pg.50-54) and Annexure -4 (pg 55).

The Board was requested to note and advice, if any on the ATR.

The Board resolved as below:

**Resolution No: R 114 BOG/02 /2023**

**RESOLVED** that the action taken on the decisions of the 113<sup>th</sup> Meeting of the Board of Governors of the Institute held on 29.10.2022 and action taken on the decision on the items approved by Board by circulation be **NOTED** as reported.

**SECTION- B: Administrative items requiring approval of the Board**

**Item No 3**

**To consider the recommendation of the Fifty Second (52<sup>nd</sup>) Meeting of the Finance Committee scheduled on 05.04.2023**

The Board was informed that the 52<sup>nd</sup> Meeting of the Finance Committee (FC) of the Institute was held from 10.00 A.M. prior to the Board meeting on 05.04.2023. In terms of Section 5 (7) of the Statute, the recommendations of the Committee were conveyed during the BoG meeting. The agenda items placed in the 52<sup>nd</sup> meeting of the FC is read out in the BOG meeting as below:

<b>Item No</b>	<b>Items</b>
Item No.1	CONFIRMATION OF THE MINUTES OF THE 51 <sup>st</sup> MEETING OF THE FINANCE COMMITTEE HELD ON 29.10.2022
Item No.2	ACTION TAKEN REPORT ON DECISION OF 51 <sup>st</sup> FINANCE COMMITTEE MEETING HELD ON 29.10.2022
Item No.3	TO CONSIDER THE CEILING OF Rs. 5 LAKH ON SUBSCRIPTION TO GENERAL PROVIDENT FUND (GPF) IN A FINANCIAL YEAR
Item No.4	TO CONSIDER THE APPLICABILITY OF PAYMENT OF GRATUITY ACT 1972 TO EDUCATIONAL INSTITUTIONS UNDER THE MINISTRY OF EDUCATION
Item No.5	TO REVIEW THE EXPENDITURE UNDER CAPITAL AND REVENUE HEAD FOR THE FINANCIAL YEAR 2022-23
Item No.6	TO CONSIDER AND APPROVE THE PROPOSAL FOR PURCHASING OF ONE NEW BLS AMBULANCE FOR IITG HOSPITAL AND DISPOSAL OF THE TWO OLD BLS AMBULANCES
Item No.7	TO CONSIDER AND APPROVE THE ENHANCEMENT OF HEARING FEE OF THE LEGAL RETAINER
Item No.8	TO CONSIDER PAY FIXATION OF DR PRABIR BAROOAH, DEPT. OF ELECTRONICS AND ELECTRICAL ENGINEERING
Item No.9	TO REPORT ON THE PROJECT IMPLEMENTATION PROGRESS REPORT (PIPR) UNDER HEFA
Item No.10	TUTION FEE FOR FOREIGN STUDENTS AND INDIAN STUDENTS OF MASTERS & PHD PROGRAMMES UNDER SELF FINANCED SPONSORED CATEGORY
Addl. Item 1	BUILDING INFRASTRUCTURE THROUGH PPP MODE AND AVAILING VIABLE GAP FUNDING (VGF) SCHEME OF THE MINISTRY OF FINANCE, GOVT. OF INDIA.
Addl. Item 2	TO CONSIDER THE RECOMMENDATION OF THE COMMITTEE TO FIX THE LICENSE FEE/LEASE AMOUNT FOR IIT GUWAHATI RESEARCH PARK FOUNDATION

The Board was also informed about the comments received from the Ministry of Education (MoE) vide Letter F No 01-5/2022IFD dated 04.04.2023 on the agenda of the Finance Committee.

The Board was requested to consider the recommendations of the FC and approve the same.

The Board deliberated and resolved as below:

**Resolution No: R 114BOG/03 /2023**

**RESOLVED** that the recommendation of the 52<sup>nd</sup> meeting of the Finance Committee held on 05.04.2023 preceding the Board meeting as per Annexure-5 (Not enclosed as already uploaded.) be **APPROVED**.

**Item No 4**

**To consider status report on the filling of backlog vacancies**

The Board was apprised that as per advice of the Ministry of Education vide its letter under reference D.O. No. 33-2/2021-TS-III (Pt.I) dated 24.08.2021, to clear the backlog by filling the vacancies in a Mission Mode and also to place the status of filling up backlog vacancies in every Finance Committee/Board of Governors/Board of Management meeting, this time also a status report was placed in this 114<sup>th</sup> Meeting of BOG.

The Board noted that after last BOG, interviews of the following Departments were held under the special recruitment drive.

<b>Department</b>	<b>Date of Interview</b>	<b>Recommended/offered</b>
Humanities and Social Sciences	27.11.2022	None recommended by the Selection Committee
Mechanical Engineering	29.11.2022	02 (OBC), 01 (SC) offered the positions of Asst. Professor

Apart from that the Board also noted that Institute is also taking care of the reserved candidates in its ongoing regular recruitment process.

The Board was also informed about the current status of overall vacancy positions in respect of IIT Guwahati as furnished below:

1.	Students strengths (as on 1 <sup>st</sup> April 2022)	7430
2.	Sanctioned Faculty Strength (1: 10 ratio of Faculty: Students)	743
3	Vacant position (as on 1 <sup>st</sup> April 2022)	307

4	Faculty in position (as on 31.03.2023) with break-up category-wise (as on 31.03.2023)							
	<b>GEN</b>	<b>SC</b>	<b>ST</b>	<b>OBC</b>	<b>EWS</b>	<b>PWD</b>	<b>Total</b>	
	389	20	04	19	01	03	436	
5	Status of Vacant Positions against reserved category (2022-23)*							
		<b>GEN</b>	<b>SC</b>	<b>ST</b>	<b>OBC</b>	<b>EWS</b>	<b>PWD</b>	<b>Total</b>
	Vacant positions	112	46	23	83	31	12	307
	Filled up in the regular recruitment	9	2	0	2	0	0	13
	Filled up in the Special Recruitment Drive	0	1	0	2	0	0	3
	Superannuated / Released	10	2	0	0	0	0	12
	To be filled	<b>113</b>	<b>45</b>	<b>23</b>	<b>79</b>	<b>31</b>	<b>12</b>	<b>303</b>

The matter was placed before the Board for information.

The Board resolved as below:

**Resolution No: R 114 BOG/4 /2023**

**RESOLVED** that the status report on the filling of backlog vacancies and overall vacancy position as stated above in respect of IIT Guwahati be **NOTED**

**Item No. 5**

**To consider and approve revision in the section 1.1 (casual leave) & 1.3 (Earned leave/Vacation Leave/Half Pay Leave/Commutated Leave/Maternity, paternity leave) of Delegation of powers of the Board & of the Director to different functionaries of the Institute.**

It was apprised to the Board that with the growth of the Institute in the number of faculty members, staff and students, the work load of different functionaries of the Institute has also increased. So to distribute the work load of different functionaries for smooth running of the Institute, it was proposed to revise the section 1.1 (casual leave) and 1.3 (Earned leave/Vacation Leave/Half Pay Leave/Commutated Leave/Maternity, paternity leave) of the Delegation of powers of the Board & of the Director to different functionaries of the Institute

The revision of in the section 1.1 (casual leave) & 1.3 (Earned leave/Vacation Leave/Half Pay Leave/Commutated Leave/Maternity, paternity leave) of Delegation of powers of the Board & of the Director to different functionaries of the Institute is given as Annexure-6 (pg.56-57)

The Board was requested to consider the same.

The Board resolved as below:

**Resolution No: R 114 BOG/5 /2023**

**RESOLVED** that the proposed revised 1.1 (casual leave) & 1.3 (Earned leave/Vacation Leave/Half Pay Leave/Commutated Leave/Maternity, paternity leave) of Delegation of powers of the Board & of the Director to different functionaries of the Institute as given in the Annexure -6 be **APPROVED** shall be implemented in due course of time.

**Item No.6**

**To consider the proposal of having 2 (Two) chairpersons under Students' Welfare Board**

The Board was apprised that with increase in the number of students in the campus, the well-being of the students becomes topmost priority of the Institute. Students come from different states with different social and economic background and many times it becomes difficult for the students to adjust in a new environment. As such if proper care is not provided in the early stage, there could be a possibility of their mental wellbeing getting affected.

As such, it was proposed to have one more Chairperson position for the Students' Welfare Board instead of the existing one Chairman of the Welfare Board.

The Board was thus requested to approve the proposal of two Chairperson position of the Welfare Board to deal with the well-being of students.

The Board was further requested to authorize the Director to nominate the faculty members for another position of Chairperson under Welfare Board.

During deliberation on the matter, taking into consideration the well-being of the students, the Board further advised the Institute to take rigorous steps to increase the accessibility of the students with the concerned authority at the time of distress by posting signage at different locations of the Institute with emergency numbers of contact, installing effective revised Epbax system, reinstate intercom to residential area and further advised to explore the possibility of installing panic button alarm in different hostels.

With the above deliberation the Board resolved as below:

**Resolution No: R 114 BOG/6 /2023**

**RESOLVED** that the proposal of 2 (Two) chairpersons under Students' Welfare Board be **APPROVED**.

**RESOLVED** further to **AUTHORIZE** the Director to nominate the faculty members for the position of Chairperson under the Students' Welfare Board.

### **Item No. 7**

#### **To consider revision of maximum time limit for submission of medical reimbursement claims to 6 (Six) months**

It is apprised to the Board that as per Institute Medical Rules which was passed in the 65<sup>th</sup> Meeting of the BOG, all medical reimbursements are required to be claimed within 3(three) months. However, it is now proposed to revise the time limit for submission of medical reimbursement claims from the existing time limit of 03(months) to a maximum time limit of 06 (Six) months in line with OM vide ref. no. 1-60/2017-CGHS/C&P/EHS dated 12<sup>th</sup> June, 2020 from the Ministry of Health and Family Welfare, Gol {Annexure-7 (pg.58-59)} thus superseding the Clause 12.4 of the Institute Medical Rules.

It was also apprised to the Board that this rule will come into effect from the date of issuing of an official notification in this regard from the Competent Authority of the Institute. However, any previous claims repudiated under Clause 12.4 of the existing medical rules that were submitted prior to the date of notification issued in this matter shall not be accepted for medical reimbursement, notwithstanding the provision laid out in the above office memorandum.

The Board was requested to consider and approved the same.

The Board resolved as below:

#### **Resolution No: R 114 BOG/7 /2023**

**RESOLVED** that the proposal to revise the time limit for submission of medical reimbursement claims from the existing time limit of 03 (months) to a maximum time limit of 06 (Six) months in line with OM vide ref. no. 1-60/2017-CGHS/C&P/EHS dated 12<sup>th</sup> June, 2020 from the Ministry of Health and Family Welfare, Gol be **APPROVED** with effect from the date of issue of official notification by IIT Guwahati.

### **Item No.8**

#### **To consider the proposal of reverting of the post of Superintending Engineer (SE) in the IPM Section**

The Board was apprised that in the 111<sup>th</sup> BOG Meeting 1(One) post of **Superintending Engineer (SE)** was converted to the post of Section Officer as per the recommendation(s) of the Committee on revision of the existing Recruitment Rules (RR) of non-teaching employees, restructuring of non-teaching positions and creation of post to ascertain pyramidal structure of posts across non-teaching cadres. (Item 20 of the 111<sup>th</sup> BOG Meeting). During discussion it was intimated to the Board that there is at present only one post of Superintending Engineer in the IPM section. But the Infrastructure Planning and Management (IPM) section of the Institute has two divisions i)

Engineering and ii) Maintenance. To run the two cells/section of IPM smoothly, request(s) were received from the IPM Section for reverting the one post of section officer to one more post of Superintending Engineer (SE).

The matter was placed before the Board for perusal and advice.

The Board deliberated on the matter and advised the Institute to explore the possibility of reverting one sanctioned post to Superintending Engineer and to take concurrence from the Ministry on the proposal.

The Board resolved as below:

**Resolution No: R 114 BOG/8 /2023**

**RESOLVED** and **ADVISED** the Institute to add one more post of Superintending Engineer and to take concurrence from the Ministry(ies) on the proposal before implementing and **RATIFY** the same in the subsequent Board meeting.

**Item No.9**

**To consider the incorporation of changes in the Recruitment Rule(s) of the Institute**

The Board was apprised that the existing Recruitment Rule of the Institute was approved in the 111<sup>th</sup> meeting of BOG with revision in few RRs in 112<sup>th</sup> meeting of BOG. Now modification in the composition of DPC/Selection committee in the Recruitment Rule is proposed on the basis of clause 12 of the Statute of the Institute and as per guidelines of DoPT guidelines given in the OM No.22011/5/86-Estt.(D) Dated 10<sup>th</sup> April, 1989. The extract of agenda is given at Annexure-8 (pg.60)

The Board is requested to approve the Recruitment Rules of the Institute as given at Annexure- 9(RR already uploaded) modified on the same lines of the provisions contained in the Statutes of the Institute and the OM bearing No.22011/5/86-Estt. (D) Dated 10<sup>th</sup> April, 1989 issued by DoPT.

The Board is further requested to allow the existing panel of Board nominee to be used for all categories of posts wherever required.

The Board after deliberation resolved as below:

**Resolution No: R 114 BOG/9 /2023**

**RESOLVED** to **APPROVE** the Recruitment Rules of the Institute as given at Annexure- 9 modified in the same lines of the provisions contained in the Statutes of the Institute and the OM bearing No.22011/5/86-Estt. (D) Dated 10<sup>th</sup> April, 1989 issued by DoPT.

Further **RESOLVED** that Professor/former Professor/Scientist/Former Scientist in the existing panel of Board nominee shall also be the panel for selection of non-teaching technical staff and the existing panel of Board nominee for Selection committee for the Group A officer shall also be the panel for other categories of non-teaching staffs, wherever required.

Authorize the Director/Officiating Director to select the nominee from the approved panel.

**Item No.10**

**To consider and approve the report of the Committee constituted to examine whether to consider age relaxation for contractual employees of the Institute to apply for regular posts in the Institute**

A committee was constituted to examine the issue of age relaxation for contractual employees of the Institute while applying for regular posts in the Institute vide notice AD/32/98/Vol 25 dated 29.11.2022 .The Committee submitted its report and in the same it was stated that consequent to deliberation on the matter at length, the committee after going through various judgements of the Hon'ble Courts (Viz., Civil Appeal No. 2294-2329 of 2008 in the Hon'ble Supreme Court Of India, W.P.(C) 5231/2020 and CM No. 188857/2020 in the Hon'ble High Court of Delhi and OA No. 198/2019 in the Hon'ble Central Administrative Tribunal – Principal Bench) came to a conclusion that age relaxation for the contractual employees of the Institute could be considered based on their service rendered. The detail recommendation of the Committee is given at Annexure- 10(pg.61)

An opinion of the Legal Retainer was also sought on the matter which is given at Annexure- 11(pg.62)

In view of the above, the Board was requested to consider and approve the recommendation of the committee constituted for examining the issue of age relaxation for the contractual employees of the Institute while applying for regular posts in the Institute.

The Board discussed the matter and deliberated that decision related to such matters should be under discretionary power of the Director/Officiating Director.

The Board discussed and resolved as below:

**Resolution No: R 114 BOG/10 /2023**

**RESOLVED to APPROVE** the recommendation of the committee and authorized the Director/Officiating Director to provide age relaxation for deemed fit cases.

**Item No. 11**

**To consider the recommendations of the committee constituted to look into the matter of recruitment for the post of Assistant Librarian**



It was apprised to the Board that that an advertisement was floated in the Institute website vide circular under reference no. R&P/174/MODALITY/IR/2020/Vol.II/2110 dated 12.12.2022 for the post of Assistant Librarian (promotional posts) wherein based on the Recruitment Rules approved in 111<sup>th</sup> BOG held on 17.06.2022.

In this regard, 03(three) applications have been received from the officers of Library Cadre requesting for relaxation of educational qualification part under the eligibility criteria as the advertised posts are for internal candidates.

As such, a committee was constituted to look into the Recruitment Rules for the post of Assistant Librarian of IIT Guwahati. After detailed deliberations, the committee recommended the following-

The committee recommended that as the advertisement has already been issued, so, at this stage it is not possible to relax the education qualification clause for the post of Assistant Librarian. However, for the benefit of the internal candidates of library cadre, in cases of promotion, in future, 5% marks only may be considered to be relaxed in the post graduate degree (i.e instead of 55% we can consider 50% as eligibility criteria) provided the candidate has acquired additional degree(s) while in service. The additional degree could be a PhD or a degree equivalent to an MPhil in library science or a Post graduate degree other than in library science.

In case of application received for relaxation of experience for applying to the post of Assistant Librarian, the committee is of the opinion that it cannot be considered.

The Board was requested to consider and approve the recommendation of the committee placed at Annexure-12(pg.63-64).

The Board deliberated to set the eligibility criteria for the internal candidate of library cadre and resolved as below:

**Resolution No: R 114 BOG/11 /2023**

**RESOLVED** that the current set of applications may be processed as recommended by the committee constituted to look into the matter of recruitment for the post of Assistant Librarian.

Further **RESOLVED** that henceforth for the Assistant Librarian posts to be advertised under departmental promotion, the educational qualification clause of the eligibility criteria for the internal candidates of library cadre be relaxed by 5% and set the same at 50% at Post Graduate degree in Library Science instead of existing 55%.be **APPROVED.**

**Item No 12**

**To consider the matter of unauthorized absence of Dr. Ranu Roychoudhuri, Assistant Professor, Dept. of Humanities and Social Sciences**

**NOT ENCLOSED AS ALREADY UPLOADED**

**Resolution No: R 114 BOG/12 /2023**

**NOT ENCLOSED AS ALREADY UPLOADED**

**Item No 13**

**To consider the matter of Leave Encashment paid to Dr. Sasidhar Gumma, Former Professor, Dept. of Chemical Engineering on his release from the Institute**

Dr. Sasidhar Gumma was released from IIT Guwahati on 21.01.2020 to enable him to join as Professor at IIT Tirupati. While requesting for release, Dr. Sasidhar Gumma had requested for lien to join IIT Tirupati, which was not granted to him by the Competent Authority

However, while issuing the release order to Dr. Gumma, though it was clear that the lien can't be granted, the Competent Authority approved to mention of the wording 'Technical Resignation' in the Release Order issued dated 21.01.2020. Subsequently, depending on the wording 'Technical Resignation', he was granted the leave encashment of Rs.15,48,690/-

In the meantime, Dr. Sasidhar Gumma requested for transfer of service, and after going through all the terms and conditions of his release, the matter was put up in the light of the CCS(P) Rule 26(1), "a person quitting service on resignation will not be entitled to any pension, gratuity or terminal benefits except leave encashment."

After careful scrutiny by the Faculty Affairs, Internal Audit and Administration, it has been concluded that as per rule, Dr. Gumma's resignation shouldn't have been considered as Technical Resignation. Accordingly, he is not eligible for Leave encashment. It should be treated as Normal Resignation and the excess amount of Leave Encashment paid to him be recovered. The Competent Authority approved the recovery of the excess amount of leave encashment paid to him, it was re-calculated and found that an excess amount of Rs. 11,11,500/- was paid to him. Dr. Gumma was conveyed vide Institute letter dated 15.12.2021, with a request to refund the excess amount paid to him.

In response to the letter of the Institute, followed by reminders, subsequent letter to the IIT Tirupati, an email received from the IIT Tirupati forwarding a representation letter from Dr. Gumma dated 24.06.2022 (Annexure – 13{pg.65-66}) requesting the Institute to re-consider its decision.

Consequently, the matter was forwarded to the Institute Legal Retainer for opinion. Now, the opinion of the Institute Legal Retainer has been received as enclosed as Annexure – 14(Pg.67-69).

The Board was requested for advice/decision on the matter of Dr. Sasidhar Gumma based on the above deliberation and legal opinion please.

The Board resolved as below:

**Resolution No: R 114BOG/13/2023**

The Board **NOTED** the representation of Prof. Sasidhar Gumma vide letter dated 24.06.2022 and the legal opinion on the matter.

**RESOLVED** to authorize the Director/Officiating Director to act on the request of Prof. Gumma as per rule.

**Item No 14**

**To consider the proposal on Tuition Fee for foreign students & tuition fee for Indian students of Masters & PhD programmes under self-financed/sponsored category**

It was apprised to the Board that IIT Guwahati is charging USD 4000/- and USD 2000/- towards Tuition Fee per annum from the foreign students from Non-SAARC and SAARC countries respectively, as per the letter F. No. 13-1/2005 TS.1 (5) dated 20.04.2005 from MoE (erstwhile MHRD), Govt. of India (copy is placed at Annexure – 15 pg 70). Further, the PhD students admitted under the scheme “Doctoral Fellowship in India for ASEAN” are permitted to pay the fee at par with Indian students (copy is placed at Annexure – 16 pg 71). As such following three proposals are forwarded to revise the tuition fee of foreign students admitted through JEE (Advanced) /GATE, Tuition Fee for foreign students of Master’s and PhD programmes under self-financed category and Tuition Fees for Indian Students of Master’s and PhD programmes under self-financed & Sponsored Category.

**Proposal-1: Tuition Fee for foreign students admitted through JEE (Advanced)/GATE:**

As per OM of MoE (erstwhile MHRD), Govt. of India, F. No.24-1/2016-TS.1 (Sectt) dated 28.11.2016, the foreign students admitted through JEE (Advanced)/GATE examinations were to be charged annual Tuition Fee of Rs. 6 lakh (copy is placed at Annexure – 17(pg.72)). For information, except IITD and IIT Guwahati, it seems all other IITs are charging this rate for the students admitted through JEE (Advanced). In view of this, the fee for the foreign students admitted through JEE (Advanced)/GATE may be charged Rs. 6 lakh per annum with a concession of 50% to students from SAARC countries.

**Proposal-2: Tuition Fee for foreign students of Master’s and PhD programmes under self-financed category:**

Every year IIT Guwahati receives good number of applications for Master’s and Ph.D. program from International candidates. However, few of them, particularly, those who are getting the sponsorship from various funding agencies, are opting to join the programmes.

In order to bring IITG in the forefront of global education scenario by increasing the number of international students on the campus, this is to propose that the international students of Master's and PhD students admitted under self-financed category may be permitted to pay the Tuition Fee applicable to General category students of Indian nationals admitted under Self-Financed category of the respective programmes. The same may be extended to those international students who continue under Self-Financed category after completing the term of sponsorship, if any, and to the international students coming to IIT Guwahati for a semester exchange across all the programmes.

Accordingly, the Tuition Fee per annum applicable/proposed for foreign students has been consolidated and placed as per the following table for discussion and approval of Finance Committee:

S. No.	Programme	Fee Payable
1.	B.Tech	Rs. 6 lakh **
2.	B.Des	Rs. 6 lakh **
3.	Postgraduate/Doctoral (Sponsored)	Rs. 6 lakh **
4.	Postgraduate/Doctoral (Self-Financed)	Tuition Fee of Self-Financed Indian students of General Category
5.	Doctoral (ASEAN Scheme)	Tuition Fee of Indian students of General Category
6.	Semester Exchange UG Students (Not covered under MoUs)	Tuition Fee of Indian students of General Category of the respective programme
7.	Semester Exchange PG/Doctoral Students (Not covered under MoUs)	Tuition Fee of Self-Financed Indian students of General Category of the respective programme

\*\* 50% concession in Fee will be applicable for students from SAARC countries.

**Proposal-3: Tuition Fees for Indian Students of Master's and PhD programmes under self-financed & Sponsored Category:**

IIT Guwahati is also charging Rs. 5000 towards Tuition Fee per semester from Indian Students (General Category) of M.Tech/M.Des/MS(R) Programmes. However, Indian Students of these programmes under Sponsored Category who are not serving as Teachers are being charged a Tuition Fee of Rs. 25,000 per semester.

It is now proposed that the Indian Students (General Category) under Self-financed or Sponsored Categories as applicable in Masters and PhD programmes be charged a tuition fee of Rs. 25,000 per semester. The same fee may be extended to all Part-Time students of the respective programme after conversion to Part-Time Category. The students joining the Masters and PhD programmes under Sponsored category shall be considered under Self-Financed category upon completion of the tenure of sponsorship.

The matter was also placed in the 52<sup>nd</sup> meeting of the Finance Committee. The FC vide **resolution R 52\_FC/10/2023 resolved the following:**

**RESOLVED** to approve the proposal of fee structure for foreign students and Indian students of masters & PhD programmes under self-financed/sponsored category and recommend to the Board of Governors of the Institute for its approval.

The Board considered the proposal for revision in tuition fee and resolved as follows:

**Resolution No: R 114 BOG/14 /2023**

**RESOLVED** that the proposal on revision of Tuition Fee for foreign students & tuition fee for Indian students of Masters & PhD programmes under self-financed/sponsored category be **APPROVED** as per recommendation of the 52<sup>nd</sup> meeting of finance committee resolution R 52\_FC/10/2023.

**Item No.15**

**To consider the appeal of Mr. Mahari Tsegay for registration to January-May, 2022 and July-November, 2022 semesters**

The Board was apprised that sponsored student Mr Mahari Berhe Tsegay (Roll No 156102021) Research Scholar EEE Dept received sponsorship from the Ministry of Defence Ethiopia. As his study has crossed the maximum limit of 6 years the MOD, Ethiopia has stopped his funding. The student had requested for payment extension to MOD but it got rejected and thus he is not in the list of students in Ethiopian Embassy. As such the student has an outstanding due of registration fee for Jan- May 2022 and July-Nov 2022. As such he has requested for financial assistance from the Institute.

After receiving positive feedback from the supervisor, DOAA and DOAER, the Board is requested to consider and advice on the matter.

The Board was also requested to grant Mr Mahari Tsegay special permission to register by paying tuition fees as per Indian Sponsored student and also may be granted special permission to consider his case retrospectively on the matter of new proposal on the fee of International student which is also placed in this BOG meeting.

During deliberation it was noted by the Board that the registration fee has been paid by Mr. Mahari Tsegay (Roll no 156102021) upto the maximum limit of 6 years and the registration fee for Jan-May 2022 and July -Nov 2022 could not be paid by Mr . Tsegay due to non -availability of scholarship. So, the Board ADVISED the Institute to waive the registration fees of Jan -May 2022 & July -Nov 2022 of Mr. Mahari Tsegay as a special case.

The matter was deliberated at length & resolved as below:

**Resolution No: R 114 BOG/15 /2023**

**RESOLVED** that the registration fees of Jan -May 2022 & July -Nov 2022 of Mr. Mahari Tsegay Roll No 156102021) Research Scholar EEE Dept be waived as a special case.

**Item No.16**

**To consider the proposal for offering online BSc (Engineering) Degree in Data Science and Artificial Intelligence by Mehta Family School of Data Science and Artificial Intelligence, IIT Guwahati**

A proposal for offering online BSc (Engineering) Degree in Data Science and Artificial Intelligence by Mehta Family School of Data Science and Artificial Intelligence, IIT Guwahati was placed in the 166<sup>th</sup> meeting of the Senate held on 03.04. 2023

It was informed to the Board that, the Senate after due deliberation, approved the proposal with the modification that the degree shall be renamed and shall remain as B.Sc.(Hons) rather than B.Sc.(Engg) and recommended to place the matter to BoG for approval.

The Board was requested to approve the recommendation of the 166 meeting of the Senate on the proposal for offering online BSc (Hons.) Degree in Data Science and Artificial Intelligence by Mehta Family School of Data Science and Artificial Intelligence, IIT Guwahati

The Board resolved as below:

**Resolution No: R 114 BOG/16 /2023**

**RESOLVED** that the proposal for offering online BSc (Hons.) Degree in Data Science and Artificial Intelligence by Mehta Family School of Data Science and Artificial Intelligence, IIT Guwahati be **APPROVED** as per recommendation of 166<sup>th</sup> meeting of the Senate held on 03.04.2023.

**Item No 17**

**To consider the proposal for offering online M. Tech Degree in Machine Language and Artificial Intelligence by Centre for Intelligent Cyber Physical Systems (CICPS), IIT Guwahati**

The Board was apprised that a proposal for offering online M. Tech Degree in Machine Language and Artificial Intelligence by Centre for Intelligent Cyber Physical Systems (CICPS), IIT Guwahati was placed in the 166<sup>th</sup> meeting of the senate held on 03.04. 2023. However Senate deferred the item to be placed in subsequent Senate meeting as it needs some clarification.

As such Board is also requested to defer the item and shall be placed subsequently as per recommendation of the Senate,

The Board resolved as below:

**Resolution No: R 114 BOG/17 /2023**

**RESOLVED** to **DEFERR** the item for the time being and shall be placed subsequently based on recommendation of the Senate,

**Item No 18**

**To consider the proposal to change the name of the program VLSI to VLSI and Nanoelectronics along with revision of its curriculum**

It was informed to the Board that a proposal to change the name of the program VLSI to **VLSI and Nano electronics** along with revision of its curriculum will be placed in the 166<sup>th</sup> meeting of the Senate held on 03.04.2023.

The Senate **RESOLVED** that the proposal from the Department of Electronics and Electrical Engineering for renaming MTech specialization VLSI to VLSI and Nanoelectronics be **APPROVED** and **RECOMMENDED** to place the same in the **BoG** for approval.

The Board was requested to approve the recommendation of the Senate on the proposal.

The Board after deliberation resolved as below:

**Resolution No: R 114 BOG/18 /2023**

**RESOLVED** that the proposal from the Department of Electronics and Electrical Engineering for renaming M. Tech specialization VLSI to VLSI and Nanoelectronics be **APPROVED** as per recommendation of the 166<sup>th</sup> meeting of the Senate.

**Item No 19**

**To consider the proposal for Online M. Tech. program in Robotics and Artificial Intelligence from the Centre for Intelligent Cyber Physical Systems.**

A proposal for introducing an Online M.Tech program in Robotics and Artificial Intelligence from the Centre for Intelligent Cyber Physical Systems was placed before the 164<sup>th</sup> meeting of the Senate for consideration.

The Senate vide resolution **R.164/7/2022 RESOLVED** that the proposal for Online M.Tech program in Robotics and Artificial Intelligence from the Centre for Intelligent Cyber Physical Systems be **APPROVED** and **RECOMMENDED** to place the revised proposal to **BOG** for approval.

The Board was requested to approve the revised proposal for Online M. Tech program in Robotics and Artificial Intelligence from the Centre for Intelligent Cyber Physical Systems as per recommendation of 164<sup>th</sup> meeting of the Senate.

The Board resolved as below:

**Resolution No: R 114 BOG/19 /2023**

**RESOLVED** that the revised proposal as given in Annexure-18(pg.73-78) for Online M. Tech program in Robotics and Artificial Intelligence from the Centre for Intelligent Cyber Physical Systems be **APPROVED**

**Item No 20**

**To consider the guidelines for conducting online academic activities**

A Senate-Committee constituted for proposing guidelines for conducting any academic activity ONLINE and to study the requests from various senior executives of Govt./Public Sector/R&D labs who would like to pursue PhD at IIT Guwahati, submitted its report.

The Board was requested to approve the report/guidelines for conducting online activities as per recommendation of 165<sup>th</sup> meeting of the Senate.

The Board resolved as below

**Resolution No: R 114 BOG/20 /2023**

**RESOLVED** that the proposal to approve the guidelines for conducting online activities as per recommendation of 165<sup>th</sup> meeting of the Senate as per given in Annexure-19(pg.79-81) be **APPROVED**.

**Item No.21**

**To consider the proposal on the number of credits for UG project to be pursued at an external organization**

The Board was informed that the Senate, in its 165<sup>th</sup> meeting held on 14.02.2023 &15.02.2023, approved the proposal on the number of credits for UG project to be pursued at an external organization vide resolution R 165/13/2023 as below:

**R.165/13/2023:** The Senate **RESOLVED** that the proposal on the number of credits for UG project to be pursued at an external organization be **APPROVED.**”

The Board was requested to approve the proposal on the number of credits for UG project to be pursued at an external organization as per Senate resolution R 165/13/2023.



The Board resolved as below:

**Resolution No: R 114 BOG/21 /2023**

**RESOLVED** that the proposal on the number of credits for UG project to be pursued at an external organization be **APPROVED** as per recommendation of 165<sup>th</sup> meeting of the Senate.

**Item No 22**

**To consider the list of graduating students for the award of Ph. D degrees who have completed their requirements after 14th October 2022 till 14th February 2023.**

As per the prevailing norm (R.133/7/2018 of the Senate and R\_93BOG/06/2018 of BoG) the 165<sup>th</sup> Senate vide Resolution R165/19/2023 approved the list of graduating students for the award of Ph. D degrees who have completed their requirements after 14th October 2022 till 14th February 2023 and recommended for approval of the same by BOG.

Further, the Senate in its 166<sup>th</sup> meeting held on 03.04.2023 approved an additional list of 29 Research Scholars who have completed the requirements of PhD programme till 29.03.2023 and recommended to place the same to **BoG** for approval.

The Board was requested to approve both the list of graduating students for the award of Ph. D degrees who have completed their requirements after 14th October 2022 till 29.03. 2023.

The Board resolved as below:

**Resolution No: R 114 BOG/22 /2023**

**RESOLVED** to **APPROVE** the compiled list (**as given in Annexure-20(pg.82-93)**) of graduating students for the award of Ph. D degrees who have completed their requirements after 14th October 2022 till 29. 03. 2023 as per recommendation of 165<sup>th</sup> and 166<sup>th</sup> meeting of the Senate.

**Item No.23**

**To consider the proposal for a new specialization, viz., Regenerative Medicines, Stem Cells and Therapeutics, of M. Tech in Biomedical Science and Engineering.**

A proposal for a new specialization, viz., Regenerative Medicines, Stem Cells and Therapeutics, of M.Tech in Biomedical Science and Engineering from the Jyoti and Bhupat Mehta School of Health Sciences and Technology was approved in principle in the 165<sup>th</sup> Meeting of the Senate as below:

**“R.165/20/2023:** The Senate **RESOLVED** that the proposal for starting a new specialization, viz., Regenerative Medicines, Stem Cells and Therapeutics, of M. Tech in Biomedical Science and Engineering be **APPROVED** and

**RECOMMENDED** to place in the **BoG**. Further, the Senate **RESOLVED** that the curriculum of the new specialization be revised and **RESUBMITTED**” The Board was also requested to approve the same in principle.

The Board resolved as below:

**Resolution No: R 114 BOG/23 /2023**

**RESOLVED** that the proposal for starting a new specialization, viz., Regenerative Medicines, Stem Cells and Therapeutics, of M. Tech in Biomedical Science and Engineering be **APPROVED** as per Senate resolution R165/20/2023.

**Item No.24**

**To consider the proposal for starting the Ph. D programme in the Centre for Drone Technology.**

A proposal for starting the Ph. D programme in the Centre for Drone Technology during the AY 2023-24 was approved in the 165<sup>th</sup> Meeting of the Senate as below:

**R.165/23A1/2023:** The Senate **RESOLVED** that the proposal for starting the Ph. D programme in the Centre for Drone Technology be **APPROVED** and **RECOMMENDED** to place the matter to **BoG** for approval.

The Board was requested to approve the proposal for starting the Ph. D programme in the Centre for Drone Technology as per recommendation of the 165<sup>th</sup> meeting of the Senate.

The Board resolved as below:

**Resolution No: R 114 BOG/24 /2023**

**RESOLVED** that the proposal for starting the Ph. D programme in the Centre for Drone Technology be **APPROVED** as per recommendation of 165<sup>th</sup> meeting of the Senate.

**Item No 25**

**To consider the proposal for starting the Ph. D programme in the Centre for Sustainable Water Research.**

A proposal for starting Ph. D programme in the Centre for Sustainable Water Research during the AY 2023-24 was placed for approval in the 165<sup>th</sup> meeting of the Senate

The 165<sup>th</sup> Senate approve the proposal vide resolution R165/23A2/2023 for starting the Ph. D programme in the Centre for Sustainable Water Research and recommended the same to be placed in the BoG for approval.

The Board was requested to approve *the proposal for starting the Ph. D programme in the Centre for Sustainable Water Research as per recommendation of the 165<sup>th</sup> meeting of Senate.*

The Board resolved as below:

**Resolution No: R 114 BOG/25 /2023**

**RESOLVED** to **APPROVE** the proposal for starting the Ph. D programme in the Centre for Sustainable Water Research as per recommendation of the 165<sup>th</sup> meeting of Senate.

**Item No.26**

**Submission of appeal of Ms. Arpita Mallick (Roll No. 200103019) against IADC recommendation of debarment**

The Board was apprised that the Institute Academic Disciplinary Committee (IADC) met on 02.12.2022 to examine 14(Fourteen) cases of violation of rules/occurrence of malpractices during July -November 2022 end Semester Examination. It recommended the following against one Ms Arpita Mallick (Roll No 200103019) a B Tech student in the Department of Computer Science and Engineering. As per the complaint received from the Invigilator Ms Arpita Mallick (Roll No 200103019) was found keeping chit paper containing course material during July-November 2022 end semester examination for ME 314 courses held on 27.11.2022 (2 pm to 5 PM) at Lecture Hall 1.

- For July- November 2022 Semester, the student will be awarded 'FD' grade in ME 314
- She will not be eligible for appearing in the Supplementary examination of July-November 2022 semester for ME 314
- The student will be warned that if the similar malpractice is reported in future, one semester cancellation will be awarded as punishment.
- It is further recommended that all the students who are awarded 'FD' grade needed to conduct an awareness programme as a service as decided by the Competent Authority against academic malpractices in the examination and need to present a report of the same to the Academic Affairs Section .IADC noted the increase in the reported cases of violation of rules/occurrence of malpractice during examination and as a precautionary measure decided to issue a notice on branch wise number of reported cases of violation of rules/occurrence of malpractices.

However, Ms Arpita Mallick vide mail dated 13.12.2022 and letter dated 14.12.2022 appealed to the BOG as the Appellate Authority to permit her for appearing in the supplementary exam for the same course. However, it is to be noted that the supplementary examination for the July-November 2022 semester for ME 314 is already over.

The Board deliberated on the matter and resolved as below

**Resolution No: R 114 BOG/26 /2023**

The Board carefully examined the appeal of Ms Arpita Mallick (Roll No .200103019) made vide mail dated 13.12.2022 & letter dated 14.12.2022 against IADC recommendation of debarment and taking into consideration all facts and circumstances of the case, the Board found that there is no ground to accepts her appeal and **RESOLVED** to uphold the recommendations of the IADC for debarment as reported.

### **Item No 27**

#### **To consider the appeal of Mr. Deepanshu (Roll No. 200106026) regarding reconsideration of the decision of SDC**

Dean, Students' Affairs Section was informed by the Senior Security Officer on 23.10.2022 at around 8:30 p.m. that Security Personnel had found prohibited substance from a student who was carrying it with him while entering the campus. The name of the student is Mr. Deepanshu (Roll No. 200106026, B. Tech. student, Department of Biosciences and Bioengineering, resident of Brahmaputra Hostel). They discussed the matter with the student, seized the substance and sent him back to his hostel (Brahmaputra Hostel).

Based on this information, Dean, Students' Affairs Section informed Dr. Sayan Chakrabarti, Vice - Chairperson, Hostel Affairs Board (Infrastructure) to investigate the matter at the hostel level.

As per the e-mail received from Dr. Sayan Chakrabarti on 24.10.2022 by Dean, Students' Affairs Section; Dr. Chakrabarti and Dr. Rajkumar P. Thummer, Vice - Chairperson, Hostel Affairs Board (Services) & Warden, Brahmaputra Hostel visited Brahmaputra Hostel on 24.10.2022 and had a discussion with the student in the office of the hostel. As they felt that the student was not telling the whole truth about the incident, they suggested that the parents of the student should be informed about the incident and the matter needs to be referred to SDC. The student submitted a written statement regarding the incident.

As such, a meeting of the Students' Disciplinary Committee (SDC) was held on 01.11.2022 to discuss the matter. The SDC in its meeting recommended the following:

1. Mr. Deepanshu be hereby directed to undergo rehabilitation treatment under the supervision of his parents with one semester of academic break during the period of Jan - May, 2023 semester.
2. Mr. Deepanshu must leave the Institute campus after the examination latest by 30.11.2022.
3. One of the parents of Mr. Deepanshu must visit the IIT Guwahati campus to allow him to re-register for July - November, 2023 semester and report in person in the Students' Affairs Section about his / her arrival along with his / her ward.
4. Registration in July - November, 2023 semester be permitted subject to submission of the evidence of undergoing successful rehabilitation treatment and fitness report to the Students' Affairs Section.

5. Mr. Deepanshu be directed to undergo mandatory counselling once he re-joins the Institute for proper orientation.
6. Mr. Deepanshu be warned that repetition or any other violation of the “Ordinance on Code and Conduct of Students” of the Institute will lead to tougher punishment against him including termination of the academic programme.
7. The parents of Mr. Deepanshu will be informed of his acts and actions taken by the Competent Authority in this regard.

The minutes of the SDC meeting held on 01.11.2022 is enclosed as Annexure-21(pg.94-96)

However, the student submitted an appeal and apology for reconsideration of the decision of the SDC regarding the incident through e-mail, which is enclosed at Annexure-22(pg.97).

The Board was requested to decide on the matter.

The Board resolved as below

**Resolution No: R 114 BOG/27 /2023**

The Board carefully examined the appeal of **Mr. Deepanshu (Roll No. 200106026)** a B. Tech. student, Department of Biosciences and Bioengineering, resident of Brahmaputra Hostel **regarding reconsideration of the decision of SDC** and taking into consideration all facts and circumstances of the case, the Board finds that there is no ground to accept his appeal and **RESOLVED** to uphold the recommendations of the SDC on the matter as reported.

