## Fault-Tolerant Distributed Systems

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## Outline

- Introduction
- Importance of Fault-Tolerance in DS
- Classification of Faults
- Fault-Tolerant Algorithms: A few case study
- Conclusion and future directions


## Introduction

- Def1 [Distributed System]: It is a collection of autonomous nodes (process, computer, sensor etc) communicating with each other to achieve a common goal



## Email System



## Content Distribution Networks (CDN)



BitTorrent tracker identifies the swarm and
helps the client software trade pleces
of the file you want with other computers.

client software recelves and sends multiple pieces of the file simultaneously.

62005 Howstuffrvorks

## Other examples

- World wide web
- Network File Server
- Banking Network
- Railway/Airline Reservation
- P2P networks, sensor networks, SETI@home


## Motivations of Distributed System

- Speed up/concurrency
- Resource Sharing
- Scalability
- Fault-tolerance


## Fault-Tolerance in DS

- A fault is the manifestation of an unexpected behavior
- A DS should be fault-tolerant
- Should be able to continue functioning in the presence of faults
- Fault-tolerance is important
- Computers today perform critical tasks (GSLV launch, nuclear reactor control, air traffic control, patient monitoring system)
- Cost of failure is high


## Some Stories

- Sep 23, 1999, NASA lost the $\$ 125$ million Mars orbiter spacecraft because one team used metric units while another used English units
- 15 April 2010, GSLV MK II, First flight test of the ISRO designed and built Cryogenic Upper Stage. Failed to reach orbit due to malfunction of the Fuel Booster Turbo Pump (FBTP) of the cryogenic upper stage


## Fault Tolerance

- A DS should be fault-tolerant
- Should be able to continue functioning in the presence of faults
- Fault tolerance is related to dependability


## Dependability

## Dependability Includes

- Availability
- Reliability
- Safety
- Maintainability


## Availability \& Reliability (1)

- Availability: A measurement of whether a system is ready to be used immediately
- System is up and running at any given moment
- Reliability: A measurement of whether a system can run continuously without failure
- System continues to function for a long period of time


## Availability \& Reliability (2)

- A system goes down 1ms/hr has an availability of more than $99.99 \%$, but is unreliable
- A system that never crashes but is shut down for a week once every year is $100 \%$ reliable but only $98 \%$ available


## Safety \& Maintainability

- Safety: A measurement of how safe failures are
- System fails, nothing serious happens
- For instance, high degree of safety is required for systems controlling nuclear power plants
- Maintainability: A measurement of how easy it is to repair a system
- A highly maintainable system may also show a high degree of availability
- Failures can be detected and repaired automatically? Selfhealing systems?


## Classification of Faults

- Crash Failure : node ceases to execute its actions
- Synchronous system -> crash can be detected using timeout
- Asynchronous system -> hard to detect crash
- Omission Failures: message sent, but not received - Core are of research in networking
- Transient Failure: state of a node becomes corrupted (by hardware/software failure)


## Fault classification (contd.)

- Byzantine Failure: unpredictable behavior of a node (complete arbitrary)
- Temporal failure
- Security failure


## Case Studies in the tutorial

- Crash Tolerance
- Transient Failure Tolerance
- Byzantine Failure Tolerance


## Types of Fault-tolerance (1)

- Masking tolerance
- Triple-modular redundancy
- N-modular redundancy
- Preserves both safety and liveness properties
- Non-masking tolerance
- Safety may be violated, liveness is not compromised
- Backward error recovery (check-point based)
- Forward error recovery (self-stabilization*)


## Types of Fault-tolerance (2)

- Fail-safe tolerance
- Safety is surely preserved
- No guarantee on liveness
- e.g. mask single fault, stop at double or more faults
- Graceful degradation
- Neither masking nor full recovery
- Exhibits degraded behavior
- e.g. Shortest-path computation in faulty-environment


## Design of Adaptive Distributed Systems by Protocol Switching

## Adaptive Distriguted Algorithms

- Performance of a distributed algorithm depends on environment.
- ex. load, mobility etc
- Environment may change with time
- Need for distributed algorithms that can cope with changing environment


