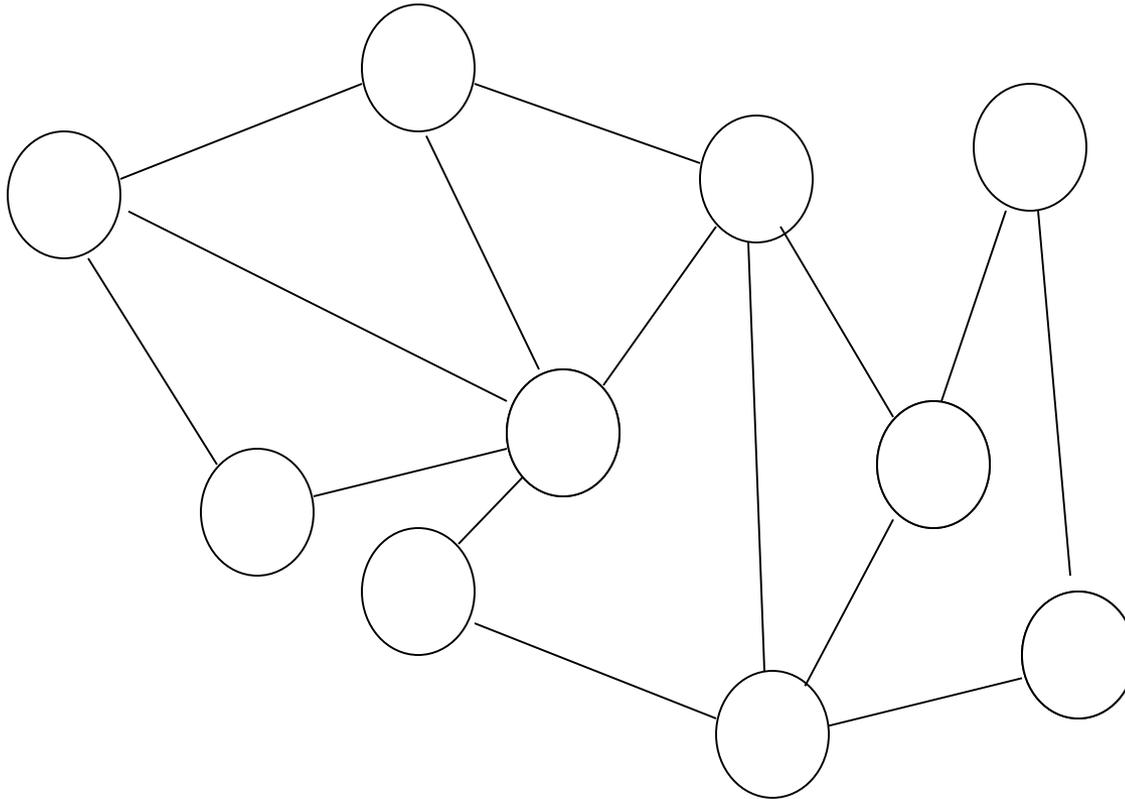


GIAN Course on Distributed Network Algorithms

# Spanning Tree Constructions

# Spanning Trees

**Attractive „infrastructure“: sparse subgraph („loop-free backbone“) connecting all nodes. E.g., cheap flooding.