**Item No 28**

**To consider the report of the Inquiry Committee constituted by the Indian Institute of Technology Guwahati vide Ref No AD/LM/RS/429/16/VOLII/61 dated 02.11.2020**

**NOT FOR PUBLIC DOMAIN**

**Resolution No: R 114BOG/28/2023**

**NOT FOR PUBLIC DOMAIN**

**Item No 29**

**To consider the recommendation of the Selection Committee in respect of selection of Prof S N Bora in HAG scale**

**NOT FOR PUBLIC DOMAIN**

**Resolution No: R\_114BOG/29/2023**

**NOT FOR PUBLIC DOMAIN**

**Item No 30****Items approved by the Chairman for ratification:****A. The Recommendation of the Selection Committee for faculty positions under Special Recruitment Drive in the Department of Mechanical Engineering:**

The Recommendation of the Selection Committee for faculty positions under Special Recruitment Drive in the Department of Mechanical Engineering met on November 29, 2022. The Chairman BOG approved the following recommendation of the Selection committee:

Sl.No.	Name	Recommended for	Initial Pay (₹)	Remarks
1	Dr. Vinodh Kumar Bandaru (OBC)	Assistant Professor	Pay Level-12, as per rule	-
2	Dr. Tarkes Dora Pallicity (OBC)	Assistant Professor	Pay Level-12, as per rule	-
3	Dr. Ujendra Kumar Komall (SC)	Assistant Professor	Pay Level-12, Cell-2	-

**B. The Recommendation of the Departmental Promotional Committee (DPC) for the post of Technical Officer Gr I**

The Departmental Promotional Committee consisting the following members met on 28.11.2022 for appointment (promotion) to the post of Technical Officer Gr-I (04 posts) from among the internal candidates.

1.	Prof. S K Kakoty, Deputy Director, IIT Guwahati
2.	Mr. D. J. Goswami, Registrar I/C & JR (F&A)
3.	Prof A Perumal, HOD Dept of Physics, IIT Guwahati
4.	Prof Gopal Das, HOD Dept of Chemistry, IIT Guwahati
5.	Prof. Ratnajit Bhattacharjee, HOS CCC IIT Guwahati
6.	Prof. G Pugazhenthii, HOC CIF, IIT Guwahati
7.	Prof. Vaibhav V Goud, School of Energy Science and Engineering, IIT Guwahati
8.	Dr. Teiborlang Lyngdoh Rynthathiang, HOD i/c Dept of Civil Engineering, IIT Guwahati

The Chairman BOG approved the selection of the following candidates for appointment to the post of Technical Officer Gr I in the Pay Matrix Level-11 as per 7<sup>th</sup> CPC as per recommendation of the DPC.

Sl No	Name	Category of the candidate	Category of the post	Pay
1.	Ms Dolly Gogoi	OBC	UR	L-11 (As per rule)
2.	Mr. Dhrubajyoti Pathak	GEN	UR	L-11 (As per rule)
3.	Mr Debarshi Baruah	GEN	UR	L-11 (As per rule)
4.	Ms Abhilasha Mohan Barua	GEN	UR	L-11 (As per rule)

The Committee also recommends the following panel (valid for one year)

Sl No	Name	Category of the candidate	Category of the post	pay
1	Mr Kuldeep Kalita	GEN	UR	L-11 (As per rule)
2.	Mr. Samarjyoti Kalita	GEN	UR	L-11 (As per rule)

**C. Authorize the Director to assign the function of Registrar:**

As per provision 9(12) of the Statute, the Chairman BOG authorize the Director of the Institute to assign the function of the Registrar to any member of the Staff of the Institute in case of vacancy of the position of Registrar for more than one month.

Thus, in consequent to the release of Shri P. K. Ray Registrar & Secretary BOG of the Institute upon his resignation to join as Registrar, IISER, Berhampur w.e.f. 06.12.2022 (AN), Prof. G. Krishnamoorthy, was assigned the charge of the Registrar, IIT Guwahati w.e.f. 06.12.2022 (AN) till the joining of new regular Registrar or till further orders whichever is earlier.

**D. Recommendation of the Selection Committee for the post of Assistant Executive Engineer(promotional) post:**

The Selection Committee consisting of the following members met on 23.02.2023 for appointment (promotion) to the post of Assistant Executive Engineer -2 posts (UR) from among the internal candidates.

1. Prof. M K Bhuyan, Dean IPM IIT Guwahati (Director's - Chairman  
Nominee)
2. Prof. USN Murthy, Director, NIPER Guwahati - Member
3. Prof G. Krishnamoorthy, Registrar (in Charge) - Member
4. Prof. K. Darunkumar Singh Associate Dean IPM IIT Guwahati - Member

The Chairman BOG approved the following recommendation of the Selection Committee for appointment to the post of Assistant Executive Engineer (promotion) in the Pay Matrix Level 10 as per 7<sup>th</sup> CPC

Sl No	Name of the Candidates	Category of the Candidates	Category of the posts	Pay
1.	Mr Hem Chandra Nath	OBC	UR	As per rule
2.	Mr Jayanta Sarkar	SC	UR	As per rule

**Resolution No: R 114BOG/30/2023**

**RESOLVED** that the items approved by Chairman, BoG as per item 30 (A to D) is ratified.

### **Item No 31**

#### **Items approved by the Director for reporting:**

Following items approved by the Director is reported to the Board

#### **A Attendance of Conference/Seminar etc. abroad**

The members of the Faculty attending the Conferences / Symposium / Seminars etc. held abroad with / without Institute financial assistance is given at Annexure-24(pg.98-99)

#### **B. New appointments and/or extension of period of appointment of some officials in the existing positions:**

Some new appointments have been made and appointments of some of the existing persons have been extended / adjusted as mentioned below:

<b>Sl. No</b>	<b>Name</b>	<b>Position</b>	<b>Period of appointment/extension</b>
1.	HEAD OF THE SECTION, ALUMNI AFFAIRS & EXTERNAL RELATIONS	Compliance Officer of the Institute	Circular issued on 01.11.2022
2.	DR.LALIT MOHAN PANDEY, DEPT. OF BSBE	Head, Centre for Career Development	For a period of 2 years w.e.f. 15.12.2022
3.	DR. INDRANI KAR, DEPT. OF EEE	Faculty Co-ordinator-1 (Placement & Staff Training)	For a period of 2 years w.e.f. 15.12.2022
4.	DR. VIVEK PADMANABHA, DEPT. OF CIVIL ENGINEERING	Faculty Co-ordinator-2 (Internship & Personality Development)	For a period of 2 years w.e.f. 15.12.2022
5.	PROF. P. K. DAS, DEPT. OF COMPUTER SCIENCE & ENGINEERING	Head, Centre for Drone Technology (CDT)	For a period of 3 years (Circular issued on 07.11.2022)
6.	PROF.SURESH A. KARTHA, DEPT. OF CIVIL ENGINEERING	Head, Centre for Sustainable Water Research (CSWR)	For a period of 3 years (Circular issued on 07.11.2022)
7.	PROF. RAKHI CHATURVEDI, DEPT. OF BSBE	Chairperson & Presiding Officer of Internal Committee (IC)	For a period of 3 years (Circular issued on 10.11.2022)
8.	PROF. SUKANYA SHARMA, DEPT. OF HSS	Head, Department of HSS	Term extended upto 12.07.2023
9.	PROF. SUMANA DUTTA, DEPT. OF CHEMISTRY	Associate Dean of Alumni Affairs & External Relations	For a period of 1(One) year (O/o issued on 17.03.2023)
10.	DR. SACHIN SINGH GAUTAM DEPT. OF ME	Warden (Additional Charge)	Until further orders (Office Order issued on 24.03.2023)

#### **C. Association as an Associate Faculty in the Centre for Nanotechnology**

The application(s) of the following faculty members were approved for association as an Associate faculty in the Centre for Nanotechnology for 2 (Two) years w.e.f. 01.12.2022 to 30.11.2024. The appointment(s) shall be governed by the general terms and conditions of their permanent position of Associate Professor and other terms and conditions to be regulated by the Institutes of Technology Act, 1961, the Statutes and Rules of the Institute in force from time to time.



Sl.No.	Name	Position	Dept. /Centre
1	Dr. Raghavendra Gupta	Associate Faculty	Nanotechnology
2	Dr. Rajiv Kumar Kar	Associate Faculty	Nanotechnology
3	Dr. Ankush Bag	Associate Faculty	Nanotechnology
4	Dr. Subrata Pramanik	Associate Faculty	Nanotechnology
5	Dr. Kalyan Raidongia	Associate Faculty	Nanotechnology
6	Dr. Uday Narayan Maiti	Associate Faculty	Nanotechnology
7	Dr. Tanmay Dutta	Associate Faculty	Nanotechnology

**D. Confirmation of Non- Faculty Members occupying regular posts:** On successful completion of the period of probation and other formalities, the services of the following non-faculty members were confirmed against their post during the period from October 2022 to February 2022.

Sl. No.	Name	Post against which service is confirmed	Dept. / Centre / Section
1	Mr. Manash Protim Dutta	Sr. Assistant	Academic Affairs
2	Mr. Raju Talukdar	Sr. Assistant	Administration
3	Mr. Deep Jyoti Sinha	Sr. Assistant	S&P
4	Mr. Bhaskar Jyoti Sonowal	Sr. Assistant	II&SI
5	Mr. Avishek Dutta	Sr. Assistant	Students' Affairs
6	Mr.Paragjyoti Sharma	Sr. Assistant	Academic Affairs
7	Mr. Nabajit Rajbongshi	Sr. Assistant	School of Energy Science and Engineering
8	Mr. Manash Pratim Barman	Sr. Assistant	Medical
9	Mr. Nilotpal Sarma	Sr. Assistant	Faculty Affairs
10	Mr. Pulak Rajbongshi	Sr. Assistant	Centre for Disaster Management and Research
11	Mr. Jnanendra Ballav Goswami	Sr. Assistant	Stores & Purchase
12	Mr. Himangshu Bharadwaj	Sr. Assistant	Administration
13	Mr. Rijumoni Dutta	Sr. Assistant	Outreach Education Program
14	Mr. Manas Protim Das	Sr. Assistant	Students' Affairs
15	Ms. Nilakshi Goswami	Sr. Assistant	EO cum SRC
16	Mr. Binod Upadhyaya	Sr. Assistant	Academic Affairs
17	Mr. Gourish Mazumder	Sr. Assistant	Computer Science and Engineering
18	Mr. Amarendra Das	Sr. Assistant	IPM
19	Ms. Chinmayee Pathak	Sr. Assistant	Chemical Engineering
20	Mr. John Sangma Dangou	Sr. Assistant	Academic Affairs
21	Mr. Himanku Dutta	Sr. Assistant	Administration
22	Mr. Bhrigu Kalita	Attendant	Civil Engineering
23	Mr. Pankaj Das	Attendant	Director's Office
24	Ms. Lipika Nath	TO Gr.II	Department of Chemistry
25	Mr. Utpal Kr. Sarma	TO Gr.II	Department of EEE
26	Mr. Amal Kalita	TO Gr.II	Department of Mechanical Engineering

27	Dr. Lukumoni Borah	TO Gr.II	Department of Chemical Engineering
28	Mr. Atul Ch. Deka	TO Gr.II	Department of Physics
29	Ms. Dipika Barbabra Timung	Junior Technical Officer	Establishment Section
30	Mr. Nip Borah	Sr. Technical Superintendent	Department of Mechanical Engineering
31	Dr. Kaustavmoni Deka	Sr. Technical Superintendent	Department of Chemical Engineering

**E. Confirmation of Faculty Members:**

On successful completion of the period of probation and other formalities the services of the following faculty members occupying regular post are confirmed in their respective post with the approval of the Director as given below:

Sl. No	Name	Post	Date of Confirmation
1.	Dr. Hrishikesh Sharma	Associate Professor, Dept. of Civil Engineering	07.09.2022
2.	Dr. Ravi K.	Associate Professor, Dept. of Civil Engineering	07.09.2022
3.	Dr. Archana M. Nair	Associate Professor, Dept. of Civil Engineering	07.09.2022
4.	Dr. Sandip Das	Associate Professor, Dept. of Civil Engineering	07.09.2022
5.	Dr. Anjan K. Siddagangaiah	Associate Professor, Dept. of Civil Engineering	07.09.2022
6.	Dr. Tadikonda Venkata Bharat	Professor, Dept. of Civil Engineering	07.09.2022
7.	Dr. Suresh A. Kartha	Professor, Dept. of Civil Engineering	07.09.2022
8.	Dr. Sachin Kumar	Professor, Dept. of BSBE	25.09.2022
9.	Dr. Manish Kumar	Professor, Dept. of BSBE	25.09.2022
10.	Dr. Priyadarshi Satpati	Professor, Dept. of BSBE	25.09.2022
11.	Dr. Selvaraju Narayanasamy	Professor, Dept. of BSBE	25.09.2022
12.	Dr. Bithiah Grace Jaganathan	Professor, Dept. of BSBE	25.09.2022
13.	Dr. Shankar Prasad Kanaujia	Professor, Dept. of BSBE	25.09.2022
14.	Dr. Senthilkumar Sivaprakasam	Professor, Dept. of BSBE	25.09.2022
15.	Dr. Arghyadip Roy	Assistant Professor Gr I Mehta Family School of Data Science & Artificial Intelligence	19.10.2022
16.	Dr. Sayantan Chakraborty	Assistant Professor, Dept. of Civil Engineering	25.10.2022
17.	Dr. Parijat Bhowmick	Assistant Professor Gr I, Dept. of EEE	03.11.2022
18.	Dr. Rinku Kumar Mittal	Assistant Professor Gr I, Dept. of Mechanical Engineering	28.11.2022
19.	Dr. Pankaj Kalita	Associate Professor, School of Energy Science & Engineering	18.11.2022
20.	Dr. Manish Bhatt	Assistant Professor Gr I, Dept. of EEE	01.12.2022

21.	Dr. Sreenath J. G.	Assistant Professor Gr I, Dept. of EEE	01.12.2022
22.	Dr. Avishek Verma	Assistant Professor, Dept. of Civil Engineering	13.12.2022
23.	Dr. Romanbabu Meetei Oinam	Assistant Professor, Dept. of Civil Engineering	16.12.2022
24.	Dr. Biranchi Narayan Panda	Assistant Professor Gr I, Dept. of Mechanical Engineering	18.12.2022
25.	Dr. Kuntal Deka	Assistant Professor Gr I, Dept. of EEE	22.12.2022
26.	Dr. Rajiv Kumar Kar	Assistant Professor Gr I, Jyoti and Bhupat Mehta School of Health Science & Technology	27.12.2022
27.	Dr. Anirban Dasgupta	Assistant Professor Gr I, Dept. of EEE	27.12.2022
28.	Dr. Ankush Bag	Assistant Professor Gr I, Dept. of EEE	30.12.2022
29.	Dr. Manoj B. R.	Assistant Professor Gr I, Dept. of EEE	31.12.2022
30.	Dr. Vivek Padmanabha	Assistant Professor, dept. of Civil Engineering	04.01.2023
31.	Dr. Subrata Pramanik	Assistant Professor Gr I, Jyoti and Bhupat Mehta School of Health Science & Technology	11.01.2023
32.	Dr. Tanmay Dutta	Assistant Professor Gr I, Dept. of EEE	19.01.2023
33.	Dr. Ranjith Thangavel	Assistant Professor, School of Energy Science & Engineering	18.02.2023

**F. Approval to the recommendation of the Departmental Promotion Committee (DPC) for appointment to the post of Senior Accounts Officer held on 07.11.2022**

The Departmental Promotion Committee (DPC) met on 07.11.2022 in the Interview Room of the Director's Office, IIT Guwahati, to interview the candidate(s) for appointment to the post of Senior Accounts Officer in the Pay Matrix Level-08 as per 7<sup>th</sup> CPC (PB-2; ₹ 9300-34800/- Grade Pay = ₹ 4800/- as per 6<sup>th</sup> CPC. against Circular under Ref. No. R&P/174/MODALITY/IR/2020/Vol.II/1680 dated 14.10.2022, the following candidate appeared for the interview and was selected.

Sl.No.	Name of the candidates	Post
1	Mr. Bhupen Nath	Senior Accounts Officer (SAO)

**G. Financial upgradation of staff members under the provision of Modified Assured Career Progression (MACP)**

The following staff members were given Financial upgradation under the provision of Modified Assured Career Progression (MACP):

Sl. No.	Name	Previous Designation & pay Matrix as per 7 <sup>th</sup> CPC	Upgraded Designation & pay Matrix as per 7 <sup>th</sup> CPC
1	Mr. Gautam Barman	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4

2	Ms. Trishna Choudhury	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
3	Ms. Soma Das	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
4	Ms. Aditi Hazarika	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
5	Mr. Biju Jyoti Pathak	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
6	Ms. Parichita Langthasa	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
7	Mr. Riju Mahato	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
8	Ms. Mridusmita Sharma	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
9	Mr. Prashanta Kalita	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
10	Mr. Pranjal Kr. Bhattacharyya	Jr. Asst. Pay Matrix Level-3	Sr. Asst. Pay Matrix Level-4
11	Ms. Taru Saikia	Jr. Attendant Pay Matrix Level-1	Attendant Pay Matrix Level-2

#### H. Engagement letter for the post of part-time Gym Instructor and Part-time Lady Gym Instructor

The Selection Committee meeting for the post of Part-time Gym Instructor and Part-time Lady Gym Instructor against advertisement no. IITG/R/02/2022 dated 09.03.2022 and corrigendum dated 13.06.2022 was held on 17.03.2023 at 12:30 pm in the conference room of Gymkhana office in Old SAC building of the Institute.

The committee submitted its recommendation for the said posts which was approved by the Competent Authority (Director) of the Institute and accordingly following candidates are selected for the post of Part-time Gym Instructor and Part-time Lady Gym Instructor.

Sl. No.	Name of candidates	Post	Pay
1	Mr. Mridul Ray	Part-time Gym Instructor	Rs. 1200/- per day
3	Ms. Sabina Yesmen	Part-time Lady Gym Instructor	Rs. 1200/- per day

#### **Resolution No: R 114 BOG/31/2023**

**RESOLVED to NOTE and ENDORSE** all the items placed in Item No. 31. (A-H)

#### **Item No.32**

**Items for Reporting to the Board for ratification/noting:**

The following items are reported to the Board:

**A. Review Committee Report in respect of Prof. A.K. Ghoshal, Dept. of Chemical Engineering**

NOT FOR PUBLIC DOMAIN

**B. Superannuation of Non-Faculty Members**

Following non-faculty members retired from the service on superannuation as detailed below:

Sl. No.	Name	Dept./Section	Date of Joining	Date of Superannuation
1.	Mr. Sunirmal Bhattacharjee	IPM	31.10.2003	31.12.2022

**C. Superannuation of Faculty members**

The following faculty member retired from the services on superannuation as detailed below:

Sl. No	Name	Date of Joining	Date of Superannuation
1.	Dr. Saundariya Borbora Professor, Humanities and Social Sciences	10.07.1998	30.11.2022

**D. Completion of 8(Eight) weeks training by the 4 Assistant Registrars promoted to Pay Level 11 from**

**Pay level 10:** It is to apprise that 8 weeks training as per recommendation of the Board has been completed by the 4 Assistant Registrar viz a viz Mr Sanjay Mandal, AR (PRBR), Ms. Monalisa Kakati , AR(FA), Ms Nandeeta Salhotra, AR (AER) & Ms Amaya Phukan AR(Directorate) who were promoted from Level 10 to Level 11 as per requirement.

**Resolution No: R 114 BOG/32/2023**

**RESOLVED** to **NOTE** and **ENDORSE** all the items placed in Item No. 32(A-D)

**SECTION- D: Minutes of meetings of Statutory bodies for reporting to the Board**

**Item No .33**

**Minutes of the 164<sup>th</sup>& 165<sup>th</sup> meeting of the Senate of the Institute held on 18<sup>th</sup> November' 2022 & 15<sup>th</sup>, 16<sup>th</sup> February' 2023 respectively.**

The Board **CONSIDERED** the Minutes of the One Hundred Sixty Fourth (164<sup>th</sup>) Meeting of the Senate of the Institute held on 18.11.2022 and One Hundred Sixty Fifth (165<sup>th</sup>) Meeting of the Senate held on 15.02.2023 & 16.02.2023 as placed before the Board in terms of the Section 4(10) of the Statute and resolved as below:

**Resolution No: R 114 BOG/33/2023**

**RESOLVED to NOTE and ENDORSE** the Minutes of the 164<sup>th</sup> and 165<sup>th</sup> meeting of the Senate of the Institute held on 18.11.2022 and on 15.02.2023 & 16.02.2023 which has the following agenda.

164 <sup>th</sup> Meeting of Senate of the Institute		
Item No.	Item	Resolution
1.	Confirmation of the Minutes of the 163 <sup>rd</sup> Meeting of the Senate held on 13 <sup>th</sup> September, 2022	R.164/1/2022: The Senate RESOLVED that the Minutes of the 163 <sup>rd</sup> Meeting of the Senate held on 13 <sup>th</sup> September, 2022 be APPROVED.
2.	Action Taken Report on the decisions of the 163 <sup>rd</sup> Meeting of the Senate held on 13 <sup>th</sup> September, 2022	R.164/2/2022: The Senate RESOLVED that the Action Taken Report on the decisions of the Minutes of the 163 <sup>rd</sup> Meeting of the Senate held on 13 <sup>th</sup> September, 2022 be APPROVED.
3.	To consider the proposal for IIT Guwahati Alumni Awards 2022	R.164/3/2022: The Senate RESOLVED that the proposal for IIT Guwahati Alumni Awards 2022 as mentioned above be APPROVED and RECOMMENDED it to be placed in the BOG for approval.
4.	To consider the proposal for a no class day for Udgam	R.164/4/2022: The Senate RESOLVED that the proposal for a <i>no class</i> day for Udgam on Friday, 20/01/2023 be APPROVED.
5.	To consider the report of the Special purpose committee of the Senate led by Prof. Chitralekha Mahanta.	R.164/5/2022: The Senate RESOLVED that the report of the special purpose committee of the Senate led by Prof. Chitralekha Mahantabe RESUBMITTED and the matter of HS 200 as stated above be APPROVED.
6.	To consider the matter of providing login to the parents on the Academic ERP Portal for viewing the status and progress of their wards.	R.164/6/2022: The Senate RESOLVED that providing login to the parents of 1 <sup>st</sup> and 2 <sup>nd</sup> year undergraduate students on the Academic ERP Portal for viewing the status and progress be APPROVED.
7.	To consider the proposal for Online M. Tech program in Robotics and Artificial Intelligence from the Centre for Intelligent Cyber Physical Systems.	R.164/7/2022: The Senate RESOLVED that the proposal for Online M.Tech program in Robotics and Artificial Intelligence from the Centre for Intelligent Cyber Physical Systems be APPROVED and RECOMMENDED to place the revised proposal to BOG for approval.
8.	To consider the proposal from the Centre for Environment for the following two courses having component of field visit: (i)EV 601 Ecological Field Methods (2-0-2-6) (ii)EV 602 Applied Environmental Microbiology (2-0-2-6)	R.164/8/2022: The Senate RESOLVED that the proposal from the Centre for Environment for the following two courses having component of field visit: (i) Ecological Field Methods (2-0-2-6) and (ii) Applied Environmental Microbiology (2-0-2-6) be APPROVED as stated above.

9.	To consider the matter of leave rules of research scholars.	R.164/9/2022: The Senate RESOLVED that the matter of leave rules of research scholars be APPROVED.
10.	To consider the matter of PhD course work for the students of the Department of Physics	R.164/10/2022: The Senate RESOLVED that the matter of PhD course work for the students of the Department of Physics be APPROVED as stated above.
11.	To consider the MoU for the Joint PhD programme between IIT Guwahati and Deakin University, Australia	R.164/11/2022: The Senate RESOLVED that the MoU for the Joint PhD programme between IIT Guwahati and Deakin University, Australia be APPROVED and RECOMMENDED to place the matter to BOG for approval.
12.	To consider the proposals for two Joint PhD programmes. One each between IIT Guwahati and (i) Western Sydney University, Australia; (ii) London South Bank University, UK.	R.164/12/2022: The Senate RESOLVED that the proposals for the Joint PhD programmes one each between IIT Guwahati and (i) Western Sydney University, Australia and (ii) London South Bank University, UK be APPROVED <i>in-principle</i> and RECOMMENDED to place the matter to BOG for approval.
13.	To consider the proposal for a new BTech programme in Agro and Rural Technology along with its curriculum.	R.164/13/2022: The Senate RESOLVED that the proposal for a new BTech programme in Agro and Rural Technology be RESUBMITTED as stated above.
14.	To consider the proposal for enabling an option for semester-visit to an external organization for pursuing project or internship by undergraduate students	R.164/14/2022: The Senate RESOLVED that the proposal for enabling an option for semester-visit to an external organization for pursuing project or internship by undergraduate students be APPROVED.
15	To consider the list of graduating students who have completed their requirement for award of PhD Degree after the 24th Convocation dated 17th June 2022 till 14th October 2022 for the award of degrees	R.164/15/2022: The Senate RESOLVED that the approval on the list of graduating students who have completed their requirement for award of PhD Degree after the 24th Convocation dated 17th June 2022 till 14 <sup>th</sup> October 2022 be RATIFIED.
16 a	To report about granting permission for Semester leave/drop or Non-Academic Leave	R.164/16(a)/2022: The Senate RESOLVED that granting permission for Semester drop for Two (02) students be NOTED.
16 b	Appointment of Joint Supervisors from External Organization	R.164/16(b)/2022: The Senate RESOLVED that granting permission for appointment of Joint Supervisors from External Organization for Three (03) students be NOTED.
16 c	Reinstatement of Mr. Yashwanth Mourya Kagana, B.Tech, Dept. of BSBE	R.164/16(c)/2022: The Senate RESOLVED that the matter of Reinstatement of Mr. Yashwanth Mourya Kagana, B.Tech, Dept. of BSBE be NOTED.

16 d	To report about the IADC decisions dated 14.10.2022	R.164/16(d)/2022: The Senate RESOLVED that the decisions of the IADC meeting dated 14.10.2022 be NOTED.
16 e	To report from Joint meeting of 123 <sup>rd</sup> IPPC and 128 <sup>th</sup> IUPC	R.164/16(e)/2022: The Senate RESOLVED that the approval on the above matter Joint meeting of the 123 <sup>rd</sup> IPPC and 128 <sup>th</sup> IUPC held offline on 28 <sup>th</sup> September and 14 <sup>th</sup> October, 2022 be NOTED.
16 f	To report about formation of a Senate-Committee on the matter of certificate courses at IITG	R.164/16(f)/2022: The Senate RESOLVED that formation of a Senate-Committee on the matter of certificate courses at IITG be NOTED.
16 g	To report about No Class Day due to the visit of the Honorable President of India, and the Visitor of IIT Guwahati, Smt. Droupadi Murmu to IIT Guwahati	R.164/16(g)/2022: The Senate RESOLVED that the matter about No Class Day due to the visit of the Honorable President of India, and the Visitor of IIT Guwahati, Smt. Droupadi Murmu to IIT Guwahati be NOTED.
16 h	Completion of PhD by Research Scholars	R.164/16(h)/2022: The Senate RESOLVED that the list of Research Scholars who have completed their PhD programmes after 31.08.2022 till 30.10.2022 be NOTED.
16 i	To report about formation of a committee of the Senate.	R.163/13(l)/2022: The Senate RESOLVED that the formation of a committee of the Senate be NOTED.
16 j	Change/addition of Supervisors	R.164/16(j)/2022: The Senate RESOLVED that Change/addition of Supervisors of Eight (08) Ph. D Students be NOTED.
17	The matter of involving Mehta Family School of Data Science and Artificial Intelligence in offering MTech (Data Science) programme	R.164/17/2022: The Senate RESOLVED that the matter of involving Mehta Family School of Data Science and Artificial Intelligence in offering MTech (Data Science) programme be NOTED.
The Agenda Items of the 165 <sup>th</sup> Senate Meeting and their resolutions are as follows: 165 <sup>th</sup> Meeting of Senate of the Institute		
Item No.	Item	Resolution
1	Confirmation of the Minutes of the 164 <sup>th</sup> Meeting of the Senate held on 18 <sup>th</sup> November, 2022.	R.165/1/2023: The Senate RESOLVED that the Minutes of the 164 <sup>th</sup> Meeting of the Senate held on 18 <sup>th</sup> November, 2022 be APPROVED.
2	Confirmation of the minutes of the matter submitted to the Senate, by circulation, related to the selection of a new nominee of the Senate to the Board of Governors.	R.165/2/2023: The Senate RESOLVED that the minutes of the matter submitted to the Senate, by circulation, related to the selection of a new nominee of the Senate to the Board of Governors be APPROVED.
3	Action Taken Report on the decisions of the 164 <sup>th</sup> Meeting of the Senate held on 18 <sup>th</sup> November, 2022.	R.165/3/2023: The Senate RESOLVED that the Action Taken Report on the



		decisions of the Minutes of the 164 <sup>th</sup> Meeting of the Senate held on 18.11.2022 and action taken on Item 3 of 162 <sup>nd</sup> Meeting of the Senate held on 10.06.2022 be APPROVED.
4	To consider the matter of the 25 <sup>th</sup> Convocation of the Institute	R.165/4/2023 : The Senate RESOLVED that, proposal to hold the 25 <sup>th</sup> Convocation in two sessions, for UG students in the forenoon and for PG students in the afternoon session, on the same day and finalization of the date of the Convocation as stated above be APPROVED.
5	To consider the results of Monsoon Semester, 2022	R.165/5/2023: The Senate RESOLVED that the results of Monsoon Semester, 2022 be APPROVED.
6	To consider the Seat Matrix for the Academic Year 2023-24.	R.165/6/2023 :The Senate RESOLVED that the Seat Matrix for the Academic Year 2023-24 be APPROVED.
7	To consider the course feedback report of Monsoon Semester, 2022.	R.165/7/2023: The Senate RESOLVED that the Course Feedback Report of Monsoon Semester, 2022 be APPROVED.
8	To consider the report, along with the feedback, of the Senate-Committee led by Prof. Chitralekha Mahanta.	R.165/8/2023 : The Senate RESOLVED that the report of the Senate-Committee led by Prof. Chitralekha Mahanta be APPROVED.
9	To consider the proposal, along with the feedback, on giving credits for students' entrepreneurship activities.	R.165/9/2023 :The Senate RESOLVED that the proposal on giving credits for students' entrepreneurship activities be APPROVED.
10	To consider the report of the Senate-Committee constituted for suggesting modalities of various certificate courses	R.165/10/2023 :The Senate RESOLVED that the modalities for offering certificate courses at the Institute and the composition of the standing committee as stated above be APPROVED and RECOMMENDED to place the matter to BoG for approval.
11	To consider the proposal on measures to prevent malpractices in the examinations	R.165/11/2023: The Senate RESOLVED that the proposal on measures to prevent malpractices in the examinations be NOT APPROVED.
12	To consider the proposal on modifications to the supplementary examination system.	R.165/12/2023 :The Senate RESOLVED that the proposal on modifications to the supplementary examination system be RESUBMITTED.
13	To consider the proposal on the number of credits for UG project to be pursued at an external organization	R.165/13/2023 :The Senate RESOLVED that the proposal on the number of credits for UG project to be pursued at an external organization be APPROVED.
14	To consider the matter of offering the courses HS 200 Sustainable Development Goals	R.165/14/2023 : The Senate RESOLVED that offering the courses HS 200

		Sustainable Development Goals in hybrid mode only in the current semester be APPROVED as per the aforementioned details.
15	To consider the proposal for revision of the curriculum for B.Tech in Energy Engineering and the proposal for syllabi	R.165/15/2023 :The Senate RESOLVED that the proposal for revision of the curriculum for B.Tech in Energy Engineering along with syllabi to be applicable for 2022 batch onwards be APPROVED.
16	To consider the proposal for minor revision of course structure for B. Des.	R.165/16/2023 :The Senate RESOLVED that proposal for minor revision of course structure for B.Des be APPROVED.
17	To consider the proposal from the Dept. of Mathematics for offering Swayam NPTEL-MOOC course	R.165/17/2023 : The Senate RESOLVED that the proposal from the Dept. of Mathematics for offering Swayam NPTEL-MOOC course be APPROVED.
18	To consider the course mapping for Mr. Sumedh Manwar (Roll No. 160101074), a student of B.Tech. in CSE	R.165/18/2023 : The Senate RESOLVED that the course mapping for Mr. Sumedh Manwar (Roll No. 160101074), a student of B.Tech. in CSE be APPROVED.
19	To consider the list of graduating students for the award of Ph.D degrees who have completed their requirements after 14th October 2022 till 14 <sup>th</sup> February 2023	R.165/19/2023 : The Senate RESOLVED that the list of graduating students for the award of Ph. D degrees who have completed their requirements after 14 <sup>th</sup> October 2022 till 14 <sup>th</sup> February 2023 be APPROVED and RECOMMENDED to the BoG.
20	To consider the proposal for a new specialization, viz., Regenerative Medicines, Stem Cells and Therapeutics, of M. Tech in Biomedical Science and Engineering	R.165/20/2023 : The Senate RESOLVED that the proposal for starting a new specialization, viz., Regenerative Medicines, Stem Cells and Therapeutics, of M.Tech in Biomedical Science and Engineering be APPROVED and RECOMMENDED to place in the BoG. Further, the Senate RESOLVED that the curriculum of the new specialization be revised and RESUBMITTED.
21	To consider the appeal of an M. Tech student Ms. Shruti Singh of the department of Civil Engineering	R.165/21/2023 :The Senate RESOLVED that counting the current semester towards the requirement of M.Tech programme for Ms. Shruti Singh, Department of Civil Engineering, while availing the maternity leave be APPROVED as a special case.
22	To consider the proposal from the AER on joint supervision of PhD thesis agreement with Universite Gustave Eiffel, France	R.165/22/2023 : The Senate RESOLVED that the proposal from the AER on joint supervision of PhD thesis agreement with Universite Gustave Eiffel, France, as stated above be APPROVED
23 A1	To consider the proposal for starting the Ph. D programme in the Centre for Drone Technology.	R.165/23A1/2023 : The Senate RESOLVED that the proposal for starting the Ph.D programme in the Centre for

		Drone Technology be APPROVED and RECOMMENDED to place the matter to BOG for approval.
A2	To consider the proposal for starting the Ph. D programme in the Centre for Sustainable Water Research.	R.165/23A2/2023 : The Senate RESOLVED that the proposal for starting the Ph.D programme in the Centre for Sustainable Water Research be APPROVED and RECOMMENDED to place the matter to BOG for approval.
A3	To consider a proposal for a panel of experts for the Senate Nominee in the selection committee for the post of Workshop Superintendent.	R.165/23A3/2023 : The Senate RESOLVED that the proposal for a panel of experts for the Senate Nominee in the selection committee for the post of Workshop Superintendent, as mentioned above, be APPROVED.
A4	To consider the reinstatement appeal of Ms. Sonam Gariya (Roll No. 226123016), PhD, Dept. of Mathematics.	R.165/23A4/2023 : The Senate RESOLVED that the reinstatement appeal of Ms. Sonam Gariya (Roll No 226123016), PhD, Mathematics be APPROVED for registration to Monsoon Semester, 2023.
A5	To report about the nomination of three (03) new External Members to the Senate.	R.165/23A5/2023 : The Senate RESOLVED that the nomination of three (03) new External members to the Senate be NOTED.
A6	To report about the selection of a Nominee of the Senate to the BoG as the term of Prof. Pranab Goswami has ended on 31.12.2022.	R.165/23A6/2023 : The Senate RESOLVED that the selection of Prof. Mohammad Jawed, Department of Civil Engineering as a Nominee of the Senate to the BoG be NOTED.
A7	To consider the proposal from the Department of Chemistry for conducting examinations in the computer laboratory for the courses CH 332, CH 400, CH 637 and CH 644.	R.165/23A7/2023 : The Senate RESOLVED the request from the Department of Chemistry for conducting computer based examinations for the courses CH 332, CH 400, CH 637 and CH 644 be APPROVED.
24 A	Addition/change of Supervisors.	R.165/24(a)/2023 : The Senate RESOLVED that Addition/change of Supervisors for 21 students be NOTED.
b	Semester extension for Ph .D students (Ph. D Form- 29).	R.165/24(b)/2023 : The Senate RESOLVED that granting permission for Semester extension in favour of Fifty-Three (53) PhD students be NOTED
c	To report about granting permission for Semester leave/drop or Non-Academic Leave.	R.165/24(c)/2023 : The Senate RESOLVED that granting permission for Semester drop in favour of one (01) PhD students be NOTED.
d	Appointment of Joint Supervisors from external organization.	R.165/24(d)/2023 : The Senate RESOLVED that Appointment of Joint Supervisors from external organization in

		favour of Nine (09) PhD students be NOTED.
e	To report the approval of SDC recommendations.	R.165/24(e)/2023 : The Senate RESOLVED that the approval of SDC recommendations against Six (06) students be NOTED.
f	To report about deferring the course DD 203 to Winter Semester, 2023	R.165/24(f)/2023: The Senate RESOLVED that the matter about deferring the course DD 203 to Winter Semester, 2023 be NOTED.
g	To report about the formation of a senate-committee on the proposal of module system for classes at the department of Design.	R.165/24(g)/2023 : The Senate RESOLVED that the formation of a senate-committee on the proposal of module system for classes at the department of Design be NOTED.
h	To report about the approval of IADC recommendations on 14 students involved in malpractices during the end-semester examinations of Monsoon Semester, 2022.	R.165/24(h)/2023 : The Senate RESOLVED that the approval of IADC recommendations on 14 students involved in malpractices during the end-semester examinations of Monsoon Semester, 2022 be NOTED.
i	To report the matters from the joint meeting of 124th IPPC and 129th IUPC held on 10th Nov 2022.	R.165/24(i)/2023 : The Senate RESOLVED that the matters from the joint meeting of 124th IPPC and 129th IUPC held on 10th Nov 2022 be NOTED.
j	To report the matters from the joint meeting of 125th IPPC and 130th IUPC held on 13th Dec 2022.	R.165/24(j)/2023 : The Senate RESOLVED that the matters from the joint meeting of 125th IPPC and 130th IUPC held on 13th Dec 2022 be NOTED.
k	To report the matters from joint meeting of 126th IPPC and 131st IUPC held on 27th Dec, 2022.	R.165/24(k)/2023 : The Senate RESOLVED that the matters from joint meeting of 126th IPPC and 131st IUPC held on 27th Dec, 2022 be NOTED.
l	To report the matters from the meeting of 127th IPPC on 30th January 2023	R.165/24(l)/2023 : The Senate RESOLVED that the matters from the meeting of 127th IPPC on 30th January 2023, 2022 be NOTED.
m	To report about the reinstatement or cancellation of studentship due to less CPI after Monsoon Semester, 2022.	R.165/24(m)/2023 :The Senate RESOLVED that the reinstatement or cancellation of studentship due to less CPI after Monsoon Semester be NOTED.
n	Completion of Ph.D by Research Scholars.	R.165/24(n)/2023 :The Senate RESOLVED that the list of Research Scholars who have completed their PhD programmes after 30.10.2022 till 14.02.2023 be NOTED.

#### **Item No.34**

##### **Building Infrastructure through PPP mode and availing Viable Gap Funding (VGF) scheme of the Ministry of Finance, Govt of India.**

The Ministry of Education in a meeting with all centrally funded institutions, advised the institutions to consider building infrastructure, especially hostel space, through a PPP mode. In this scheme, essentially, Institute land is allotted to a private partner to build a hostel at their cost. The partner then operates the hostels, collects rent and recoups its investment. The private partner can develop commercial assets independent of the proposed student housing on the additional land provided. Commercial assets such as hotel, Conference centre, retail stores, stadium, gyms, laundry services etc can be developed. The returns generated from these projects can cross subsidize the student housing project.

If there is a shortfall, Viable Gap Funding (VGF) scheme of the Ministry of Finance, Govt. of India can be applied for getting partial funding for the project. The project highlights are given in the note circulated by MoE [Annexure 26-Ministry of Finance FNo 10/3/2018-PPP dated 04.03.2022]

Presently the Institute has a plan for construction of 4 (four) nos, 1000 capacity hostel at an approximate cost of Rs. 600.00 crores. Institute is considering for constructing these 4(four) hostels through PPP mode as mentioned above.

The matter was also placed in the 52<sup>nd</sup> meeting of the Finance Committee scheduled to be held preceding this BOG meeting.

The Board is requested to approve the proposal of Building Infrastructure through PPP mode and availing Viable Gap Funding (VGF) scheme of the Ministry of Finance, Govt of India as per recommendation of the Finance Committee.

The Board deliberated and resolved as below:

#### **Resolution No: R 114 BOG/34 /2023**

**RESOLVED to** give in principle approval to build infrastructure through PPP mode as above as per recommendation of the 52<sup>nd</sup> meeting of Finance Committee vide Resolution R 52\_FC/Addl. 1/2023.

#### **Item No:35**

**To consider and approve the recommendation of the committee to fix the license fee/lease amount of IIT Guwahati Research park foundation**

Based on the recommendation of the committee constituted to finalize the license fee/lease amount with IIT Guwahati Research Park Annexure-27 (pg.100-101) the financial committee in its 52<sup>nd</sup> meeting recommended the following rates:

Net Revenue of Research Park for the financial year	License fee/lease amount for the financial year
0 to15% of the total revenue*	10% of the net revenue**
15 to 30% of the total revenue*	20% of the net revenue**
30 to 50% of the total revenue*	30% of the net revenue**
Above 50% of the total revenue*	40% of the net revenue**

\*Total Revenue = Total Income earned by Research Park in a Financial Year

\*\*Net Revenue = (Total Income – Total Expenditure) during the Financial Year.

The extract of the minutes of the meeting of the said finance committee on the matter is attached Annexure-28(pg102).

The Board is requested to consider and approve the said proposal as per recommendation of the Finance Committee.

The Board resolved as below:

**Resolution No: R 114 BOG/35 /2023**

**RESOLVED** the proposal of fixing the license fee / lease amount of Research Park based on positive net revenue of the Research Park during a financial year as proposed be **APPROVED** as per recommendation of the 52<sup>nd</sup> meeting of the Finance Committee Resolution R 52\_FC/Addl. 2/2023.