## Adaptation Techniques

- Modify runtime parameters
- Example - adjusting buffer size in routers with load
- Adaptation by nature
- Adaptive mutual exclusion by Anderson et al. [1]
- Adaptation as a protocol layer
- Snoop protocol by H. Balakrishnan et al. [3]


## Motivation of the Work

Existing approaches not sufficient in many cases, may need to run different algorithms in different conditions

- An Example
- Routing in ad-hoc networks
* AODV
. DSR


## Protdeol Switching

- $\mathrm{P}_{1}$ and $\mathrm{P}_{2}$ are two protocols for the same problem, $E_{1}$ and $E_{2}$ are two environments, and $M$ is the performance evaluation metric
$-P_{1}$ is better than $P_{2}$ under $E_{1}$
$-P_{2}$ is better than $P_{1}$ under $E_{2}$
- Dynamically switch from $\mathrm{P}_{1}$ to $\mathrm{P}_{2}$ as environment changes from $E_{1}$ to $E_{2}$ and vice-versa


## Additional Criteria

- Maintain desirable properties during switching
- Examples of desired properties
- Mutual exclusion
*No more than one process can enter the critical section during switching
- Routing

No loss of packet during switching

## Components of Distributed Protocol Switching

- When to switch
- May require global coordination
- How to switch
- Switching algorithm


## Solutiø1)Approaches

- Centralized switching by two-phase-commit
- Simple and easy to implement
- Large switching overhead
- Global freeze
- Not scalable
- Localized distributed switching
- Switching is based on local information
- Low overhead per node
- Local freeze
- scalable


## OveraH Motivation

- Proposing localized distributed algorithms for dynamic switching from one protocol to another
- Maintaining some desirable property of the system during switching


## Refated Work

- Ted Herman [11] $\rightarrow$ design of adaptive programs from self-stabilizing components.
- Bar-Noy et al. [4] $\rightarrow$ shifting between different algorithms on the fly to solve byzantine agreement


## RelatedVork (contd.)

- Arora et al. [2] $\rightarrow$ fault-tolerant method to switch from one state to another without requiring global freeze.
- Liu et al. [15] $\rightarrow$ adaptation by dynamically mapping the state of a process in one protocol to the state in another.
- Liu et al. [16] $\rightarrow$ overview of the communication properties for correct functioning of the protocol in [15]
- Mocito and Rodrigues [19] $\rightarrow$ switching between different total order algorithms.


## Objectiv of the Work

- Design of adaptive algorithm by protocol switching for single source broadcast problem
- Tolerating node failure
rash fault
${ }^{2}$ Transient fault

Adaptive Broadcast by Switching from a BFS tree to a DFS tree

## BFS to DFS switching



- Non-fault-tolerant algorithm for dynamic switching from a BFS tree to a DFS tree
- Fault-tolerant algorithm for dynamic switching from a BFS tree to a DFS tree


## System Model

- Asynchronous message passing system
- Reliable and FIFO channels
- Crash fault
- Connected Graph
- The single source $r$ does not fail


## Solution Approach

- Non-fault-tolerant switching algorithms
- Local repair of BFS and DFS
- Faults may happen when no switching is in progress
- Fault-tolerant actions that help tolerate arbitrary crash faults during switching


## Switching from a BFS tree to a DFS tree

- $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ is the graph
- T is a BFS tree of G rooted at $r$
- T' is a DFS tree of G rooted at $r$
- Switch from T to T'


## Definitions

- Let $\mathrm{G}_{\mathrm{v}}=\left(\mathrm{V}^{\prime}, \mathrm{E}^{\prime}\right)$ be some
 subgraph of graph $G$ such that
$-\mathrm{V}^{\prime} \subseteq\{\mathrm{v}\} \cup \mathrm{N}(\mathrm{v})$
$-\mathrm{E}^{\prime} \subseteq\left\{(\mathrm{u}, \mathrm{v}) \mid \mathrm{u}, \mathrm{v} \in \mathrm{V}^{\prime}\right\}$
- If $\mathrm{T}_{\mathrm{v}}$ is a DFS spanning tree of $\mathrm{G}_{\mathrm{v}}$ rooted at v then $\mathrm{T}_{\mathrm{v}}$ is defined as the local DFS subtree of $\mathbf{G}_{\mathbf{v}}$ rooted at v