**

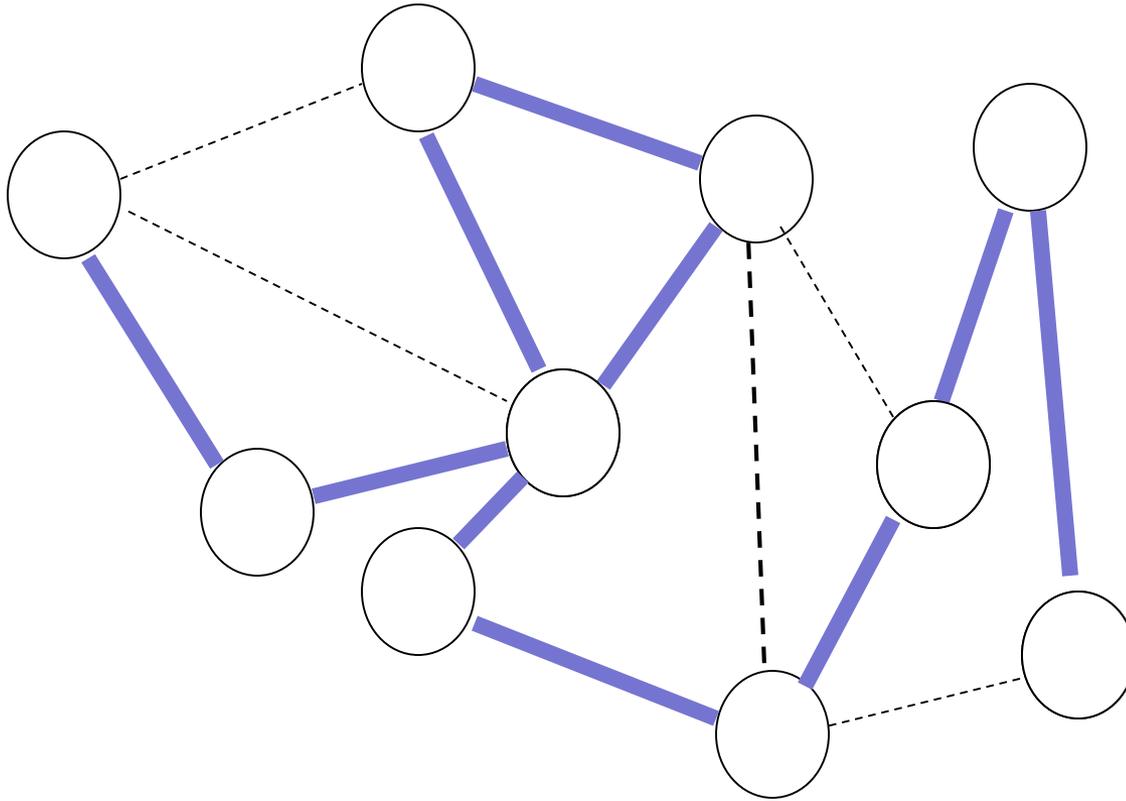


## Spanning Tree

**Cycle-free subgraph spanning all nodes.**

# Spanning Trees

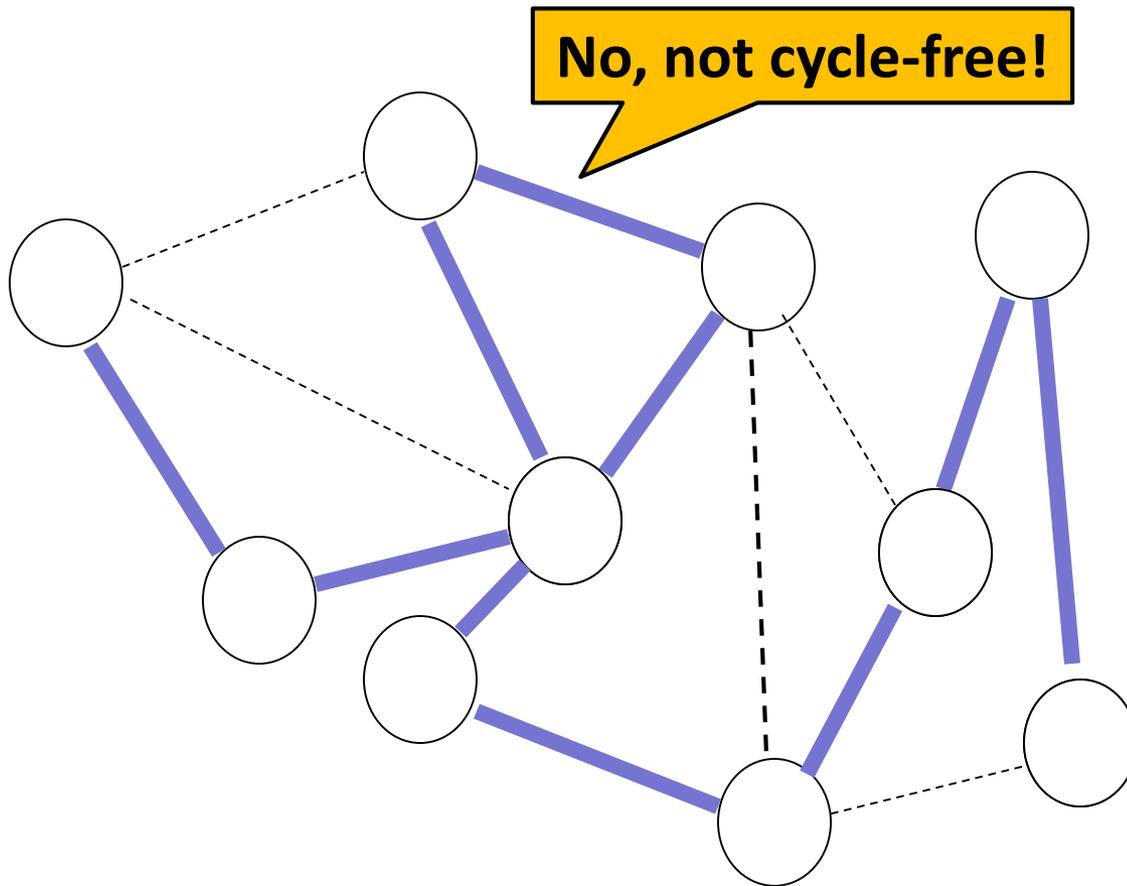
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Is this a spanning tree?