**Item No:36**

**To consider and approve the proposal of amendment of the members of the Institute Central Disposal Committee (ICDC) in the Clause 3.1 and wherever applicable in the “Disposal Manual of IIT Guwahati 2011”**

The Board was apprised that some modifications are proposed in the Clause 3.1 in the Disposal Manual of IIT Guwahati, 2011 where the members of ICDC are mentioned as **Establishment-(AR/DR), Member, Finance & Accounts-(AR/DR), Member and S&P-(AR/DR), Convener** it is amended to

replace AR/DR by Head of the Section (HoS) in the Institute Disposal Committee (ICDC) and wherever applicable in the manual keeping the other members of ICDC (I.e Deputy Director - Chairman and Representative - from indenting department /centre/section/hostel etc ) as the same.

The Board is requested to approve the same.

The Board resolved as below:

**Resolution No: R 114 BOG/36 /2023**

**RESOLVED** that the proposal of amendment in the clause 3.1 and wherever applicable in the Disposal Manual of IIT Guwahati 2011 by replacing AR/DR by Head of the Section (HoS) be **APPROVED**.

**Item No.37**

**To consider the matter of recovering of subsistence allowance during the period of unauthorized absence of Prof. Alope Kr. Ghoshal**

**NOT FOR PUBLIC DOMAIN**

**Resolution No: R 114 BOG/37 /2023**

**NOT FOR PUBLIC DOMAIN**

**Item No:38**

**Date of movement of selected Professor to Pay Level 15 (Earlier HAG Scale)**

Currently, the date movement of selected Professor to Pay Level 15 is the date meeting of the standing committee.

The current procedure for the selection to Pay Level 15 (Earlier HAG Scale) was approved in 103rd meeting held on 15.07.2020. With the newly adopted procedure, the last call for the application was done with 31.12.2020 as deadline. In the same procedure, it was proposed that IIT Guwahati would seek applications from eligible Professor once a year. However, after that the next call was made only in March 2023. Due to this delay, several eligible professors could not get opportunity to move to a higher scale on the eligible time not due to their fault.

Similar delay will affect the eligible professor to move to higher scale in future also. In order to remove this anomaly, it is proposed that now onwards (i.e. for all the applications received after 31.12.2020) the standing committee shall calculate the marks scored by the professor for the first 6 years, thereafter the cumulative marks shall be calculated every successive year. Date of movement of selected Professor to Pay Level 15 shall be fixed from the year the marks scored by the selected professor is more or equal to cut off mark decided by the standing committee for the movement of professor(s) to Pay level 15 in the respective standing committee meeting. However, the retrospective date of the movement to Pay level 15 in the respective selection shall not be earlier

than the eligible date (Cut-off date) used to call the applications in the previous selection. Details are briefed with illustrative example in Annexure 32 (Pg.103)

The Board deliberated the matter and noted that though Professors may be eligible for the movement of the earlier date and the delay in call for the application denied them the opportunity to move to a higher scale on the eligible time. Then the board passed the following resolution

**Resolution No: R 114 BOG/38 /2023**

**RESOLVED** that the proposal of “date of movement of selected Professor to Pay Level 15 shall be fixed from the year the marks scored by the selected professor is more or equal to cut off mark decided by the standing committee for the movement of professor(s) to Pay level 15 in the respective standing committee meeting” as proposed in item 38 **be Approved**. However, the date of the movement to Pay level 15 in the respective selection shall not be earlier than the eligible date (Cut-off date) used to call the applications in the previous selection.

Further **RESOLVED** that the concerned section shall complete the selection process within three months from the last date of receiving the application.

**Item No 39**

**Report of the committee constituted to examine the audit para titled "irregular allowance of increment to newly recruited faculty members resulted in overpayment".**

The committee constituted as per Annexure-33 (pg.104) met on 10.01.2023, 13.03.2023 and 24.03.2023 to review the documents (Annexure-34 (pg.105-153) provided by Faculty Affairs, including the original audit para, subsequent correspondences between the Institute, C&AG, and MoE, and the decisions of the Finance Committee and BoG. The committee also studied some related government rules and court orders {Annexure-35 (pg. 154 to 176)}, and made the following recommendations:

1. Although internal faculty candidates for higher faculty positions in IITG were appointed through direct recruitment, due to their continuous service and other reasons, their recruitment should be deemed promotion in true spirit. Therefore, the Administrative Ministry (MoE) may be approached to uphold the decision of the BoG to use Clause FR 22(l)(a)(1) of the FRSR Rules of GoI to remove the anomalies that occurred during the 6<sup>th</sup> pay period (approx. 2008-2018). The 63<sup>rd</sup> meeting of the BoG resolved (Resolution R 63/27/2010) that the Ministry's concurrence in this regard be sought. The Institute may follow up with the Ministry on this.
2. If the above is not successful, re-fixation of salaries for faculty members who opted for two



increments on 1<sup>st</sup> July in the year of appointment (during the 6<sup>th</sup> pay period) may be carried out. However, they may be provided the option of using of the rule of stepping up of pay (DoPT OM No. 4/3/2017-Estt (Pay-I) and similar such earlier OMs given in Annexure-36 (pg.177-184) to minimize the scope of re-fixation. They may be provided information on the salaries of junior colleagues to facilitate the exercise of the option.

3. DoPT's OM No 18/26/2011-Estt (Pay-I) dated 06.02.2014 and DoPT's OM No. 18/03/2015-Estt (Pay-I) dated 02.03.2016, stipulate situations wherein **recoveries of excess payments would be impermissible in law**. These include "recovery from employees, when the excess payment has been made for a period in excess of five years, before the order of recovery is issued". This would apply to most of the employees identified as having received excess payments. In accordance with the OM, waiver of recovery for them may be pursued with the Administrative Ministry to process the same with the express approval of the of Department of Expenditure.
4. In accordance with the OM of DoPT cited-above, if "the authorities decide to rectify the incorrect order (through re-fixation for all who opted for two increments and recovery for those who received excess payments for at most five years) a notice may be issued to the concerned employees informing them of the decision. The reasons for the decision should be clearly conveyed to enable the employees to represent against the same. Speaking orders may thereafter be passed after consideration of the representations, if any, made by the employees."
5. After exercising the above options, if at all any amount is to be recovered from any of the employees, the Institute may, in a one-time largesse, pay the same from the Internal Revenue Generation.

Therefore, to avoid anomalies such as these, the Institute may formulate rules for using a "composite method of recruitment" (as per DoPT OM No. AB.14017/48/2010-Estt. (RR) dated 31.12.2010) by which the internal faculty candidates can be appointed to higher faculty positions by promotion.

The Board deliberated the matter and noted that the recovery and re-fixation of pay as suggested by the audit was quashed by Kolkata High court in a similar case WPA No.9999 of 2022 Srabani Taraphder Vs IIT Kharagpur & Ors where it was stated that." As excerpted from the observation of the Director General of Audit, the Director General of Audit made the observation/recommendation after conducting test check of records of newly appointed faculty members. The observation does not reflect that the Director Genral of Audit of Audit after scrutinising all the relevant factors of the pay of the petitioner came to the aforesaid inference. The recommendation of the

Director General of Audit cannot be termed as an enquiry report.” The Copy of the Calcutta High Court Judgement & order dated 14.12.2022 (WPA No 9999 of 2022 Srabani Taraphder Vs IIT Kharagpur) is given at Annexure- 35

**Resolution No: R 114 BOG/39 /2023**

**RESOLVED** that the Director/Officiating Director is authorized to do the needful for scrutiny of the pay fixation be **APPROVED**.

**Item No.40**

**Conflicting position of CVO and DoFA**

Prof. T. Punniyamurthy, who is the Dean of Faculty Affairs (DoFA) and Chief Vigilance Officer (CVO), raised the issue that whether DoFA (Prof. T. Punniyamurthy) being a CVO can be part of the selection committee of faculties to Director via a note. The board may deliberate and take appropriate decision.

The board deliberated the matter and noted that Prof. T. Punniyamurthy is holding the position DoFA and CVO positions those have conflict of interest. A person should not hold two position those have conflict of interest.

**Resolution No: R 114 BOG/40 /2023**

**RESOLVED** that as Prof. T. Punniyamurthy CVO appointed by the MOE is a highly responsible & prestigious position, therefore he shall relinquish the post of Dean of Faculty Affairs, that have conflict of interest.

Further **RESOLVED** that if Prof. Punniyamurthy does not relinquish the position of DoFA after intimation of board resolution then he shall not involve in any recruitment/selection and related activities and authorized the Director/Officiating Director to take appropriate steps/action that deem fit in this regard.

**Item No 41**

**Appeal received from Mr. Chandra Mohan Shakya, Mr Yuvraj Siddhartha, Mr. Vidya Bhushan, Mr Mahendra Patel and Mr Vivek Kumar against disciplinary action taken in connection to the incident of 5 PHD students engaged in physical and verbal abuse with two security staff at Kameng hostel**

**NOT FOR PUBLIC DOMAIN**

**Resolution No: R 114 BOG/41 /2023**

**NOT FOR PUBLIC DOMAIN**

**The meeting ended with a vote of thanks to the Chair.**

**Prof. G. Krishnamoorthy  
Registrar, Secretary  
Board of Governors  
IIT Guwahati**

## ANNEXURE-3

### Action Taken Status of the decision of 113<sup>TH</sup> Meeting of the Board of Governors held on 29.10.2022

Item No.	Items	Resolution	Action Taken
Item No.1A	To consider the confirmation of the Minutes of the 112 <sup>th</sup> (Special) meeting of the Board of Governors of the Institute held on 11.08.2022	<b>Resolution No: R 113 BOG/01(A) /2022</b> <b>RESOLVED</b> that the minutes of the 112 <sup>th</sup> (Special) Meeting of the Board of Governors of the Institute held on 31.03.2022 be <b>CONFIRMED</b> as given as <b>Annexure-1(pg 3-18)</b> except Item 4 &9 which will be placed in the subsequent BOG meeting for confirmation after receipt of due concurrence/decision from Ministry of Education on the matter.	Noted for record
Item No.1B	To confirm the item- Matter of recruitment w.r.t. Mr. Dipankar Das (W.P.(C) 7342/2017) approved by BOG by Circulation	NOT FOR PUBLIC DOMAIN	
Item No. 2	To consider the Action Taken Report (ATR) on the decisions of the 112 <sup>th</sup> Meeting of the Board of Governors of the Institute held on 11.08.2022 and on the decision taken by BOG by Circulation on Dipankar Das(Case No (W.P.(C) 7342/2017)	NOT FOR PUBLIC DOMAIN	
Item No.3	To consider the recommendation of the Fifty First (51 <sup>st</sup> ) Meeting of the Finance Committee scheduled on 29.10.2022	<b>Resolution No: R 113 BOG/03 /2022</b> <b>RESOLVED</b> that the recommendation of the 51 <sup>st</sup> meeting of the Finance Committee held on 29.10.2022 preceding the Board meeting be <b>APPROVED</b> as per <b>Annexure-5</b> (Pg31-38.).	NOTED
Item No.4	To consider and approve the submission of the Separate Audit Report Along with Annual Accounts of IIT Guwahati for the Financial Year 2021-22 to Ministry of Education for Placing the same in the Parliament	<b>Resolution No: R 113 BOG/4 /2022</b> <b>RESOLVED</b> that the recommendation of the Finance committee regarding approval of the Separate Audit Report (SAR) along with the Annual Accounts and sending the printed version of Audit Report for the year 2021-22 to the Ministry for placing it before the Parliament be <b>APPROVED</b> .	The Audit Report for the financial year 2021-22 has already been forwarded to the Ministry for placing the same before the Parliament
Item No.5	To consider the Annual Report of IIT Guwahati for the year 2021-22	<b>Resolution No: R 113 BOG/05 /2022</b> <b>RESOLVED</b> that the Annual Report of the Institute for the year 2021-22 be <b>APPROVED</b> for tabling before the Parliament.	The copy of the Annual Report 2021-22 has been sent for printing so that the same may be sent to MOE to be tabled before the Parliament
Item No.6	Status report on the filling of backlog vacancies	<b>Resolution No: R 113 BOG/6 /2022</b> The Board <b>NOTED</b> the status report on the filling of backlog vacancies as submitted. In this connection, the	NOTED

		<p>Director has apprised that the Secretary, MoE vide his D.O letter dated 29<sup>th</sup> September, 2022 has advised to take up various measures to fill up vacant posts (both Direct recruitment and Promotion) in Teaching and Non-teaching posts in a time bound manner latest by 31<sup>st</sup> July, 2023. Accordingly, the BoG instructs all concerned sections to initiate urgent action on this matter for compliance of the said instructions of the MoE. Any issues need the decision of the MoE should be compiled and be taken up with the Ministry within one month and a Nodal Officer may be nominated by the Director to monitor the same in addition to the mission mode Recruitment.</p> <p>Similarly, the Director has also apprised that the MoE vide their letter dated 14.10.2022 has asked to forward proposal to the Ministry to seek approval from the Ministry of Finance for increase in sanctioned strength and creation of posts in r/o Faculty and Non-faculty posts based on the criteria. The BoG has instructed to need immediate action for compliance of the said instructions of the MoE.</p>	
Item No.7	Preparation of guidelines for Faculty Awards	<p><b>Resolution No: R 113 BOG/7 /2022</b>  <b>RESOLVED</b> to <b>APPROVE</b> the revised guidelines for Faculty Awards as placed as <b>Annexure-6 (pg 39-41)</b> for implementation from IDF fund of the Institute and as per extant rules and guidelines, until any other guidelines received from the Ministry on the matter.</p>	Implementation of Faculty awards is kept in abeyance in view of MOE instruction F NO M11018/08/2020-CDN dated 31.10.2022.
Item No.8	Pay fixation of Dr.Prabir Barooah, Dept. of Electronics & Electrical Engineering	<p><b>Resolution No: R 113 BOG/08/2022</b>  <b>RESOLVED</b> that the proposal to grant and place Prof. Prabir Barooah Professor Dept of EEE in the higher cell of Pay Level 14A, with three additional increment [i.e AP level 14A, Cell No 3 (1,68,800/-)] thus providing benefits for his experience as a Professor before joining to IIT Guwahati and at par with the pay fixed for any other Professor, who comes from a recognized Indian University be <b>APPROVED</b> subject to confirmation by the Finance Committee through Circulation.</p>	As Ministry commented to route this tem through Finance Committee an Agenda item by circulation for Pay fixation of Dr Prabir Barooah was circulated to all the members of the Finance Committee. But the comment from MoE, DHE IFD is yet to be received. Hence, the item will be included in the Agenda item of next Finance Committee Meeting.
Item No.9	To consider the proposal for setting up an academic centre, viz., Centre for Sustainable Water Research	<p><b>Resolution No: R 113 BOG/9/2022</b>  <b>RESOLVED</b> to <b>APPROVE</b> the recommendation of the 163<sup>rd</sup> meeting of Senate to consider the proposal for</p>	<ul style="list-style-type: none"> <li>The proposal for starting the Ph.D programme in the Centre for Sustainable Water</li> </ul>

		setting up an academic centre, viz., Centre for Sustainable Water Research.	Research has been approved in the 165 <sup>th</sup> Senate meeting held on 15 <sup>th</sup> and 16 <sup>th</sup> February, 2023. <ul style="list-style-type: none"> <li>The same is put up to the 114<sup>th</sup> BoG for approval.</li> </ul>
Item No.10	To consider the proposal for establishing the Center for Drone Technology	<b>Resolution No: R 113 BOG/10/2022</b> <b>RESOLVED</b> to <b>APPROVE</b> the resolution R.163/14(a)/2022 of the 163 <sup>rd</sup> meeting of Senate to establish a new Academic Centre, viz., Centre for Drone Technology, as per the revised proposal as mentioned above	<ul style="list-style-type: none"> <li>The proposal for starting the Ph.D programme in the Centre for Drone Technology has been approved in the 165<sup>th</sup> Senate meeting held on 15<sup>th</sup> and 16<sup>th</sup> February, 2023.</li> <li>The same is put up to the 114<sup>th</sup> BoG for approval.</li> </ul>
Item No.11	To consider the list of graduating students who have completed their PhD Degree programme after the 24th Convocation from 17th June 2022 till 14th October 2022 for the award of degrees	<b>Resolution No: R 113BOG/11/2022</b> <b>RESOLVED</b> to <b>APPROVE</b> the list of graduating students as given in the <b>Annexure -10</b> who have completed their PhD Degree programmes after the 24th Convocation from 17th June 2022 till 2 <sup>nd</sup> week of October 2022 for the award of degrees.	Degrees are issued
Item No.12	Enhancement of Ceiling limit of critical disease from ₹ 2.5 Lakhs to ₹ 5.0 Lakhs under Clause 6.2 of the Medical Attendance Rules of the Institute.	<b>Resolution No: R 113BOG/12/2022</b> <b>RESOLVED</b> that the decision of the FC vide Resolution R 51_FC/9/2022 made in this regard may be acted upon.	The matter has to be taken up with the Ministry
Item No.13	Baseless Allegation and False Complaints received from Dr Sandeep Pahaal colluding with Dr. B K Rai on BoG, Director and Faculty /administration of IITG and similar complaints and threat emails by Dr. B K Rai (Ex-Asst. Professor of IITG)	NOT FOR PUBLIC DOMAIN	
Item No.14	Appeal of Raju Prajapati (Roll No 204462004) against penalties imposed upon him by SDC	NOT FOR PUBLIC DOMAIN	
Item No.15	Compliance of the Hon'ble High Court order for case No WP ©/7342/2017 as per which the writ petitioner Mr. Dipankar Das would have to be construed to be the second selected candidate instead of respondent No 4 Mr. Sunirmal Bhattacharjee (Matter of Dipankar Das - W.P. (C) 7342 of 2017)	NOT FOR PUBLIC DOMAIN	
Item No.16	Inquiry Committee in the matter of complaints filed by Dr. Rajesh	NOT FOR PUBLIC DOMAIN	

	Kumar Srivastava		
Item No.17	Adoption of University Grants Commission (prevention, prohibition and redressal of Sexual harassment of women employees and students in higher educational Institutions) Regulations,2015 for implementation in IITG in totality	<b>Resolution No: R 113BOG/17/2022</b> <b>RESOLVED</b> that the proposal of Adoption of University Grants Commission (prevention, prohibition and redressal of Sexual harassment of women employees and students in higher educational Institutions) Regulations,2015 for implementation in IITG in totality be <b>APPROVED</b> .	Office order has been issued and uploaded in the notice board of the Institute.
Item No.18	Transformation of Awards & Decorations	<b>Resolution No: R 113 BOG/18/2022</b> <b>RESOLVED</b> to <b>DEFFER</b> this item till instructions received from MoE.	Differed
Item No. 19	Empanelment of Advocates for legal support	<b>Resolution No: R 113BOG/19/2022</b> The Board approved the proposal of empanelment of Advocate for legal support and Noted Advertisement given at <b>Annexure -20(pg 105-1115)</b>	As per BoG decision advertisement floated and few applications has been received. Accordingly, a committee has been constituted to the shortlist the applications as per requirement.
<b>SECTION –D : Minutes of the meetings of Statutory bodies for reporting to the Board</b>			
Item No. 23	Minutes of the 163 <sup>rd</sup> meeting of the Senate of the Institute held on 13.09.2022.		Noted
Item No.24	Audit para "Irregular allowance of increment to newly recruited faculty members resulted in over payment."	<b>Resolution No: R 113BOG/24/2022</b> <b>RESOLVED</b> to comply with decision of the Finance Committee on the matter vide resolution R 51_FC/7/2022 as given above.	Faculty Affairs Section is preparing the Pay fixation of the concerned faculties with the consent of the Committee formed for the same.
Item No.25	Matter of unauthorized absence of Dr.Ranu Roychoudhuri, Assistant Professor, Dept. of Humanities and Social Sciences	NOT FOR PUBLIC DOMAIN	
Item No.26	To consider and approve the recommendation of the committee constituted to examine and report on the matter of regularization of service of Junior Attendants appointed in the Institute on contractual basis.	<b>Resolution No: R 113 BOG/26/2022</b> <b>RESOLVED</b> to <b>APPROVE</b> the recommendation of the committee constituted to examine and report on the matter of regularization of service of Junior Attendants appointed in the Institute on contractual basis thereby regularizing the service of the above thirteen (13) Junior Attendants.	Appointment/offer letter issued and all 13 Junior Attendants joined.
Item No.27	Preparation of roster	<b>Resolution No: R 113 BOG/27/2022</b> <b>RESOLVED</b> to <b>APPROVE</b> the revised ROSTER of the aforementioned post given at table above (from Sl 1-15)	Noted and implemented
Item No.28	Acceptance of technical Resignation of Mr P. K Ray, Registrar IIT Guwahati thereby allowing him to join IISER Berhampur as Registrar	NOT FOR PUBLIC DOMAIN	

Item No 29	To consider the expenses Incurred on Organising the North East Research Conclave (NERC) under Revenue Expenditure of the Institute	<p><b>Resolution No: R 113BOG/29/2022</b>  <b>RESOLVED</b> that the resolution of the Finance Committee R51_FC/6/2022 as replicated below on the item to consider the expenses Incurred on Organising the North East Research Conclave (NERC) under Revenue Expenditure of the Institute be <b>APPROVED</b></p> <p><i>“RESOLVED to APPROVE the proposal for considering the expenses incurred in organizing NERC amounting to ₹5.96 crores as Revenue Expenditure of the Institute and recommend to the Board of Governors of the Institute for its approval. The Finance Committee also approved the final audited statement of the NERC as placed with the Agenda.”</i></p>	As per approval of the Board, the amount has been treated as revenue expenditure of the Institute.
Item No 30	Request of Dr Gaurav Trivedi to Chairman BOG vide letter dated 28.10.2022 regarding protection and action against ex-faculty member of IIT Guwahati Dr. B.K Rai against the court cases filed by him against Dr Trivedi and IIT Guwahati	<p><b>Resolution No: R 113BOG/30/2022</b>  <b>RESOLVED</b> that the letter received from Dr Gaurav Trivedi dated 28.10.2022 addressing Chairman BOG be <b>NOTED</b>.  The Board <b>OPINED</b> that the Director may be allowed to a request from Dr Trivedi and permit to proceed with legal proceeding independently &amp; all the necessary documents relevant to him may be supplied as per rules based on his request.</p>	A letter has been issued to Dr Gaurav Trivedi intimating the decision of the 113 <sup>th</sup> BOG meeting vide letter AD/101/BOG/98/99VoIIX/2033 dated 02.12.2022
Item No 31	Proposal to enhance the limit of financial Sanctioning power of Dean IPM & Associate Dean IPM	<p><b>Resolution No: R 113BOG/31/2022</b>  <b>RESOLVED</b> that approve the proposal to enhance the limit of financial Sanctioning power of Dean IPM &amp; Associate Dean IPM as above as per recommendation of the 51<sup>st</sup> meeting of the Finance Committee. be <b>APPROVED</b>.</p>	Circular in this regard has already been initiated by Administration Section

**Annexure-4**

**Action Taken Status of the decision of Board of Governors approved by Circulation**

Following items have been approved by BOG by Circulation (Details of the item is given in Annexure-4)

<b>Item No.</b>	<b>Items</b>	<b>Action Taken</b>
1.	To consider proposal from the Department of Mathematics to start a new M Sc programme in Mathematics and to revise the existing M.Sc programme in Mathematics & Computing	New admission is under process
2.	Approval to the recommendation of the Screening committee for considering financial up gradation in respect of Ms. Amaya Phukan, Assistant Registrar (Director's Office)	Implemented for upgradation
3.	Approval to the recommendation of the 164 <sup>th</sup> Meeting of the Senate on the proposal for IIT Guwahati Alumni Awards 2022	The Alumni Awards were delivered as reported.



## ANNEXURE-6

### 1. LEAVE

#### 1.1 Casual Leave

Category	Sanctioning Authority
Dy. Director, Deans, Registrar, Chairman JEE, GATE, JAM	Director (DIR)
Librarian	Chairman Library Board
Heads of Depts., Centres	Dean Faculty Affairs (DOFA)
Faculty and Staff in Departments / School/ Center	Head of Department (HOD)/School/Center (HOC)
Staff in Hostels	Wardens of concerned Hostel
Staff in Gymkhana	Chairman, Sports Board
Staff in Administration Section	Registrar (REG)*
Staff in Industrial Interactions & Special Initiatives (IISI)	Dean (IISI)*
Staff in the office of Dean, Resource Generation & Finance	DoRGF
Staff in Finance & Accounts Section	REG*
Staff in R&D Section	Dean R&D (DORD)*
Staff in Academic Section	Dean Academic Affairs (DOAA)*
Staff in Student Affairs Section	Dean, Students Affairs (DOSA)*
Staff in Stores and Purchase Section	Dy. Director (DD)*
Staff in Establishment Section	DD*
Head Medical, Sr. Security Officer	DD*
Staff in Library	Librarian
Staff in PRBR Section	Dean PBBR*
Staff in JEE Cell	Chairman JEE
Staff in GATE Cell	Chairman GATE
Staff in JAM Cell	Chairman JAM
Staff in Engineering Section	Dean IPM (DIPM)
Staff in Maintenance Section	Assoc. Dean, IPM (ADIPM)
Staff in EOCSRC office	Faculty-in-charge (EOCSRC)
Staff in Green office	Faculty-in-charge (Green Cell)
Staff in Legal Cell	REG*
Staff in Audit Secon	REG*
Staff in Director's Office	DIR
Staff in Dy. Director's Office	DD
Staff in Medical Section	Head Medical
Staff in Security Section	Sr. Security Officer/REG*
Staff in Faculty Affairs Section	DOFA*
Staff in Alumni & External Relations Office	Dean AER (DAER)*
Director	DIR
All other cases	REG*

\*on recommendation of Head of the concerned Section

#### 1.3 Earned Leave/ Vacation Leave / Half Pay Leave / Commuted Leave / Maternity, Paternity Leave

Category	Recommending Authority	Sanctioning Authority
Director		Chairman, BoG
Deputy Director, Deans, Registrar and Chairman (JEE/GATE/JAM)	-	Director

Librarian	Chairman Library Committee	Registrar
Heads of Depts. & Centres	-	DOFA
Faculty Members (Professor)	Head of the concerned Department/School	DOFA
Faculty Members (Asst. Prof. & Assoc. Prof)	Head of the concerned Department/School	Assoc . DOFA/DOFA
Head of the Section	Controlling officer as applicable	REG
Staff in Departments /Centres/School	HoS (Admin)	Head of the Department/ Centres/School
Staff in Admin, F&A, Security, Legal Cell, Audit	HoS on the concerned section	REG
Staff in all other Sections	HoS on the concerned section	DD/Concerned Dean
Hostels	Warden	REG
All other cases	Controlling officer as applicable	REG
NB: Recommendation as applicable is mandatory. For Commuted Leave, Maternity and Paternity Leave, Certificate from CMO is required in all cases.		

File No.1-60/2017-cghs/c&p

*issued copy by R.P.I Section*

No. 1-60/2017-CGHS/C&P/EHS  
Government of India  
Ministry of Health & Family Welfare  
Department of Health & Family Welfare  
EHS Section  
\*\*\*\*\*

Nirman Bhawan, New Delhi  
Dated: the June, 2020

**OFFICE MEMORANDUM**

**Subject: Revision of time limit for submission of final claims for reimbursement of medical expenses under CGHS.**

The undersigned is directed to refer to CGHS guidelines wherein the time limit for submission of final claims for reimbursement of medical expenses is 3 months. The matter has been examined in this Ministry and it has been decided to revise the time limit for submission of final claims for reimbursement of medical expenses to 6 months.

2. Henceforth, only the cases in which the bills are submitted after 6 months from the date of completion of medical treatment/ discharge of the patient from the hospital are required to be taken up for condonation. The power of condonation of such delays and other terms and conditions would be same as enumerated in the O.M. No. S.14025/8/99-MS dated 25.05.1999.
3. This issues with the approval of the Competent Authority.



*3*

Digitally signed by BIMAL KUMAR  
Date: Mon Jun 01 17:13:29 IST 2020  
Reason: Approved

**(Bimal Kumar)**

**Deputy Secretary to the Govt. of India**

To

1. All Ministries/Departments, Government of India
2. PPS to Secretary (H&FW)/Secretary (AYUSH)/Secretary (HR)/ Ministry of Health & Family Welfare
3. PPS to DGHS/AS&DG (CGHS)/AS&FA/AS&MD, NRHM/AS(H), MoHFW, New Delhi
4. Director, CGHS, Nirman Bhawan, New Delhi
5. Addl.DDG(HQ), CGHS, MoHFW, Nirman Bhawan, New Delhi
6. AD(HQ), CGHS, R.K.Puram, Sector-12, New Delhi

7. All Addl. Directors/Joint Directors of CGHS cities outside Delhi
8. Rajya Sabha/Lok Sabha Secretariat, New Delhi
9. Registrar, Supreme Court of India, New Delhi
10. U.P.S.C. Dholpur House, New Delhi
11. Office of the Comptroller & Auditor General of India, Pocket-9, Deen Dayal Upadhyaya Marg, New Delhi.
12. Integrated Finance Division, MoHFW, Nirman Bhawan, New Delhi
13. Deputy Secretary (Civil Service News), Department of Personnel & Training, 5<sup>th</sup> Floor, Sardar Patel Bhawan, New Delhi
14. Secretary, Staff Side, 13-D, Ferozshah Road, New Delhi
15. All Staff Side Members of National Council (JCM)
16. ED(H)/Planning, Railway Board, Ministry of Railways, Rail Bhawan, Rafi Marg, New Delhi – 110001
17. Central Organisation, ECHS, Department of Ex-Servicemen Welfare, Ministry of Defence, New Delhi
18. Chairman, Employees State Insurance Corporation, Ministry of Labour & Employment, Panchdeep Bhawan, C.I.G. Marg, New Delhi-110002
19. UTI-ITSL, 153/1, First Floor, Old Madras Road, Ulsoor, Bengaluru-560008.
20. Hindi Section, MoHFW, Nirman Bhawan, New Delhi for providing Hindi version of this OM.
21. Guard file.

### **EXTRACT OF THE AGENDA OF THE 114<sup>TH</sup> MEETING OF BOG HELD ON 05.04.2023**

#### **Item No.9**

##### **To consider the incorporation of changes in the Recruitment Rule(s) of the Institute**

It is to apprise to the Board that in the recruitment processes (both Direct & Promotion) of the Institute, the Statutes in Clause 12 (3) contain the provision(s) of inclusion of external expert(s) in the composition of DPC/Selection Committee (**Annexure-8{pg.103-106}**). In this regard, an OM bearing No.22011/5/86-Estt.(D) Dated 10<sup>th</sup> April, 1989 issued by DoPT (**Annexure-9 pg.107-108}**) also forwarded the updated and consolidated instructions in the form of ' Guide Lines on Departmental Promotion Committee "in the Point No. 2.5 of the said OM reads as – *“In respect of a DPC for Group C and D posts the Chairman of the DPC should be an officer of a sufficiently higher level and one of the members of the DPC should be an officer from a Department not connected with the one in which promotions are considered.....The Officer of another Department appointed as a member of the DPC should also be of an appropriate level keeping in view the level of the other members of the DPC and the post to which promotion is to be made. the case of a DPC constituted for promotions to a technical post it may also be ensured that the officer nominated by another Department has also the requisite technical competence to advise on the suitability of the candidates under consideration.”*

In regard to the nomination of SC/ST officers on a DPC the Point No.2.6 reads as *“...Endeavour should also be made to nominate an SC/ST officer on the DPC constituted for various posts/services particularly where a DPC has to make bulk selection for a large number of vacancies, say 30 or more at a time. Where an outside member has to be associated with the DPC for Group C or Group D posts, there would be no objection to nominate on such a DPC, a SC/ST officer from such other Ministry/Department in the event of such officer not being available in the Ministry/Department itself.”*

The Statutes of the Institute in **Clause 12. Appointments** specifies the provisions regarding constitution of Selection Committee for filling up various post(s) under the Institute (other than the posts on contract basis) by advertisement or by promotion from amongst the members of staff of the Institute.

Therefore, Recruitment Rules of the Institute is proposed to be modified on the same lines of the provisions contained in the Statutes of the Institute and the OM bearing No.22011/5/86-Estt. (D) Dated 10<sup>th</sup> April, 1989 issued by DoPT. The modified RRs are placed as **Annexure -10 (will be placed on the table)**

The existing panel of Board nominee may be allowed to be used for all categories of posts wherever required.

The Board is requested to approve the same.

## ANNEXURE-10

### Recommendations of the committee constituted to examine whether to consider age relaxation for Contractual Employees of the Institute to apply for regular posts in the Institute

The undersigned members of the committee constituted by the competent authority of the Institute and notified vide notice ref. AD/32/95/Vol25/ dated 29/11/2022 to examine whether to consider age relaxation for Contractual Employees of the Institute to apply for regular posts in the institute met on 7<sup>th</sup> Dec, 2022 (Wednesday) at 11.30 am and 13<sup>th</sup> Dec, 2022 (Tuesday) in the Interview Room of the Admn. Bldg.

Some of the staff recruited on contractual basis against sanctioned posts, after necessary advertisements/notifications followed by test/interview who have already either crossed or reached the prescribed upper age limit, have made a representation apprehending about their ineligibility to apply for posts on regular basis in the Institute as and when advertised, with a request for consideration of age relaxation.

The Committee had rigorous deliberation on the matter and had also gone through various judgements of the Hon'ble Courts (Viz., Civil Appeal No. 2294-2329 of 2008 in the Hon'ble Supreme Court Of India, W.P.(C) 5231/2020 and CM No. 188857/2020 in the Hon'ble High Court of Delhi and OA No. 198/2019 in the Hon'ble Central Administrative Tribunal – Principal Bench). Accordingly, the committee had come to a conclusion that age relaxation for the contractual employees could be given based on their service rendered and recommended the following.

1. The Contractual Employees of the Institute who have been working against sanctioned posts after necessary advertisements/notifications followed by test/interview may be eligible for one-time relaxation in upper age limit up to a maximum period of 5 years over and above the prescribed age limit for the respective categories. The quantum of relaxation in the upper age limit will be subject to number of years spent in the Institute on contract basis against sanctioned posts after necessary advertisements/notifications followed by test/interview, provided they have worked for at least 180 days in a particular year. For example, if a contractual employee has already spent 2 (two) years on contract basis against a sanctioned post, after necessary advertisements/notifications followed by test/interview, s/he will be eligible to have relaxation in the upper age limit by 2 (two) years over and above the prescribed upper age limit for the post s/he wants to apply. That is, if the prescribed upper age limit for a post is 30 years for UR, 33 years for OBC-NCL and 35 years for SC/ST, then based on the category, s/he can be considered within 32 years if UR, 35 Years if OBC-NCL and 37 years if SC/ST.
2. Only the Contractual Employees who have been working (against sanctioned posts after necessary advertisements/notifications followed by test/interview) at the time of applying for direct recruitment may be considered eligible for relaxation in upper age limit.
3. "Age relaxation certificates" on the application of eligible Contractual Employee may be issued by the Registrar of the Institute based on recommendation of the concerned Head under whom the Contractual Employee has been working in order to avail the benefit of age relaxation in recruitment/regular appointment against vacancies under direct recruitment. After thorough examination of the applications for age relaxations in accordance with the above modalities, the Registrar of the Institute, may issue the "Age relaxation certificates", clearly indicating the quantum of age relaxation the Contractual Employee is eligible for. The certificate shall be issued under the signature and seal of the Registrar of the institute.
4. The aforesaid conditions of consideration of age relaxation may be informed /notified to all stake holders upon approval of these recommendations by the competent authority of the Institute.

The above recommendations of the committee have been placed for consideration and kind approval of the competent authority.

  
Prof. K. V. Krishna  
Dean, Academic Affairs

  
Prof. Ratnajit Bhattacharjee  
Head, CCC

  
Mr. Dhrubajyoti Sharma  
JR & HoS (Admn.)

FOR FAVOUR OF THE REGISTRAR, IITG

*(copies to DD, Prof. Kulkarni, HoS LC IITG)*

REF: Letter vide No. AD/LM/170/2002/Vol. VI dated 16/01/2023.

SUB: Legal opinion on the matter of age relaxation for contractual employees-reg.

LEGAL OPINION

Your Good-self

The recommendations of the Committee constituted for examining the issue of age relaxation for the contractual employees of the institute, forwarded by the Legal Cell have been examined.

\* It appears that the Committee has very thoroughly examined all aspects of the matter including the views expressed by the Hon'ble Supreme Court and certain High Courts in this regard.

\* The committee in its recommendations has made it clear that only the contractual employees who have been working (against sanctioned posts after necessary advertisements/notifications followed by tests/interview) at the time of applying for direct recruitment may be considered eligible for relaxation in the upper age limit. This condition provided by the recommendations of the Committee adequately protects the interest of the Institute authority that the merit and the competence of the candidates (to be appointed against direct recruitment) would not be ignored for any technical reason.

\* The remaining conditions and the modalities recommended by the Committee are also appropriate and justified and the same would not cause any prejudice to the interest of the Institute Administration and at the same time would provide all fairness to the serving contractual employees.

I am therefore of the view that the Competent Authority of the Institute may consider to accept the above recommendations of the Committee for the purpose of age relaxation for contractual employees.

With regards: R.P.Kakoti, Sr. Advocate. 31/01/2023.

Ref / Ref.: R&P/32/96/

**MINUTES OF THE MEETING OF THE COMMITTEE TO LOOK INTO THE RECRUITMENT RULES FOR THE POST OF ASSISTANT LIBRARIAN OF IIT GUWAHATI**

The meeting of the committee constituted to look into the Recruitment Rules for the post of Assistant Librarian of IIT Guwahati was held on 14.02.2023 at 03:00PM in Conference Room of Deputy Director's Office. The following members of the committee were present in the meeting

1. Prof. S. V. Rao, Professor, Dept. of CSE, Convener
2. Prof. Pratima Agarwal, Professor, Dept. of Physics, Member
3. Prof. Hemangee K. Kapoor, Professor, Dept. of CSE, Member
4. Prof. C. V. Sastri, Professor, Dept. of Chemistry, Member
5. Mr. Dhruvajyoti Sharma, HoS, Administration Section, Secretary

The agenda for the meeting was taken up as follows-

**Item No. 1: To look into the Recruitment Rules for the post of Assistant Librarian of IIT Guwahati.**

The Secretary of the aforesaid committee informed the other members of the committee that an advertisement was floated in the Institute website vide circular under reference no. R&P/174/MODALITY/IR/2020/Vol.IV/2110 dated 12.12.2022 for the post of Assistant Librarian (promotional posts) wherein based on the Recruitment Rules approved in 111<sup>th</sup> BOG held on 17.05.2022, the eligibility criteria for the post of Assistant Librarian (pay level -10) has been stated as below-

1. Sr. Library Information Officer with 5 years of experience. Or, Experience of 10 years as Sr. Library Information Officer and Library Information Officer taken together.
2. Educational Qualification: Post graduate degree with at least 55% marks of equivalent grade in Library Science or post graduate degree in Arts/Science/Commerce with at least 55% marks or its equivalent grade with Bachelor's degree in Library Science with 3 years' experience

In this regard, three applications have been received from the officers of Library Cadre requesting for relaxation of educational qualification part under the eligibility criteria as the advertised posts are for internal candidates.

They also stated that prescribed 55% marks in the PG level is only meant for Direct Recruitment posts. In this regard, they have also enclosed Recruitment Rules of NITs and Central University.

Simultaneously, one application received from a Library Information Officer stating that as per above mentioned circular, he is not eligible to apply for the post of Assistant Librarian in spite of having 18 years of experience in the different cadres at Central Library out of which 8 years of experience as Library Information Officer.

The Secretary has presented following two comparison sheet for ready reference of the committee

1. A comparison of Recruitment Rule for the post of Assistant Librarian of IIT Guwahati with that of IIT Madras, NITs and Central University.



2. A comparison of Recruitment Rule for the post of Assistant Librarian with Recruitment Rules of other equivalent posts (pay level-10) of IIT Guwahati.

After detailed scrutiny of the matter, the committee observed that if the request for relaxation of educational qualification in case of promotion accepted for the said post, then other internal candidates who are already fulfilling the eligibility criteria may contest the same. Also, relaxation in educational qualification in the post of Assistant Librarian may have cascading effect in internal/direct recruitment of non-faculty posts of the Institute leading to requests to relax educational qualification criteria for other cadre posts as well.

Further, the committee is of the opinion that since the post has already been advertised, so any representation to change/modify the eligibility criteria should not be accepted.

The committee also observed that since the Assistant Librarian post is a technical post with academic grade pay therefore the educational qualifications mentioned under the eligibility criteria is justified to be at par with other equivalent technical posts of the Institute such as Technical Officer Gr. II, Assistant Executive Engineer etc.

The committee suggested that the educational qualification may be considered to be relaxed for future vacancy, if arises, in the said post for the candidates if they have acquired some higher qualification during service, given that they do not possess 55% marks at post-graduation level.

After detailed deliberations, the committee recommended the following-

The committee recommended that as the advertisement has already been issued, so, at this stage it is **not possible to relax the education qualification clause for the post of Assistant Librarian**. However, for the benefit of the internal candidates of library cadre, in cases of promotion, in future, 5% percent marks only may be considered to be relaxed in the post graduate degree (i.e. instead of 55% we can consider 50% as eligibility criteria) provided the candidate has acquired additional degree(s) while in service. The additional degree could be a PhD or a degree equivalent to an MPhil in library science or a Post graduate degree other than library science.

**(B)** In case of application received for relaxation of experience for applying to the post of Assistant Librarian, the committee is of the opinion that it cannot be considered.


The recommendation of the committee may be forwarded to the Competent Authority for further needful.

The meeting ended with a vote of thanks to all the members.