## Local DFS Subtree



## Switching Algorithm for BFS to DFS

- TOKEN based local switching from BFS to DFS
- The root of the BFS tree, $r$, gets the TOKEN first
- For a node $v, \operatorname{CSet}(v)=N(v)-[\operatorname{TSet}(v) \cup\{p(v)\}]$
- On receiving the TOKEN for the first time, a node v builds a local DFS subtree, rooted at itself, of the graph induced by $\operatorname{CSet}(\mathrm{v}) \cup\{\mathrm{v}\}$.


## Switching Algorithm for BFS to DFS (contd.)

- After v builds local DFS subtree, it sends the TOKEN to some $u \in \operatorname{Child}(\mathrm{v})$ if $u$ has not already got the TOKEN
- $\forall u \in \operatorname{Child}(\mathrm{v})$, if $u$ has got the TOKEN, $v$ sends the TOKEN to $\mathrm{p}(\mathrm{v})$
- If $v$ has already got the TOKEN then it forwards the TOKEN to some $u$ using the same rule


## Partial DFS Tree

- The nodes that have received the TOKEN at least once form a DFS tree.
- partial DFS tree

Tree edges of a local DFS subtree may change with time but that of partial DFS tree will not change

## An Example (BFS to DFS)

- Initially for each node v , $\operatorname{CSet}(\mathrm{v})=\operatorname{TSet}(\mathrm{v})=\phi$
- 'a' has got the TOKEN
- $\operatorname{CSet}(\mathrm{a})=\{\mathrm{b}, \mathrm{c}\}$
- 'a’ builds a local DFS
subtree, rooted at ' $a$ ', of the graph induced by the set of nodes $\{\mathrm{a}, \mathrm{b}, \mathrm{c}\}$


## Steps







## Steps (contd.)



## The topology after local switching at node a

- Nodes having a green outline belong to the partial DFS tree of G.
- Node a sends the TOKEN to its only child b


## Example (contd.)

- $\operatorname{TSet}(\mathrm{b})=\{\mathrm{a}\}, \operatorname{TSet}(\mathrm{c})=\{\mathrm{a}\}$
- 'b' got the TOKEN
- $\operatorname{CSet}(b)=\{c, d\}$
- 'b’ builds a local DFS subtree, rooted at 'b', of the graph induced by the set of nodes $\{b, c, d\}$


## Example (contd.)



- $\operatorname{TSet}(\mathrm{c})=\{\mathrm{a}, \mathrm{b}\}, \operatorname{TSet}(\mathrm{d})=\{\mathrm{b}\}$
- ‘d’ has got the TOKEN
- $\operatorname{CSet}(\mathrm{d})=\{\mathrm{c}, \mathrm{e}, \mathrm{f}\}$
- 'd’ builds a local DFS subtree, rooted at 'd', of the graph induced by $\{c, d, e, f\}$


## Example (contd.)



- $\operatorname{TSet}(\mathrm{c})=\{\mathrm{a}, \mathrm{b}, \mathrm{d}\}, \operatorname{TSet}(\mathrm{e})=\{\mathrm{d}\}$, $\operatorname{TSet}(\mathrm{f})=\{\mathrm{d}\}$
- 'e' has got the TOKEN
- $\operatorname{CSet}(\mathrm{e})=\{\mathrm{f}\}$
- 'e' builds a local DFS subtree, rooted at 'e', of the graph induced by $\{\mathrm{e}, \mathrm{f}\}$