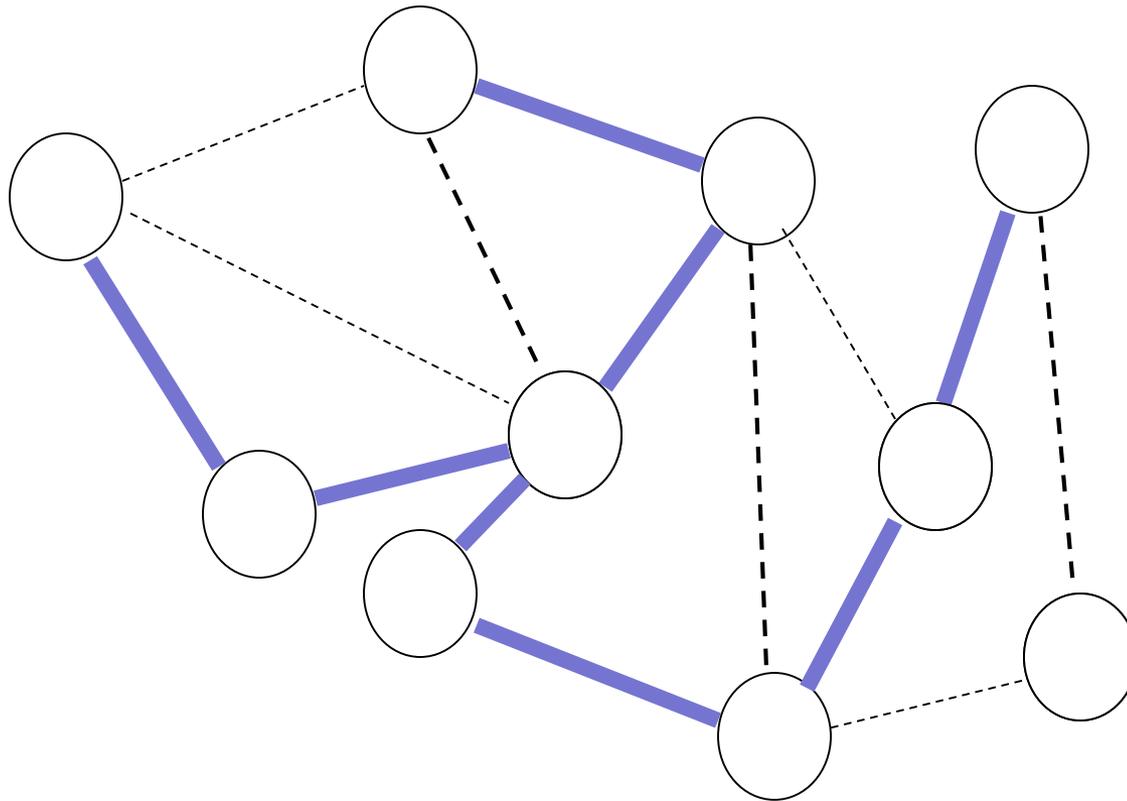
# Spanning Trees

---



# Spanning Trees