  
(Prof. S. V. Rao)

  
(Prof. Pranita Agarwal)

  
(Prof. Hemangee K. Kapoor)

  
(Prof. C. V. Sastri)

भारतीय प्रौद्योगिकी संस्थान तिरुपाति  
INDIAN INSTITUTE OF TECHNOLOGY TIRUPATI



Sasidhar Guzman  
Professor of Chemical Engineering  
Dean (Academics)

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E-mail: s.guzman@iittp.ac.in

June 24, 2022

To  
The Director  
IIT Guwahati

DOFA  
For N/A Pl. prepare a  
response  
S. S. Thare  
Director  
IIT Guwahati

Through  
The Director  
IIT Tirupati

Forwarded  
[Signature]  
29/6/2022

Sub: Counting of past-service and technical resignation req.

Dear Sir

This is with respect to the letter FA/287/2004/Vol III/1306 Dt: 15.12.2021 that was sent from office of Dean of Faculty Affairs on 23-Mar-2022 regarding counting of past services and leave encashment via speed post that I have received the same on 04-Apr-2022. I requested some-time to respond (via letter dated 20-Apr-2022) and further sent you an email for appointment to discuss the case on 09-Jun-2022.

I respectfully present my case as follows.

- I have joined IIT Guwahati as an Assistant Professor in September 2004 and worked tirelessly in establishing the newly started department of Chemical Engineering with other colleagues. I was instrumental in development of Departmental Analytical Laboratory as its first in-charge, procuring several high-end equipment and common facilities for benefit of research activities.
- I was involved in several industrial consultancy projects with a team of colleagues from the department. Notable among them is our pioneering work for through a project for Oil India limited and for NTPC and GAIL. To date these are some of the high value consultancy projects in the department.
- I was also involved in several administrative roles in the institute, including Vice-Chair and Chairperson for GATE (2011-2013). Thereafter, I was a key member in syllabus revision standing committee for GATE and eligibility criteria standing committee for JAM at the national level.
- My teaching testimonials are a proof of my dedication to my profession. My course on Chemical Engineering Thermodynamics is very well received on NPTEL platform.

To  
Hos (FA)  
Dr. Pradyumn  
response  
[Signature]  
7/7/22

I believe I have served the institute to the best of my abilities including generation of funds from external agencies, institute service and enhancing image of the institute.

The chemical Engineering department at IIT Tirupati was newly established in 2018. I believed that my experience in establishing a new department from IITG, passion for experimental research and development of laboratories will help the newly established department. Accordingly, I submitted an online application for the post of Professor in January 2019 against their advertisement.

I have formally requested for a No Objection Certificate from IIT Guwahati before appearing for the interview in May 2019. I attended the interview after receiving an NoC from IIT Guwahati. I wish to submit that issuing of No Objection Certificate for attending interview is considered equivalent to forwarding of application and is a well settled rule.

When I received an offer from IIT Tirupati, I approached the administration at IITG for lien. Strangely, the same has been rejected although NoC has been given for me prior to appearing for the interview. There were cases in the earlier years when faculty were able to get lien under identical circumstances, but I was selectively denied lien.

Nevertheless, due to my passion and towards contributing meaningfully to a newer 3G IIT where I thought my experience and expertise will be beneficial, I have taken the risk of leaving a permanent position at IITG and join IIT Tirupati on probation by requesting for technical resignation.

After joining IIT Tirupati, I was fortunate to be able to serve in various administrative roles such as Head of the Department, Head of International and Alumni Affairs, Dean of Academics, Chief Vigilance Officer, senate nominee to the Board of Governors, in addition to serving on various institute level committees. Thus, I believe I was able to contribute meaningfully to IIT Tirupati so far.

As you are aware, technical resignation entitles for service transfer, gratuity and terminal benefits, if any. My technical resignation has been accepted by IIT Guwahati and I moved to IIT Tirupati in January of 2020. I had no intention to "quit" my service and lose the benefits accrued over more than 15 years of hard earned service at IIT Guwahati. I have taken a conscious decision to move only because my technical resignation has been accepted.

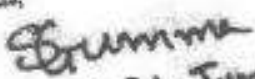
As a permanent employee of the institute, I believe that I should be given an opportunity to decide if I wish to quit the service and lose my service benefits, in case my technical resignation is not accepted.

One sided, post-facto decision after two years to withdraw an accepted technical resignation is an infringement on my rights as permanent employee and is against the principles of natural justice; more so when the decision to move to IIT Tirupati was based on acceptance of technical resignation.

I respectfully request IITG to reconsider its decision and allow me the benefits of technical resignation. After having served IIT Guwahati for more than 15 years to the best of my abilities, I hope to be entitled to my rightfully earned benefits including leave encashment, retirement/death gratuity and other terminal benefits as applicable.

As already indicated in my email on 9<sup>th</sup> June 2022, I will be willing to meet you and discuss my case, if necessary. Look forward to your favorable decision.

Kind regards,

  
(Sasidhar Gumma) 24 June, 2022

**FOR FAVOUR OF THE REGISTRAR IITG**

*(copies to DD, Prof. Kulkarni and HoSLC IITG)*

Ref: Letter vide No. AD/LM/170/2002/Vol. VI/67 dated 14/10/2022 issued by the HoSLC IITG

Sub: In the matter of Resignation of Dr. S. Gumma-reg

**LEGAL OPINION**

Your Good-self

The documents forwarded along with referred letter have been examined and the considered Legal opinion is rendered hereunder:

1. Prof. Gumma by his letter dated 05/05/2019 issued to the Dean of Faculty Affairs of the Institute had informed that he had applied online for the post of Professor at IIT Tirupati in Jan.2019.
2. Prof. Gumma, by the said letter had requested the DoFA to forward *his application* and to issue him a No Objection Certificate to attend the interview being conducted for the post. *(Prof Gumma's above letter indicates that he had earlier submitted an application to the Institute in this regard but no, any instruction has been received regarding it.)*
3. The Institute authority had issued its NOC as requested by Prof. Gumma to enable him to appear in the said interview. Here the Institute authority very rightly mentioned in the 2<sup>nd</sup> para of the NOC that Prof. Gumma would have to abide by the decision of the Competent Authority for his release etc. However, the Institute Authority did not disclose in the said NOC as to what would be the terms and conditions for Prof Gumma to comply with for his release etc.
4. Prof. Gumma on 02/09/2019 submitted an application requesting the authority to grant him lien to enable him to join in IIT Tirupati as he had received an offer of appointment against the post in IIT Tirupati. The institute authority by their mail dated 04/10/2019 informed him that a) his request for lien was not approved by the Competent Authority since he was still serving the bond period b) however, he could resign from his post in IITG in order to join at IIT Tirupati. *From the above mail issued by IITG authority it implied that the continuance of Prof. Gumma's Bond obligation was the sole reason for which the Institute Authority refused to issue the lien to him. It therefore further implied that had Prof. Gumma discharged his Bond obligation by depositing the committed amount prior to seeking the lien, the same (the lien) would have been granted to him in spite of the fact that he did not get his application forwarded through proper channel.*

The Institute's above mail dated 04/10/2019 would allow Prof. Gumma to argue that the present plea raised by IITG authority that the lien was denied to him as he did not forward his application through proper channel is a false plea which was later created by the authority with a wrong intention to deny his request.

5. The 101st BoG in its meeting dated 26/12/2019 had resolved to deny Prof. Gumma's request for granting him the lien for the sole reason that he did not get his application forwarded through proper channel. But there exists no any document to show that the above decision of the BoG was communicated to him. Had Prof. Gumma been informed about the reason for refusing the lien to him and the fault committed by him in applying for the post available at IIT Tirupati, he would have definitely adopted the correct procedure when the time was available for him to do it. As a permanent faculty of the Institute, he certainly deserved to be informed about the correct procedure even though he ought to have known about it.
6. Prof. Gumma submitted an application on 02/01/2020 to the Director IITG whereby he requested the Director to relieve him on 21st Jan. 2020 from IIT Guwahati on **Technical Resignation**. Immediately thereafter, his Release Order was passed on 21/01/2020 by the DoFA. In the said Release Order, the following positions were made clear:
  - a) Prof. Gumma's Technical Resignation was accepted by the competent authority.
  - b) He was released from the Institute w.e.f.21/01/2020 (AN).
  - c) The purpose of passing the Release Order was to enable him to join IIT Tirupati as Professor in the Department of Chemical Engineering.
7. In furtherance of the Release Order, the Competent Authority on 06/03/2020 also passed an office order (of its own) where-by Prof. Gumma was allowed to receive an amount of Rs.15,48,690/- towards the cash equivalence of unutilized EL & Half Pay Leave. It is understood that the said amount was accordingly paid to him. Thus, there was nothing left for the Institute Authority to do anything more to confirm Prof. Gumma that his Technical Resignation was finally accepted and he was free to join in IIT Tirupati without any apprehension of any sort. Accordingly, he joined in IIT Tirupati. It appears from records (provided to me) that for a period of nearly two years after 06/03/2020, the authority of IITG did not raise any issue about it till 15/12/2021. Therefore, the Release Order passed on 21/01/2020 has attained its finality.
8. The above actions of the Institute authority were sufficient to confirm Prof. Gumma that pursuant to the acceptance of his Technical Resignation by IITG authority he would be entitled to the benefits of service transfer, gratuity, terminal benefits etc. after his joining at IIT Tirupati. Thus, the conduct of the Institute Authority had given him a **legitimate expectation** under law on the basis of which he had joined at IIT Tirupati. As he already joined at IIT Tirupati based on his above legitimate expectation given by IITG, he would suffer irreparably if the Institute Authority now backtracks its earlier commitment. Law would not allow Prof. Gumma to suffer for the wrong/irresponsible action of the authority of IITG. In such a situation, the bar of the **Doctrine of Promissory Estoppel** may be pleaded to prevent the Institute Authority from backtracking. Had the Technical resignation of Prof. Gumma not accepted by the IITG Authority he would not have joined at IIT Tirupati.
9. In the preceding paragraphs I have only stated the legal position of the case which appears to be against the decision of the Institute Authority. No doubt the mistake/irregularity committed by Prof. Gumma of not applying through the proper channel was a serious one. In normal cases, such an irregularity committed by a

permanent faculty should not be condoned lest it would adversely affect the administration. But in the instant case, the said irregularity stood condoned by the IITG authority while it passed his Release Order on 21/01/2020 followed by the payment order dated 06/03/2020 towards the cash equivalence of unutilized EL & Half Pay Leave and thereafter, maintaining total silence for a period of almost two years.

10. Considering all aspects I have rendered my legal view in the matter as above. However, I wish to confirm that there exists no legal bar against the Institute Authority to pursue its stand as stated in its letter issued to Prof. Gumma vide No. FA/287/2004/Vol. III/1306 dated 15/12/2021 by the then DoFA. In the said case, Prof. Gumma would certainly proceed to the court to challenge IITG's action and then the Institute Authority would be required to justify its stand before the court.
11. The questions raised by your Good-self at the bottom of the referred letter have been sufficiently answered in the forgoing paragraphs. I may please be contacted if any further clarification is required.

With regards: R.P.Kakoti, Sr. Advocate. 20/11/2022.

OOOOOOOOOOOOOOOOOOOOOO X OOOOOOOOOOOOOOOOOOOOOOOOO

**ANNEXURE-15**

F. No. 13-1/2005 TSI (5)  
Government of India  
Ministry of Human Resource Development  
Department of Secondary & Higher Education  
Technical Section - I  
\*\*\*\*\*

*Shastri Bhavan, New Delhi*  
*Dated: 20<sup>th</sup> April, 2005*

To,  
The Director,  
Indian Institute of Technology,  
Bombay/Delhi/Kanpur/Kharagpur/Madras/Guwahati/Roorkee

**Subject: Recommendations of the 1<sup>st</sup> Meeting of the Standing Committee of IIT Council (SCIC) – relating to revision of admission / fee structure for Foreign Students in the IITs.**

Sir,

I am directed to refer to the Agenda item No. 6 of the 1<sup>st</sup> meeting of the Standing Committee of IIT Council held on 5<sup>th</sup> March, 2005. After detailed deliberations on the proposal to rationalize the fee structure for foreign students, the SCIC recommended the following fee structure: -

(a) 2000 US\$ for SAARC countries  
(b) 4000 US\$ for other countries. ] For full time students.

(c) 50 US\$ per lecture hour per week  
for Foreign students for SAARC countries.  
(d) 100 US\$ per lecture hour per week  
for students from other countries. ] For part time students.

2. The above recommendation of the SCIC has been considered in this Ministry and it has been decided to accept the recommendation. Necessary steps may be taken by IITs to implement the decision and compliance reported to the Ministry.

Yours faithfully,



( Hulas Singh )  
Director

Ph. 2338 4245 Fax: 2338 8253



**INDIAN INSTITUTE OF TECHNOLOGY  
GUWAHATI**

**NOTE SHEET**

First Page

Section: Academic

File Ref.: IITG/Acad/2019

**Sub: PhD Fee structure for ASEAN students-Regarding**

A Fellowship Programme for 1000 integrated PhD Fellowships for ASEAN students in the prestigious Indian Institutes of Technology (IITs) has been formally launched at the Ministry of External Affairs on Monday, September 16, 2019. In connection to this launching, the Academic Affairs' Section proposes the following Fee Structure for the PhD fellows to be admitted to IIT Guwahati through this Fellowship Programme.

The fee structure for PhD students from SAARC & Non- SAARC Countries for PhD admission is as follows:

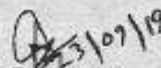
Total Fees (in Rupees) Payable at the Time of Admission (for Foreign Nationals)			
Countries	Studentship Category	Residential Status	Amount (in Rupees)
SAARC Countries	Regular	Hosteller	\$2000 (Tuition Fee) + Rs.51030 (Other Fees including Hostel)
Non-SAARC Countries	Regular	Hosteller	\$4000 (Tuition Fee) + Rs.51030 (Other Fees including Hostel)


However, for the PhD students of ASEAN countries to be admitted to IIT Guwahati through the Fellowship Programme for 1000 integrated PhD Fellowships for ASEAN students, it is proposed that the fee structure currently applicable for Indian students for PhD admission may be followed as furnished below.

Total Fees (in Rupees) Payable at the Time of Admission	
	Rs.53530/-

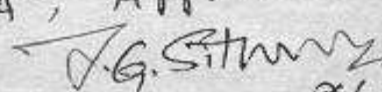
The fee structure for PhD students under ASEAN fellowship have been proposed to be kept at par with Indian students for PhD programmes at IITG in order to attract and reach out to the willing eligible students of these ASEAN countries as a follow up the Govt. of India policy on the same.

The Competent Authority may kindly approve the same.

  
 23/09/19  
 Jt. Registrar (Acad.)


  
 23/9  
 Recommended  
 Olabanta  
 23/9/2019

To - Chairman, Senate

As per "A", Approved  
  
 26/9/19



## ANNEXURE-17

Received By  20/12/16  
DIRECTORATE IITG, GHY-2

F. No. 24-1/2016-TS.I (Sectt.)  
Government of India  
Ministry of Human Resource Development  
Department of Higher Education  
Technical Section - I  
\*\*\*\*

Shastri Bhawan, New Delhi  
Dated the 28<sup>th</sup> Nov, 2016

### OFFICE MEMORANDUM

**Subject: Tuition fee for International students - Item No. 5.24 of IIT Council Minutes.**

This is with reference to the decision taken by IIT Council in its 50<sup>th</sup> meeting held on 23.08.2016 regarding admission of international students in the IITs and the fee chargeable from the foreign IIT students in UG and PG. In this regard, I am directed to inform you that the issue regarding fee to be charged from the foreign students has been under consideration of the Ministry and it has now been decided as under:-

"The foreign students selected for admission in the IITs through the JEE(Advanced)/GATE examinations shall be charged annual tuition fee of Rs. 6 lakh per year. However, suitable scholarships may be provided through the ICCR/institution funding on case-by-case basis."

All IITs are requested to take necessary action with the approval of their respective Senates/Board of Governors.



(Tripti Gurha)  
Director (IITs)

### Directors of all IITs

Copy to:

1. PS to HRM
2. PS to Secy.(HE)
3. PS to AS(TE)
4. PA to Director(IITs)

Proposal for an Online MTech Degree Program in  
**Robotics and Artificial Intelligence**

offered by

Center for Intelligent Cyber Physical Systems (CICPS), IIT Guwahati

**Preamble**

Robotics and AI are cutting edge technologies that span multiple engineering disciplines, and can assist in the development of novel technologies in the areas of agriculture, transportation, environment, defence, industry, manufacturing, medicine, space and underwater exploration and service. This online Tech degree program is intended for, amongst others, working professionals who may wish to master the fundamentals of these cutting-edge technologies – which they may apply in their own career. Furthermore, because this program is intended to target working professionals, this is likely to lead to create and deepen a bond between the CICPS and Industry. Following are the details of the program:

1	Centre Offering the Program	Center for Intelligent Cyber Physical Systems (CICPS)
2	Program	<b>Two Year Master of Technology [MTech]</b> <ul style="list-style-type: none"> <li>• Flexi-exit: One Year Master of Science (Engineering) [MSc(Engg)]</li> </ul>
3	Discipline	Robotics and Artificial Intelligence
4	Eligibility	Technologies related to Robotics and Artificial Intelligence are widely used in multiple engineering disciplines, and in the areas of agriculture, transportation, environment, defense, industry, manufacturing, medicine, space and underwater exploration and service. Accordingly, this program aims to induct students and working professionals from a wide spectrum of academic background. Thus, the eligibility criteria are formulated as follows: <ul style="list-style-type: none"> <li>• 4-year Bachelor's Degree (or Equivalent) in Electrical / Electronics / Computer Science / Mechanical / Production / Civil / IT / Design or equivalent courses</li> <li>• At least 60% marks or 6.0/10 CPI in the qualifying degree for General/Gen-EWS/OBC candidates with relaxations as per prevailing Institute norms.</li> </ul>
5	Target Audience	Fresh graduates, working professionals wishing to upskill their knowledge in the field of Robotics and Artificial Intelligence. Students wishing to pursue two parallel degrees.
6	Reservation	As per institute norms.
7	Admission Time	Twice per year: in July and December
8	Admission Route	<ul style="list-style-type: none"> <li>• GATE not required</li> </ul>

		<ul style="list-style-type: none"> <li>An online MOOC will be developed specifically for this program</li> <li>Applicants will be ranked by using a composite score obtained on the basis of their performance in the MOOC and their past academic performance in Class X, XII, and qualifying degrees. Admission will be given on the basis of the rank.</li> </ul>
9	Program Duration	2 years. Extendable up to 5 years. Flexible curriculum.
10	Terms per year (Semester/Trimester)	2 terms, i.e., Semester-based system. Each term will have minimum 12 weeks of instruction. Minimum Semesters required for completion of Program: 4 <b>Semester 1:</b> August to November/December <b>Semester 2:</b> January to April/May Summer and Winter vacations can be utilized to complete capstone projects.
11	Course/Credit requirements	Minimum number of Credits required to complete the program MTech in Robotics and Artificial Intelligence (details in <a href="#">Annexure 1</a> ): 108 credits. However, flexi-exit system as discussed below is possible.
12	Flexi-Exit System	Students admitted to the program may choose their pace and course of study. A student can register to lesser number of courses than prescribed in a given Semester. However, for continuation, every student has to either register to at least one course offered in that semester or pay Program Continuation Fee. While the entry point is the same, the students may exit at various points by earning the respective certificate after successful completion of required course work as detailed in <a href="#">Annexure 1</a> .
13	Long Leave and Multi-Entry	Following will be facilitated to help the students complete the program: <ul style="list-style-type: none"> <li>Students can take semester leave (one semester at a time) by paying a Program Continuation Fee.</li> <li>Students exiting the program after completing Certificate/Diploma can be again reinstated after a gap of one year.</li> </ul>
14	Minimum Credit Load in each Semester/Trimester	Zero. However, students must keep their enrollment active by paying Program Continuation Fee without credit requirements.
15	Maximum Credit Load in each Semester/Trimester	40 credits
16	Curriculum Structure	Given in <a href="#">Annexure 1</a>
17	Mode of Teaching	Medium of instruction is English. Every instruction week for 6 credit courses will cover: <ul style="list-style-type: none"> <li>Recorded Videos of about 1.5 hrs duration</li> <li>Online Live Sessions of about 1.5 hrs duration (during evening hours or during weekends)</li> <li>Discussion Hour</li> </ul>
18	Mode of Assessments	Online Continuous Assessments throughout the trimester comprising of:

		<ul style="list-style-type: none"> <li>• Practice Assignments</li> <li>• Graded Assignments</li> <li>• Quizzes</li> <li>• Term Projects</li> </ul>
19	Supplementary Examination	<p>There will be no supplementary examination. Candidates failing a course will be required to:</p> <ul style="list-style-type: none"> <li>• either register for that course again and appear in the continuous assessments, OR</li> <li>• register for only the continuous assessments in that particular course without having to attend the online classes/live sessions.</li> </ul>
20	Teaching from Industrial Experts	Will be Facilitated. Some courses ( <u>Annexure 1</u> ) will be developed in association with industry experts to provide real-life program flavor to the students.
21	Credit Transfer	Candidates who have already completed (or wish to complete) some courses (will be identified separately) will be given due credits and they will not be required to register for the mentioned courses in the program.
22	Credit for Industry Courses/Certificates	Some relevant industry certificates will be identified that will be a part of the curriculum
23	Campus Visit	<p>Possible.</p> <p>Candidates will be encouraged to visit the campus for maximum 4 weeks in the entire program that can be scheduled over maximum 4 visits. During the visit, candidates will:</p> <ul style="list-style-type: none"> <li>• have opportunity to meet experts and experience the campus</li> <li>• be given hands-on-training in different relevant areas,</li> <li>• meet faculty members to discuss their project work</li> <li>• discuss opportunities at IITG/industries</li> </ul>
24	Capstone Projects	<ul style="list-style-type: none"> <li>• Capstone Projects can be started after completion of all the core courses, i.e., after completion of 30 credits of course work (as detailed in <u>Annexure 1</u>).</li> <li>• Total credits for projects are 48.</li> <li>• Projects are mandatory components for MTech degree.</li> <li>• Projects can be done along with courses during regular Semesters if all the core courses have been completed. In addition, projects can also be carried out during the summer/winter vacations.</li> <li>• Projects will be carried out in Online mode only. However, students can visit the campus during the project work (included in 4 weeks of visits)</li> <li>• Projects can be done in association with industries.</li> </ul>

## Annexure 1

### **Curriculum Structure for MTech in Robotics and Artificial Intelligence**

The program shall have a requirement of completion of

- 60 credits of coursework, and
- 48 credits of project work through Capstone Projects.

The list of core and elective courses are presented in Tables 1 and 2, respectively, and the details of the Capstone Projects are given in Table 3.

**Table 1. List of Core courses for Online MTech in Robotics & AI**

<b>Basket</b>	<b>Course Code</b>	<b>Course Name</b>
<b>Basket 1</b> Core Courses	RA501	Fundamentals of Robotics (3-0-0-6)
	RA502	Artificial Intelligence (2-0-2-6)
<b>Basket 2</b> Core Courses	RA505	Robot Sensing and Vision (3-0-0-6)
	RA506	Machine Learning (3-0-0-6)

**Table 2. List of Elective Courses for Online MTech in Robotics & AI**

<b>Basket</b>	<b>Course Code</b>	<b>Course Name</b>
<b>Basket 3: Elective Courses</b> Design/Mechatronics/Control	RA601	Industrial Automation and Mechatronics (2-0-2-6)
	RA602	Control Engineering for Robotics (3-0-0-6)
	RA603	CAD/CAM (3-0-0-6)
	RA604	Advanced Theory of Mechanisms (3-0-0-6)
	RA608	Design, Simulation and Programming Lab(0-0-3-3)
<b>Basket 4: Elective Courses</b> AI, Machine Learning	RA605	Deep Learning for Robotics (3-0-0-6)
	RA631	Optimization Techniques for ML&AI (3-0-0-6)
	RA633	Data Visualization (2-0-2-6)
	RA636	Reinforcement Learning (3-0-0-6)
	RA641	Deep Learning for Computer Vision (3-0-0-6)
	RA643	AI in Medical Imaging (3-0-0-6)
	RA647	Deep Learning for Speech Technology (3-0-0-6)
	RA651	Natural Language Processing (3-0-0-6)
	RA656	Introduction to Embedded Machine Learning (2-0-2-6)
<b>Basket 5: Elective Courses</b> Mobile Robots/Underactuated Systems	RA606	Intelligent Agents (3-0-0-6)
	RA607	Underactuated Robotics (3-0-0-6)
	RA609	Robotics Lab (0-0-6-6)

Additional electives will be added in due course of time.

**Table 3. Capstone Project Work for Online MTech in Robotics & AI**

<b>Basket</b>	<b>Course Code</b>	<b>Course Name</b>
<b>Basket 6</b> Capstone Projects	RA 596	Capstone Project Phase-I (0-0-12-12)
	RA 597	Capstone Project Phase-II (0-0-12-12)
	RA 598	Capstone Project Phase-III (0-0-12-12)
	RA 599	Capstone Project Phase-IV (0-0-12-12)

The following tables show the ideal course structure if a candidate chooses to finish the degree in 4 semesters. However, if the candidate chooses to finish the course work in more than 2 semesters, then all the core courses must be finished before the candidate proceeds for elective courses. The Capstone Project should start only after finishing the core courses and at least two electives (36 credits of coursework).

<b>Semester #1</b>		
<b>Course Code</b>	<b>Course Name</b>	<b>Credit Structure</b>
RA501	Fundamentals of Robotics	3-0-0-6
RA502	Artificial Intelligence	2-0-2-6
Elective 1	Any Course from Elective Basket 3	3-0-0-6
Elective 2	Any Course from Elective Basket 4	3-0-0-6
Elective 3	Any Course from Elective Basket 5	3-0-0-6
<b>Total Credits</b>		<b>30</b>

<b>Semester #2</b>		
<b>Course Code</b>	<b>Course Name</b>	<b>Credit Structure</b>
RA505	Robot Sensing and Vision	2-0-2-6
RA506	Machine Learning	3-0-0-6
Elective 4	Any Course from Elective Basket 3,4,5	3-0-0-6
Elective 5*	Any Course from Elective Basket 4	3-0-0-6
Elective 6*	Any Course from Elective Basket 5	3-0-0-6
<b>Total Credits</b>		<b>30</b>

\*A student will be allowed to take a maximum of 2 elective courses other than the electives mentioned above related to his/her project domain as per the suggestion of the supervisor.

<b>Semester #3</b>		
<b>Course Code</b>	<b>Course Name</b>	<b>Credit Structure</b>
RA 596	Capstone Project Phase-I	0-0-12-12
RA 597	Capstone Project Phase-II	0-0-12-12
<b>Total Credits</b>		<b>24</b>

<b>Semester #4</b>		
<b>Course Code</b>	<b>Course Name</b>	<b>Credit Structure</b>
RA 598	Capstone Project Phase-III	0-0-12-12
RA 599	Capstone Project Phase-IV	0-0-12-12
	<b>Total Credits</b>	<b>24</b>

### **Flexi-Exit System**

Candidates admitted to the program may choose their pace and course of study. A student can register for a lesser number of courses than prescribed in each Semester. While the entry point is the same, the students may exit at various points by earning the respective certificate after the successful completion of the required coursework/project work. Candidates will be awarded ONLY ONE Certificate if they wish to drop out from the program. They may join the program back only after a gap of at least one year. Following Flexi-exit options will be made available to the candidates:

<b>Certificate</b>	<b>Certificate in</b>	<b>Course Work Requirement</b>	<b>Normal Duration</b>	<b>Max. Duration</b>
<b>Post-Graduate Certificate</b>	Industrial Robotics	All courses in Basket 1 and RA603 (i.e., 18 credits)	One Semester	Two Semesters
	Robot Control	All courses in Basket 1 and RA602 (i.e., 18 credits)	One Semester	Two Semesters
	Advanced Robot Kinematics and Dynamics	All courses in Basket 1 and RA604 (i.e., 18 credits)	One Semester	Two Semesters
	Intelligent Robotics	All courses in Basket 2 and RA606 (i.e., 18 credits)	One Semester	Two Semesters
<b>Post-Graduate Diploma</b>	Industrial Robotics	All courses in Baskets 1 and 2, and RA601 and RA603 (i.e., 36 credits)	Two Semesters	Four Semesters
	Robotic Vision	All courses in Baskets 1 and 2, RA 631 and RA 641 (i.e., 36 credits)	Two Semesters	Four Semesters
<b>Master of Science (Engineering) [MSc(Engg)]</b>	<b>Robotics &amp; AI</b>	All Core and Elective Courses (i.e., 60 credits)	Two semesters	Six semesters
<b>MTech</b>	<b>Robotics &amp; AI</b>	All Core and Elective Courses and All Capstone Projects (i.e., 108 credits)	Four semesters	Ten semesters

**Guidelines for Conducting Academic Activities Online**

**as approved by the Senate**

**vide Resolutions**

**R.164/5/2022 and R. 615/8/2023**

**based on the recommendations of the committee consisting of**

**Prof. Chitralekha Mahanta, Prof. M. G. P. Prasad and Prof. S. B. Nair**

**1. Modalities to Conduct Academic Activities Online**

The online academic activities can be integrated with the offline academic activities without compromising rigor in teaching and learning process and quality in academic evaluation process.

It may be noted that the Institute has approved an option to permit the students to do online courses (NPTEL/MOOC) and earn certain number of prescribed credits in their curriculum.

**1.1. Semester Registration and Reporting**

If required, Online Registration and reporting through video conference mode shall be permitted for UG and PG students who are on Academic Leave and for Part-time M.Tech, M.Des, M.S(R) students.

From the Second semester onward, Online Registration and reporting through video conference mode shall be permitted for Ph.D students who are on Academic Leave and for Part-time/External category Ph.D students.

**1.2. Assessment of Ph.D Students**

Presentation of the State of the Art Seminar and Annual Progress Review Seminars shall be allowed in online mode for Ph.D students who are on Academic Leave and for Part-time/External category Ph.D students after due recommendations from the Doctoral Committee and the Chairperson of the DPPC/CPPC/SPPC. However, such students have to present the progress review seminars every semester.

A Ph.D student should be physically present on the campus for presenting Synopsis Seminar and facing the Viva-Voce Examination in offline mode. However, the External Examiner may opt for joining the Viva-Voce Examination online.

**1.3. Project/Thesis Work**

Project/Thesis work of the Bachelor's and Master's programmes in online mode is NOT recommended even if the nature of the work does not require the presence of the student in the Institute.

However, if a student is pursuing the project/thesis work at an external organization as per the prevailing provisions, the evaluation of project/thesis work for the non-final stage shall be online after due recommendation from the Supervisor and the



Chairperson of the DPPC/CPPC/SPPC. However, the evaluation for final stage project/thesis work should be conducted offline on the campus.

#### **1.4. Online Teaching by External Experts**

In a new/existing course, external experts from industry, research organizations and academic institutions from India or abroad can be engaged for online teaching. The maximum contact hours in a course that can be taught online by the external expert(s) shall be 50%. Further, the total number of one-hour lectures by the external expert(s) across all courses per semester in a Department/Centre/School should not exceed 42. The department cap of 42 contact hours shall be applicable to those external experts who are NOT associated to IITG as honorary faculty, visiting faculty or professor of practice. The mid-semester examination and end-semester examination need to be conducted in the same mode as that of other regular courses. For offering of such a course, each time a fresh proposal has to be submitted by well in advance through the DUPC/ DPPC/ CPPC/ SPPC and IUPC/ IPPC for approval.

### **2. Ph.D Programme for Working Professionals**

#### **2.1. Selection and Admission**

Working professionals shall be admitted under existing Part-time/Sponsored/External category as per Ph.D. Ordinance of the Institute.

#### **2.2. Course Work**

In case the working professional is not in a position to do course work in offline mode, then he/she can earn the required credits through online mode. The students can opt for NPTEL MOOC courses or available online courses of the institute as prescribed by the Department/Centre/School. The Department/Centre/School may separately offer suitable online courses exclusively for these students with a prior approval of the Senate through IPPC.

The students who have done the course work online, will have to appear for a written followed by oral Comprehensive Examination, both conducted only in offline mode (by physically appearing in the Institute).

#### **2.3. Assessments**

Rest of the assessments will be carried out in the same manner as in the case of Part-Time/External Category students.

### **3. Roadmap for possible online/hybrid activities in future**

#### **3.1. Pursuing Two Academic Programmes Simultaneously**

The Institute can permit a B.Tech./B.Des/M.A/M.Sc student to pursue one online degree or diploma programme (along with his/her regular academic programme at

IITG) with only such Higher Education Institutes (HEIs) which are recognized by UGC/Statutory Council/Govt. of India for running such academic programmes. However, such requests have to be recommended by the DUPC/ DPPC and IUPC/ IPPC and approved by the Senate.

Recommendation should be based on the student's current academic performance while also ensuring that his/her scheduled academic activities at IIT Guwahati are not affected. A student request may be permitted if he has secured CPI 7.5 at IIT Guwahati. In case, the first semester results are not declared at the time of request, the student should have secured CPI 7.5 or aggregate 75% marks in the preceding degree.

### **3.2. Academic Programmes in Hybrid Mode:**

The Departments/Centres/Schools can make proposals for academic programmes in hybrid mode as per the following guidelines.

### **3.3. M.Tech programme in Hybrid Mode:**

1. All theory courses shall be offered in the Monsoon and Winter Semesters in ONLINE mode.
2. All laboratory/practical courses shall be offered during the Summer Vacation after the Second semester, within 20 to 25 working days (preferably in the month of June) in OFFLINE mode.
3. For M.Tech Project/Thesis Work, students will have to carry out all required experimental work by visiting the Institute for a minimum of 10 working days in each semester during the second year of study.
4. For M.Tech Project/Thesis Work, the student will have to carry out discussions with the supervisor regularly through Video Conference mode.
5. The oral examination of the second phase of the Project/Thesis Work should be conducted in offline mode (with the student physically appearing at the Institute). However, the oral examination of the first phase of Project/Thesis Work may be conducted in online mode.
6. The intake for M.Tech programmes in Hybrid Mode may depend on the available faculty members, infrastructure and facilities at the Departments/Centres/Schools to conduct laboratory/practical courses and guiding projects smoothly.

### **3.4. B.Tech/B.Des/M.A/M.Sc Programmes in Hybrid Mode**

Offering Hybrid mode B.Tech/B.Des/M.A/M.Sc programmes in suitable disciplines can also be explored by offering theory courses in Monsoon and Winter semesters and laboratory/practical courses in the Summer Vacation as described above in case of M.Tech programmes.

In order to provide appropriate exposure to the practical aspects of hybrid mode programmes, Virtual Laboratory facilities in each of the associated Departments/Centres/ Schools should be fortified and made fully operational and accessible to the online students.

Degree certificates awarded for these programmes should clearly indicate that the programmes were conducted in hybrid manner.

## ANNEXURE-20

### List of PhD students whose viva has been completed after 24<sup>th</sup> Convocation, 2022 (after 14<sup>th</sup> October 2022 till 7<sup>th</sup> February 2023)

Sl. No.	Name	Roll	Department	Date of Viva-Voce
1.	Deepankar Nankani	156201001	Computer Science and Engineering	October 29, 2022
2.	Anasua Mitra	156101008	Computer Science and Engineering	October 21, 2022
3.	Mohammed Abderehman Adem	166101103	Computer Science and Engineering	November 02, 2022
4.	Sheel Sindhu Manohar	156101027	Computer Science Engineering	December 30, 2022
5.	Debajit Sarma	156102003	Electronics and Electrical Engineering	October 21, 2022
6.	Rajan Singh	136102008	Electronics and Electrical Engineering	November 10, 2022
7.	Saswati Rabha	156302013	Electronics and Electrical Engineering	November 19, 2022
8.	Narugopal Nayek	166102104	Electronics and Electrical Engineering	December 17, 2022
9.	Sandeep Kumar Pandey	156302006	Electronics and Electrical Engineering	December 02, 2022
10.	Bikram Paul	146102038	Electronics and Electrical Engineering	January 06, 2023
11.	Moakala Tzudir	156102018	Electronics and Electrical Engineering	January 25, 2023
12.	Rampelli Manojkumar	176102004	Electronics and Electrical Engineering	January 20, 2023
13.	Nupur	156302007	Electronics and Electrical Engineering	January 27, 2023
14.	Rajdip Dey	166102018	Electronics and Electrical Engineering	January 20, 2023
15.	Mrinmoy Bhattacharjee	156102026	Electronics and Electrical Engineering	January 30, 2023
16.	Masuruddin Shaik	166103007	Mechanical Engineering	October 21, 2022
17.	Gyan Ranjan	176103027	Mechanical Engineering	November 21, 2022
18.	Subhajit Sanfui	156103038	Mechanical Engineering	November 04, 2022
19.	A. Karthik Pandian	156103042	Mechanical Engineering	November 12, 2022
20.	Subhra Sankar Kalita	126103006	Mechanical Engineering	November 08, 2022
21.	J. Sunku Prasad	166103002	Mechanical Engineering	December 22, 2022
22.	Jyotindra Narayan	176103009	Mechanical Engineering	January 24, 2023
23.	Bhyri Rajeswara Rao	156103029	Mechanical Engineering	January 06, 2023
24.	Deepak Kumar	176103003	Mechanical Engineering	January 31, 2023
25.	Syed Nayab Rasool	176103007	Mechanical Engineering	January 20, 2023
26.	Subhra Paul	166104029	Civil Engineering	October 18, 2022
27.	Anant Aishwarya Dubey	166104011	Civil Engineering	October 21, 2022
28.	Rahul Raonlar	166104036	Civil Engineering	November 09, 2022
29.	Shweta Singh	166104009	Civil Engineering	November 10, 2022
30.	Jagadish Talukdar	156104024	Civil Engineering	November 16, 2022
31.	Pallab Jyoti Das	156104032	Civil Engineering	December 05, 2022
32.	Tufa Feyissa Negewo	186104032	Civil Engineering	December 07, 2022

33.	Ankit Pratim Goswami	176104105	Civil Engineering	December 23, 2022
34.	Monjusha Sarmah	166104003	Civil Engineering	January 12, 2023
35.	Suman Kumar Mushahary	166104037	Civil Engineering	January 11, 2023
36.	Gaurav Talukdar	166104104	Civil Engineering	January 27, 2023
37.	Sameer Singh	166104024	Civil Engineering	January 30, 2023
38.	Bighna Kalyan Nayak	156105021	Design	October 28, 2022
39.	Amare Wibneh Mengistu	166105010	Design	November 16, 2022
40.	Bappa Das	156105009	Design	January 04, 2023
41.	Basu Bhattacharjee	166106018	Biosciences and Bioengineering	November 22, 2022
42.	Janani G	166106010	Biosciences and Bioengineering	November 16, 2022
43.	Krishna Kumar Haridhasapavalan	156106036	Biosciences and Bioengineering	November 29, 2022
44.	Bhagyashree Deka	156106047	Biosciences and Bioengineering	December 13, 2022
45.	S. Sanjana	156106018	Biosciences and Bioengineering	December 12, 2022
46.	Nayan Moni Deori	156106035	Biosciences and Bioengineering	December 27, 2022
47.	T. Ajeeth Prabhu	166107030	Chemical Engineering	October 19, 2022
48.	Sunil Kumar Singh	156107038	Chemical Engineering	October 28, 2022
49.	Sutapa Das	166107009	Chemical Engineering	November 07, 2022
50.	Jitendra Singh Rawat	136107001	Chemical Engineering	November 25, 2022
51.	Senthil S	156107010	Chemical Engineering	November 29, 2022
52.	Medikonda Prudviraj	136107025	Chemical Engineering	November 22, 2022
53.	Kaniska Murmu	166107028	Chemical Engineering	December 16, 2022
54.	Pradeep Sahu	166107016	Chemical Engineering	January 25, 2023
55.	Susmita Rabha	156121023	Physics	November 11, 2022
56.	Ritupan Borah	166121017	Physics	November 15, 2022
57.	Mrinal Kanti Giri	186121015	Physics	November 16, 2022
58.	Nilamoni Daloi	166121016	Physics	November 21, 2022
59.	Madhurima Chakraborty	176121012	Physics	November 29, 2022
60.	Ghanashyam Meher	146121004	Physics	January 12, 2023
61.	Surojit Dalui	176121013	Physics	January 16, 2023
62.	Gobinda Dolai	166122002	Chemistry	October 19, 2022
63.	Nimisha Bania	156122007	Chemistry	November 14, 2022
64.	Subhasis Dey	176122029	Chemistry	November 28, 2022
65.	Saurav Das	176122002	Chemistry	December 9, 2022
66.	Anjali Dahiya	166122028	Chemistry	December 10, 2022
67.	Ananya Mukherjee	166122108	Chemistry	December 28, 2022
68.	Nilanjana Nandi	176122012	Chemistry	December 5, 2022
69.	Laxmi Raman Adil	146122032	Chemistry	December 31, 2022
70.	Debasish Barman *	166122005	Chemistry	January 20, 2023
71.	Papi Ray	166123101	Mathematics	November 11, 2022
72.	Uttam Kumar	166123102	Mathematics	November 21, 2022
73.	Deepak	166123104	Mathematics	December 09, 2022

74.	James Daimari	156141007	Humanities and Social Sciences	November 10, 2022
75.	Chayanika Boruah	156141005	Humanities and Social Sciences	November 15, 2022
76.	Halim Hussain	146141011	Humanities and Social Sciences	November 28, 2022
77.	Opangienla Kechu	166141007	Humanities and Social Sciences	December 21, 2022
78.	Tulika	156141004	Humanities and Social Sciences	January 09, 2023
79.	Wendy Lalhminghloi	146141014	Humanities and Social Sciences	January 30, 2023
80.	Jitendra Kumar	156141011	Humanities and Social Sciences	January 30, 2023
81.	Deep Bora	156151008	School of Energy Science and Engineering	November 15, 2022
82.	Alok Kumar	176151007	School of Energy Science and Engineering	November 23, 2022
83.	Bhaskar Jyoti Choudhury	166151010	School of Energy Science and Engineering	November 24, 2022
84.	Kaustubh Chandrakant Khaire	176151005	School of Energy Science and Engineering	December 02, 2022
85.	Deepika Bishnoi	166151009	School of Energy Science and Engineering	January 12, 2023
86.	Sachankar Buragohain	146151008	School of Energy Science and Engineering	January 16, 2023
87.	Debojit Bhattacharjee	166152101	Centre for The Environment	January 05, 2023
88.	Ningthoujam Somorjit Singh	136153005	Centre for Nanotechnology	October 14, 2022
89.	Anwasha Choudhury	176153007	Centre for Nanotechnology	December 30, 2022
90.	Sudha Sahu	176154004	School of Agro and Rural Technology	November 25, 2022
91.	Akshay Parekh	166101008	Computer Science Engineering	January 31, 2023
92.	Dwijasish Das	156102032	Electronics and Electrical Engineering	February 01, 2023
93.	Rajdeep Ghosh	166103104	Mechanical Engineering	February 02, 2023
94.	Sulendar Kr Mahato	166122106	Chemistry	February 07, 2023
95.	Shyam Swarup Mondal	166123103	Mathematics	February 03, 2023
96.	Bandaru Goutham Rajeev Gandhi	166104014	Civil Engineering	February 01, 2023

## ITEM NO. 22

Minutes of 176<sup>th</sup> Meeting of the Senate held on 21 April 2023  
Indian Institute of Technology Guwahati

**Item 3: To consider additional list of Ph.D students for awarding the degrees.**

The Senate considered the list of 29 Research Scholars who have completed the requirements of PhD programme till 29.03.2023, as placed at **Annexure-3**. The Senate noted that the earlier list of Ph.D. students who were to be awarded the Degrees are to be considered by BoG in its meeting scheduled on 05.04.2023. The Senate deliberated the matter to include the present list of 29 students for awarding the degrees along with the earlier list. Accordingly, the Senate approved the list of 29 students for awarding the degrees and recommended to place the same to BoG for approval.