After this there will be no change in the spanning tree

## Example (contd.)



- $\operatorname{TSet}(f)=\{d, e\}$
- ' $f$ ' has got the TOKEN
- $\operatorname{CSet}(\mathrm{f})=\{\mathrm{c}\}$
- 'f' builds a local DFS subtree, rooted at ' $f$ ', of the graph induced by $\{\mathrm{c}, \mathrm{f}\}$


## Example (contd.)



- $\operatorname{TSet}(\mathrm{c})=\{\mathrm{a}, \mathrm{b}, \mathrm{d}, \mathrm{f}\}$
- $\operatorname{CSet}(\mathrm{c})=\varphi$
- 'c' builds a local DFS subtree, rooted at ' $c$ ', of the graph induced by $\{\mathrm{c}\}$
- Now 'c' sends the TOKEN back to ' f '


## Example (contd.)



- Now ' f ' sends the TOKEN back to ' $e$ ' and so on.
- Algorithm stops when TOKEN comes to 'a'


## Properties

- Switching eventually completes.
- The algorithm terminates with a DFS tree topology
- The message complexity of the switching algorithm is $\mathrm{O}(\mid \mathrm{El})$ for no fault case.
- Each broadcast message is eventually correctly delivered in spite of switching provided no failure occurs.


## Fault-tolerant Switching from a BFS Tree to a DFS

 Tree- When a node fails?
- No switching in progress
- Switching in progress


## Fault in No Switching

- A node $\mathbf{v}$ in a tree (BFS/DFS) may crash when no switching is in progresss
- The tree must be repaired to continue the broadcast
- We do local repair of trees as it is attractive for limited failures in terms of time and message complexity


## Local Repair of BFS

- Let node $\mathbf{v}$ crash
- Each of $\mathbf{u}_{\mathbf{1}}, \mathbf{u}_{\mathbf{2}}, \ldots, \mathbf{u}_{\mathrm{n}}$ and node $\mathbf{x}$ executes BfsCrashAction(v)

$$
\begin{aligned}
& \text { BFSCRASHACTION }(\mathrm{V}) \\
& N(u)=N(u)-\{v\} \\
& \text { if } p(u)=v \text { then } \\
& \text { ResetLevelAction(v) }
\end{aligned}
$$



## Local Repair of DFS

- Let node $\mathbf{v}$ crash
- Each of $\mathbf{u}_{\mathbf{1}}, \mathbf{u}_{\mathbf{2}}, \ldots, \mathbf{u}_{\mathrm{n}}$ and node $\mathbf{x}$ executes DfsCrashAction(v)

$$
\begin{aligned}
& \text { DFSCRASHACTION(V) } \\
& N(u)=N(u)-\{v\} \\
& \text { if } p(u)=v \text { then }
\end{aligned}
$$

ChangePathAction(v)


## ResetLevelAction(v) and ChangePathAction(v)

ResetLevelAction(v) or
upon receiving ResetLevel $(v) \wedge \tau(u)=\mathbb{T}_{u}$
01 GetParamBFS()
$02 \mathbb{N}_{u}=\left\{x: x \in N(u) \wedge v \notin \mathcal{P}_{x \leadsto r}\right\}$
03 if $\mathbb{N}_{u}=\phi$ then
$04 \forall w \in N(u): p(w)=u$, send ResetLevel $(v)$ to $w$ 05 else
$06 \mathcal{P}_{u \rightsquigarrow r r}^{\prime}=\mathcal{P}_{u \rightsquigarrow r}$
$07 \exists y \in \mathbb{N}_{u}: L_{y}=\min _{z \in \mathbb{N}_{u}}\left\{L_{z}\right\}$
$08 \quad$ if $v \in \mathcal{P}_{u \leadsto r}$ then
$09 \quad L_{u}=L_{y}+1$
10 send REMOVE to $p(u)$
$11 \quad p(u)=y$
12 send $A D D$ to $p(u)$

$$
\mathcal{P}_{u \rightsquigarrow r}=\mathcal{P}_{y \rightsquigarrow r} \odot u
$$

else if $L_{u}>L_{y}+1$ then
$L_{u}=L_{y}+1$
send REMOVE to $p(u)$
$p(u)=y$
send $A D D$ to $p(u)$
$\mathcal{P}_{u \rightsquigarrow r}=\mathcal{P}_{y \rightsquigarrow r} \odot u$
endif
if $\left(\mathcal{P}_{u \leadsto r} \neq \mathcal{P}_{u \leadsto r}^{\prime}\right)$ then
$\forall w \in N(u)-\{p(u)\}$, send $\operatorname{Reset} \operatorname{Level}(v)$ to $w$ endif