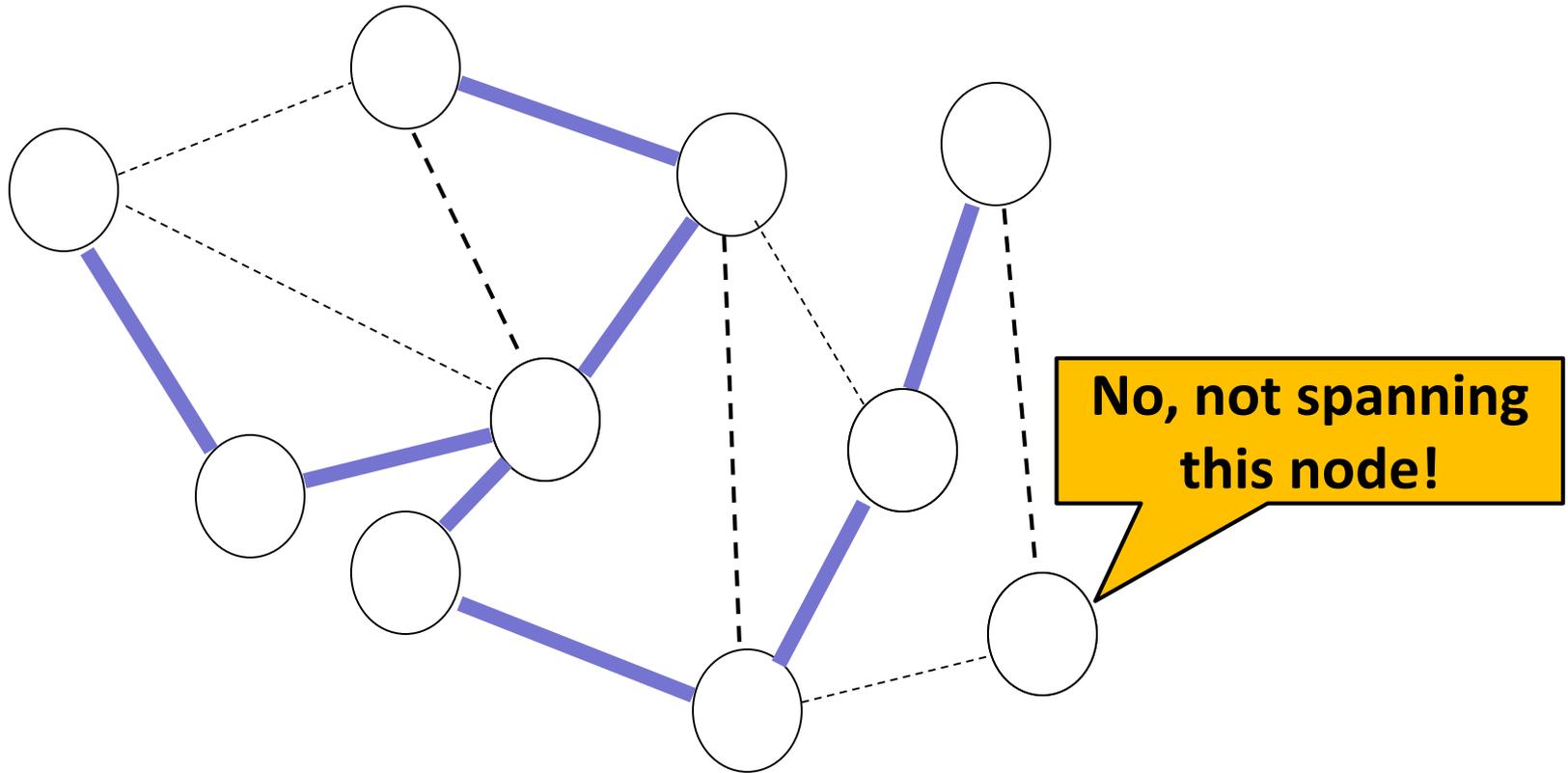
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Is this a spanning tree?