**Resolution** The Senate **RESOLVED** that an additional list of 29 Research Scholars who have completed the requirements of PhD programme till 29.03.2023 be **APPROVED** and **RECOMMENDED** to place to **BoG** for approval.

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### Completion of Ph.D by Research Scholars

1.	Name: Draksharapu Rammohan	Roll No: 196107004
	Thesis Title	NON-CATALYTIC AND CATALYTIC CO-PYROLYSIS OF <i>DELONIX REGIA</i> AND BUTYL RUBBER TUBE WASTES: KINETIC AND THERMODYNAMIC INVESTIGATIONS
	Department /Centre	Chemical Engineering
	Supervisors	Prof. Nanda Kishore / Prof. Uppaluri Ramagopal V.S.
	Date of Oral Examination	08/02/2023
	Names & addresses of external examiners	Prof. Ram Sharan Singh Department of Chemical Engineering & Technology Indian Institute of Technology (BHU), India.  Prof. Sai Gu Deputy Pro-Vice-Chancellor (China) Professor of Chemical and Process Engineering School of Engineering, The University of Warwick, United Kingdom
2.	Name: Vinod Kumar Vishwakarma	Roll No: 156122010
	Thesis Title	Design, Synthesis and Investigations of Liquid Crystalline Organic Semiconductors
	Department /Centre	Chemistry
	Supervisors	Prof. A.S. Achaikumar
	Date of Oral Examination	16/02/2023
	Names & addresses of external examiners	Prof. Kamendra P. Sharma Dept. of Chemistry, IIT Bombay  Prof. Torsten Hegmann Dept. of Chemistry and Biochemistry Kent State University, USA
3.	Name: Sourav Bhowmick	Roll No: 176122028
	Thesis Title	Design strategies using transition metal-based oxides for water electrolysis
	Department /Centre	Chemistry
	Supervisors	Prof. Mohammad Qureshi
	Date of Oral Examination	25/02/2023
	Names & addresses of external examiners	Prof. Akhilesh Kumar Singh Department of Chemistry IIT Bhubaneshwar  Prof. Shyam Sudhir Pandey Graduate School of Life Science & Systems Engineering (LSSE), Kyushu Institute of Technology, Japan
4.	Name: Shah Ekramul Alom	Roll No: 156106032

	Thesis Title	Analyzing Charge Transfer Spectra arising from non-aromatic amino acids in proteins, aggregating peptides and viral capsid assemblies
	Department /Centre	BSBE
	Supervisors	Prof. R. Swaminathan
	Date of Oral Examination	22/02/2023
	Names & addresses of external examiners	Prof. Primit K Chowdhury Department of Chemistry, IIT Delhi  Prof. Jim A. Thomas The University of Sheffield, UK
5.	Name: Manoj Kumar Mohanta	Roll No: 176122015
	Thesis Title	Design Aspects of n-type Metal Oxide Based Photoanodes for Electrochemical Performance
	Department /Centre	Chemistry
	Supervisors	Prof. Mohammad Qureshi
	Date of Oral Examination	01/03/2023
	Names & addresses of external examiners	Prof. Chandan Upadhyay School of Materials Science and Technology IIT (BHU) Varanasi  Prof. Mats Johnsson Stockholm University, Sweden
6.	Name: Rachayeeta Deb	Roll No: 156106048
	Thesis Title	CELLULAR AGEING: DECIPHERING THE ROLE OF PEROXISOMES IN YEAST
	Department /Centre	BSBE
	Supervisors	Dr. Shirisha Nagotu
	Date of Oral Examination	03/03/2023
	Names & addresses of external examiners	Prof. Tapas Chandra Nag Department of Anatomy, AIIMS, IIT Delhi  Dr. Venugopal Nair Department of Molecular Biology The Pirbright Institute, UK
7.	Name: Prerona Gogoi	Roll No: 166107107
	Thesis Title	Molecular Modifications of Flexible Porous Substrates Through Depositions
	Department /Centre	Chemical Engineering
	Supervisors	Dr. Partho Sarathi Gooch Pattader / Prof. Arun Chattopadhyay
	Date of Oral Examination	09/03/2023
	Names & addresses of external examiners	Prof. Basavaraj Madivala Gurappa IIT Madras  Prof. Ganpati Ramanath, Rensselaer Polytechnic Institute, USA



8.	Name: Jenasree Hazarika	Roll No: 166107118
	Thesis Title	Formulation of Slurry for the Process of Interconnect Metal (Cu)-Barrier Metal (Ru/Co) Chemical Mechanical Planarization
	Department /Centre	Chemical Engineering
	Supervisors	Dr. R. Prasanna Venkatesh
	Date of Oral Examination	10/03/2023
	Names & addresses of external examiners	Prof. Mamilla Ravi Sankar IIT Tirupati  Prof. Sudipta Seal, University of Central Florida, USA
9.	Name: Shivani Gupta	Roll No: 166107106
	Thesis Title	Destabilization of Alzheimer's Amyloid- $\beta$ Fibrils by Natural Compounds: An All-Atom Molecular Dynamics Simulation Study
	Department /Centre	Chemical Engineering
	Supervisors	Prof. Ashok Kumar Dasmahapatra
	Date of Oral Examination	10/03/2023
	Names & addresses of external examiners	Prof. Upendra Natarajan Department of Chemical Engineering IIT Madras  Prof. James Platts, Cardiff University, Cardiff, UK
10.	Name: Chandrima Dey	Roll No: 166106013
	Thesis Title	Establishment of a bioactive recombinant protein toolbox for the prospective generation of integration-free induced pluripotent stem cells and other biological applications
	Department /Centre	BSBE
	Supervisors	Prof. Rajkumar P. Thummer
	Date of Oral Examination	14/03/2023
	Names & addresses of external examiners	Prof. Mahitosh Mandal IIT Kharagpur, India  Prof. Piruthivi Sukumar, University of Leeds, UK
11.	Name: Pratik Nag	Roll No: 176106027
	Thesis Title	Biomechanical Design Prognosis and Preclinical Assessment of Bone Plates Used to Treat Extracapsular Femoral Fractures
	Department /Centre	BSBE
	Supervisors	Prof. Souptick Chanda
	Date of Oral Examination	22/03/2023
	Names & addresses of external examiners	Prof. Bikramjit Basu Department of Mechanical Engineering IISC Bangaluru  Prof. Joao Folgado, University of Lisbon, Portugal

12.	Name: Dileep Ahari	Roll No: 146106002
	Thesis Title	Spectroscopic, structural and functional characterization of Intrinsically Disordered Protein DHN1 from <i>Zea mays</i>
	Department /Centre	BSBE
	Supervisors	Prof. R. Swaminathan / Prof. Latha Ranjan
	Date of Oral Examination	21/03/2023
	Names & addresses of external examiners	Prof. Ashwani Pareek NABI, Punjab, India.  Prof. Steffen P. Graether, University of Guelph, Canada
13	Name: Ching Thian Moi	Roll No: 176122009
	Thesis Title	Engineering Metal Oxides for (Photo)Electrochemical Oxygen Evolution/Hydrogen Evolution Reactions
	Department /Centre	Chemistry
	Supervisors	Prof. Mohammad Qureshi
	Date of Oral Examination	25/03/2023
	Names & addresses of external examiners	Prof. Vijayamohan K Pillai IISER Tirupati, Tirupati.  Prof. Shyam Sudhir Pandey Kyushu Institute of Technology, Japan.
14	Name: Abebe Moges Tadesse	Roll No: 166107031
	Thesis Title	Extraction of Dietary Bioactive Components from Sea Buckthorn Leaves and Whole Berries using Organic Solvent and Supercritical CO <sub>2</sub> , and its Application in the Synthesis of Silver Nanoparticles
	Department /Centre	Chemical Engineering
	Supervisors	Prof. V.V. Goud
	Date of Oral Examination	23/03/2023
	Names & addresses of external examiners	Prof. H. N. Mishra IIT Kharagpur.  Prof. V. Meda, University of Saskatchewan, Canada.
15	Name: Arnab Kumar Sinha	Roll No.: 166104032
	Thesis Title	Repairing and Strengthening of Reinforced Concrete Beam with Web Opening using Alkali activated Geopolymeric Material
	Department /Centre	Civil Engineering
	Supervisors	Prof. Sudip Talukdar
	Date of Oral Examination	15 February 2023
	Names & addresses of external examiners	Prof. Damodar Maity Department of Civil Engineering, IIT Kharagpur  Prof. Rabin Tuladhar, Department of Engineering and

			Physical Sciences, James Cook University Australia
16	Name: Aparimita Priyadarshini Naik		Roll No.: 166104016
	Thesis Title		Experimental and Numerical Evaluation of Flow Beneath Mini Disc Infiltrometer for Estimating Wetting Characteristics of Soils
	Department /Centre		Civil Engineering
	Supervisors		Dr. Sreeja Pekkat
	Date of Oral Examination		20 February 2023
	Names & addresses of external examiners		Prof. D. Nagesh Kumar Department of Civil Engineering Indian Institute of Science Bangalore  Prof. Ajit K Sarmah, Department of Civil and Environmental Engineering, University of Auckland, New Zealand
17	Name: Jnyanendra Kumar Prusty		Roll No.: 156104033
	Thesis Title		A Study on Influence of Control Parameters on Strength, Durability and Microstructure of Fly Ash-Ground Granulated Blast Furnace Slag based Geopolymer Mortar and Concrete
	Department /Centre		Civil Engineering
	Supervisors		Prof. Bulu Pradhan
	Date of Oral Examination		28 February 2023
	Names & addresses of external examiners		Prof. Dinakar Pasla School of Infrastructure Indian Institute of Technology Bhubaneswar  Prof. Andrew J. Boyd, Department of Civil Engineering, McGill University, Canada
18	Name: Khwairakpam Selija		Roll No.: 156104022
	Thesis Title		NOVEL INVESTIGATIONS ON POTENTIAL OF BALANITES AEGYPTIACA AND SESAMUM INDICUM FOR SURFACTANT PREPARATION AND APPLICATION IN FOAM CONCRETE
	Department /Centre		Civil Engineering
	Supervisors		Dr. G. Indu Siva Ranjani
	Date of Oral Examination		06 March 2023
	Names & addresses of external examiners		Prof. Prakash Nanthagopalan Department of Civil Engineering Indian Institute of Technology Bombay  Prof. Narayanan Neithalath, School of Sustainable Engineering and the Built Environment, Arizona State University, USA

19	Name: Anna Venkata Anjeneya Bharat Kumar	Roll No.: 156104007
	Thesis Title	Assessment of speed variability on the horizontal curves using vehicle trajectories for geometric design consistency and safety evaluation of a two-lane rural highway passing through mountainous terrain
	Department /Centre	Civil Engineering
	Supervisors	Prof. C. Mallikarjuna & Prof. T. Venkatesh
	Date of Oral Examination	09 March 2023
	Names & addresses of external examiners	Prof. Gowri Asaithambi Department of Civil and Environmental Engineering Indian Institute of Technology Tirupati  Prof. Sabya Mishra , University of Memphis, Memphis TN 38152
20	Name: Eedara Prabhkararao	Roll No.: 166102109
	Thesis Title	Automated Diagnosis of Cardiac Disorders from Electrocardiogram Signals using Deep Learning
	Department /Centre	Electronics & Electrical Engineering
	Supervisors	Prof. Samarendra Dandapat
	Date of Oral Examination	01 March 2023
	Names & addresses of external examiners	Dr. Anirban Mukherjee Department of Electrical Engineering IIT Kharagpur Prof. Dewar Finlay, School of Engineering, Engineering Research Institute Jordanstown campus, UK
21	Name : Shatrughan Prasad Jaiswal	Roll No.: 156103028
	Thesis Title	Rayleigh-Taylor Instability in Viscosity Stratified Fluid Medium
	Department /Centre	Mechanical Engineering
	Supervisors	Dr. Madhusudhana Gavara
	Date of Oral Examination	16 February 2023
	Names & addresses of external examiners	Prof. Arup Kumar Das Department of Mechanical and Industrial Engineering, IIT Roorkee  Prof. Yogesh Jaluria, Department of Mechanical and Aerospace Engineering, Rutgers, The State University of New Jersey, USA
22	Name : Basina Deepak Raj	Roll No.: 146101012
	Thesis Title	Brownout-based Power Allocation Strategies in Microgrids
	Department /Centre	Computer Science & Engineering

	Supervisors	Prof. Diganta Goswami & Dr. Arnab Sarkar (IIT Kharagpur)
	Date of Oral Examination	25 March 2023
	Names & addresses of external examiners	Prof. Ansuman Banerjee Advanced Computing and Microelectronics Unit Indian Stastical Institute  Prof. Dipti Srinivasan, National University of Singapore, Singapore
23	Name: Mohd. Golam Abdul Quadir	Roll No.: 146151010
	Thesis Title	Studies on Spirulina Species Ncim 5143 for Microbial Fuel Cell Applications
	Department /Centre	School of Energy Science and Engineering
	Supervisors	Prof. Pranab Goswami Prof. A.S. Achalkumar
	Date of Oral Examination	15/02/2023
	Names & addresses of external examiners	Prof. Anju Chadha Discipline of Chemical Engineering Indian Institute of technology Jammu  Prof. Shelley D. Minter The University of Utah Salt Lake City, UT 84112
24	Name: Rahul Verma	Roll No.: 166152005
	Thesis Title	Advanced Treatment Methods of Melanoidin for the Effective Remediation of Distillery Spentwash
	Department /Centre	Centre for the Environment
	Supervisors	Dr. Lalit Mohan Pandey Prof. Lal Mohan Kundu
	Date of Oral Examination	15/02/2023
	Names & addresses of external examiners	Prof. Anil K. Saroha Department of Chemical Engineering Indian Institute of Technology Delhi  Prof. Satinder Kaur Brar Department of Industrial Biological Sciences, York University Canada- Lassonde School of Engineering, Toronto, Ontario, Canada
25	Name: Supradip Das	Roll No.: 156105015
	Thesis Title	Design Heuristics for Furniture Design and Tool for Model Making
	Department /Centre	Design
	Supervisors	Prof. Amarendra Kumar Das
	Date of Oral Examination	03/03/2023
	Names & addresses of external examiners	Dr. Purba Joshi Industrial Design Centre Indian Institute of Technology Bombay  Dr. Vimal Viswanathan Mechanical Engineering Office Suite- E310, San José State University

26	Name: Kankana Narayan Dev	Roll No.: 176105005
	Thesis Title	Design Development of Post-Disaster Transitional Shelter
	Department /Centre	Design
	Supervisors	Prof. Amarendra Kumar Das
	Date of Oral Examination	09/03/2023
	Names & addresses of external examiners	Prof. Chetan Vaidya Former Director School of Planning and Architecture, New Delhi  Prof. Iko Avital Head of Design Studies Mechanical Engineering Department, Shamoon College of Engineering, Israel
27	Name: Abhishek Majumdar	Roll No.: 166121014
	Thesis Title	Development of Electronically Tuned Nanomaterials for Electrocatalysis
	Department /Centre	Physics
	Supervisors	Dr. Uday Narayan Maiti
	Date of Oral Examination	10/03/2023
	Names & addresses of external examiners	Prof. Sudip Malik School of Applied and Interdisciplinary Sciences Indian Association for the Cultivation of Science Kolkata  Prof. Ajayan Vinu GICAN, College of Engineering, Science and Environment (CESE) The University of Newcastle, Australia
28	Name: Kasturi Gogoi	Roll No.: 166153002
	Thesis Title	Nano-Enabled Optoelectronic and Mechatronic Devices
	Department /Centre	Centre for Nanotechnology
	Supervisors	Prof. Arun Chattopadhyay
	Date of Oral Examination	15/03/2023
	Names & addresses of external examiners	Prof. Ashok K. Ganguli Department of Chemistry Indian Institute of Technology Delhi  Prof. Vaidhyanathan Ramamurthy Department of Chemistry University of Miami
29	Name: Dangka Shylla	Roll No.: 166121009
	Thesis Title	Atomic Coherence based Electromagnetic Wave Interferometry
	Department /Centre	Physics
	Supervisors	Dr. Kanhaiya Pandey
	Date of Oral Examination	15/03/2023
	Names & addresses of external examiners	Dr. Umakant D. Rapol IISER Pune

	external examiners	Prof. Ifan G Hughes Department of Physics Durham University, UK
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## ANNEXURE-21

### MINUTES OF THE STUDENTS DISCIPLINARY COMMITTEE (SDC) MEETING HELD ON 01.11.2022

A meeting of the Students' Disciplinary Committee (SDC) was held on 01.11.2022 at 4:00 p.m. in Students' Affairs Conference Hall.

#### Following members attended the meeting:

1. Prof. Anamika Barua, Dean of Students' Affairs	Chairperson
2. Prof. Amaresh Dafal, Associate Dean of Students' Affairs-I	Member
3. Prof. Bithiah G. Jaganathan, Associate Dean of Students' Affairs-II	Member
4. Prof. S. Kanagaraj, Department of Mechanical Engineering	Member
5. Prof. Mahuya De, Department of Chemical Engineering	Member
6. Dr. Kaustubh Dasgupta, Chairperson, Hostel Affairs Board	Member
7. Dr. Sayan Chakrabarti, Vice - Chairperson, Hostel Affairs Board (Infrastructure)	Invitee
8. Mr. Dilip Boro, Joint Registrar	Member Secretary
9. Mr. Sarthak Saxena, Vice President, Students' Gymkhana Council	Member

Dr. Sonali Chouhan, Chairperson, Students' Welfare Board could not attend the meeting held on 01.11.2022 due to prior engagement.

The Case: Incident regarding a student engaged in carrying prohibited substance inside the Institute premises.

The following student was involved in the case:

Mr. Deepanshu, Roll No. 200106026, B. Tech., Department of Biosciences and Bioengineering, Brahmaputra Hostel (Room No.: S-101).

#### Complaints:

1. Dean, Students' Affairs Section was informed by the Senior Security Officer on 23.10.2022 at around 8:30 p.m. that Security Personnel had found prohibited substance from a student who was carrying it with him while entering the campus. They discussed the matter with the student, seized the substance and sent him back to his hostel (Brahmaputra Hostel).
2. Based on this information, Dean, Students' Affairs Section informed Dr. Sayan Chakrabarti, Vice - Chairperson, Hostel Affairs Board (Infrastructure) to investigate the matter at the hostel level.
3. As per the e-mail received from Dr. Sayan Chakrabarti on 24.10.2022 by Dean, Students' Affairs Section; Dr. Chakrabarti and Dr. Rajkumar P. Thummer, Vice - Chairperson, Hostel Affairs Board (Services) & Warden, Brahmaputra Hostel visited Brahmaputra Hostel on 24.10.2022 and had a discussion with the student in the office of the hostel. However, he did not reveal any name of student. As they felt that the student was not telling the whole truth about the incident, they suggested that the parents of the student should be informed about the incident and the matter needs to be referred to SDC. The student submitted a written statement regarding the incident.

#### Deliberation:

The student mentioned above was given an opportunity to present his case before the committee. In the meeting, the committee interrogated the student regarding the complaints against him.

Page 1 of 3

*Kaustubh Dasgupta*

*Bhambhani*

*SA*  
*A. B. S.*  
*WA*

*Dean*

In the meeting, the student admitted that:

- 1) He went to Shillong and Cherrapunji on a trip with a friend who is not from the Institute and he brought the prohibited substance inside the Institute premises in a scooter.
- 2) He was caught by the Security Personnel carrying the substance in the KV gate while entering, but he presumed that he would safely escape.
- 3) He did not bring to distribute those substances to anyone in the Institute campus.
- 4) He had not consumed the prohibited substance.
- 5) No other students were involved with him in the incident.

The committee members opined their dissatisfaction regarding such type of activities. In the written statement regarding the incident, he revealed that he was caught by the Security Personnel carrying prohibited substance at the faculty gate. He was not involved in such type of activities earlier. He apologized for the incident and assured not to repeat these acts in future. He requested not to inform his parents regarding the incident.

The student was not so co-operative to the committee and the committee felt that the student had not revealed the whole truth about the incident.

The SDC opined that Mr. Deepanshu had violated the clauses 3.1.3, 3.1.17, 3.1.31 and 3.2 m) of "Ordinance on Code and Conduct of Students" of the Institute:

3.1.3	Conducts that interfere with the operations of the Institute. Such conducts include but are not limited to disruptions or obstructions of teaching, research, administration, or other IITG activities.
3.1.17	Conducts that endanger the health or safety of members of the IITG community or other persons.
3.1.31	Use or possession of a controlled substance, narcotic or drug paraphernalia, including but not limited to, any actions or activities deemed prohibited by the Institute authority / Government of India.
3.2 m)	Drinking Alcohol and use of Drug are strictly prohibited in all residential facilities. In addition, no alcoholic beverages are permitted in facilities designated as "substance-free". Drunkenness will not be tolerated and students found in a drunken state may face expulsion from residence hostels.

#### Recommendations:

After detailed deliberation, the Students' Disciplinary Committee (SDC) recommended that:

1. Mr. Deepanshu be hereby directed to undergo rehabilitation treatment under the supervision of his parents with one semester of academic break during the period of Jan - May, 2023 semester.
2. Mr. Deepanshu must leave the Institute campus after the examination latest by 30.11.2022.
3. One of the parents of Mr. Deepanshu must visit the IIT Guwahati campus to allow him to re-register for July - November, 2023 semester and report in person in the Students' Affairs Section about his / her arrival along with his / her ward.
4. Registration in July - November, 2023 semester be permitted subject to submission of the evidence of undergoing successful rehabilitation treatment and fitness report to the Students' Affairs Section.
5. Mr. Deepanshu be directed to undergo mandatory counseling once he re-joins the Institute for proper orientation.

Kamlesh Dey

Abhinav

B

HAA

San



6. Mr. Deepanshu be warned that repetition or any other violation of the "Ordinance on Code and Conduct of Students" of the Institute will lead to tougher punishment against him including termination of the academic programme.
7. The parents of Mr. Deepanshu will be informed of his acts and actions taken by the Competent Authority in this regard.

The meeting ended with a vote of thanks to all the members by the chair.



(Anamika Barua)



(A. Dalal)



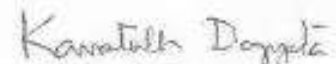
(B. G. Jaganathan)



(S. Kanagaraj)



(Mahuya De)



(Kaustubh Dasgupta)



(Sayan Chakrabarti)



(D. Boro)



(Sarthak Saxena)

## ANNEXURE 22

To,  
The Chairperson SDC,  
Indian Institute of Technology Guwahati

Date : 12/12/2022

Sub : Appeal for reconsideration of the decision made to initiate disciplinary actions for involvement in carrying prohibited substance.

Dear Ma'am,

I am writing to appeal regarding the decision made to undergo rehabilitation treatment and a semester academic break, and to apologise for my mistake.

I did something that I must not have done by carrying prohibited substance inside the Institute campus. By engaging in such misconduct, I have committed a heinous mistake. I have always been a sincere student and have never engaged in any such act or behaviour my entire life. I did this without knowing the seriousness of it and I now realise what was unknown to me at that time.

Please accept my sincere apologies and do not give me an academic break. It has taken a toll on me, and I have been having sleepless nights and anxiety, thinking of the humiliation and negativity that I have brought to my family. I have always been good at academics and have a decent CPI, and I am not able to live in peace and focus on anything thinking of how this could affect my career, my family, and every aspect of me. If this happens, then my entire year will be wasted because of even semester. This one year gap will affect my resume and placements drastically, for which I have been preparing so hard and all the efforts I put into JEE and my college years will be wasted. I am deeply aware that what I did was wrong, and I have been regretting and repenting this in my head ever since it happened, and I would never ever repeat any such thing again.

I can assure you that I have never consumed such a thing and would never do so. I am willing to submit to any counselling evaluations and physical exams that will attest to my sobriety and the lack of need for rehabilitation care. So I request you to please review the letter's disciplinary arguments on rehabilitation.

I promise you that I will not repeat any such mistake ever again and I will continue to be a student of character like I was before this incident. Finally, I request you to kindly consider my apology and reconsider the decision and provide me with a first and last chance. It would mean a lot to me and be life-saving for my career.

Regards

Yours sincerely,  
Deepanshu  
200106026  
Brahmaputra hostel (S-101)

## Annexure-24

### Attendance of Conference / Seminar etc. abroad:

The following members of the Faculty attended the following Conferences / Symposium / Seminars etc. held abroad with / without Institute financial assistance as detailed below:

Sl No	Name of Faculty Member	Designation	Deptt	Place of Visit	Financial Assistance from PDA (Yes / No)	Remarks (If any)
1	Dr. Teiborlang Lyngdoh Rynthathieng	Professor	Civil Engineering	To attend the "9th Symposium on Pavement Surface Characteristics" to be held at Milano, Italy from 12.09.22 to 14.09.22	Yes	
2	Dr. Sayan Kumar Chakrabarti	Assistant Professor	Physics	To attend the "Bad Honnef School on Black Holes" to be held at Physikzentrum, Germany from 04.09.22 to 09.09.22 and "Gravity @Prague 2022 to be held at Faculty of Mathematics and Physics of Charles University, Prague, Czech Republic from 19.09.22 to 23.09.22.	Yes	Co-author Ms. Saraswati Devi
3	Dr. Sovan Chakraborty	Assistant Professor	Physics	To present a paper at University of Hamburg, Hamburg, Germany from 26.09.22 to 27.09.22.	Yes	Co-author, Mr. Prantik Sarmah
4	Dr. Seenipandian Ravi	Professor	Physics	To attend "MMM 2022 Conference" to be held at Minneapolis, US from 31.10.2022 to 04.11.2022	Yes	Co-authors, Ms. Shalini Verma and Ms. Nibedita Nayak
5	Dr. P. K. Giri	Professor	Physics	To attend "Conference on Emerging Advanced Nanomaterials ICEAN 2022" to be held at Newcastle, Australia from 17.10.2022 to 21.10.2022	Yes	
6	Dr. Dilip Pal	Professor	Physics	To attend the 29th International Conference on Low Temperature Physics to be held at Sapporo, Japan from 18.08.22 to 24.08.22	Yes	Co-authors, Ms. Pragya Gupta and Mr. Gajendra Singh Bisht
7	Dr. Sunil Kumar Khijwania	Professor	Physics	To attend the ICO to be held at Dresden, Germany from 05.09.22 to 09.09.22.	Yes	Co-author, Mr. Sunil Mohan
8	Dr. Samit Bhattacharya	Associate Professor	CSE	To attend "ISMAR 2022" from 17.10.22 to 21.10.22 at Grand Copthorne Waterfront Hotel, Singapore.	Yes	Co-author, Mr. Nilotpal Biswas
9	Dr. Sanasam Ranbir Singh	Associate Professor	CSE	To attend "CLEF 2022" from 05.09.22 to 08.09.22 at Bologna, Italy.	Yes	Co-author, Mr. Sujit Kumar
10	Dr. John Jose	Associate Professor	CSE	To attend a workshop titled "Indo Italian SPARC Project" to be held at University of Catania, Catania, Italy from 08.10.22 to 10.10.22.	Yes	

11	Dr. John Jose	Associate Professor	CSE	To attend conference titled "30th IFIP/IEEE International Conference on VLSI" to be held at University of Patras, Patras, Greece from 03.10.22 to 05.10.22.	Yes	
12	Dr. Ankush Bag	Assistant Professor	EEE	To attend "Compound Semiconductor Weekend" to be held at King Abdullah University of Science & Technology, Thuwal, Saudi Arabia from 02.09.22 to 05.09.22.	Yes	
13	Dr. Chayan Bhawal	Assistant Professor	EEE	To present a paper at the "25th International Symposium on Mathematical Theory of Networks & Systems 2022" to be held at University of Bayreuth, Germany from 12.09.22 to 16.09.22.	Yes	
14	Dr. Priyankoo Sarmah	Professor	HSS	To present a paper at the "23rd INTERSPEECH" to be held at Songdo Convensia, Incheon, South Korea from 18.09.22 to 22.09.22	Yes	
15	Dr. Hanumant Singh Shekhawat	Assistant Professor	EEE	To present a paper at the "14th International Conference on Intelligent Human-Computer Interaction-2022" to be held at Tashkent University of Information Technologies, Tashkent, Uzbekistan from 19.10.22 to 22.10.22	Yes	
16	Mr. Supradip Das	Assistant Professor	Design	To attend the "Engineering and Product Design Conference 2022" to be held at London South Bank University from 08.09.22 to 09.09.22.	Yes	Co-author, Mr. Sagar Chirankar
17	Dr. Abhishek Shrivastava	Assistant Professor	Design	To attend the "14th International Conference on Intelligent Human Computer Interaction (IHCI) 2022" to be held at Tashkent University of Information Technologies, Tashkent, Uzbekistan from 19.10.22 to 22.10.22	Yes	
18	Dr. Ajaikumar B Kunnumakkara	Professor	BSBE	To present a paper at the "International Conference on Agriculture, Food Science and Aquaculture" to be held at Bangkok, from 28.07.22 to 29.07.22	Yes	
19	Dr. Ravindra Kumar Jha	Assistant Professor	EEE	To present a paper at the "IEEE Sensors Conference" to be held at Hyatt Regency Dallas, Texas, USA from 30.10.2022 to 02.11.2022	Yes	

**Minutes**

**The committee constituted of following to finalize the license fee/ lease amount of IIT Guwahati Research Park.**

Prof. S. K. Kakoty, Deputy Director (Chairperson), IIT Guwahati

Prof. S. Talukdar, Department of Civil Engineering, IIT Guwahati

Prof. G. Krishnamoorthy, Dean, Industrial Interaction and Special Initiatives (II&SI), IIT Guwahati

The Committee members met on 17<sup>th</sup> March 2023 and deliberated on finalising the license fee/ lease amount for IIT Guwahati Research Park for the land measuring ~ 3.00 acres from IIT Guwahati where a building was constructed for IIT Guwahati Research Park as per the sanction from Ministry of Education, sanction order no.: F.No. 34-1 / 2015-T. S.-I

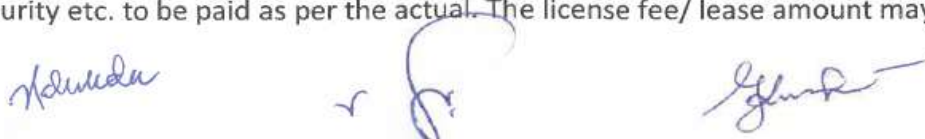
Prof. G. Krishnamoorthy briefed the other members of the committee about the license fee/ lease amount for agreement between the different IITs and the respective Research Parks.

Institute	License Fee/ Lease Amount
IIT Madras	Rs. 1 token fee
IIT Bombay	Rs. 50 per square feet
IIT Kanpur	Rs. 1 token fee per annum

The committee considered the above model but felt that net revenue sharing model will be beneficial for both the institute and the Research Park, accordingly recommend the following model:

Net Revenue of Research Park for the financial year	License fee/ lease amount for the financial year
Up to 15% of the total revenue	10% of the net revenue
15-30% of the total revenue	20% of the net revenue
30-50% of the total revenue	30% of the net revenue
Above 50% of the total revenue	40% of the net revenue

IIT Guwahati Research Park may avail other services such as water supply, electricity, security etc. to be paid as per the actual. The license fee/ lease amount may be



calculated every financial year and shall be paid within 6 months of the next financial years. The licence fee/lease amount may be charged for the financial year 2023-24 onwards.

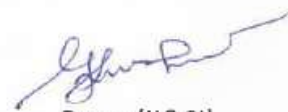
The clients of Research Park may be allowed to use the institute facility such as instruments, guest house etc. as per the agreement between the IIT Guwahati and Research Park. For availing any institute facility, the clients shall submit the request to the Research Park and Research Park shall communicate to the institute. No direct communication shall be made by the clients to the institute to avail any of the facilities.



Deputy Director



Prof. S. Talukdar



Dean (II&SI)

**EXTRACT OF MINUTES OF 52<sup>ND</sup> MEETING OF FC**

Additional Item No. 2	
TO CONSIDER THE RECOMMENDATION OF THE COMMITTEE TO FIX THE LICENSE FEE/LEASE AMOUNT FOR IIT GUWAHATI RESEARCH PARK FOUNDATION	
The finance committee considered the report finalizing the license fee / lease amount of IIT Guwahati Research Park payable to IIT Guwahati and agreed to accept the proposal as follows:	
<b>Net Revenue of Research Park for the financial year</b>	<b>License fee/lease amount for the financial year</b>
0-15% of the total revenue	10% of the net revenue
15-30% of the total revenue	20% of the net revenue
30-50% of the total revenue	30% of the net revenue
Above 50% of the total revenue	40% of the net revenue
The minutes of the meeting of the said committee is attached herewith.	
The Finance Committee is requested to consider and accept the said proposal and recommend the same to the Board of Governors of the Institute for its approval.	
The Finance Committee resolved as follows:	
<b>Resolution No.: R 52_FC/Addl. 2/2023:</b>	
The Finance Committee approved the proposal of fixing the license fee / lease amount of Research Park based on positive net revenue of the Research Park during a financial year as proposed and recommend to the Board of Governors of the Institute for its approval.	

**Date of movement of selected Professor to Pay Level 15 (Earlier HAG Scale)**

The standing committee shall arrange the marks scored by the professors in the descending order as shown below:

Professor	The mark scored by professor (out of 100)
A	100
B	97
C	90
D	85
E	80

For example, if the cut off mark decided by the Standing committee for the selection of professor to move pay level 15 in that selection is 85. The Professors A, B, C and D all shall move to pay level 15.

The Date of move of the pay level 15 is calculated by following procedure:

Professor	The mark scored by professor (out of 100)			etc.
	(up to his/her 6 <sup>th</sup> year)	(up to his/her 7 <sup>th</sup> year)	(up to his/her 8 <sup>th</sup> year)	
<b>A</b>	83	90	100	
<b>B</b>	85	97	97	
<b>C</b>	82	84	90	
<b>D</b>	80	85		

Then B is eligible after his/her 6<sup>th</sup> year, A and D after their respective 7<sup>th</sup> year and C after his/her 8<sup>th</sup> year.

If Prof. **B** completes his 6<sup>th</sup> year on 10-04-2021, he or she shall move to pay level 15 on 11-04-2021.

If Professors **A** and **D** complete their 7<sup>th</sup> year on 15.12.2022 and 15.07.2021, respectively, means Professors **A** and **D** shall move to pay level 15 from 16.12.2022 and 16.07.2021, respectively.

If **C** completes his/her 8<sup>th</sup> year on 11.11.2022 then Prof. **C** shall move from 12.11.2022.

However, none of the date of movement shall be earlier than the eligible date used in the previous selection to call the applications. For example, in the previous selection the eligible date used for calling application is 30-11-2020. Then the date of movement of none of the professor in the respective selection shall be earlier than 30-11-2020.

**The cut-off marks and date shown above are examples for illustration purpose only. The standing committee shall decide the cut-off marks and the respective office shall fix and verify the same.**





भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
**INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI**  
**FACULTY AFFAIRS SECTION**

Ref. : FA/16/Audit/Vol.III/ 1424

Date: 29.12.2022

**CIRCULAR - 28 /2022**

The Board of Governors, IIT Guwahati in its 113<sup>th</sup> meeting, and the Finance Committee in its 51<sup>st</sup> meeting held on 29.10.2022 resolved for recovery of the overpayment made to the faculty members, who come under Audit Para raised as "Irregular allowance of increment to newly recruited faculty members resulted in overpayment. In this regard, the following internal committee has been constituted to check all the cases, which come under the same purview, including identified cases under the Audit para.

- |   |          |
|---|----------|
| 1. Prof. T. Punniyamurthy, Dean of Faculty Affairs    | Chairman |
| 2. Prof. K. V. Krishna, Dean of Academic Affairs      | Member   |
| 3. Prof. Sajith G., Associate Dean of Faculty Affairs | Member   |
| 4. Prof. U. S. Dixit, Dept. of Mechanical Engineering | Member   |
| 5. Prof. G. Krishnamoorthy, Registrar In-charge       | Member   |
| 6. Mr. D. J. Goswami, HoS, Finance & Accounts         | Member   |

The Committee will submit their reports to the Director on or before 10.01.2023.

This has the approval of the Competent Authority.

This circular supersedes the earlier Circular - 27/2022 dated 22.12.2022.

  
Dean of Faculty Affairs

**Copy to:**

1. All the members
2. HoS (F&A / Admn.)



प्रदीप कुमार राय  
Pradeep Kumar Ray  
कुलसचिव  
Registrar

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

गुवाहाटी / Guwahati-781039, भारत India  
दूरभाष / Phone: +91-361-2680781  
DID: +91-361-2682016  
फैक्स / Fax : +91-361-2680762  
ईमेल / Email: [registrar@iitg.ac.in](mailto:registrar@iitg.ac.in)

Ref: IITG/F&A/CAG/2022-23  
Date: 5<sup>th</sup> July' 2022

To,  
The Dy. Director,  
Indian Audit & Accounts Department,  
O/o the Director General of Audit (Central), Kolkata,  
Branch Office: Guwahati  
Assam Building, Maidamgaon,  
Beltoia, Guwahati-781029

Ref: OAD/BO-GHY/1-33/PDP-IITG/IIA(2.2)/2019-20 dated 24.05.2022

Sub: Draft Audit Para on 'Irregular allowances of increment to newly recruited faculty members resulted in overpayment of ₹ 2.09 crore'

Sir,

In reference to the above, please find the Institute reply to the Audit Questionnaire in the prescribed format as enclosed.

Thanking you

Yours sincerely,

  
Pradeep Kumar Ray

कुलसचिव / Registrar  
IIT Guwahati

**Sub.: Draft Audit para on "Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs. 2.09 crore"**

This is with reference to the letter under Ref. No. OAD/BO-GHY/1-33/PDP-IITG/IIA(202)2019-20/299, dated 24.05.2022 received from Dy. Director, IAAD, Guwahati on the subject mentioned above. As advised, following para-wise reply as request is prepared.

Point No.	Audit Questionnaire	Reply of Institute
1.	Whether IITG has made any correspondence with the Ministry and deliberations in Board and Finance Committee in respect of the pay fixation of the faculty members mentioned in the Draft Para? If yes, copy of the same may please be provided to audit.	a. Yes. Copy of relevant correspondence with Ministry and reply of the Institute is enclosed as <b>Annexure – 1</b> . b. Board resolution pertaining to the matter is enclosed as <b>Annexure – 2</b> .
2.	Whether the recovery from the faculties has been started? If yes, when it was started and the present status of recovery may please be furnished, along with supporting documents, if any. Whether the matter was taken in any court of law?	No.
3.	Under which rule/provisions pay anomaly is settled? Also what does the Act and Statute provide for resolution of pay anomaly? Please furnish the reply with supporting documents.	The Institute follows the guidelines issued by the Ministry of Education from time to time regarding appointment of faculty and fixation of their pay. Act and Statutes does not provide any resolution of pay anomaly. Detailed reply is enclosed at <b>Annexure – 3</b> .
4.	Whether all the newly appointed faculty members mentioned in the said draft para were under probation period. If yes, please provide the documents in support of the same.	No
5.	Whether any post of faculty members was filled by promotion? If yes, which post was filled by promotion? The procedure for the same may please be explained with supporting documents?	There is no promotion policy for faculty positions in IITs, as such.
6.	The pay of a person appointed later say after July was more than one appointed earlier i.e. before July. Whether this case would be called an anomaly as per the relevant rule or the Act and Statute of IITG. If yes, what are the provisions of the Act and Statute to rectify it?	Yes, the case may be called as anomaly. But Act and Statutes does not provide any resolution of pay anomaly. Detailed reply is enclosed at <b>Annexure – 3</b> .