ChangePathAction(v) or
upon receiving ChangePath $(v) \wedge \tau(u)=\mathbb{T}_{u}$
25 GetParamDFS()
$26 \mathbb{N}_{u}=\left\{x: x \in N(u) \wedge v \notin \mathcal{P}_{x \rightsquigarrow r}\right\}$
27 if $\mathbb{N}_{u}=\phi$ then
$28 \forall w \in N(u): p(w)=u$, send ChangePath(v) to $w$ 29 else
$30 \quad \mathcal{P}_{u \rightsquigarrow r r}^{\prime}=\mathcal{P}_{u \rightsquigarrow r}$
31 path $_{u}=\min _{\prec}\left\{\right.$ path $\left._{x} \odot \beta_{x}(u): x \in \mathbb{N}_{u}\right\}$
32 send REMOVE to $p(u)$
$p(u)=f\left(\right.$ path $\left._{u}\right)$
send $A D D$ to $p(u)$
$\mathcal{P}_{u \leadsto r}=\mathcal{P}_{p(u) \leadsto r} \odot u$
if $\left(\mathcal{P}_{u \rightsquigarrow r} \neq \mathcal{P}_{u \leadsto r}^{\prime}\right)$ then $\forall w \in N(u)-\{p(u)\}$, send ChangePath $(v)$ to $w$ endif
39 endif
40 upon receiving $R E M O V E$ from $w \rightarrow$
$41 \operatorname{Child}(u)=\operatorname{Child}(u)-\{w\}$
42 upon receiving $A D D$ from $w \rightarrow$
$43 \operatorname{Child}(u)=\operatorname{Child}(u) \cup\{w\}$

## Fault during Switching



- At any intermediate state during switching, there is a partial DFS tree and a partial BFS tree of the graph G
- TOKEN holding node may belong to either partial DFS or partial BFS
- A fault may occur in
- partial BFS tree
- partial DFS tree


## Case Study

- Suppose a node b belonging to partial DFS tree crashes.


## Case Study (contd.)

- Resultant structure after the crash of $\mathbf{b}$

- Note that node a, c, d have detected the crash of $\mathbf{b}$
- TOKEN is at $\mathbf{e}$
- Node a, c, d remove b from theirs' neighborhood


## Case Study (contd.)

- Node d execute DfsCrashAction(b)

- Node a may generate another TOKEN at a to restart switching at a
- Another switching due to TOKEN at e
- Node c, e, f may execute ChangePathAction(b)


## Case Study (contd.)

- Node ceventually changes it parent to
 a either by ChangePathAction(b) or due to fresh switching from a


## Case Study (contd.)

- Eventually d, e, f reassign theirs'
 parents as shown in figure due to ChangePath(b) messages.
- TOKENs at e may perish or may result in switching
- Overall, a correct DFS tree of G rooted at a results


## Crash of a Node in Partial BFS Tree

- If $\mathbf{f}$ crashes then each neighbor belonging to partial BFS tree should execute BfsCrashAction(f)
- Each neighbor belonging to partial BFS tree should execute ResetLevelAction(f) on receiving a ResetLevel(f) message


## Crash of TOKEN Holder



- What happens if TOKEN holding node e crashes?


## Crash of TOKEN Holder (contd.)

- Suppose the TOKEN holding node $\mathbf{e}$ crashes.
- TOKEN can be generated at any of $\mathbf{a}$, $\mathbf{b}, \mathbf{d}$ to continue the switching
- TOKEN is actually generated at the nearest ancestor $\mathbf{d}$


## Crash of TOKEN Holder (contd.)

- A fresh local DFS subtree formation starts at d
- Eventually a DFS tree rooted at a results.


## Special Case



- Suppose d is currently doing the local switching.
- d creahses, already covered
- Some member of $\operatorname{Cset}(\mathbf{d})$ crashes
- $\operatorname{Cset}(\mathrm{d})=\{\mathrm{c}, \mathrm{e}, \mathrm{f}\}$
- In this case the local switching is just restarted at d


## Fault-tolerant Actions for Switching from BFS to DFS

$\left(S_{1}\right)$ tokenVisited $(u) \wedge$ Crash $(v) \rightarrow$ DfsCrashAction $(v)$
$\left(S_{2}\right)$ tokenVisited $(u) \wedge$ received ChangePath $(v) \rightarrow$ ChangePathAction $(v)$
$\left(S_{3}\right) \neg$ tokenVisited $(u) \wedge C r a s h(v) \rightarrow B f s C r a s h A c t i o n(v)$
$\left(S_{4}\right) \neg$ tokenVisited $(u) \wedge$ received ResetLevel $(v) \rightarrow$ ResetLevelAction $(v)$
$\left(S_{5}\right) \neg$ tokenVisited $(u) \wedge$ received ChangePath $(v) \rightarrow$ ChangePathFlag $(u)=1 ; I D(u)=v$
$\left(S_{6}\right)$ tokenVisited $(u) \wedge$ ChangePathFlag $(u)=1 \rightarrow$ ChangePathFlag $(u)=0 ;$ ChangePathAction $(I D(u))$
$\left(S_{7}\right)$ tokenVisited $(u) \wedge \neg$ tokenHolder $(u) \wedge \operatorname{Crash}(v) \wedge \operatorname{tdir}(u)=v \wedge \operatorname{tdir}(u) \neq p(u) \rightarrow$ tokenHolder $(u)=\operatorname{true}$ tokenVisited $(u)=$ false $\forall w \in \operatorname{CSet}, \operatorname{Reset}(w)$
$\left(S_{8}\right)$ tokenVisited $(u) \wedge$ tokenHolder $(u) \wedge \operatorname{Crash}(v) \wedge v \in \operatorname{CSet}(u) \rightarrow$ tokenVisited $(u)=$ false $\forall w \in \operatorname{CSet}, \operatorname{Reset}(w)$

## Properties

* Under arbitrary crash failures, the BFS to DFS switching algorithm eventually terminates with a DFS tree as the broadcast topology. No specific broadcast delivery guarantee in this case.


## Broadcast Properties under Single Crash

## Fault

- Under single crash fault, each broadcast message having timestamp less than or equal to $\Upsilon$ is eventually correctly delivered to all the non-faulty nodes where
$\Upsilon=\min \left\{\mathbb{T}_{u_{1}}, \mathbb{T}_{u_{2}}, \ldots, \mathbb{T}_{u_{m}}\right\} \quad$ and $\quad \mathbb{T}_{u_{s}} \quad$ is the timestamp of the last message received by $u_{i}$ before it detects the crash of $v$.


## Broadcast Properties under Single Crash Fault (contd.)

- Under single crash failure, each message broadcast by the single source $r$ after the system reaches a state of $Z$ is eventually correctly delivered to all the nodes where Z is the set of states of the system where any node $w \in V$ does not change $p(w)$ anymore due to receipt of ChangePath(v) or ResetLevel(v) message, but $w$ may change $p(w)$ due to the receipt of an LDFS messages.