7.	Whether the pay was re-fixed in light of audit observation? If yes, when was the pay re-fixed for the faculty members in respect of faculty members mentioned in the Draft Para?	No.
8.	The figures showing the drawn part in respect of faculty members mentioned in the Draft Para may please be confirmed. The details of which are herewith enclosed in Annexure-II.	While confirming the facts and figures stated in the Draft Audit Para, the Institute would request the competent authority of the C&AG of India to take into consideration the circumstances under which such decision was taken by the Institute's Board of Governors.  Detailed reply is enclosed at Annexure – 3.
9.	Whether IITG has any rules/ provisions which disallow to recover the excess amount paid irrespective of the time gap of payment and direction of irregularity.	No
10.	Please provide the copy of Option Form as exercise by the faculty members mentioned in the draft para.	Copies enclosed as Annexure – 4.
11.	Whether IITG has received any reply/ instruction from the Ministry on the above mentioned subject. If yes, please provide copy of the same.	Letter from Ministry and Institute reply thereon is enclosed at Annexure - 1
12.	Was any arrear payment made to the faculty members mentioned in the para? if yes, please provide copy of the same in respect of 25 faculty members mentioned in the para	No



प्रदीप कुमार राय  
Pradeep Kumar Ray  
कुलसचिव  
Registrar

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

गुवाहाटी / Guwahati-781039, भारत India  
दूरभाष / Phone: +91-361-2690761  
DID: +91-361-2582016  
फैक्स / Fax : +91-361-2690762  
ईमेल / Email: registrar@iitg.ac.in

To  
Mr. Sumit Gakhar  
Deputy Secretary to the GOI  
Ministry of Education  
Dept. of Higher Education  
Technical Section - 1  
Shastri Bhawan, New Delhi - 110 001

Ref.: FA/16/Audit/11-22/1158

Date: 19.10.2022

Sub.: Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs. 2.78 Crore.

Ref.: Email from the Ministry of Education dated 18.10.2022 with the following attachments:

1. DGA (Central), Kolkata letter D.O. No. OA(R)/DP/AB/IITs/Pay/2019-20/167 dated 15.09.2022.
2. MoE letter F.No. 32-28/2017-TS.I dated 03.10.2021.

Sir,

With reference to the subject and letters cited above, it may be mentioned that the Institute is responding to all the queries received from the Office of Sr. Audit Officer, BO, Guwahati since this audit para was raised by them.

In regard to the above-mentioned audit para, it is stated that, a committee has been constituted by the Board of Governors of the Institute for examining the matter in detail and submitting the reports in the next Board of Governors meeting. The report of the Committee is awaited. The Institute will initiate the necessary action at the earliest after receipt of the report of the Committee and decision of the BoG thereof.

Yours sincerely,

  
(P. K. Ray)

F. No. 32-28/2017-T.S. I  
Government of India  
Ministry of Education  
Department of Higher Education  
Technical Section I  
\*\*\*\*\*

Shastri Bhawan, New Delhi  
Dated 3<sup>rd</sup> October, 2022

To,  
The Directors,  
IIT- Kharagpur and Guwahati.

**Subject: Updated draft Audit Para on "Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs. 2.78 crore"**

Sir,

I am directed to forward herewith a copy of letter dated 15-9-2022 (copy enclosed) received from DGA (Central), Kolkata forwarding therewith a draft audit para wherein it is inter-alia mentioned that :-

*"Further, the Ministry of Education, in their reply, have stated (April 2022) that the matter was taken up with both IITs and at IIT Kharagpur, the recovery of overpayment has been approved (July 2021) by the Board of Governors, with recovery, in 24 instalments, starting from April 22. IIT Kharagpur has recovered Rs. 0.13 crore (up to May 2022), out of the recoverable amount of Rs. 1.58 crore. However, at IIT Guwahati, the matter was under deliberation and no recovery of pay and allowance had been made till date."*

2. In view of the above, IIT-Karagpur and IIT-Guwahati are requested to furnish the comments/ latest status with regard to matter raised therein to this Ministry at the earliest.

Encl: As above

Yours faithfully,



3/10/2022

(Sumit Gakhar)

Deputy Secretary to the Govt. of India  
Email : sumit.gakhar@nic.in



प्रोफ. ए. श्रीनिवासन  
Prof. A. Srinivasan  
कुलसचिव (अंतरिम)  
Registrar (Interim)

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

गुवाहाटी / Guwahati-781039, भारत India  
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ईमेल / Email: [registrar@iitg.ac.in](mailto:registrar@iitg.ac.in)

Ref: IITG/F&A/CAG/2021-22/312  
Date: 21<sup>st</sup> March' 2022

To,  
Sr. Audit Officer (OAD),  
Indian Audit and Accounts Department,  
Office of the Director General of Audit (Central), Kolkata,  
Branch Office: Guwahati,  
At AG (Audit) Assam, 2<sup>nd</sup> Floor, Maidamgaon, Beltola,  
Guwahati - 781029

Subject: Draft Audit Para on "Irregular allowance of increment to newly recruited faculty members resulted in overpayment of ₹2.09 crore"

Ref: OAD/BO-GHY/1-33/PDP-IITG/IA(2.2)/2019-20/364, dated 04 March 2022

Dear Sir,

With reference to the above, it is to be mentioned that a two-member team from the Office of Sr. Audit Officer, BO: Guwahati visited IIT Guwahati during 27-28/01/2022 in connection with the subject mentioned above and they were provided with the necessary documents and replies vide letter with ref: IITG/F&A/CAG/2021-22/300 dated 28<sup>th</sup> January' 2022 (copy enclosed). As the matter is still under deliberation no recovery of pay and allowances have been made by the Institute till date.

This is for your information and necessary action at your end.

Yours sincerely,

कुलसचिव (अंतरिम)  
Registrar (Interim)



भारतीय लेखापरीक्षा एवं लेखा विभाग  
INDIAN AUDIT & ACCOUNTS DEPARTMENT  
महानिदेशक लेखापरीक्षा (केन्द्रीय) कोलकाता का कार्यालय  
Office of the Director General of Audit (Central), Kolkata  
शाखा कार्यालय: गुवाहाटी/Branch Office: Guwahati



सत्यमेव जयते  
Dedicated to Truth & Public Interest

No. OAD/BO-Ghy/1-33/PDP-IITG/II A(2.2)/201920/364

Dated 04 March 2022

To,  
The Registrar,  
Indian Institute of Technology, Guwahati,  
Guwahati, Assam-781039

**Sub: Draft Audit Para on 'Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs 2.09 crore' related to IITs at Kharagpur and Guwahati**

Sir,

I am to inform you that an Audit Para on the above subject was raised in Inspection Report of your Institute in the year 2018-19 vide Para no. 2.2/II-A titled 'Excess payment of pay and allowances of Rs 70.55 lakh due to irregular pay fixation of faculties'. The Para is presently being reviewed by O/o the Comptroller & Auditor General of India, New Delhi alongwith cases related to IIT, Kharagpur.

In your reply dated 12 January 2022, in regard to the para it was stated that the procedure for pay fixation adopted by the Institute was found to be valid. In this regard, any further development in this regard may please be stated.

It may also please be stated if any recoveries of pay and allowances have been made on the basis of the para. If yes, the details may please be provided.

This may please be accorded top priority.

Yours faithfully,

Sr. Audit Officer/OAD  
BO: Guwahati





प्रोफ. ए. श्रीनिवासन  
Prof. A. Srinivasan  
कुलसचिव (अंतरिम)  
Registrar (Interim)

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

गुवाहाटी / Guwahati-781039, भारत India  
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फैक्स / Fax : +91-361-2690762  
ईमेल / Email: registrar@iitg.ac.in

Ref: IITG/F&A/CAG/2021-22/300  
Date: 28<sup>th</sup> January' 2022

To,  
Sr. Audit Officer (OAD/HQ),  
Indian Audit and Accounts Department,  
Office of the Director General of Audit (Central), Kolkata,  
Branch Office: Guwahati,  
At AG (Audit) Assam, 2<sup>nd</sup> Floor, Maidamgaon, Beltola,  
Guwahati - 781029

Subject: Draft Audit Para on "Excess payment of pay and allowances of Rs.70.55 lakh due to irregular pay fixation of faculties"

Ref: OAD/BO-GHY/1-33/PDP-IITG/IIA(2.2)/2019-20/299, dated 17.01.2022

Dear Sir,

With reference to the above, please find enclosed herewith the Institute's reply to the Draft Audit Para issued vide letter under reference.

This is for your information and necessary action at your end.

Yours sincerely,

कुलसचिव (अंतरिम)  
Registrar (Interim)

Sub.: Audit para Ref. No. QAD/BO-GHY/1-33/PDP-IITG/IIA(2.2)/2019-20/299, dated 17.01.2022

	Audit Query	Institute Reply
1.	Copies of the advertisements for recruitment of 25 nos. faculty member for the period between March 2010 and March 2019	Copies of the advertisement for the year 2015 to 2016 are enclosed as Annexure - I. It may be mentioned that the advertisements were "rolling web based advertisement".  Generally, same formats of advertisement are uploaded in the Institute website from time to time giving tentative interview schedule. Input from the Departments are obtained as per requirement and finally uploaded in the Institute website.
2.	Copies of Board Resolution regarding fixation of pay of above 25 faculty members.	BOG approved the selection committee recommendations. Copies of relevant board resolutions enclosed as Annexure - II.
3.	The option for pay fixation was to be exercised within one month. Whether the Board has powers to give relaxation in this regard. If yes, copy of the Acts/ Statute may please be provided	Copies of the Statues, IITG which empowers the Chairman, BOG [para 7(1) of Statutes] and Director [para 9(5) and 12 (1) of Statutes] for pay fixation and appointment are enclosed (Annexure - III).

Further clarification dtd. 27.01.2022

	Audit Query	Institute Reply
1.	Copy of approved draft of advertisement, which was finally uploaded in the website	Kindly refer to the reply against audit query Ref. No. QAD/BO-GHY/1-33/PDP-IITG/IIA(2.2)/2019-20/299, dated 17.01.2022.
2.	Are these advertisements were open for all (direct recruitment) i.e. for non IITG candidates and IITG candidates	Advertisement were open for all.  Internal candidates who are eligible to be promoted also have to apply in response to these advertisements.
3.	Whether the IITG has its own recruitment rules (RR)/ promotion guidelines for faculty members? If yes, the same may be please be provided	No. IITG follows guideline of Ministry of Education, Govt. of India issued vide letter under reference No. F.No. 15-4/2017-TC dated 27.10.2017 (Annexure - IV)

Prakash Hazarika  
প্রকাশ হাজারিকা  
Joint Registrar (Admn.)  
সংযুক্ত কলসরিব (প্রসাধন)

ভাৰতীয় প্ৰটেকিণ্ডি সংস্থান গুৱাহাটী  
গুৱাহাটী-781039  
Indian Institute of Technology Guwahati  
Guwahati - 781 039

Phone (DID) : EPABX : (0361) 2583000  
Direct : (0361) 2582041  
Fax : (0361) 2690762  
Email : hoadmin@iitg.ac.in



Ref: IITG/F&A/CAG/2021-22/195  
Date: 27<sup>th</sup> May' 2021

To,  
P. J. Soundararajan,  
Under Secretary to the Govt. of India,  
Ministry of Education,  
Department of Higher Education,  
Technical Section I,  
Shastri Bhawan,  
New Delhi - 110 115

Subject: Irregular allowance of increment to newly recruited faculty members resulted in overpayment of ₹2.09 crore

Ref: i) F. No. 32-28/2017- T. S. I dated 21<sup>st</sup> May, 2021  
ii) D.O. No.: OA (R)/DP/AB/IITs/Pay/2019-20/10 dated 22<sup>nd</sup> April, 2021

Sir,

With reference to the above, it is to be mentioned that this exercise was carried out to avoid anomalies in pay fixation of the newly appointed faculty members who joined before 30<sup>th</sup> June every year with those who were appointed after June every year. The detail reply and justification has already been communicated to Audit (copy attached).

CAG may suggest alternative ways for fixation of pay avoiding anomalies.

Thanking you.

Yours sincerely,

Prakash Hazarika  
Joint Registrar (Admn.) & Registrar i/c

Copy to:

Director (Finance),  
Integrated Finance Division,  
Ministry of Education,  
Department of Higher Education,  
IF - I Section,  
Shastri Bhawan,  
New Delhi - 110 115

**Reply to the Inspection Report on the Accounts of Indian Institute of Technology Guwahati for the period from 01.04.2018 to 31.03.2019**

Para No.	Query	Reply
2.2/IIA	<p data-bbox="403 343 1220 406"><b>Excess payment of pay and allowances of ₹70.55 lakh due to irregular pay fixation of faculties.</b></p> <p data-bbox="403 430 1220 678">As per the Recruitment Rules notified by MHRD for all Centrally Funded Technical Institutions (CFTI) including IITs, recruitment to the post of Assistant Professor, Associate Professor and Professor is done on direct recruitment basis only. Whenever any academic post is advertised, both internal candidates and external candidates can apply for the post. Selection is made on the basis of an interview common to both types of candidates and the selected candidates join the post on direct recruitment basis.</p> <p data-bbox="403 702 1220 1029">Rule 22(I)(a)(1) of Fundamental Rules (F.R.) dealing with fixation of initial pay of a Government servant appointed to a post carrying duties and responsibilities of greater importance than those attached to the post previously held by him, states that the initial pay fixation to the higher post is to be done after granting one increment on the time scale of post previously held by him. It further provides that the Government servant shall have the option to get his pay fixed either on the date of appointment to the higher post or on the date of accrual of next increment in the time scale of post previously held by him. It further states that such an option to opt for the date of pay fixation shall not be available to the candidates selected on direct recruitment basis.</p> <p data-bbox="403 1053 1220 1157">However, IITG allowed all faculties selected for appointment on direct recruitment basis to exercise an option regarding the date of fixation of initial pay under F.R. 22(I)(a)(1). Test check of Service Books and</p>	<p data-bbox="1243 335 2004 470">It may be appraised to Audit that the Institute is aware of the provisions of Pay fixations as referred by the Audit and implemented the same procedure till implementation of the 6<sup>th</sup> CPC when the date of increment was made uniform on 1<sup>st</sup> July every year for all the employees.</p> <p data-bbox="1243 494 2004 758">The Institute initially fixed the pay to the Faculty Members working in the Institute and appointed in a higher position as per FR 22(1)(a)(1) without giving the option of pay fixation from the next date of increment. While doing so, representations were received from faculty members appointed in a higher position during the period 2<sup>nd</sup> January to 30<sup>th</sup> June of a year that because the options were not given to them, their pay had become less than those who were appointed in the same higher position between the period 1<sup>st</sup> July till 31<sup>st</sup> December of the same year having the same pay prior to the new appointment.</p> <p data-bbox="1243 782 2004 1133">On examining the matter, it was found that the group of faculty members getting appointment to substantive higher posts during the period of July 01 to December 31 in a given calendar year is automatically given two increments - one regular increment in the previous post on July 01 (uniform date of increment) and other upon appointment to substantive higher post. However, the faculty members who are getting appointment to higher posts during the period of January 01 to June 30 in the same calendar year are getting only one increment. This led to a serious discrepancy in the revised basic pay of two groups of appointees. The faculty who are appointed in the first half of a calendar year would be drawing lower pay even if they were senior than those getting appointed in the second half of the year.</p>

recruitment records for faculties appointed between March 2010 and March 2019 revealed that 25 faculties availed the option to get their initial pay fixed from the date of accrual of next increment in the posts previously held by them. It was also noted that due to availing of such option, their initial pay was fixed at a higher level than the pay that would have been admissible to them had their pay been fixed on the date of appointment.

IITG in violation of the F.R. 22(I)(a)(1) allowed directly recruited faculty to exercise an option for the date of fixation of initial pay on appointment to a higher post, which resulted in irregular fixation of pay at higher level than the pay admissible and consequently resulted in excess payment of pay and allowances (only basic pay, DA and SDA) of ₹70.55 lakh (Annexure-I) to these faculties during the period between March 2010 and March 2019.

This is illustrative only. Similar cases may be reviewed and result thereof may be intimated to audit. The same issue was raised in the previous audit also. In reply (dated 20.09.2019) the Institute contended that to sort out the anomaly of pay the BoG resolved (in 63<sup>rd</sup> meeting dated 30.11.10) to apply the provision of FR 22(I)(a)(1). However, no concurrence of the Ministry on the issue was found on records.

The reply is awaited.

The following table will give a clear picture of the situation.

Date of Effect	Faculty F1 in Dept. D1		Faculty F2 in Dept. D2		Difference (7)	Remarks		
	Pay in PB (Rs)	AGP (Rs)	Pay in PB (Rs)	AGP (Rs)				
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1/5/2010	47600	9500	57160	47660	9500	57160	0	Both drawing same pay
7/5/2010	49380	10500	59880	47660	9500	57160	2720	F1 appointed Professor
1/7/2010	49380	10500	59880	49380	9500	58880	1000	Upon next increment
1/8/2010	49380	10500	59880	51150	10500	61650	1770	F2 appointed Professor
1/7/2011	51180	10500	61680	53000	10500	63500	1820	Upon subsequent increment

1/7/ 2012	53 03 0	10 50 0	63 53 0	54 91 0	10 50 0	65 41 0	- 18 80	Upon subsequ ent increme nt
1/7/ 2013	54 94 0	10 50 0	65 44 0	56 88 0	10 50 0	67 38 0	- 19 40	Upon subsequ ent increme nt

From the table, the following transpires:

- The faculty Member F1 of Department D1 and the faculty Member F2 of Department D2, both Associate Professors had equal pay at Rs.57160/- as on 01.05.2010.
- Faculty Member F1 was appointed as Professor on 07.05.2010 and pay was fixed at Rs.59880/- giving one notional increment as per FR 22(1)(a)(1).
- On 1<sup>st</sup> July 2010, Faculty member F2 got his annual increment and his pay was fixed at Rs.58880/- whereas the Faculty Member F1's pay would remain the same at Rs.59880/-.
- Faculty Member F2 was appointed as Professor on 01.08.2010 and pay was fixed at Rs.61650/- giving one notional increment as per FR 22(1)(a)(1).
- F2 who got appointed later than F1 would get more pay than F1 on the date of appointment which would continue eventually.

In other words, had the option not been given to the Faculty member (F1) getting appointment to a higher substantive post on 7<sup>th</sup> May 2010, his pay would have become less than the faculty member F2 (having equal pay before such appointment) getting appointment to a higher substantive post on 1st August 2010.

		<p>This case as above led to Pay Anomaly as the pay of an emple drawing equal pay with another one on a particular date and appointed in a higher post earlier would result in lesser pay than one appointed in the same higher post on a later date.</p> <p>In view of above anomaly, it was decided to place the matter in Board of Governors (BoG) for a decision. The matter deliberated in the 63<sup>rd</sup> meeting of BoG and decided to give option of fixation of pay from the date of next increment to employees appointed to a higher post after 01.01.2006 (c enclosed at Annexure - III).</p> <p>Accordingly, the Institute has started giving the option of fixat of pay from the date of next increment to all employees appoin to a higher post after 01.01.2006 so that there would not be l anomaly to the employees.</p> <p>In view of above, the para may kindly be dropped.</p>
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**ITG/63 – BOG/MINUTES**  
**30<sup>TH</sup> NOVEMBER 2010**

**R 63/26/2010:** The Board **RESOLVED** that the recovery be initiated as per the observations of the CAG. The Board also **APPROVED** the proposal for recovery of amounts in 24 monthly installments, starting from January 2011.

**Item No. 27**

**Pay anomaly in respect of some Professors**

The Board noted that a number of cases of anomaly of pay of Professors were examined by the Finance Committee in its 24<sup>th</sup> meeting held on March 8 2010. The Finance Committee had recommended, and the Board had approved, the stepping up of the pay of the affected Professors, subject to concurrence from MHRD. The Board further noted that the Institute has in the mean time re-examined the issue and found that by applying clause FR 22(I)(a)(1) in the Govt. FRSR Rules, the matter can be resolved. Under this clause, a person placed in a higher scale can opt to delay placement in the higher scale till the date of his / her next annual increment. If all the affected Professors opt for this, then the anomalies will be removed and none of the Professors will incur any financial loss due to the delay in implementation the higher scale of pay. However, procedurally, employees have to give this option within one month of appointment in the new post. The Board noted the special circumstances due to the revisions in pay.

**R 63/27/2010:** The Board **RESOLVED** that all employees appointed to a higher post after 1.1.2006 be given one more chance to opt to delay placement in the higher scale till the date of their next increment as per Clause FR 22(I)(a)(1) of the FRSR Rules of the Govt. of India.

As there were no other matters, the meeting ended with a note of thanks to the Chair.

(B. N. Raychoudhury)  
Registrar & Secretary  
Board of Governors, IIT Guwahati

Approved

(R. P. Singh)  
Chairman  
Board of Governors, IIT Guwahati



1/71083/2021

File No.32-28/2017-TS-I

183

**F. No. 32-28/2017-T.S. I**  
Government of India  
Ministry of Education  
Department of Higher Education  
Technical Section I

Shastri Bhawan, New Delhi  
Dated the 21st May, 2021

To,

Directors,  
IITs- Kharagpur and Guwahati

**Subject: Draft Audit Para titled "Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs. 2.09 crore"**

Sir,

I am directed to forward herewith a copy of DGA (Central)'s, Kolkata letter dated 23-4-2021 on the above mentioned subject and to say that necessary action in this regard may be taken and comments of the institutes may be submitted to this Ministry urgently.

Yours faithfully,

Encl: As above

(P. J. Soundararajan)  
Under Secretary to the Govt. of India  
Ph : 011-23381698

Copy to Director, IFD.

Reply to the Audit Para on Fixation of Pay

It may be appraised to Audit that the Institute is aware of the provisions of Pay fixations as referred by the Audit and implemented the same procedure till implementation of the 6<sup>th</sup> CPC when the date of increment was made uniform on 1<sup>st</sup> July every year for all the employees.

The Institute initially fixed the pay to the Faculty Members working in the Institute and appointed in a higher position as per FR 22(1)(a)(1) without giving the option of pay fixation from the next date of increment. While doing so, representations were received from faculty members appointed in a higher position during the period 2<sup>nd</sup> January to 30<sup>th</sup> June of a year that because the options were not given to them, their pay had become less than those who were appointed in the same higher position between the period 1<sup>st</sup> July till 31<sup>st</sup> December of the same year having the same pay prior to the new appointment.

On examining the matter, it was found that the group of faculty members getting appointment to substantive higher posts during the period of July 01 to December 31 in a given calendar year is automatically given two increments - one regular increment in the previous post on July 01 (uniform date of increment) and other upon appointment to substantive higher post. However, the faculty members who are getting appointment to higher posts during the period of January 01 to June 30 in the same calendar year are getting only one increment. This led to a serious discrepancy in the revised basic pay of two groups of appointees. The faculty who are appointed in the first half of a calendar year would be drawing lower pay even if they were senior than those getting appointed in the second half of the year.

The following table will give a clear picture of the situation

Date of Effect	Faculty F1 in Dept. D1			Faculty F2 in Dept. D2			Difference (4)-(7) (Rs)	Remarks
	Pay in PB (Rs)	AGP (Rs)	Revised Pay (Rs)	Pay in PB (Rs)	AGP (Rs)	Revised Pay (Rs)		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1/5/2010	47600	9500	57160	47660	9500	57160	0	Both drawing same pay
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1/7/2010	49380	10500	59880	49380	9500	58880	1000	Upon next increment
1/8/2010	49380	10500	59880	51150	10500	61650	-1770	F2 appointed Professor
1/7/2011	51180	10500	61680	53000	10500	63500	-1820	Upon subsequent increment
1/7/2012	53030	10500	63530	54910	10500	65410	-1880	Upon subsequent increment
1/7/2013	54940	10500	65440	56880	10500	67380	-1940	Upon subsequent increment

From the table, the following transpires:

*[Signature]*

*[Signature]*  
19/9/19

*[Signature]*  
19/9/19

*[Signature]*  
19/9/19

1. The faculty Member F1 of Department D1 and the faculty Member F2 of Department D2, both Associate Professors had equal pay at Rs.57160/- as on 01.05.2010.
2. Faculty Member F1 was appointed as Professor on 07.05.2010 and pay was fixed at Rs.59880/- giving one notional increment as per FR 22(1)(a)(1).
3. On 1<sup>st</sup> July 2010, Faculty member F2 got his annual increment and his pay was fixed at Rs.58880/- whereas the Faculty Member F1's pay would remain the same at Rs.59880/-.
4. Faculty Member F2 was appointed as Professor on 01.08.2010 and pay was fixed at Rs.61650/- giving one notional increment as per FR 22(1)(a)(1).
5. F2 who got appointed later than F1 would get more pay than F1 on the date of appointment which would continue eventually.

In other words, had the option not been given to the Faculty member (F1) getting appointment to a higher substantive post on 7<sup>th</sup> May 2010, his pay would have become less than the faculty member F2 (having equal pay before such appointment) getting appointment to a higher substantive post on 1st August 2010.

This case as above led to Pay Anomaly as the pay of an employee drawing equal pay with another one on a particular date and got appointed in a higher post earlier would result in lesser pay than the one appointed in the same higher post on a later date.

In view of above anomaly, it was decided to place the matter in the Board of Governors (BoG) for a decision. The matter was deliberated in the 63<sup>rd</sup> meeting of BoG and decided to give the option of fixation of pay from the date of next increment to all employees appointed to a higher post after 01.01.2006 (copy enclosed).

Accordingly, the Institute has started giving the option of fixation of pay from the date of next increment to all employees appointed to a higher post after 01.01.2006 so that there would not be Pay anomaly to the employees.

In view of above, the para may kindly be dropped.



भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

NOTE SHEET  
CONTINUATION PAGE

FACULTY AFFAIRS SECTION

File Ref.: .....

Sub.: D.O. letter No. OA/RJ/DP/AB/IITs/Pay/2019-20/10 dated 22.04.2021 from Director General of Audit, Central - Irregular allowance of Increment to newly recruited faculty members resulted to overpayment"

The Board of Governors of the Institute in its 96<sup>th</sup> meeting held on 29.03.2019 (copy enclosed) constituted a committee to re-look on the Audit para on excess payment of pay and allowance to some faculty members.

The committee submitted their report dated 19.09.2019, considering the procedure of pay fixation adopted by the Institute till implementation of the 7<sup>th</sup> CPC to be valid. Accordingly, draft reply prepared by the committee was sent to the Audit. The report of the committee was placed in the 99<sup>th</sup> meeting of Board of Governors of the Institute (copies enclosed) and discussed in presence of a member from MHRD.

May please be forwarded to the Registrar for perusal and needful please.

*Handwritten:* Guwahati  
29/04/2021  
HOS (FA)

→ DOFA

*Handwritten:* Forwarded for consideration.

*Handwritten signature:* J. Prasad  
29/4/21

→ Registrar

TS.I dated 21.10.2019 and 22.10.2019 respectively.

In view of this, Director has approved the payment of enhanced rate of Dearness Allowance/Relief (17%) w.e.f. 01.07.2019 to the employees of the Institute.

**Resolution No: R\_99BOG/09/2019:**

**RESOLVED** to **RATIFY** the approval granted by the Director on the items (a) to (f).

Also **RESOLVED** to **SCRAP** the system of Nomination Committee to suggest names to the Director for selection of Deans and Associate Deans.

**Item No. 10**

**Items for Reporting to the Board:**

The following items are reported to the Board:

- A. Visit of Director, IIT Guwahati to Japan to attend the "Gifu Joint Degree Symposium 2019":** Prof. T.G Sitharam, Director, IIT Guwahati visited Gifu, Japan from October 6, 2019 till October 10, 2019 to attend the "Gifu Joint Degree Symposium 2019" scheduled to be held on October 07 to October 09, 2019. During his absence, Deputy Director of the Institute was in charge.
- B. Project Implementation Progress Report (PIPR):** As per credit policy of HEFA for Monitoring of Projects, the Institute has to submit Project Implementation Progress Report (PIPR) on quarterly basis. As such the progress report for the quarter ending up to September 2019 was forwarded to the Executive vice President, Corporate Relations, Higher Education Funding Agency (HEFA) on 10.10.2019. The Project Implementation Progress Report (PIPR) for the quarter July 2019 to September 2019 as mentioned above is given as Annexure-5(pg.74-83)
- C. Suspension of Dr. B.K Rai, Assistant Professor, Deptt. of EEE:** The Director of the Institute in accordance with Statutory Provisions placed Dr. Brijesh Kumar Rai, Assistant Professor, Dept. of EEE under suspension with immediate effect vide order Ref No IITG/LC/BKR-GT/24/19/115 dated 05.09.2019.

 : In the 96<sup>th</sup> Meeting of BoG held on

29.03.2019 the Board constituted a committee to re-look on the Audit observation on excess payment of pay and allowance to some faculty members comprising of the following members.

- i. Dean of Faculty Affairs, IIT Guwahati
- ii. Associate Dean of Faculty Affairs, IIT Guwahati
- iii. Prof. B.K. Sharma, BoG Member, IIT Guwahati
- iv. Mr. Prakash Hazarika, Joint Registrar, IIT Guwahati

Consequently the aforementioned Committee met on 19.09.2019, and deliberated on the matter and considered the procedure of pay fixation adopted by the Institute till implementation of the 7<sup>th</sup> CPC to be valid. Accordingly the committee drafted a reply to the Audit Para on Fixation of Pay and sent it to the Audit. The Minute(Reply to the Audit Para on Fixation of Pay) of the meeting constituted to relook on the Audit observation on excess payment of pay and allowance to some faculty members is given at Annexure-6 (pg.84-87).

**Resolution No: R\_99BOG/10/2019:**

RESOLVED to NOTE the reporting items (A) to (D).

**SECTION E: Minutes of meetings of Statutory bodies for reporting to the Board**

**Item No. 11**

Minutes of the One Hundred Forty First (141<sup>st</sup>) and One Hundred Forty Second (142<sup>nd</sup>) Meeting of the Senate of the Institute held on 26.07.2019 and 28.08.2019 respectively:

The Board CONSIDERED the Minutes of the One Hundred Forty First (141<sup>st</sup>) and One Hundred Forty Second (142<sup>nd</sup>) Meeting of the Senate of the Institute held on 26.07.2019 and 28.08.2019 respectively as placed before the Board in terms of the Section 4(10) of the Statute and resolved as below:


**Resolution No: R\_99BOG/11/2019:**

RESOLVED that the Minutes of the One Hundred Forty First (141<sup>st</sup>) and One Hundred Forty Second (142<sup>nd</sup>) Meeting of the Senate of the Institute held on 26.07.2019 and

**Mingutes of the meeting held on 19/9/2019 to relook on the Audit observation on excess payment of pay and allowance to some of the faculty members vide Circular no. AD/32/98/Vol.22/892 dt.31/5/19.**

The members deliberated on the matter and considered the procedure of pay fixation adopted by the Institute till implementation of the 7<sup>th</sup> CPC to be valid and accordingly drafted the reply to be submitted to the Audit and to the BoG.

The reply is attached herewith.

  
Dean of Faculty Affairs  
Member)

  
Associate Dean of Faculty Affairs

  
Prof. B.K. Sharma (BoG)

  
Mr. Prakash Hazarka (N. Registrar, F&A)

		<p>Non-PLB (Ad-hoc Bonus) amounting to ₹23,93,620 and ₹24,55,218 respectively for the accounting year 2015-16 and 2016-17.</p> <p>Further, it was also noted that for the central government employees, Ministry enhanced (August 2016) the base rate (on which Ad-hoc bonus is calculated) of monthly emoluments from ₹3500 to ₹7000 w.e.f. 01.04.2014. However, ministry did not issue any orders raising the corresponding base rate of monthly emoluments for the employees of autonomous bodies. But, IITG, extended these benefits to its employees and paid (January 2017) a arrear bonus of ₹9,74,604 to its employees for the year 2014-15.</p> <p>Since the Government of India had neither extended the orders of the grant of Non-PLB for the year 2015-16 &amp; 2016-17 nor revised the base rate of monthly emoluments for employees of autonomous bodies, the payment of Ad-hoc Bonus of ₹58.23 lakh to the employees of IITG was irregular.</p>	
	IIA – 2.3	<p><b>Excess payment of pay and allowances of ₹47.51 lakh due to irregular pay fixation</b></p> <p>As per the Recruitment Rules notified by MHRD for all Centrally Funded Technical Institutions (CFTI) including IITs, recruitment to the post of Assistant professor, Associate Professor and Professor is done on direct recruitment basis only. Whenever any academic post is advertised, both internal candidates and external candidates can apply for the post. Selection is made on the basis of an interview common to both types of candidates and the selected candidates joins the post on direct recruitment basis.</p> <p>Rule 22(1)(a)(1) of Fundamental Rules (F.R.) dealing with fixation of initial pay of a government servant appointed to a post carrying duties and responsibilities of greater importance than those attached to the post previously held by him, states that the initial pay fixation to the higher post is to be done after granting one increment on the time scale of post previously held by him. It further provides that the government servant shall have the option to get his pay fixed</p>	<p>MHRD does not have any direct promotion policy / scheme for Faculty members of IITs for movement to the next higher post. Hence, subject to fulfillment of the eligibility conditions as prescribed in the 6<sup>th</sup> CPC rules for Professor and Associate Professor (MHRD letter vide F. NO. 23-1/2008-TS.11 dated 18.08.2009 – copy at Annexure - III) and provided that other academic / professional criteria as stipulated by the respective Department Faculty Advisory Committee (DFAC) are met, the Faculty members are eligible to face the Selection Committee as internal candidate for evaluation of their academic / professional achievement in existing post for movement to the next higher post. There is no interruption of service rendered in the Institute while moving to the next higher position. In view of the above, due to the continuous services rendered in the Institute and for carrying out duties and responsibilities of greater importance than those attached to the post held by them, their appointment to the next higher position has been considered as an encouragement and promotion and</p>



	<p>either on the date of appointment to the higher post or on the date of accrual of next increment in the time scale of post previously held by him. It further states that such an option to opt the date of pay fixation shall not be available to the candidates selected on direct recruitment basis.</p> <p>However, IITG allowed all faculties selected for appointment on direct recruitment basis to exercise an option regarding the date of fixation of initial pay under F.R. 22(1)(a)(1). Test check of service books and recruitment records for faculties appointed between March 2010 and March 2018 revealed that 20 faculties availed the option to get their initial pay fixed from the date of accrual of next increment in the post previously held by them. It was also noted that due to availing the option, their initial pay was fixed at a higher level than the pay that would have been admissible to them had their pay been fixed on the date of appointment.</p> <p>IITG in violation of the F.R. 22(1)(a)(1) allowed directly recruited faculty to exercise an option for the date of fixation of initial pay on appointment to a higher post, which resulted into irregular fixation of pay at higher level than the pay admissible and consequently resulted into excess payment of pay and allowances (only basic pay, DA &amp; SDA) of ₹47.51 lakh (<i>Annexure-III</i>) to these faculties during the period between March 2010 and October 2018.</p> <p>This is illustrative. Similar cases may please be reviewed and result thereof may be intimated to audit.</p>	<p>accordingly the pay fixation has done vide F.R. 22 (1)(a)(1).</p> <p>In view of the above the para may kindly be dropped.</p>
IIA - 2.4	<p><b>Irregular re-imburement of TA/LTC claims of ₹3.38 crore towards air tickets purchased from unauthorized agents</b></p> <p>The Government of India, Ministry of Finance, Department of Expenditure in September 2010 laid down the "Guidelines on Air Travel on Tours/LTC". The guidelines inter alia stipulated that in all cases of air travel on official Tour/LTC all officials were required to purchase the air tickets directly from Airlines (at Booking counters/Website of Airlines) or by utilizing the services of</p>	<p>To cater to the needs of IITG fraternity (students, employees &amp; their dependents living in the Campus), M/S Pushpak Air Travels, was appointed by IIT Guwahati who has been rendering ticketing service by regularly engaging two personnel's in the Institute Campus. The Institute also engaged M/s IRCTC, the Government of India organization for doing the parallel ticketing service mainly to the faculty and students of the Institute. After rendering their service for some length of time, IRCTC, though irregular because of certain stiff stipulations made by their head offices to maintain a very high revolving advance made a formal request to IITG, only when, they can book our tickets, which</p>



प्रोफ. ए. श्रीनिवासन  
Prof. A. Srinivasan  
कुलसचिव (अंतरिम)  
Registrar (Interim)

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

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फैक्स / Fax : +91-361-2680762  
ईमेल / Email: registrar@iitg.ac.in

To  
Mr. Mohit Gupta  
Assistant Section Officer  
Ministry of Education  
Dept. of Higher Education  
Technical Section – 1  
Shastri Bhawan, New Delhi – 110 001

Ref.: FA/16/Audit/11-22/ 458

Date: 20.04.2022

Sub.: Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs. 2.09 Crore.

Ref.: Email from the Ministry of Education dated 31.03.2022 with following attachments:

1. DGA (Central), Kolkata letter D.O. No. OA(R)/DP/AB/IITs/Pay/2019-20/105 dated 22.03.2022.
2. MoE letter F.No. 32-28/2017-TS.I dated 21.05.2021.

Dear Mr. Gupta,

With reference to the subject and letters cited above, kindly refer to the reply sent to the Ministry by IIT Guwahati vide its letter under reference No. IITG/F&A/CAG/2021-22/195 dated 27.05.2021, against Ministry's letter F.No. 32-28/2017-TS.I dated 21.05.2021.

It may be mentioned that the Institute is responding to all the queries received from the Office of Sr. Audit Officer, BO, Guwahati since this audit para raised by them. A two-member committee of the Office of Sr. Audit Officer, BO, Guwahati visited IIT Guwahati during 27-28.01.2022 in this connection and they were provided with all documents as per their requirements.

It may be noted that the latest correspondence in this regard with the Office of Sr. Audit Officer, BO, Guwahati was on 21.03.2022 in response to their letter dated 04.03.2022.

Yours sincerely,

(A. Srinivasan)

Encl.:

1. IITG's letter under reference No. IITG/F&A/CAG/2021-22/195 dated 27.05.2021
2. IITG's letter under reference No. IITG/F&A/CAG/2021-22/312 dated 21.03.2022

Prakash Hazarika  
 प्रकाश हज़ारिका  
 Joint Registrar (Admn.)  
 संयुक्त कुलसचिव (प्रसाधन)

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
 गुवाहाटी-781039  
 Indian Institute of Technology Guwahati  
 Guwahati – 781 039

Phone (RO) : EPABX : (0361) 2583000  
 Direct : (0361) 2582041  
 Fax : (0361) 2690782  
 email : iiosadmin@iitg.ac.in



REGISTRATION

Ref: IITG/F&A/CAG/2021-22/195  
 Date: 27<sup>th</sup> May' 2021

To,  
 P. J. Soundararajan,  
 Under Secretary to the Govt. of India,  
 Ministry of Education,  
 Department of Higher Education,  
 Technical Section I,  
 Shastri Bhawan,  
 New Delhi – 110 115

Subject: Irregular allowance of increment to newly recruited faculty members resulted in overpayment of ₹2.09 crore

Ref: i) F. No. 32-28/2017- T. S. I dated 21<sup>st</sup> May, 2021  
 ii) D.O. No.: OA (R)/DP/AB/IITs/Pay/2019-20/10 dated 22<sup>nd</sup> April, 2021

Sir,

With reference to the above, it is to be mentioned that this exercise was carried out to avoid anomalies in pay fixation of the newly appointed faculty members who joined before 30<sup>th</sup> June every year with those who were appointed after June every year. The detail reply and justification has already been communicated to Audit (copy attached).

CAG may suggest alternative ways for fixation of pay avoiding anomalies.

Thanking you.

Yours sincerely,

Prakash Hazarika  
 Joint Registrar (Admn.) & Registrar i/c

Copy to:

Director (Finance),  
 Integrated Finance Division,  
 Ministry of Education,  
 Department of Higher Education,  
 IF – I Section,  
 Shastri Bhawan,  
 New Delhi – 110 115

I/71083/2021

File No.32-28/2017-TS-I

183

**F. No. 32-28/2017-T.S. I**  
Government of India  
Ministry of Education  
Department of Higher Education  
Technical Section I

Shastri Bhawan, New Delhi  
Dated the 21st May, 2021

To,

Directors,  
IITs- Kharagpur and Guwahati

**Subject: Draft Audit Para titled "Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs. 2.09 crore"**

Sir,

I am directed to forward herewith a copy of DGA (Central)'s, Kolkata letter dated 23-4-2021 on the above mentioned subject and to say that necessary action in this regard may be taken and comments of the institutes may be submitted to this Ministry urgently.

Yours faithfully,

Encl: As above

(P. J. Soundararajan)  
Under Secretary to the Govt. of India  
Ph : 011-23381698

Copy to Director, IFD.



प्रोफ. ए. श्रीनिवासन  
Prof. A. Srinivasan  
कुलसचिव (अंतरिम)  
Registrar (Interim)

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
INDIAN INSTITUTE OF TECHNOLOGY GUWAHATI

गुवाहाटी / Guwahati-781039, भारत India  
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फैक्स / Fax : +91-361-2690762  
ईमेल / Email: registrar@iitg.ac.in

Ref: IITG/F&A/CAG/2021-22/312  
Date: 21<sup>st</sup> March' 2022

To,  
Sr. Audit Officer (OAD),  
Indian Audit and Accounts Department,  
Office of the Director General of Audit (Central), Kolkata,  
Branch Office: Guwahati,  
At AG (Audit) Assam, 2<sup>nd</sup> Floor, Maidamgaon, Beltola,  
Guwahati - 781029

Subject: Draft Audit Para on "Irregular allowance of increment to newly recruited faculty members resulted in overpayment of ₹2.09 crore"

Ref: OAD/BO-GHY/1-33/PDP-IITG/IIA(2.2)/2019-20/364, dated 04 March 2022

Dear Sir,

With reference to the above, it is to be mentioned that a two-member team from the Office of Sr. Audit Officer, BO: Guwahati visited IIT Guwahati during 27-28/01/2022 in connection with the subject mentioned above and they were provided with the necessary documents and replies vide letter with ref: IITG/F&A/CAG/2021-22/300 dated 28<sup>th</sup> January' 2022 (copy enclosed). As the matter is still under deliberation no recovery of pay and allowances have been made by the Institute till date.

This is for your information and necessary action at your end.