Adaptive Broadcast by switching from a DFS tree to a BFS tree

## DFS to BFS switching

- Non-fault-tolerant algorithm for dynamic switching from a DFS tree to a BFS tree
- Fault-tolerant algorithm for dynamic switching from a DFS tree to a BFS tree

Approach is similar to BFS to DFS case but algorithms are different

## Adaptive broadcast by Self-Stabilizing Spanning Tree Switching

## Self-Stabilization

- Automatic handling of transient failure in a distributed environment
- Convergence: a system, in an illegitimate state, eventually reaches a legitimate state
- Closure: once in a legitimate state, the system remains in some legitimate state until further failure


## State Transition


stabilization

## Dijkstra's Self-stabilization in a Ring

- Data may become corrupted
- Code is not corrupted
- Problem: Given a unidirectional ring, design a scheme so that exactly one node has the privilege eventually in spite of arbitrary initial state
- Safety: Number of nodes with enabled guard is exactly one
- Liveness: Each node gets its guard enabled infinitely often


## Pseudocode

$$
\mathrm{S}[\mathrm{o}]=\mathrm{S}[\mathrm{n}-1]->\mathrm{S}[\mathrm{o}]=\mathrm{S}[\mathrm{o}]+1 \bmod \mathrm{k} \quad / / \text { process } \mathrm{o}
$$

$\mathrm{S}[\mathrm{i}] \neq \mathrm{S}[\mathrm{i}-1] \quad-\mathrm{S}[\mathrm{i}]=\mathrm{S}[\mathrm{i}-1] \quad / /$ process $\mathrm{i} \neq \mathrm{O}$

## Self-Stabilizing Spanning Tree Switching

- A self-stabilizing distributed algorithm for dynamic switching between arbitrary trees T and T '
- Under no failure, each broadcast message is correctly delivered to all the nodes, in spite of switching
- Under arbitrary failure, switching eventually completes with the desired tree as output
- Investigate the broadcast properties under single transient failure


## System Model

- System as connected graph $G=(\mathrm{V}, \mathrm{E})$
- Shared memory model; each node has unique ID
- Each node reads from 2-hop neighborhood but writes only in local memory (relaxed later)
- Local FIFO buffer $\mathrm{B}_{\mathrm{i}}$ for broadcast
- Transient failure
- Both T and T' are pre-computed (relaxed later)


## Definitions

- $\mathrm{N}_{\mathrm{i}}$ is the neighborhood of node i
- $b_{i}$ as protocol variable
- $\mathrm{T}_{\mathrm{M}}^{\mathrm{i}}$ as message timestamp
- $\max \left(B_{i}\right)$ denote index of last message placed in $B_{i}$
- $\operatorname{read}\left(B_{j}, n\right)$ function executed by i


## Definitions (contd.)

- $\mathrm{p}_{\mathrm{i}}$ is the parent of node i in $\mathbf{T}$
- $\mathrm{C}_{\mathrm{i}}$ is the children of i in $\mathbf{T}$


## Illustration of Broadcast



## Illustration of Broadcast (contd.)



## Illustration of Broadcast (contd.)



## Illustration of Broadcast (contd.)



## Self-Stabilizing Switching from T to T'

- $b_{i}=0 \rightarrow i$ uses $T$ for broadcast
- $b_{i}=1 \rightarrow i$ uses T' for broadcast
- At $\mathbf{r}, \mathrm{b}_{\mathrm{i}}=f(\mathrm{~L})$ where L is load of the network monitored by T or T '
- Let $p\left(b_{i}\right)$ denote the parent of $i$ as per the current value of $b_{i}$


## Switching (contd.)



Illustrating a situation when a node $w$ is about to switch

## Switching (contd.)

- Suppose $r$ is ready to switch and has two messages 1,2 in buffer.

- $r$ is not allowed to switch until its all neighbors has read those messages


## Switching (contd.)

- Now $r$ has switched. Node $a, b$ are ready to switch.
- $a, b$ are not allowed to switch until its theirs' neighbors have read those messages



## Switching (contd.)

- Let $U(i) \equiv b_{i} \neq b_{p_{i}}$
- Let $X(i) \equiv U(i) \wedge\left[\left(\forall j \in C_{i}\right) \neg U(j)\right] \wedge \neg U\left(p_{i}\right)$
- Let $Y(i) \equiv\left(\forall j \in N_{i}\right)\left(\mathrm{T}_{\mathrm{M}}^{\mathrm{j}} \geq \mathrm{T}_{\mathrm{M}}^{\mathrm{i}}\right)$


## Switching Protocol

$\left(S_{1}\right) \quad X(r) \wedge Y(r) \rightarrow b_{r}=f(L)$
$\left(S_{2}\right) \quad X(i) \wedge Y(i) \rightarrow b_{i}=b_{p_{i}}$
$\left(S_{3}\right) \quad \neg X(r) \wedge b_{r} \neq f(L) \rightarrow b_{r}=f(L)$
$\left(S_{4}\right) \quad \neg X(i) \wedge U(i) \wedge \neg U\left(p_{i}\right) \rightarrow b_{i}=b_{p_{i}}$
$\left(S_{5}\right) \quad \neg U(i) \wedge T_{M}^{i} \neq \max \left(B_{i}\right) \rightarrow T_{M}^{i}=\max \left(B_{i}\right)$
$\left(S_{6}\right) \quad \neg U(i) \wedge\left(\forall j \in N_{i}\right)\left(b_{j}=b_{i}\right) \wedge T_{M}^{i}=\max \left(B_{i}\right)$

$$
\wedge T_{M}^{p\left(b_{i}\right)}=\max \left(B_{p\left(b_{i}\right)}\right) \wedge\left(T_{M}^{i}<T_{M}^{p\left(b_{i}\right)}\right)
$$

$$
\rightarrow \operatorname{read}\left(B_{p\left(b_{i}\right)}, T_{M}^{p\left(b_{i}\right)}-T_{M}^{i}\right)
$$

## Legitimate State

- $U(i)=$ false at each node $i$
- $Y(i)=$ true at each node $i$


## Properties

- Under no failure, each broadcast message $m$ is eventually correctly read by all the nodes
- Under arbitrary transient failure, switching eventually terminates and each node eventually uses either T and T '


## Important Parameters for Single Transient

 Fault- $\mathbf{T}_{s}$ is the time when the faulty behavior of a node starts
- $\mathbf{T}_{\text {ss }}$ is the time when the faulty behavior of a node stops
- $\mathbf{T}_{\mathbf{r}}$ is the time when a node recovers from its faulty behavior (i.e. legitimate state is reached)


## Properties

- Under single transient failure, each broadcast message $m$ read by each child of the faulty node $i$ before time $\mathrm{T}_{\mathrm{s}}$ is eventually correctly read by all the non-faulty nodes
- Under single transient failure, each broadcast message $m$ that has not yet been read by the faulty node $i$ before time $\mathrm{T}_{\text {ss }}$ is eventually correctly read by all the nodes


## Self-Stabilizing Switching with SelfStabilizing T and $\mathrm{T}^{\prime}$

- What happens if T and T' are not pre-computed but obtained by some self-stabilizing algorithm
- A general self-stabilizing algorithm is given for dynamic switching between T and T '


## Properties

- From any arbitrary state the algorithm eventually terminates.
- On termination the system uses either $\mathbf{T}$ or $\mathrm{T}^{\prime}$ for broadcast.


## Consensus

- When to switch
- How to agree on that
- Every node proposes a value (0/1)
- The problem is to agree on a particular value
- It is fault that makes it challenging


## Fundamental Properties of Consensus

- Termination: every non-faulty process must eventually decide
- Agreement: the final value decided by every nonfaulty process must be same
- Validity: if every non-faulty process starts with a value $\mathbf{v}$ then the final decision must be $\mathbf{v}$
[Note: no one knows who is behaving bad, but it is known that some are bad]


## Consensus in asynchronous system

- Impossibility result by FLP (JACM 1985, 32(2))
- Concept of Failure Detectors for asynchronous consensus


## Consensus in synchronous system

- Byzantine Genrals Problem
- Result1: If simple message passing is used then there is no solution to the byzantine generals problem with 3 generals out of whom 1 is traitor
- Result2: There exist a solution for 4 generals out of whom 1 is traitor
- General solution with $\mathbf{n}>=\mathbf{3 f + 1}$


## Future Work

- Distributed switching in time-varying networks
- Designing multiple initiator based distributed switching algorithms


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