Yours sincerely,

कुलसचिव (अंतरिम )  
Registrar (Interim)



प्रोफ. ए. श्रीनिवासन  
Prof. A. Srinivasan  
कुलसचिव (अंतरिम)  
Registrar (Interim)

भारतीय प्रौद्योगिकी संस्थान गुवाहाटी  
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ईमेल/ Email: registrar@iitg.ac.in

Ref: IITG/F&A/CAG/2021-22/300  
Date: 28<sup>th</sup> January' 2022

To,  
Sr. Audit Officer (OAD/HQ),  
Indian Audit and Accounts Department,  
Office of the Director General of Audit (Central), Kolkata,  
Branch Office: Guwahati,  
At AG (Audit) Assam, 2<sup>nd</sup> Floor, Maidamgaon, Beltola,  
Guwahati – 781029

Subject: Draft Audit Para on "Excess payment of pay and allowances of Rs.70.55 lakh due to irregular pay fixation of faculties"

Ref: OAD/BO-GHY/1-33/PDP-IITG/IA(2.2)/2019-20/299, dated 17.01.2022

Dear Sir,

With reference to the above, please find enclosed herewith the Institute's reply to the Draft Audit Para issued vide letter under reference.

This is for your information and necessary action at your end.

Yours sincerely,

कुलसचिव (अंतरिम)  
Registrar (Interim)

Sub.: Audit para Ref. No. OAD/BO-GHY/1-33/PDP-IITG/IIA(2.2)/2019-20/299, dated 17.01.2022

	Audit Query	Institute Reply
1.	Copies of the advertisements for recruitment of 25 nos. faculty member for the period between March 2010 and March 2019	Copies of the advertisement for the year 2015 to 2016 are enclosed as Annexure - I. It may be mentioned that the advertisements were "rolling web based advertisement".  Generally, same formats of advertisement are uploaded in the Institute website from time to time giving tentative interview schedule. Input from the Departments are obtained as per requirement and finally uploaded in the Institute website.
2.	Copies of Board Resolution regarding fixation of pay of above 25 faculty members.	BOG approved the selection committee recommendations. Copies of relevant board resolutions enclosed as Annexure - II.
3.	The option for pay fixation was to be exercised within one month. Whether the Board has powers to give relaxation in this regard. If yes, copy of the Acts/ Statute may please be provided	Copies of the Statutes, IITG which empowers the Chairman, BOG [para 7(1) of Statutes] and Director [para 9(5) and 12 (1) of Statutes] for pay fixation and appointment are enclosed (Annexure - III).

Further clarification dtd. 27.01.2022

	Audit Query	Institute Reply
1.	Copy of approved draft of advertisement, which was finally uploaded in the website	Kindly refer to the reply against audit query Ref. No. OAD/BO-GHY/1-33/PDP-IITG/IIA(2.2)/2019-20/299, dated 17.01.2022.
2.	Are these advertisements were open for all (direct recruitment) i.e. for non IITG candidates and IITG candidates	Advertisement were open for all.  Internal candidates who are eligible to be promoted also have to apply in response to these advertisements.
3.	Whether the IITG has its own recruitment rules (RR)/ promotion guidelines for faculty members? If yes, the same may be please be provided	No. IITG follows guideline of Ministry of Education, Govt. of India issued vide letter under reference No. F.No. 15-4/2017-TC dated 27.10.2017 (Annexure - IV)



भारतीय लेखापरीक्षा एवं लेखा विभाग  
INDIAN AUDIT & ACCOUNTS DEPARTMENT  
महानिदेशक लेखापरीक्षा (केन्द्रीय) कोलकाता का कार्यालय  
Office of the Director General of Audit (Central), Kolkata  
शाखा कार्यालय: गुवाहाटी/Branch Office: Guwahati



No. OAD/BO-Ghy/1-33/PDP-IITG/II A(2.2)/201920/364

Dated 04 March 2022

To,  
The Registrar,  
Indian Institute of Technology, Guwahati,  
Guwahati, Assam-781039

**Sub: Draft Audit Para on 'Irregular allowance of increment to newly recruited faculty members resulted in overpayment of Rs 2.09 crore' related to IITs at Kharagpur and Guwahati**

Sir,

I am to inform you that an Audit Para on the above subject was raised in Inspection Report of your Institute in the year 2018-19 vide Para no. 2.2/II-A titled 'Excess payment of pay and allowances of Rs 70.55 lakh due to irregular pay fixation of faculties'. The Para is presently being reviewed by O/o the Comptroller & Auditor General of India, New Delhi alongwith cases related to IIT, Kharagpur.

In your reply dated 12 January 2022, in regard to the para it was stated that the procedure for pay fixation adopted by the Institute was found to be valid. In this regard, any further development in this regard may please be stated.

It may also please be stated if any recoveries of pay and allowances have been made on the basis of the para. If yes, the details may please be provided.

This may please be accorded top priority.

Yours faithfully,

Sr. Audit Officer/OAD  
BO: Guwahati





**INDIAN AUDIT & ACCOUNTS DEPARTMENT**  
**Office of the Director General of Audit (Central), Kolkata**  
**Branch Office: Guwahati**  
**At AG (Audit) Assam, 2<sup>nd</sup> Floor, Maldamgaon, Beltola,**  
**Guwahati-781029**  
**Phone: 0361-2309922, Fax No.0361-2309952**



Inspection Report on the accounts of the Indian Institute of Technology, Guwahati, Assam-781039 for the period from 01.04.2018 to 31.03.2019. The report has been prepared on the basis of information furnished and made available by the auditee. The Office of the Director General of Audit, Central, Kolkata. B.O-Guwahati disclaims any responsibility for any misinformation and/or non-information on the part of auditee.

Memo No. OAD/Bo-CHY/5-12/UC-306/2019-20/  
*Director*

Dated: 25-10-19

Forwarded to the Indian Institute of Technology, Guwahati, Assam-781039 with a request to submit his reply through the head of the Department within one month from the date of issue of this report.

*C. Chakrabarty*

For Dy. Director  
 O/o the DGA, Central, Kolkata  
 Branch Office: Guwahati

Memo No.

Dated:

Forwarded to the Secretary to the Govt. of India, Ministry of Human Resource Development, Department of Higher Education, TS-III, Shastri Bhawan, New Delhi-110 001 for information.

*- Scd -*

For Dy. Director  
 O/o the DGA, Central, Kolkata  
 Branch Office: Guwahati

**Inspection Report on the accounts of Indian Institute of Technology, Guwahati,  
Assam, for the period from 01.04.2018 to 31.03.2019**

**PART I: Introduction**

- 1.1 Overview
- 1.2 Budget and Expenditure
- 1.3 Audit Objectives
- 1.4 Audit sample, Scope of audit and audit methodology
- 1.5 Audit criteria

**PART II: AUDIT FINDINGS**

**PART II-A**

Para No.	Brief of Para
Para 2.1	Irregular payment towards purchase of Air Tickets for official tour from Unauthorised Travel Agent amounting to ₹69.02 lakh.
Para 2.2	Excess payment of pay and allowances of ₹70.55 lakh due to irregular pay fixation of faculties.
Para 2.3	Loss of interest on bank balances in the Savings or Current Accounts amounting ₹ 76.29 lakh.
Para 2.4	Non-inclusion of value of perquisites amounting to ₹175 lakh in salary income led to non-deduction of Income Tax at source (TDS) of ₹50.25 lakh.
Para 2.5	Non-incorporation of Liquidated Damages (LD) clause in Purchase Orders leading to a loss of ₹48.43 lakh due to delay in delivery.

**PART II-B**

Para No.	Brief of Para
Para 2.6	Fund amounting to ₹206.21 lakh meant for research project remaining idle/un-utilized for more than one year.
Para 2.7	Unutilized fund amounting to ₹52.92 lakh under different project heads remaining idle for years.
Para 2.8	Undue benefit by granting pay above minimum pay scale of Senior Security Officer amounting to ₹22.49 lakh and lack of transparency in recruitment thereof.
Para 2.9	Equipment amounting to ₹16.34 lakh uninstalled thus unutilised due to unfinished preparatory work.
Para 2.10	Unadjusted advances amounting to ₹22.14 lakh
Para 2.11	Less earning of interest from bank balances in the Current Accounts amounting to ₹12.95 lakh.
Para 2.12	Irregular pay fixation resulted in excess payment amounting to ₹11.04 lakh.
Para 2.13	Fixation of entry pay at higher level without proper order.
Para 2.14	Non-adherence of Ministry Order for Investment of GPF/CPF Fund.
Para 2.15	Procurement of e-journals for very few users.
Para 2.16	Lack of due care in maintenance of Service Books.
Para 2.17	Delay in completion of works, etc.

~~Page 2.2~~ - Excess payment of pay and allowances of ₹70.55 lakh due to irregular pay fixation of faculties.

As per the Recruitment Rules notified by MHRD for all Centrally Funded Technical Institutions (CFTI) including IITs, recruitment to the post of Assistant Professor, Associate Professor and Professor is done on direct recruitment basis only. Whenever any academic post is advertised, both internal candidates and external candidates can apply for the post. Selection is made on the basis of an interview common to both types of candidates and the selected candidates join the post on direct recruitment basis.

Rule 22(1)(a)(1) of Fundamental Rules (F.R.) dealing with fixation of initial pay of a Government servant appointed to a post carrying duties and responsibilities of greater importance than those attached to the post previously held by him, states that the initial pay fixation to the higher post is to be done after granting one increment on the time scale of post previously held by him. It further provides that the Government servant shall have the option to get his pay fixed either on the date of appointment to the higher post or on the date of accrual of next increment in the time scale of post previously held by him. It further states that such an option to opt for the date of pay fixation shall not be available to the candidates selected on direct recruitment basis.

However, IITG allowed all faculties selected for appointment on direct recruitment basis to exercise an option regarding the date of fixation of initial pay under F.R. 22(1)(a)(1). Test check of Service Books and recruitment records for faculties appointed between March 2010 and March 2019 revealed that 25 faculties availed the option to get their initial pay fixed from the date of accrual of next increment in the posts previously held by them. It was also noted that due to availing of such option, their initial pay was fixed at a higher level than the pay that would have been admissible to them had their pay been fixed on the date of appointment.

IITG in violation of the F.R. 22(1)(a)(1) allowed directly recruited faculty to exercise an option for the date of fixation of initial pay on appointment to a higher post, which resulted in irregular fixation of pay at higher level than the pay admissible and consequently resulted in excess payment of pay and allowances (only basic pay, DA and SDA) of ₹70.55 lakh (Annexure-I) to these faculties during the period between March 2010 and March 2019.

This is illustrative only. Similar cases may be reviewed and result thereof may be intimated to audit. The same issue was raised in the previous audit also. In reply (dated 20.09.2019) the Institute contended that to sort out the anomaly of pay the BoG

resolved (in 63<sup>rd</sup> meeting dated 30.11.10) to apply the provision of FR 22(1)(a)(1).

However, no concurrence of the Ministry on the issue was found on records.

The reply is awaited.

Annexure I

3 Summary of excess payment of pay & allowances on account of irregular pay fixation under FR22(I)(a)(i)

Sl. No.	Name	Designation	Period		Amount (₹)
			From	to	
1	Dr. Seenipandian Ravi	Professor	Mar-10	Mar-19	5,02,014
2	Dr. Gopal Das	Professor	Jan-13	Mar-19	3,38,873
3	Dr. P. Muthukumar	Associate Professor	Jan-15	Mar-19	2,25,509
4	Dr. Dibakar Bandopadhyay	Associate Professor	Jan-15	Mar-19	1,96,971
5	Dr. A. Rajesh	Associate Professor	Feb-14	Mar-19	1,86,171
6	Dr. Anugrah Singh	Professor	Mar-10	Mar-19	5,62,897
7	Dr. Subrata Kr. Majumder	Professor	Jan-12	Mar-19	2,28,337
8	Dr. Sashidhar Gumma	Professor	Feb-11	Mar-19	2,84,744
9	Dr. Pradip Kr. Das	Professor	May-15	Mar-19	2,51,702
10	Dr. Santosh Biswas	Associate Professor	Mar-14	Mar-19	1,38,231
11	Dr. Adapa Murali Krishna	Associate Professor	Mar-14	Mar-19	1,88,373
12	Dr. Ujjwal Kr. Saha	Professor	Feb-15	Mar-19	4,23,932
13	Dr. Anil Kr. Saikia	Professor	Jan-11	Mar-19	4,59,989
14	Dr. Rohini Mokashi Puneekar	Professor	Jun-11	Mar-19	4,62,435
15	Dr. KSR Krishna Murthy	Associate Professor	Jan-10	Mar-19	5,04,032
16	Dr. S. Senthilvelan	Associate Professor	Jan-10	Mar-19	1,00,014
17	Dr. Niranjan Sahoo	Associate Professor	Jan-10	Mar-19	2,25,509
18	Dr. Rohit Sinha	Associate Professor	Jan-10	Mar-19	58,116
19	Dr. Samit Bhattacharya	Associate Professor	Apr-16	Mar-19	1,76,733
20	Dr. V. Vijaya Saradhi	Associate Professor	May-15	Mar-19	9,293
21	Dr. Roy Pally Palathinkal	Professor	Apr-12	Mar-19	4,86,505
22	Dr. S. V. Santra	Professor	May-13	Mar-19	3,22,821
23	Dr. Sukanya Sharma	Associate Professor	Feb-14	Mar-19	2,50,182
24	Dr. V. Prabhu	Associate Professor	Feb-14	Mar-19	2,41,474
25	Dr. G. Krishnamoorthy	Professor	Jan-11	Mar-19	2,30,218
					<b>70,55,075</b>



34 : 4-07

**INDIAN AUDIT & ACCOUNTS DEPARTMENT**  
 Office of the Director General of Audit (Central), Kolkata  
 Branch Office: Guwahati  
 At AG (Audit) Assam, 2<sup>nd</sup> Floor, Maidamgaon, Beltola,  
 Guwahati-781029  
 Phone: 0361-2309922. Fax No.0361-2309952



Inspection Report on the accounts of the Indian Institute of Technology, North Guwahati, Assam for the period from 01.04.2019 to 31.03.2020. The report has been prepared on the basis of information furnished and made available by the auditee. The Office of the Director General of Audit, Central, Kolkata disclaims any responsibility for any misinformation and/or non-information on the part of auditee.

Memo No. OAD/BO-GHY/UC-306/2020-21/02/03

Dated: 29-10-2020

Forwarded to the Director, Indian Institute of Technology, North Guwahati, Assam – 781039 with a request to submit his reply through the head of the Department within one month from the date of issue of this report.

For

*[Signature]*  
 Director  
 O/o the DGA, Central, Kolkata  
 Branch Office: Guwahati

Memo No. OAD/BO-GHY/UC-306/2020-21/02/

Dated:

Forwarded to the Secretary to the Govt. of India, Ministry of Human Resource Development, Department of Higher Education, TS-III, Shastri Bhawan, New Delhi- 110 001 for information.

For

- sd -  
 Director  
 O/o the DGA, Central, Kolkata  
 Branch Office: Guwahati



भारतीय लेखापरीक्षा एवं लेखा विभाग  
महानिदेशक लेखापरीक्षा का कार्यालय, केन्द्रीय, कोलकाता  
शाखा कार्यालय: गुवाहाटी

प्रधान महालेखाकार (लेखापरीक्षा) असम, दूसरा तल, मैदमगॉव, बेलतला  
गुवाहाटी - 781029

दूरभाष सं.: 0361-2309922, Fax No.0361-2309952



लेखापरीक्षा का ध्येय  
Dedicated to Truth in Public Interest

01.04.2019 से 31.03.2020 तक की अवधि के भारतीय प्रौद्योगिकी संस्थान, उत्तर गुवाहाटी, असम के लेखापरीक्षित इकाई द्वारा प्रस्तुत एवं उपलब्ध कराई गई सूचना के आधार पर यह प्रतिवेदन तैयार की गई है। म.नि.ले. (के.), कोलकाता का कार्यालय लेखापरीक्षित इकाई की ओर से किसी भी गलत सूचना और/या सूचना न देने के बारे में किसी भी प्रकार के दावे को अस्वीकार करता है।

जा.सं. - Memo No. OAD/BO-GHY/UC-306/2020-21/02/03 दिनांक... 29-10-2020

निदेशक, भारतीय प्रौद्योगिकी संस्थान, उत्तर गुवाहाटी, असम -781039 को अपना उत्तर विभागाध्यक्ष द्वारा इस रिपोर्ट के जारी होने की तिथि से एक माह के भीतर प्रस्तुत करने के लिए अरोपित।

  
कृते निदेशक  
शाखा कार्यालय: गुवाहाटी

जा.सं. - Memo No. OAD/BO-GHY/UC-306/2020-21/02/ दिनांक.....

सचिव, भारत सरकार, मानव संसाधन विकास मंत्रालय, उच्चतर शिक्षा विभाग, टी.एस.- III, शास्त्री भवन, नई दिल्ली-110 001 को सूचनार्थ अरोपित।

-sd-  
कृते निदेशक  
शाखा कार्यालय: गुवाहाटी

Inspection Report on the accounts of Indian Institute of Technology Guwahati  
(Assam) for the period from 01.04.2019 to 31.03.2020

**PART I: Introduction**

- 1.1 Overview
- 1.2 Budget and Expenditure
- 1.3 Audit Objectives
- 1.4 Audit sample, Scope of audit and audit methodology
- 1.5 Audit criteria

**PART II: AUDIT FINDINGS**

**PART - II-A**

Para No.	Brief in Para
Para-2.1	Irregular payment of special allowance/honorarium.
Para-2.2	Irregular payment of ₹87.80 lakh towards Transport Allowance.
Para-2.3	Irregular implementation of Group Medical Insurance Scheme.
Para-2.4	Non-deduction of Income Tax on Leave Encashment on Retirement /Death/ Resignation amounting to ₹56.62 lakh.

**PART - II-B**

Para No.	Brief in Para
Para-2.5	Over payment of ₹19.43Lakh on account of security services
Para-2.6	Non-recovery of Licence Fee
Para-2.7	Non installation of lab equipment/furniture.
Para-2.8	Irregular expenditure relating to PDA amounting to ₹7.21 Lakh
Para-2.9	Non-adjustment of temporary advance amounting to ₹8.55 Lakh
Para-2.10	Un-adjusted TA advance amounting to ₹1.70 Lakh
Para-2.11	Investment of GPF/CPF Fund

**Part-III**

Follow up on audit para outstanding from previous Inspection Reports

**Part-IV**

Best practices

**Part-V**

Acknowledgement



Category	Investment Pattern	Percentage amount to be allocated
i	Government Securities and related investment	Minimum 45% upto 50%
ii	Debt Instrument and related investment	Minimum 35% upto 45%
iii	Short Term Debt Instruments and related investments	Upto 5%
iv	Equities and related investment	Minimum 5% upto 15%
v	Asset backed, Trust structured and Misc. Investment	upto 5%

Therefore, it is clear that the above pattern was not followed while investing the fund balances of the GPF/ CPF of the Institute.

Reply is awaited.

### Part-III: Follow up on audit para outstanding from previous Inspection Reports

3.1 During the present audit, 24 audit paragraphs relating to previous Inspection Reports were reviewed based on the replies, documents & records submitted to audit. The present position of the outstanding paragraphs which are still outstanding are as follows:

Period of IR	Part/Para No.	Subject in brief	Present Position
2013-14	II(A) / 2	Loss due to damage to hostel amounting to ₹2.43 crore	Matter sub-judice. Para stands.
	II(A) / 5	Non-levy of liquidated damages for ₹2.61 crore	- Do -
	II(A) / 8	Loss due to damage to equipment worth ₹1.72 crore	- Do -
2016-17	II(A) / 1	Avoidable expenditure towards electricity charges amounting to ₹63.08 lakh  B. Low Tension Metering Unit (LMTU) Penalty (₹53.20 lakh)	Matter under examination. Further developments will be intimated. Para stands at this stage.
	II(B) / 3.2	Short recovery of license fee amounting to ₹59.48 lakhs	As the matter is under progress, the para will stand at this stage.
2017-18	II(A) / 2.1	Non-inclusion of perquisite of ₹10.47 crore in salary income led to non-deduction of Income tax at Source (TDS) of ₹2.86 crore	Neither there was any amendment effected as per the contention of Institution, nor there was any recovery made. Hence the Para stands.
	II(A) / 2.2	Irregular payment of Non-Productivity Linked (Ad-hoc) Bonus of ₹58.23 lakh	Recovery yet to be made, the para stands.

	II(A)/2.4	Irregular reimbursement of TA/LTC claims of ₹3.38 crore towards air tickets purchased from unauthorised agents	Matter under examination. Further developments will be intimated. Para stands at this stage.
	II(A)/2.5	Irregular reimbursement of ₹72.68 lakh towards journeys performed by private airlines	Before availing the facility of Pvt Airlines, approval from the Competent Authority is required. Hence, the Para stands.
	II (B) / 2.9	Irregular payment of special allowance/ honorarium of ₹29.02 lakh	Para stands till the regularization by MHRD.
2018-19	II(A)/2.1	Irregular payment towards purchase of Air Tickets for official tour from Unauthorized Travel Agent amounting to ₹69.02 lakh.	Matter under examination. Further developments will be intimated. Para stands at this stage.
	II(A)/2.2	Excess payment of pay and allowances of ₹70.55 lakh due to irregular pay fixation of faculties.	In absence of the view on the issue from the Ministry, the Para stands.
	II(A)/2.3	Loss of interest on bank balances in the Savings or Current Accounts amounting ₹76.29 lakh.	Matter under examination. Further developments will be intimated. Para stands
	II(A)/2.4	Non-Inclusion of the value of perquisite amounting to ₹1.75 crore in salary income led to non-deduction of Income Tax at source (TDS) of ₹50.25 lakh.	Neither there was any amendment effected as per the contention of Institution, nor there was any recovery made. Hence, the Para stands.
	II(A)/2.5	Non incorporation of Liquidated Damages (LD) clause in Purchase Order leading to a loss of ₹48.36 lakh due to delay in delivery.	The Clause of levy of Liquidated Damages has not yet been included in the Purchase Orders. Thus scope of recovery of LDs has been minimized in case of delay in delivery. Hence, the Para stands.
	II(B)/2.6	Fund amounting to ₹206.21 lakhs meant for research project remaining idle/unutilized for more than one year.	As action is yet to be completed, the para stands.
	II(B)/2.7	Unutilized fund amounting to ₹52.92 lakh under different project heads remaining idle for years.	- Do -
	II(B)/2.8	Undue benefit by granting pay above minimum pay scale of Senior Security Officer amounting to ₹22.50 lakh and	As the appointment was made on contractual basis,

		lack of transparency in recruitment thereof.	the Pay protection given to him was not in order.  Further, as advertised, his stay in the campus was mandatory. However, recruitment of Sr. Security Officer without making any provision for his accommodation in the campus contradicts the terms and conditions as advertised.  Hence the Para stands.
	II(B)/2.10	Unadjusted advances amounting to ₹22.14 lakh.	Action is yet to be completed, hence para stands till the settlement of unadjusted advance is completed.
	II(B)/2.11	Less earning of interest from bank balances in the Current Accounts amounting to ₹12.95 lakh.	Matter under examination. Further developments will be intimated. Para stands
	II(B)/2.12	Irregular pay fixation resulted in excess payment amounting to ₹11.04 lakh.	Recovery yet to be made, the para stands.
	II(B)/2.13	Fixation of entry pay at higher level without proper order.	Based on the reply, the Para settled.
	II(B)/2.14	Non-adherence of Ministry Order during Investment of GPF/CPF Fund.	The action is yet to be completed, the Para is updated.
	II(B)/2.17	Delay in completion of works, etc.	It is not clear from the reply whether any extension of time was granted. However, extension of time for delay may be sought during non-achievement of milestone of work. Hence, the reply of the Institute is not tenable, Para stands

**Part- IV: Best practices**

- 4.1 Any good practices or innovations, if noticed, during the course of audit may be mentioned- NIL



BRANCH OFFICE OF  
THE DIRECTOR GENERAL OF AUDIT (CENTRAL), KOLKATA,  
8, KiranSankar Roy Road, Kolkata - 700 001.  
AT GUWAHATI, ASSAM




Inspection Report on the accounts of the Indian Institute of Technology, Guwahati for the period from 01.04.2020 to 31.03.2021. The Report has been prepared on the basis of information furnished and made available by the auditee. The Office of the Director General of Audit (Central), Kolkata, Branch Office: Guwahati disclaims any responsibility for any misinformation and or non-information on the part of auditee.

MEMO No.OAD/BO-GHY/UC-306/2021-22/11/31

Dated: 01.11.2021

Forwarded to the Director, Indian Institute of Technology, Guwahati-781039 with a request to submit his reply through the head of the Department within one month from the date of issue of this report.

  
For Dy. Director  
Branch Office - Guwahati

MEMO No.OAD/ BO-GHY/UC-306/2021-22/11/32

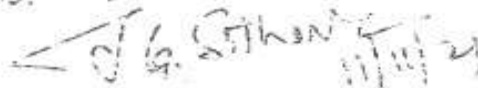
Dated: 01.11.2021

Forwarded to the Secretary to the Govt. of India, Ministry of Human Resource Development, Department of Higher Education, TS-III, Shastri Bhawan, New Delhi- 110001 for information.

  
For Dy. Director  
Branch Office - Guwahati

H/S F&A

For info please.

  
11/11/21

Branch Office situated at: -

GUWAHATI, ASSAM,  
Principal Accountant General (Audit) Building,  
Maldamgaon, Beltola, Guwahati: 781 029.  
Phone No. 0361 2202372

भारतीय लेखापरीक्षा विभाग

महानिदेशक लेखापरीक्षा कार्यालय (केन्द्रीय), कोलकाता, शाखा कार्यालय: गुवाहाटी

कार्यालय महालेखाकार (लेखापरीक्षा), असम, मैदामगोव, बेलतला, गुवाहाटी-781029

01.04.2020 से 31.03.2021 तक की अवधि के लिये भारतीय प्रौद्योगिकी संस्थान, गुवाहाटी, असम द्वारा प्रस्तुत एवं उपलब्ध कराई गई सूचना के आधार पर यह प्रतिवेदन तैयार की गई है। महानिदेशक लेखापरीक्षा, केन्द्रीय कोलकाता का शाखा कार्यालय: गुवाहाटी, लेखापरीक्षित इकाई की ओर से किसी भी गलत सूचना और \ या सूचना न देने के बारे में किसी भी प्रकार के दावे को अस्वीकार करता है।

जा.सं. OAD/BO-GHY/UC-306/2021-22/11/31

दिनांक- 01.11.2021

निदेशक, भारतीय प्रौद्योगिकी संस्थान, गुवाहाटी, असम को सूचनार्थ अद्योषित। उनसे निवेदन है कि वे यह देखें कि इस प्रतिवेदन के भाग-|| के पैराग्राफ पर कार्यालयाध्यक्ष का जवाब समय पर प्राप्त हुआ है और इस प्रतिवेदन के जारी होने की तिथि से एक माह के भीतर ही उनके टिप्पणीयों के साथ इस कार्यालय को अद्योषित की गई है।

पुलित 1  
11/11/21  
कृते-उपनिदेशक

शाखा कार्यालय: गुवाहाटी

जा.सं. OAD/BO-GHY/UC-306/2021-22/11/32

दिनांक- 01.11.2021

सचिव, मानव संसाधन विकास मंत्रालय, उच्च शिक्षा विभाग, भारत सरकार, टी.एस. 3, शास्त्री भवन, नई दिल्ली- 110001 को सूचनार्थ अद्योषित।

Self

कृते-उपनिदेशक  
शाखा कार्यालय: गुवाहाटी

Resolution No. 15 of the meeting of the Board of Governors of IIT Guwahati, dated 05.04.2023, for the approval of the report of the Audit Committee for the year 2022-23.

1.5 Audit criteria

- 1.1 Overview
- 1.2 Budget and Expenditure
- 1.3 Audit Objectives
- 1.4 Audit sample, Scope of audit and audit methodology
- 1.5 Audit criteria

**PART II: AUDIT FINDINGS**

**PART II-A**

Para No.	Description
Para-2.1	Irregular payment of special allowance/honorarium amounting to Rs 1.03 crore
Para-2.2	Non-deduction of Licence Fee amounting to Rs 51.33 lakh
Para-2.3	Non-recovery of garage and water charges from quarter occupants amounting to Rs 53.58 lakh
Para-2.4	Irregular payment of scholarship for Rs 55.49 lakh
Para-2.5	Irregular collection of mess charge of Rs. 3.42 crore from students
Para-2.6	Non-deduction of income tax of Rs. 9.22 crore from perquisites income
Para-2.7	Irregular promotion to a higher post
Para-2.8	Irregular Recruitment of Jr. Assistant/Accountant
Para-2.9	Irregular re-imbursement of TA/LTC claims of ₹4.07 crore towards air tickets purchased from unauthorized agents

**PART II-B**

Para No.	Description
Para-2.10	Inadmissible payment of Rs.12.86 lakh to medical officers
Para-2.11	Excess expenditure on completed projects
Para-2.12	Un-allocated/blocked fund amounting to ₹136.83 lakh under different project heads for years-observation thereof
Para-2.13	Non-recovery of electricity charges from quarter occupants
Para-2.14	Unadjusted TA/Temporary advances amounting to Rs 6.99 lakh

[REDACTED]  
**Follow up on audit para outstanding from previous Inspection Reports**

[REDACTED]  
**Best practices**

[REDACTED]  
**Acknowledgement**

**Part-III: Follow up on audit para outstanding from previous Inspection Reports**

3.1 During the present audit, 33 audit paragraphs relating to previous Inspection Reports were reviewed on the basis of the replies, documents & records submitted to audit. The present positions of the outstanding paragraphs which are still outstanding are as follows:

Sl No.	Period of IR	Part/ Para No.	Subject in brief	Present Position
1	2013-14	II(A) / 2	Loss due to damage to hostel amounting to ₹2.43 crore	The matter is under sub-judice; hence, the Para stands for further development.
2		II(A) / 5	Non-levy of liquidated damages for ₹2.61 crore	The matter is under sub-judice; hence, the Para stands for further development.
3		II(A) / 8	Loss due to damage to equipment worth ₹1.72 crore	The matter is under sub-judice; hence, the Para stands for further development.
4	2016-17	II(A) / 1	Avoidable expenditure towards electricity charges amounting to ₹63.08 lakh B. Low Tension Metering Unit (LMTU) Penalty (₹53.20 lakh)	Para settled
5		II(B) / 3.2	Short recovery of license fee amounting to ₹59.48 lakhs	Para settled
6	2017-18	II(A) / 2.1	Non-inclusion of perquisite of ₹10.47 crore in salary income led to non-deduction of Income tax at Source (TDS) of ₹2.86 crore	Para deleted
7		II(A) / 2.2	Irregular payment of Non-Productivity Linked (Ad-hoc) Bonus of ₹58.23 lakh	Institute replied that the recovery is being done and can be finished by December 2021. Hence, the para stands till entire recovery is made.
8		II(A) / 2.4	Irregular reimbursement of TA/LTC claims of ₹3.38 crore towards air tickets purchased from unauthorised agents	Para deleted
9		II(A) / 2.5	Irregular reimbursement of ₹72.68 lakh towards journeys performed by private airlines on official tour/LTC	Since IITG did not recover the amount and also not availed waiver from competent authority, the Para stands.
10		II (B) /2.9	Irregular payment of special allowance/ honorarium of ₹29.02 lakh	Para deleted



		II(A)/2.1	Irregular payment towards purchase of Air Tickets for official tour from Unauthorized Travel Agent amounting to ₹69.02 lakh.	Para deleted
12		II(A)/2.2	Excess payment of pay and allowances of ₹70.55 lakh due to irregular pay fixation of faculties.	Since recovery yet to be done; hence, Para stands.
13		II(A)/2.3	Loss of interest on bank balances in the Savings or Current Accounts amounting ₹76.29 lakh.	Para settled
14		II(A)/2.4	Non-inclusion of the value of perquisite amounting to ₹1.75 crore in salary income led to non-deduction of Income Tax at source (TDS) of ₹50.25 lakh.	Para deleted
15		II(A)/2.5	Non incorporation of Liquidated Damages (LD) clause in Purchase Order leading to a loss of ₹48.43 lakh due to delay in delivery.	Para settled
16	2018-19	II(B)/2.6	Fund amounting to ₹206.21 lakhs meant for research project remaining idle/unutilized for more than one year.	Para deleted
17		II(B)/2.7	Unutilized fund amounting to ₹52.92 lakh under different project heads remaining idle for years.	Para deleted
18		II(B)/2.8	Undue benefit by granting pay above minimum pay scale of Senior Security Officer amounting to ₹22.49 lakh and lack of transparency in recruitment thereof.	Para settled
19		II(B)/2.10	Unadjusted advances amounting to ₹22.14 lakh.	Adjustment is yet to be made; the Para stands.
20		II(B)/2.11	Less earning of interest from bank balances in the Current Accounts amounting to ₹12.95 lakh.	Para settled
21		II(B)/2.12	Irregular pay fixation resulted in excess payment amounting to ₹11.04 lakh.	Fully recovery is yet to be made; the Para stands.
22		II(B)/2.17	Delay in completion of works, etc.	Para settled

		IIA/2.1	Irregular payment of Special Allowance/Honorarium amounting to ₹67.70 lakh.	Para deleted
24		IIA/2.2	Irregular payment of ₹87.80 Lakh towards transport allowance.	No action; hence, stands.
25		IIA/2.3	Irregular implementation of group medical Insurance Scheme amounting to ₹73.8 Lakh.	No action; hence, the Para stands.
26		IIA/2.4	Non-deduction of Income Tax on Leave Encashment on retirement/Death/Resignation amounting to ₹56.62 Lakh	The Para stands since compliance of Para is yet to be done.
27	2019-20	IIB/2.5	Overpayment of ₹19.43 Lakh on account of security services.	IITG withheld the uniform charge from month bill of security agency. Since recovery is not finalised, para stands.
28		IIB/2.6	Non-recovery of Licence fee amounting to ₹8 Lakh.	Para deleted
29		IIB/2.7	Non-Installation of Lab Equipment	All equipment are not installed or utilised; hence, Para stands.
30		IIB/2.8	Irregular expenditure relating to PDA amounting to ₹7.21 Lakh	IITG did not take any significant action to adjust or recover the payment made in deviation of PDA guidelines. Thus, Para stands.
31		IIB/2.9	Non-adjustment of Temporary Advance amounting to ₹8.55 Lakh	Para deleted
32		IIB/2.10	Un-Adjusted TA Advance amounting to ₹1.70 Lakh	Para deleted
33		IIB/2.11	Investment of GPF/CPF Fund.	Till any concrete action has been taken in this regard, Para stands.

**Part- IV: Best practices**

- 4.1 Any good practices or innovations, if noticed, during the course of audit may be mentioned- NIL

**ANNEXURE-35**

**Government of India**  
**Ministry of Personnel, Public Grievances & Pensions**  
**Department of Personnel & Training**  
**Estt. (Pay-I) Section**  
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**Recovery / waiver of the wrongful / excess payments made to Government servants.**

Department of Personnel & Training has issued instructions from time to time regarding recovery of wrongful / excess payments made to Government servants. The essence of these instructions has been summarized in the following paras for guidance and better understanding.

**I. Supreme Court Judgments on the matter of recovery of excess payments:**

The issue of recovery of wrongful/excess payments made to Government servants was examined by the Department of Personnel & Training in consultation with the Department of Expenditure and the Department of Legal Affairs in the light of the following judgments of the Hon'ble Supreme Court:

- (i) *Chandi Prasad Uniyal and Ors Vs State Of Uttarakhand And Ors*, 2012 AIR SCW 4742, (2012) 8 SCC 417, decided on 17th August, 2012
- (ii) *State of Punjab & Ors Vs Rafiq Masih (White Washer) etc in CA No.11527 of 2014 (Arising out of SLP(C) No.11684 of 2012)* decided on 18<sup>th</sup> December, 2014.

**II Procedure to deal with the issue of wrongful/excess payments:**

In view of the law declared by Courts and reiterated by the Hon'ble Supreme Court in the case of *Chandi Prasad Uniyal and Ors Vs State of Uttarakhand and Ors*, 2012 AIR SCW 4742, (2012) 8 SCC 417, the Ministries/Departments were advised to deal with the issue of wrongful/excess payments as follows:

- i. In all cases where the excess payments on account of wrong pay fixation, grant of scale without due approvals, promotions without following the procedure, or in excess of entitlements etc. come to notice, immediate corrective action must be taken.
- ii. In a case where the authorities decide to rectify an incorrect order, a show-cause notice may be issued to the concerned employee informing him of the decision to rectify the order which has resulted in the overpayment, and intention to recover such excess payments. Reasons for the decision should be

clearly conveyed to enable the employee to represent against the same. Speaking orders may thereafter be passed after consideration of the representations, if any, made by the employee.

- iii. Whenever any excess payment has been made on account of fraud, misrepresentation, collusion, favoritism, negligence or, carelessness, etc., roles of those responsible for overpayments in such cases, and the employees who benefitted from such actions should be identified, and departmental/criminal action should be considered in appropriate cases.
- iv. Recovery should be made in all cases of overpayment barring few exceptions of extreme hardships. No waiver of recovery may be allowed without the approval of Department of Expenditure.
- v. While ordering recovery, all the circumstances of the case should be taken into account. In appropriate cases, the concerned employee may be allowed to refund the money in suitable installments with the approval of Secretary in the Ministry, in consultation with the Financial Adviser (FA).
- vi. Wherever the relevant rules provide for payment of interest on amounts retained by the employee beyond the stipulated period etc. as in the case of Travelling Allowance (TA), interest would continue to be recovered from the employee as heretofore.

[Para 3 of DoPT's OM No 18/26/2011-Estt (Pay-I) dated 06.02.2014]

### **III Situations wherein recoveries of wrongful/excess payments by the employers would be impermissible in law**

Para II(iv) of the above instructions provides *inter-alia* that recovery should be made in all cases of overpayment barring few exceptions of extreme hardships. The issue was considered by the Hon'ble Supreme Court in the case of ***State of Punjab & Ors Vs Rafiq Masih (White Washer) etc. in CA No.11527 of 2014 {arising out of SLP(C) No.11684 of 2012}***. In this case, the Hon'ble Supreme Court observed that it is not possible to postulate all situations of hardship which would govern employees on the issue of recovery, where payments have mistakenly been made by the employer, in excess of their entitlement. The Hon'ble Supreme Court summarized the following few situations, wherein recoveries by the employers would be impermissible in law:-

- (i) Recovery from employees belonging to Class-III and Class-IV service (or Group 'C' and Group 'D' service).
- (ii) Recovery from retired employees, or employees who are due to retire within one year, of the order of recovery.

- (iii) Recovery from employees, when the excess payment has been made for a period in excess of five years, before the order of recovery is issued.
- (iv) Recovery in cases where an employee has wrongfully been required to discharge duties of a higher post, and has been paid accordingly, even though he should have rightfully been required to work against an inferior post.
- (v) In any other case, where the Court arrives at the conclusion, that recovery if made from the employee, would be iniquitous or harsh or arbitrary to such an extent, as would far outweigh the equitable balance of the employer's right to recover.

#### **IV Procedure to deal with wrongful/excess payments by the Administrative Ministry/ Departments**

Ministries / Departments may deal with the issue of wrongful / excess payments made to Government servants accordingly. Wherever waiver of recovery in the above-mentioned situations is considered, administrative Ministries/ Departments may process the same with the express approval of Department of Expenditure in terms of DoPT's OM No.18/26/2011-Estt (Pay-I) dated 6th February, 2014.

**[DoPT's OM No. 18/03/2015-Estt (Pay-I) dated 02.03.2016]**

**Note :** List of the OMs mentioned in this document is annexed. In case any reference to the relevant OM is required, the same may be accessed from the Archive Section of Department of Personnel and Training's website.

ANNEXURE

List of OMs mentioned in this Document

1. DoPT's OM No 18/26/2011-Estt (Pay-I) dated 06.02.2014
2. DoPT's OM No. 18/03/2015-Estt (Pay-I) dated 02.03.2016

F. No. 18/26/ 2011-Estt (Pay-I)  
Government of India  
Ministry of Personnel, PG and Pension  
Department of Personnel and Training

\*\*\*\*\*

North Block, New Delhi,  
Dated the 6<sup>th</sup> February, 2014

OFFICE MEMORANDUM

Subject: Recovery of wrongful/excess payments made to Government servants.

The undersigned is directed to say that the issue of recovery of wrongful/excess payments made to Government servants has been examined in consultation with the Department of Expenditure and the Department of Legal Affairs in the light of the recent judgement of the Hon'ble Supreme Court in *Chandi Prasad Uniyal And Ors vs State Of Uttarakhand And Ors*, 2012 AIR SCW 4742, (2012) 8 SCC 417, decided on 17<sup>th</sup> August, 2012. The Hon'ble Court has observed

as under:

15. We are not convinced that this Court in various judgments referred to hereinbefore has laid down any proposition of law that only if the State or its officials establish that there was misrepresentation or fraud on the part of the recipients of the excess pay, then only the amount paid could be recovered. On the other hand, most of the cases referred to hereinbefore turned on the peculiar facts and circumstances of those cases either because the recipients had retired or on the verge of retirement or were occupying lower posts in the administrative hierarchy.
16. We are concerned with the excess payment of public money which is often described as "tax payers money" which belongs neither to the officers who have effected over-payment nor that of the recipients. We fail to see why the concept of fraud or misrepresentation is being brought in such situations. Question to be asked is whether excess money has been paid or not may be due to a bona fide mistake. Possibly, effecting excess payment of public money by Government officers may be due to various reasons like negligence, carelessness, collusion, favouritism etc. because money in such situation does not belong to the payer or the payee. Situations may also arise where both the payer and the payee are at fault, then the mistake is mutual. Payments are being effected in many situations without any authority of law and payments

have been received by the recipients also without any authority of law. Any amount paid/received without authority of law can always be recovered barring few exceptions of extreme hardships but not as a matter of right, in such situations law implies an obligation on the payee to repay the money, otherwise it would amount to unjust enrichment.

2. Hon'ble Supreme Court also distinguished the cases like *Shyam Babu Verma v UOI*, 1994 SCR (1) 700, 1994 SCC (2) 52, *Syed Abdul Qadir and Ors. v. State of Bihar and Ors.*, (2009) 3 SCC 475, *Sahib Ram v. State of Haryana*, 1995 Supp (1) SCC 18 etc., where it had not allowed recovery of excess payment in view of the peculiar facts and circumstances of those cases so as to avoid extreme hardship to the concerned employees, for example, where the employees concerned were mostly junior employees, or they had retired or were on verge of retirement, the employees were not at fault, and recovery which was ordered after a gap of many years would have caused extreme hardship.

3. In view of the law declared by Courts and recently reiterated by the Hon'ble Supreme Court in the above cited case, *Chandi Prasad Untyal And Ors vs State Of Uttarakhand And Ors*, 2012 AIR SCW 4742, (2012) 8 SCC 417, the Ministries/Departments are advised to deal with the issue of wrongful/excess payments as follows:

- i. In all cases where the excess payments on account of wrong pay fixation, grant of scale without due approvals, promotions without following the procedure, or in excess of entitlements etc come to notice, immediate corrective action must be taken.
- ii. In a case like this where the authorities decide to rectify an incorrect order, a show-cause notice may be issued to the concerned employee informing him of the decision to rectify the order which has resulted in the overpayment, and intention to recover such excess payments. Reasons for the decision should be clearly conveyed to enable the employee to represent against the same. Speaking orders may thereafter be passed after consideration of the representations, if any, made by the employee.
- iii. Whenever any excess payment has been made on account of fraud, misrepresentation, collusion, favouritism, negligence or, carelessness, etc., roles of those responsible for overpayments in such cases, and the employees who benefitted from such actions should be identified, and departmental/criminal action should be considered in appropriate cases.
- iv. Recovery should be made in all cases of overpayment barring few exceptions of extreme hardships. No waiver of recovery may be allowed without the approval of Department of Expenditure.
- v. While ordering recovery, all the circumstances of the case should be taken into account. In appropriate cases, the concerned employee may be allowed to refund the money in suitable installments with the approval of Secretary in the Ministry, in consultation with the FA.



- vi. Wherever the relevant rules provide for payment of interest on amounts retained by the employee beyond the stipulated period etc as in the case of TA, interest would continue to be recovered from the employee as heretofore.



(Mukesh Chaturvedi)

Deputy Secretary to the Government of India  
Phone No. 23093176

To

All Ministries/ Departments (as per standard list)

Copy also forwarded to:

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2. Secretary General of Lok Sabha Sectt. / Rajya Sabha Sectt.
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4. Controller General of Accounts/Controller of Accounts, Ministry of Finance.
5. Governors of all States/Lt. Governors of all Union Territories.
6. Secretary, National Council of JCM (Staff Side), 13-C, Feroz Shah Road, New Delhi.
7. All Members of Staff Side of the National Council of JCM/ Departmental Council.
8. All Officers / Divisions / Sections of Deptt. of Personal & Training / Deptt. of Administrative Reforms & Public Grievances / Department of Pensions & Pensioners Welfare/ PESB
9. Joint Secretary (Pers.), D/o Expenditure, Ministry of Finance.
10. Additional Secretary (Home), Ministry of Home Affairs.
11. Director NIC, DoPT- for uploading on the web site of the Department under Establishment "Pay Rules".
12. 25 Spare copies.

*basis of all governmental actions. An action of the State, ordering a recovery from an employee, would be in order, so long as it is not rendered iniquitous to the extent, that the action of recovery would be more unfair, more wrongful, more improper, and more unwarranted, than the corresponding right of the employer, to recover the amount. Or in other words, till such time as the recovery would have a harsh and arbitrary effect on the employee, it would be permissible in law. Orders passed in given situations repeatedly, even in exercise of the power vested in this Court under Article 142 of the Constitution of India, will disclose the parameters of the realm of an action of recovery (of an excess amount paid to an employee) which would breach the obligations of the State, to citizens of this country, and render the action arbitrary, and therefore, violative of the mandate contained in Article 14 of the Constitution of India."*

3. The issue that was required to be adjudicated by the Hon'ble Supreme Court was whether all the private respondents, against whom an order of recovery (of the excess amount) has been made, should be exempted in law, from the reimbursement of the same to the employer. For the applicability of the instant order, and the conclusions recorded by them thereafter, the ingredients depicted in paras 2&3 of the judgment are essentially indispensable.

4. The Hon'ble Supreme Court while observing that it is not possible to postulate all situations of hardship which would govern employees on the issue of recovery, where payments have mistakenly been made by the employer, in excess of their entitlement has summarized the following few situations, wherein recoveries by the employers would be impermissible in law:-

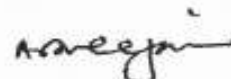
- (i) *Recovery from employees belonging to Class-III and Class-IV service (or Group 'C' and Group 'D' service).*
- (ii) *Recovery from retired employees, or employees who are due to retire within one year, of the order of recovery.*
- (iii) *Recovery from employees, when the excess payment has been made for a period in excess of five years, before the order of recovery is issued.*
- (iv) *Recovery in cases where an employee has wrongfully been required to discharge duties of a higher post, and has been paid accordingly, even though he should have rightfully been required to work against an inferior post.*
- (v) *In any other case, where the Court arrives at the conclusion, that recovery if made from the employee, would be iniquitous or harsh or arbitrary to such an extent, as would far outweigh the equitable balance of the employer's right to recover.*

5. The matter has, consequently, been examined in consultation with the Department of Expenditure and the Department of Legal Affairs. The Ministries / Departments are advised to deal with the issue of wrongful / excess payments made to Government servants in accordance with above decision of the Hon'ble Supreme Court in *CA No.11527 of 2014 (arising out of SLP (C) No.11684 of 2012) in State of Punjab and others etc vs Rafiq Masih (White Washer) etc.* However, wherever the waiver of recovery in the above-mentioned situations is considered, the same may be allowed with the

express approval of Department of Expenditure in terms of this Department's OM No.18/26/2011-Estt (Pay-I) dated 6<sup>th</sup> February, 2014.

6. In so far as persons serving in the Indian Audit and Accounts Department are concerned, these orders are issued with the concurrence of the Comptroller and Auditor General of India.

7. Hindi version will follow.



(A.K. Jain)

Deputy Secretary to the Government of India

1. All Ministries / Departments of Government of India
- ✓ 2. NIC, DOP&T – with a request to upload this OM on the Department's website under OMs & Orders (Establishment → Pay Rules) and also under "What is New".

Copy also forwarded to:

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6. Department of Personnel and Training (AIS Division) / JCA / Admn. Section.
7. Secretary, National Council of JCM (Staff Side), 13-C, Feroz Shah Road, New Delhi.
8. All Members of Staff Side of the National Council of JCM / Departmental Council.
9. All Officers / Sections of Department of Personnel and Training / Department of Administrative Reforms & Public Grievances / Department of Pensions & Pensioners' Welfare / PESB.
10. Joint Secretary (Pers), Department of Expenditure, Ministry of Finance.
11. Additional Secretary (Union Territories), Ministry of Home Affairs.

**IN THE HIGH COURT AT CALCUTTA  
CONSTITUTIONAL WRIT JURISDICTION  
APPELLATE SIDE**

**PRESENT:  
THE HON'BLE JUSTICE RABINDRANATH SAMANTA**

**WPA No. 9999 of 2022**

**Srabani Taraphder**

**Ve**

**The Indian Institute of Technology, Kharagpur & Ors.**

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Ms. Chama Mookherji, Sr. Adv.  
Mr. Anujit Mookherji, Adv.  
Ms. Rinky Kumari Shaw, Adv.

..... for the Petitioner

Mr. R.N. Majumder, Adv.  
Ms. S.M. Obaidullah, Adv.

..... for the Respondents

**Heard On : 07.11.2022**

**Judgment on : 14.12.2022**

**Rabindranath Samanta, J:-**

1. The petitioner by preferring this writ petition challenges two Orders dated 15<sup>th</sup> February, 2022 and 19<sup>th</sup> April, 2022 passed by the Indian Institute of Technology, Kharagpur (in short IIT Kharagpur) directing recovery of Rs.7,71,270/- subsequently modified as Rs. 7,44,737/- as overdrawn amount from the petitioner.
2. The facts which led to the filing of the writ petition may be adumbrated as under:-

The petitioner was appointed as a visiting lecturer of IIT Kharagpur on 31<sup>st</sup> October, 1996 under its academic category. In response to an advertisement published by the authority of IIT Kharagpur the petitioner applied for the post of Assistant Professor of the Institute. After being selected she was appointed as Assistant

Professor with effect from 12<sup>th</sup> February, 1998. Thereafter, in response to an another advertisement published by IIT Kharagpur the petitioner applied for the post of Associate Professor and after being selected she was appointed as the Associate Professor with effect from 24<sup>th</sup> April, 2007. Presently, she is working as a Professor appointed through the same selection procedure with effect from 16<sup>th</sup> November, 2011. It may be noted that her service as an employee of the Institute is in continuity since her initial appointment while she was appointed as the Assistant Professor on 12.02.1998. An employee code being No. 96023 was allotted to her. Besides, the G.P.F Number in which she contributes still remains the same. The service rendered by her from the date of her initial appointment is continuing.

3. Amongst the Indian Institutes of Technology set up in different parts of India, IIT Kharagpur was set up first in May, 1950 in Hijli, Kharagpur, West Bengal. This institute started functioning on and from August 18, 1951. In 1956 the Indian Institute of Technology, Kharagpur Act was passed declaring the Institute as an Institute of national importance. Subsequently, the Indian Institute of Technology Act, 1961 was enacted to declare certain Institutes of Technology to be of national importance. According to Indian Institute of Technology Act, 1961, all appointments of the staff of an Institute excepting the post of Director was to be made in accordance with the procedure laid down by the statutes of such institute. The IIT Kharagpur statutes came in force with effect from November, 1962. According to Regulation 12 of the Statutes all posts of the Institute shall normally be filled by advertisement, but the Board shall have the power to decide on the recommendation of the Director that a particular post be filled by invitation or by promotion from amongst the members of the staff of the Institute. The petitioner states that she was discharging her duties with efficiency and devotion without any hindrance. But, she became

shocked after receiving a communication dated 15.02.2022 issued by the Assistant Registrar (E-I) by which she was conveyed that as per the observation of the Comptroller & Auditor General and approved by the Board of Governors at its 200<sup>th</sup> meeting held on 23<sup>rd</sup> July, 2021, her pay as a Direct Appointee shall be governed by the FR (Fundamental Rule 22(1)(a)(1) of the Government of India. Accordingly, her pay was revised to lower pay and recovery of Rs.7,71,270/- was ordered from her as overdrawn payment. By the Order dated 19<sup>th</sup> April, 2022 the Order dated 15.02.2022 was modified to this extent that over payment of Rs. 7,44,737/- instead of Rs.7,71,270/- would be recovered from her. The petitioner submits that the authority of the IIT Kharagpur before passing the Order of recovery of the aforesaid amount did not serve any notice upon her, nor she was given any opportunity of hearing. In such context, the petitioner, by making a representation dated 8<sup>th</sup> March, 2022, to the Registrar, IIT Kharagpur ventilated her grievances that recovery of the amount would not only cause irreversible adverse civil consequences to her, but it would cause tremendous mental agony and hardship to her and her family. She, citing a government memorandum dated 2<sup>nd</sup> March, 2016 issued by the Ministry of Personnel, Public Grievances & Pensions, Department of Personnel & Training, Government of India informed the authority that recovery of wrongful/excess payments made to Government Servants for a period in excess of five years before the Order of recovery was impermissible in law. But, her representation has fallen on deaf ears. Under such circumstances, the petitioner seeks direction that the office Orders dated 15.02.2022 and dated 19.04.2022 be set aside and the respondents be directed not to give any effect to these Orders.

4. In their affidavit-in-opposition the respondent Nos.1 to 5 state that the writ petition is liable to be dismissed due to non-joinder of necessary parties. They state that in the audit conducted by the Comptroller and Auditor General (in short C & AG) it was observed

that the IIT Kharagpur allowed the faculties to exercise option under FR 22 (1)(a)(1) and because of exercising such option there resulted in irregular pay fixation. In terms of Section 10 of the Indian Institute of Technology Act, 1961, the Finance Committee of the Institute made recommendation to the Board of Governors for approval of revision of pay and recovery of excess amount which was paid to the faculties. The Board of Governors at its 200<sup>th</sup> meeting held on 23<sup>rd</sup> July, 2001 approved the recommendation of the Finance Committee. Such approval of the Board of Governors being the statutory authority formed under the Statute is binding upon all the employees of the Institute. These answering respondents submit that the amount overdrawn by the petitioner ordered to be recovered by the Institute vide Orders dated 15.02.2022 and 19.04.2022 is lawful. Denying and disputing the averments as made in the writ petition the answering respondents submit that the writ petition is liable to be dismissed.

5. However, the petitioner in her affidavit-in-reply states that the Finance Committee as stated by the answering respondents is a mere recommendation body. She states that no scrap of paper has been placed on record by the respondents to show that any attempt was made on its part to fix the liability of the official or officials for whom alleged overpayment was made. Controverting the averments as made in the affidavit-in-opposition the petitioner reiterates her stand that the recovery Order of the amount as overdrawn from her is impermissible in law.
6. The answering respondents aver that the writ petition is liable to be dismissed due to non-joinder of necessary party. However, perusal of the affidavit-in-opposition does not show who is the necessary party in absence of which the writ petition would be defeated.
7. Learned Counsel appearing for the answering respondents submits that the Director General of Audit who made the observation as regards over drawal of pay by the faculties of IIT Kharagpur in irregular manner is a necessary party.

8. Undisputedly, IIT Kharagpur is the appointing and disciplinary authority of the petitioner. True, the IIT Kharagpur authority made the aforesaid two Orders dated 15.02.2022 and 19.04.2022 as impugned on the basis of the observations made by the Director General of Audit. But, the fact remains that the IIT Kharagpur authority passed the Orders against the petitioner as to recovery of overpayment as the appointing and disciplinary authority of the petitioner. As the statutes of Kharagpur indicate, the IIT Kharagpur authority as an autonomous body guided by its own statutes and laws may pass such order or orders upon its employees as it deems just and proper. Such being the position, the Director General of Audit, in my view, is not necessary party to the instant writ petition. As such, the argument as advanced by learned counsel for the respondents is not acceptable.
9. Certain facts which are not in dispute may be delineated as under:

Initially the petitioner was appointed as a visiting lecturer of IIT Kharagpur on 31.10.1996. Thereafter, she was appointed as the Assistant Professor on 12.02.1998. Subsequently, she was appointed as Associate Professor on 24.04.2007 and Professor on 16.11.2011. The scale of pay of Assistant Professor, Associate Professor and Professor at the relevant time was i) Rs. 12000-420-18300, ii) Rs.16400-450-20000 and iii) Rs.18400-500-224000 respectively. Regulation 12 of the Statutes of IIT Kharagpur enjoins that all posts at the Institute shall normally be filled by advertisement, but the Board shall have the power to decide, on the recommendations of the Director that a particular post be filled by invitation or by promotion from amongst the members of the staff of the Institute. The uncontroverted averments in the writ petition show that for the purpose of appointing an Assistant Professor to the post of Associate Professor and Professor of the Institute there is no feeder post and no statutory rule exists for promoting an Assistant Professor up to the post of Professor.



10. What the record evinces, the petitioner was appointed to the post of Assistant Professor, Associate Professor and Professor after being selected through selection process which was conducted after publication of advertisements by the Institute inviting applications from the eligible candidates for the posts. An appointment letter dated 11.04.2007 (Annexure P/2) shows that the petitioner Dr. Srabani Taraphder was appointed as Associate Professor as against a permanent post at the scale of pay of Rs.16400-450-20000 and her basic pay would be fixed as per the rules. It is evident from an Office Order dated 14.05.2007 of the Institute (Annexure P/4) that this scale of pay of the petitioner as an Assistant Professor prior to her appointment as Associate Professor was Rs.16620 and the date of her next increment was 01.02.2008 and after she was appointed as Associate Professor her pay was fixed at Rs. 17300 as on 23.04.2007 in the scale of pay of Rs. 16400-450-20000 and the next date of her increment was 01.04.2008. Similarly, her pay was fixed in terms of the scale of pay as indicated above while she was appointed as a Professor.
11. The petitioner stoutly asserts that to the best of her knowledge she never exercised option to get higher pay from any particular date. It is the IIT Kharagpur authority which on its own fixed her scale at every stage of her career from Assistant Professor to Professor.
12. The bone of contention of the instant matter hovers round the impugned orders dated 15.02.2022 and 19.04.2022 by which IIT Kharagpur authority directed that the aforesaid amount of Rs. 7,71,270/- modified as Rs. 7,44,737/- would be recovered from the petitioner as overdrawn for the period from 23.04.2007 to 01.02.2022 during which she held the post as Associate Professor and Professor. As stated above, the impugned Orders were made based on the observation of C & AG and approved by the Board of Governors at its 200<sup>th</sup> meeting held on 23.07.2021. In such

context, it will be apposite to refer to the relevant observation made by the Director General of Audit which runs as under:

*\* Test-check of records showed that both the Institutes had allowed newly appointed faculty members, selected on the basis of direct recruitment, to exercise options regarding the date of fixation of their pay under F.R. 22(I)(a)(I), at the time of their appointment. Consequently, the pay of these faculty members was fixed from the date of accrual of the next increment in the time scale of the post previously held by them, either in the Institute, or elsewhere. Audit noted that, permitting the newly recruited faculty members to exercise this option, had led to fixation of pay at a higher stage in the time scale of the new post from the date of next increment. Thus, permitting exercise of this option, in violation of the Fundamental Rules, resulted in irregular fixation of pay, along with overpayment of pay, amounting to Rs.2.09 crore (till March 2020), in regard to 54 faculty members (Annexure enclosed).*

*IIT Kharagpur, replied that the pay fixation of the newly recruited faculty members had been carried out in terms of MHRD's Order (October 2017), vide which candidates were allowed one notional increment in the existing academic level of pay previously held by them. It further stated that the pay fixation had been made from the date of next increment, by exercising option under FR 22(I)(a)(I) vide DOPT's OM dated 27.07.2017, which envisages that a government servant shall have the option to have the pay fixed under this rule from the date of such promotion, or to have the pay fixed from the date of accrual of the next increment in the scale of the pay in the lower grade.*

*IIT Guwahati, replied that it was aware of the provisions of pay fixation, as observed by Audit, and had followed the same till the implementation of 6<sup>th</sup> CPC. With the implementation of the recommendation of the 6<sup>th</sup> CPC, however, there had been anomalies in pay among faculty members, as the date of increment was uniform (1<sup>st</sup> July every year) for all employees. To remove these pay anomalies, the Board of Governors (BoGs), in its 63<sup>rd</sup> Meeting, had*

*decided to give an option for fixation of pay from the date of the next increment, to all faculty members appointed to a higher post after 01.01.2006.*

*The replies of both the Institutes are not tenable, as the provisions under FR 22 (I)(a)(I), read with said DOPT's OM, provide that a Government servant, appointed on the basis of direct recruitment, shall not have the option to have his pay fixed from the date of accrual of next increment in the scale of the pay previously held by him. Thus, the methodology of allowing the option for fixation of pay from the date of the next increment, to the newly appointed faculty members, selected on the basis of direct recruitment by these Institutes was irregular."*

13. The observations as above, substantially, speak that the Director General of Audit made the observations on test-check on records of some faculty members selected on the basis of direct recruitment who exercised their option. The petitioner vehemently avers that she never exercised option. No iota of document has been produced from the side of the IIT Kharagpur authority to show that the petitioner ever exercised option after being appointed to the post of Associate Professor and Professor.
14. It appears from the orders dated 15.02.2022 and 19.04.2022 that the IIT Kharagpur authority by the orders as above not only directed the recovery of the aforesaid amount from the petitioner, but the authority reduced her pay which was granted to her.
15. The office Memorandum dated 27.07.2017 (Annexure P/7) issued by the Government of India, Ministry of Personnel, Public Grievances and Pensions, Department of Personnel & Training, inter alia, reads that on the date of next increment in the level of the post to which Government Servant is promoted, his/her pay will be re-fixed and two increments (one accrued on account of annual increment and the second accrued on account of promotion) may be granted in the level from which the Government Servant is promoted and he/she shall be placed, at a cell equal to

the figure so arrived in the level of post to which he/she is promoted; and if no such cell is available in the level to which he/she is promoted, he/she shall be placed at the next higher cell in that level.

16. As the case of the petitioner suggests, the petitioner from the initial appointment as Assistant Professor to the appointment of professor served the Institute uninterruptedly having one employee Code being No. 96023 and the same G.P.F Account. The IIT authority treating the appointment of the petitioner either as Associate Professor or as Professor to be promotional post fixed her pay allowing her the increments as provided in the Office Memorandum dated 27.07.2017. On the face of record it is found that the IIT authority, without inviting any option from the petitioner, on its own fixed her pay at the scale admissible to an Associate Professor and a Professor.
17. The only point which falls for determination is whether the orders of recovery of Rs.7,71,270/- modified as Rs.7,44,737/- as overdrawn payment from the petitioner is sustainable in law.
18. Learned Counsel appearing for the petitioner submits that by the impugned Orders the IIT Kharagpur authority directed recovery of the amount as above from the petitioner for the period which was more than fourteen years ago. Citing a catena of decisions in the case of *Chandi Prasad Uniyal and Others-Vs- The State of Uttarakhand and Others* reported in (2012) 8 SCC 417, in the case of *State of Punjab and Others -Vs- Rafiq Masih (White Washer)* reported in (2015) 4 SCC 334, in the case of *Thomas Daniel-Vs- State of Kerala and Others* reported in 2022 SCC OnLine SC 536, in the case of *Prasanta Kumar Das-Vs- The State of West Bengal* reported in (2015) SCC OnLine Cal 7459 and *Sudarsan Dasadhikary -Vs The State of West Bengal and Others* reported in (2015) SCC OnLine Cal 8390 learned counsel argues that recovery of the amount as overdrawn from the petitioner for a period which took place more than five years back and without giving any opportunity

of hearing to the petitioner is impermissible. Learned Counsel submits that the IIT authority fixed the pay of the petitioner on its own and no option was exercised by the petitioner nor she resorted to any fraud or misrepresentation to get the pay fixed or re-fixed on her appointment to the higher grade of post. On such score, learned counsel submits that the Orders as impugned are liable to be quashed.

19. Per Contra, learned counsel for the respondents argues that as per the statutes of IIT Kharagpur any decision or resolution taken by the Board of Governors is binding upon all the employees of their Institute. That being the legal position, the petitioner is now estopped from challenging the orders dated 15.02.2022 and 19.04.2022 relating to recovery of the amount overdrawn by her. To buttress his argument learned counsel also places reliance on *Chandi Prasad Uniyal and Others-Vs- The State of Uttarakhand and Others* reported in (2012) 8 SCC 417.
20. In *Chandi Prasad Uniyal* the Hon'ble Apex Court at paragraphs 13, 14 and 15 has held as under:

*"13. We are not convinced that this Court in various judgments referred to hereinbefore has laid down any proposition of law that only if the State or its officials establish that there was misrepresentation or fraud on the part of the recipients of the excess pay, then only the amount paid could be recovered. On the other hand, most of the cases referred to hereinbefore turned on the peculiar facts and circumstances of those cases either because the recipients had retired or were on the verge of retirement or were occupying lower posts in the administrative hierarchy.*

*14. We are concerned with the excess payment of public money which is often described as "taxpayers' money" which belongs neither to the officers who have effected overpayment nor to the recipients. We fail to see why the concept of fraud or misrepresentation is being brought in such situations. The question to be asked is whether excess money has been paid or not, may be*

*due to a bona fide mistake. Possibly, effecting excess payment of public money by the government officers may be due to various reasons like negligence, carelessness, collusion, favouritism, etc. because money in such situation does not belong to the payer or the payee. Situations may also arise where both the payer and the payee are at fault, then the mistake is mutual. Payments are being effected in many situations without any authority of law and payments have been received by the recipients also without any authority of law. Any amount paid/received without the authority of law can always be recovered barring few exceptions of extreme hardships but not as a matter of right, in such situations law implies an obligation on the payee to repay the money, otherwise it would amount to unjust enrichment.*

*15. We are, therefore, of the considered view that except few instances pointed out in Syed Abdul Qadir case and in Col. B.J. Akkara case, the excess payment made due to wrong/irregular pay fixation can always be recovered."*

20. However, the Hon'ble Apex Court in *Rafiq Masih* on consideration of the judgments rendered in *Chandi Prasad Uniyal and Others*, *Syed Abdul Qadir* reported in (2009) 3 SCC 475 and in *Col. B.J. Akkara*, reported in (2006) 11 SCC 709 has held at paragraph 18 as follows:

*"18. It is not possible to postulate all situations of hardship, which would govern employees on the issue of recovery, where payments have mistakenly been made by the employer, in excess of their entitlement. Be that as it may, based on the decisions referred to herein above, we may, as a ready reference, summarise the following few situations, wherein recoveries by the employers, would be impermissible in law:*

*(i) Recovery from employees belonging to Class-III and Class-IV service (or Group 'C' and Group 'D' service).*

*(ii) Recovery from retired employees, or employees who are due to retire within one year, of the order of recovery.*

*(iii) Recovery from employees, when the excess payment has been made for a period in excess of five years, before the order of recovery is issued.*

*(iv) Recovery in cases where an employee has wrongfully been required to discharge duties of a higher post, and has been paid accordingly, even though he should have rightfully been required to work against an inferior post.*

*(v) In any other case, where the Court arrives at the conclusion, that recovery if made from the employee, would be iniquitous or harsh or arbitrary to such an extent, as would far outweigh the equitable balance of the employer's right to recover."*

21. In the subsequent decisions in the case of *Thomas Daniel* reported in (2022) SCC Online SC 536, *Prasanta Kumar Das* reported in (2015) SCC Online Cal 7459 and in *Sudarsan Dasadhikary* reported in (2015) SCC Online Cal 8390 the legal proposition as propounded by the Hon'ble Apex Court in *Rafiq Masih* has been reiterated and followed.

22. What I find, no iota of paper has been produced from the side of the respondents to evince that the petitioner managed to get the pay fixed or re-fixed at the scale as referred to in the impugned Orders by practising fraud or misrepresentation upon the authority of IIT Kharagpur. Undisputedly, no notice was served upon the petitioner nor any opportunity of hearing was given to her before passing the orders of recovery of the aforesaid amount. The petitioner by the representation dated 08.03.2022 (Annexure P/15) apprised the authority of IIT Kharagpur with severe anxiety that the recovery of the aforesaid amount would cause immense mental agony and hardship to her and her family and as such she appealed to the authority not to take any step to implement the impugned orders. It is averred by her in the writ petition that the reduction of her pay at lower level and recovery of the amount would adversely affect her service career both at national and international level. Such grievances as ventilated by

the petitioner do not appear to be unjust and such being the scenario the authority of IIT Kharagpur ought to have given opportunity of hearing to the petitioner before taking the drastic step against her.

23. As excerpted from the observation of the Director General of Audit, the Director General of Audit made the observation/recommendation after conducting test-check of records of newly appointed faculty members. The observation doesnot reflect that the Director General of Audit after scrutinising all the relevant factors of the pay of the petitioner came to the aforesaid inference. The recommendation of the Director General of Audit cannot be termed as an enquiry report. But, surprisingly, the authority of the IIT Kharagpur passed the impugned orders blindly relying on the observations of Director General of Audit.

24. Reduction of pay of the petitioner to a lower level and recovery of the amount as above from her are factually in the nature of penalties imposed upon her under regulation 15 of the Statutes of Kharagpur IIT. But, regulation 15 of the Statutes unequivocally enjoins that the authority cannot pass such penalty except after an enquiry has been held and the member of the staff has been given reasonable opportunity of showing cause of the action proposed to be taken in regard to him/her.

25. Viewed from all aspects it is axiomatic that the authority of IIT Kharagpur passed the aforesaid orders in violation of its own statutes as well as in violation of the mandate of the Hon'ble Apex Court as pronounced in *Raftiq Masth* (supra). Therefore, the orders dated 15.02.2022 and 19.04.2022 as impugned are not sustainable in law and the orders are liable to be quashed.

26. Thus the point is answered in the negative.

27. In the result, the writ petition merits success and accordingly the writ petition is allowed on contest.

28. The Office Order No. Esst/83/2022/96023 dated 15.02.2022 and the Memorandum being No.HT/3-3/1494 dated 19.04.2022 issued by



the respondent authorities are hereby quashed. The respondents are directed to restore the petitioner's status to the pay scale as existed prior to giving effect to the Office Order No. Esst/83/2022/96023 dated 15.02.2022 and Memo No. HT/3-3/1494 dated 19.04.2022. The respondents are directed to refund the amount which has been recovered from her so far in terms of the aforesaid orders to her within six weeks from date.

29. With the aforesaid direction the writ petition stands disposed of.

30. Connected application, if any, also stands disposed of.

31. No order as to costs.

32. Urgent certified website/Photostat copy of the judgment, if applied for, be given to the parties upon compliance with all requisite formalities.

***(Rabindranath Samanta,J.)***

**Later**

After the judgment is pronounced learned counsel for the respondents prays for stay of the operation of the order.

On due consideration the prayer is refused.

***(Rabindranath Samanta,J.)***

**ANNEXURE-36**

No.4/3/2017-Estt(Pay-I)  
Government of India  
Ministry of Personnel, Public Grievances and Pensions  
Department of Personnel and Training  
\*\*\*\*\*

North Block, New Delhi,  
Dated the 26<sup>th</sup> October, 2018

List of earlier OMs on the  
subject  
DoE's OM No.F.2(78)-  
E.III(A)63 dated 02.02.1966  
  
DoP&T's OM No.4/7/92-  
Estt(Pay-I) dated 04.11.1993

**OFFICE MEMORANDUM**

Subject:- Stepping up of pay-Consolidated guidelines-reg.

The undersigned is directed to say that the issue of anomaly relating to senior Government employees drawing less pay than their juniors due to application of provisions of FR 22(I)(a)(1) is considered on merits for allowing stepping up pay of the seniors so as to bring it at par with that of the junior in accordance with the guidelines scattered in various OMs noted in the margin. A need has been felt to consolidate guidelines on stepping up of pay at one place keeping in view the provisions of the Central Civil Services (Revised Pay) Rules, 2016, [in short CCS(RP)Rules, 2016] as the frequent references on such issues continue to be received in this Department.

2. Consequent upon implementation of CCS(RP)Rules, 2016, the President is pleased to decide the following:

(i) In order to remove the anomaly of a Government servant promoted or appointed to a higher post on or after 1-1-2016 drawing lower pay in that post than another Government servant junior to him in the lower grade and promoted or appointed subsequently to another identical post, the pay of the senior Government servant in the higher post should be stepped up to a figure equal to the pay as fixed for the junior Government servant in that higher post. The stepping up should be done with effect from the date of promotion or appointment of the junior Government servant and will be subject to the following conditions, namely:

- (a) both the junior and the senior Government servants should belong to the same cadre and the posts in which they have been promoted are identical in the same cadre;
- (b) the Level in the Pay Matrix of the lower and higher posts in which they are entitled to draw pay should be identical;

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*A. Babbar*  
26/10/18

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(c) the anomaly is directly as a result of the application of the provisions of Fundamental Rule 22(I)(a)(1) read with Rule 13 of CCS(RP)Rules, 2016. For example, if the junior officer was drawing more pay in the existing pay structure than the senior by virtue of any advance increments granted to him, the provisions of this sub-rule should not be invoked to step up the pay of the senior officer.

(ii) The order relating to re-fixation of the pay of the senior officer in accordance with clause (i) shall be issued under Fundamental Rule 27 and the senior officer shall be entitled to the next increment on completion of his required qualifying service with effect from the date of re-fixation of pay.

3. The following instances/events wherein juniors draw more pay than seniors, do not constitute anomaly and, therefore, stepping up of pay will not be admissible in such events:

- (a) Where a senior proceeds on Extra Ordinary Leave which results in postponement of his Date of Next Increment in the lower post and consequently he starts drawing less pay than his junior in the lower grade itself. He, therefore, cannot claim pay parity on promotion even though he may be promoted earlier to the higher grade than his junior(s);
- (b) If a senior forgoes/refuses promotion leading to his junior being promoted/appointed to the higher post earlier and the junior draws higher pay than the senior.
- (c) If the senior is on deputation while junior avails of the ad-hoc promotion in the cadre, the increased pay drawn by the junior due to ad-hoc/officiating and/or regular promotion following such ad-hoc promotion in the higher posts vis-à-vis senior, is not an anomaly in strict sense of the term;
- (d) If a senior joins the higher post later than the junior, for whatsoever reasons, whereby he starts drawing less pay than the junior. In such cases, senior cannot claim stepping up of pay at par with that of his junior.
- (e) If a senior is appointed later than the junior in the lower post itself whereby he is in receipt of lesser pay than the junior, in such cases also the senior cannot claim pay parity in the higher post if he draws

*A. Bahar*  
26/4/18 .....3/-

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less pay than his junior though he may have been promoted earlier to the higher post.

- (f) Where an employee is promoted from lower post to a higher post, his pay is fixed with reference to the pay drawn by him in the lower post under FR22(I)(a)(1) read with Rule 13 of CCS(RP)Rules, 2016 and due to his longer length of service in the lower grade, his pay may get fixed at a higher stage than that of a senior direct recruit appointed to the same higher grade and whose pay is fixed under different set of rules. For example a Senior Secretariat Assistant (SSA) on promotion to the post of Assistant Section Officer (ASO) gets his pay fixed under FR 22(I)(a)(1) with reference to the pay drawn in the post of SSA, whereas the pay of ASO(DR) is fixed under Rule 8 of CCS(RP)Rules, 2016 at the minimum pay or the first Cell in the Level, applicable to ASO to which he is appointed. In such a case, the senior ASO (DR) cannot claim pay parity with that of the promotee junior ASO.
- (g) Where a senior is appointed in higher post on ad-hoc basis and is drawing less pay than his junior who is appointed in the same cadre and in same post on ad-hoc basis subsequently, the senior cannot claim pay parity with reference to the pay of that junior since the ad-hoc officiating service in higher post is reversible and also since full benefits of FR22(I)(a)(1) are not available on ad-hoc promotion but only on regular promotion following such ad-hoc promotion without break.
- (h) Where a junior gets more pay due to additional increments earned on acquiring higher qualifications.

*Note: The above instances/events are only illustrative and not exhaustive.*

4. These orders shall be in supersession of D/o Expenditure's OM No.F.2(78)-E.III(A)63 dated 2<sup>nd</sup> February, 1966 and DOP&T's OM No.4/7/92-Estt(Pay-I) dated 4<sup>th</sup> November, 1993.

5. This OM is effective from 01.01.2016.

6. In so far as persons serving in the Indian Audit and Accounts Department are concerned, these orders have been issued after consultation with the Comptroller and Auditor General of India.

*A. Babbar*  
26/11/18

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7. Hindi version will follow.

*R Bahree*  
26/4/18

(Rajeev Bahree)

Under Secretary to the Government of India.

To

1. All Ministries / Departments of Government of India.
2. NIC, DO&PT – with a request to upload this OM on the Department's website under OMs & Orders (Establishment → Pay Rules) and also under "What is New".

Copy also forwarded to:

1. Office of Comptroller & Auditor General of India
2. Secretary General, Supreme Court of India, Secretaries to Union Public Service Commission /Lok Sabha Sectt./Rajya Sabha Sectt./Cabinet Sectt./Central Vigilance Commission/ President's Sectt./Vice-President's Sectt./Prime Minister's Office / Niti Ayog.
3. Controller General of Accounts, Ministry of Finance.
4. Department of Personnel and Training (AIS Division) / JCA / Admn. Section.
5. Governors of all States/Lt. Governors of all Union Territories.
6. Secretary, National Council of JCM (Staff Side), 13-C, Feroz Shah Road, New Delhi.
7. All Members of Staff Side of the National Council of JCM / Departmental Council
8. All Officers/Sections of DoPT/Deptt. Of Administrative Reforms & Public Grievances/Department of Pensions & Pensioners Welfare/ PESB.
9. Joint Secretary (Pers), Ministry of Finance, D/o Expenditure.
10. Additional Secretary(Union Territories), Ministry of Home Affairs

*R Bahree*  
26/4/18

(Rajeev Bahree)

Under Secretary to the Government of India

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No. F. 2175-3. III. (A)/63  
 Government of India  
 Ministry of Finance  
 (DEPARTMENT OF EXPENDITURE)

New Delhi, the 24 February, 1966.

OFFICE MEMORANDUM

SUBJECT:- Fixation of pay on promotional appointment to higher posts - Anomalies arising out of the application of F.R. 22-C.

The question of removing certain anomalies arising as a result of fixation of pay of Government servants promoted or appointed to higher posts after the introduction of F.R. 22-C, has been under the consideration of the Government of India for some time past.

2. By a strict application of the above Rule, it may happen that a Government servant promoted or appointed to a higher post on or after 1.1.61 may draw a lower rate of pay in that post than another Government servant, junior to him in the lower grade and promoted or appointed subsequently to another identical post.

3. In order to remove this anomaly, the President is pleased to decide that in such cases the pay of the senior officer in the higher post should be stepped up to a figure equal to the pay as fixed for the junior officer in that higher post. The stepping up should be done with effect from the date of promotion or appointment of the junior official and will be subject to the following conditions, namely:-

- (a) Both the junior and senior officers should belong to the same cadre and the posts in which they have been promoted or appointed should be identical and in the same cadre and
- (b) The scales of pay of the lower and higher posts in which they are entitled to draw pay should be identical.
- (c) The anomaly should be directly as a result of the application of F.R. 22-C. For example, if even in the lower post the junior officer draws from time to time a higher rate of pay than the senior by virtue of grant of advance increments, the provisions contained in this Office Memorandum will not be invoked to step up the pay of the senior officer.

4. The orders re-fixing the pay of the senior officers in accordance with the provisions of this C.M. shall be issued under F.R. 27. The next increment of the senior officer will be drawn on completion of the requisite qualifying service *v.s.f.* the date of re-fixation of pay.

5. These orders take effect from the date of issue of this Office Memorandum. Cases of seniors drawing less pay than juniors in respect of promotions occurring on or after 1.1.1961 may also be regulated under these orders but the actual benefit would be admissible from the date of issue of these orders.

(P.T.O.)

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6. In so far as persons serving in the Indian Audit and Accounts Department are concerned, these orders have been issued after consultation with the Comptroller and Auditor General.

*N.H.K. Nain*  
(N.H.K. NAIN)

DEPUTY SECRETARY TO THE GOVERNMENT OF INDIA.

To  
All Ministries/Departments of the Govt. of India etc. etc.

No.F.2(78)-B.III.(A)/CB.

Copy to all Accountants General, etc.

*R. Krishnamurthy*

(R. KRISHNAMURTHY)  
UNDER SECRETARY TO THE GOVERNMENT OF INDIA.

4/10/23

No. 47/92-3stt. (Pay-I)  
 GOVERNMENT OF INDIA  
 DEPARTMENT OF PERSONNEL & TRAINING

New Delhi, the 4-11-1993

OFFICE MEMORANDUM

Subject:- Stepping up of pay.

Cases for stepping up of the pay of seniors in a pay scale that of juniors are generally considered if the following conditions are satisfied:-

- (a) both the junior and senior officer should belong to the same cadre and the posts in which they have been promoted or appointed should be identical and in the same cadre;
- (b) the scales of pay of the lower and higher posts in which the junior and senior officer are entitled to draw pay should be identical;
- (c) the anomaly should be directly as a result of the application of M 23-C. For example, if even in the lower post the junior officer draws from time to time a higher rate of pay than the senior by virtue of grant of advance increments or on any other account the above provisions will not be invoked to step up the pay of senior officer.

2. Instances have come to the notice of this Department requesting for stepping up of pay due to the following reasons:-

- (a) where a senior proceeds on Extra Ordinary Leave which results in postponement of date of next increment in the lower post, consequently he starts drawing less pay than his junior in the lower grade itself. He, therefore, cannot claim pay parity on promotion even though he may be promoted earlier to the higher grade;
- (b) If a senior foregoes/refuses promotion leading to his junior being promoted/appointed to the higher post earlier, junior draws higher pay than the senior. The senior may be on deputation while junior avails of the ad-hoc promotion in the cadre. The increased pay drawn by a junior either due to ad-hoc promotion in the cadre. The increased pay drawn by a junior either due to ad-hoc officiating/regular service rendered in the higher posts for periods earlier than the senior, cannot therefore, be as anomaly in strict sense of the term.
- (c) If a senior joins the higher post later than the junior, for whatever reasons, whereby he draws less pay than the junior, in such cases senior cannot claim stepping up of pay at par with the junior.



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(d) If a senior is appointed later than the junior in the lower post thereby whereby he is in receipt of lesser pay than the junior, in such cases also the senior cannot claim pay parity in the higher post though he may have been promoted earlier to the higher post.

(e) Where a person is promoted from a lower to a higher post his pay is fixed with reference to the pay drawn by him in the lower post under FR 22-C and he is likely to get more pay than a direct appointee whose pay is fixed under different set of rules. For example a UDC on promotion to the post of Assistant (DR) gets his pay fixed under FR 22-C with reference to pay drawn in the post of UDC, whereas the pay of Assistant (DR) is fixed normally at the minimum under FR 22-B(2). In such cases the senior direct recruit cannot claim pay parity with the junior promoted from a lower post to higher post as seniority alone is not a criteria for allowing stopping up.

(f) Where a junior gets more pay due to additional increments earned on acquiring higher qualifications.

3. In the instances referred to in paragraph 2 above, a junior drawing more pay than the senior will not constitute an anomaly. In such cases, stopping up of pay will not, therefore, be admissible.

4. In so far as persons serving in the Indian Audit and Accounts Department are concerned, these orders have been issued after consultation with the Comptroller and Auditor General of India.

5. Hindi version will follow.

*Reswathy Iyer*  
(MRS. RESWATHY IYER)  
DEPUTY SECRETARY TO THE GOVT. OF INDIA

To

All Ministries/Departments of Govt. of India

Office of Comptroller & Auditor General of India.