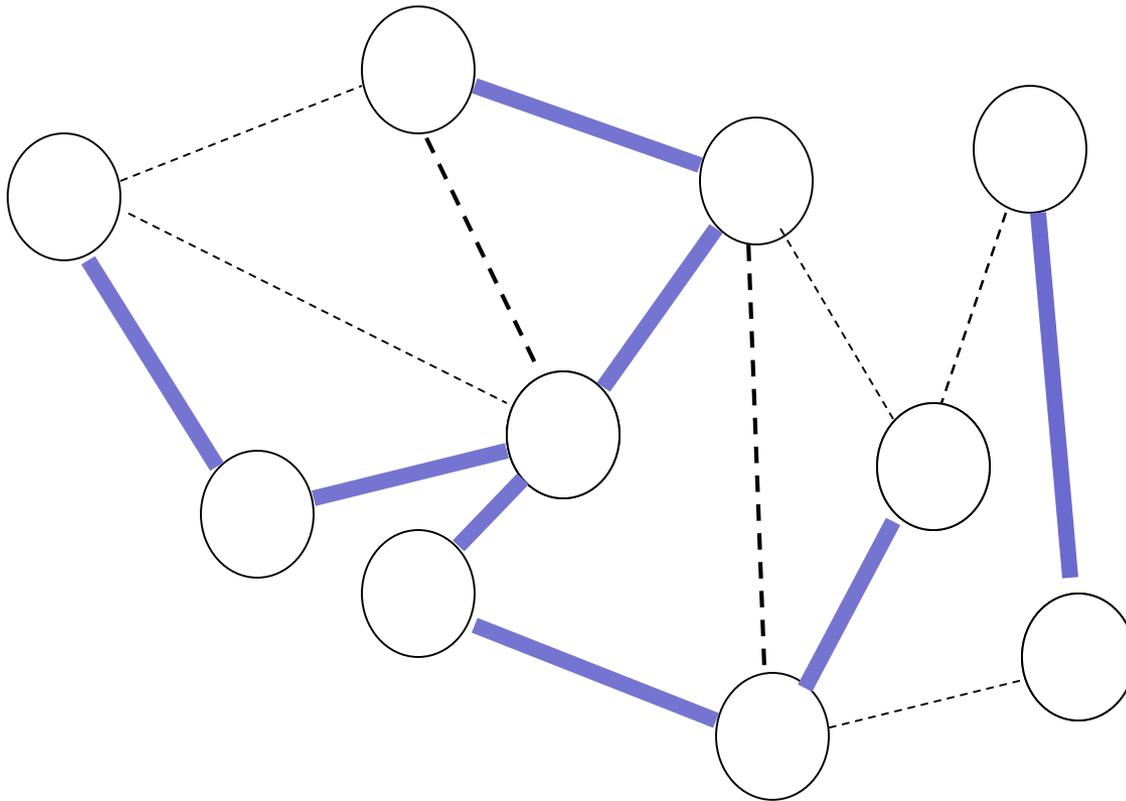
# Spanning Trees

---



# Spanning Trees

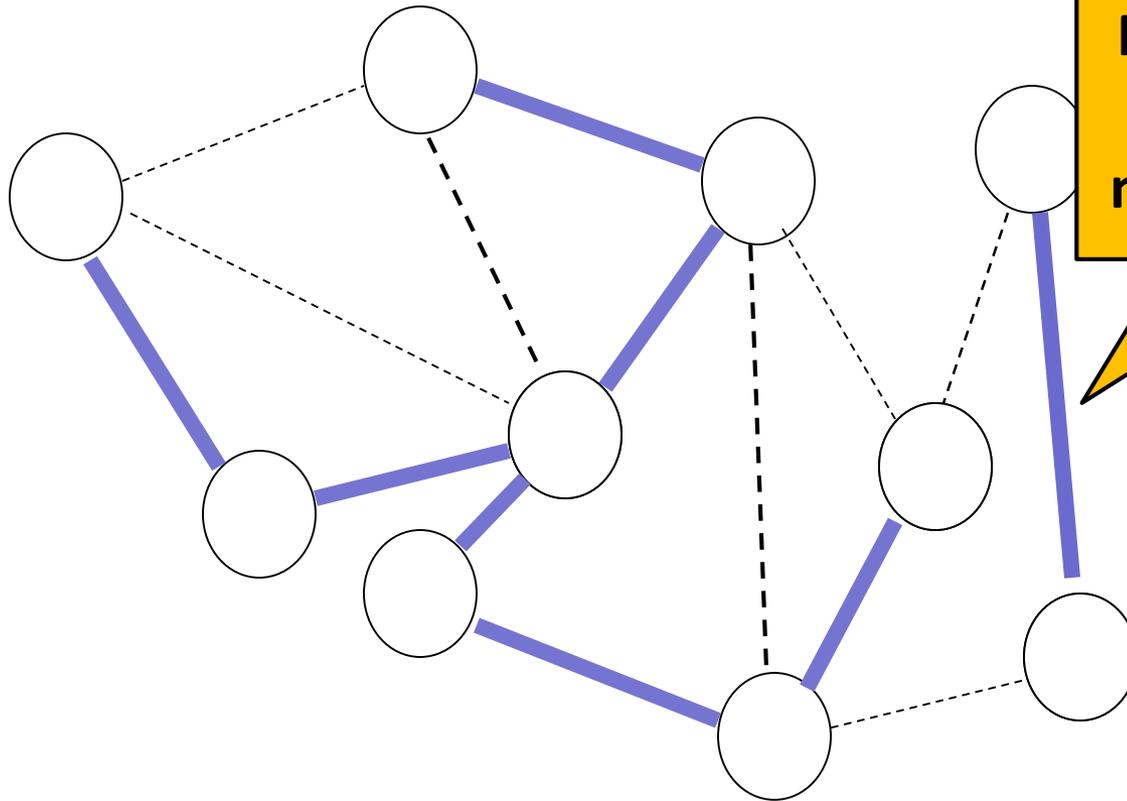
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Is this a spanning tree?

# Spanning Trees

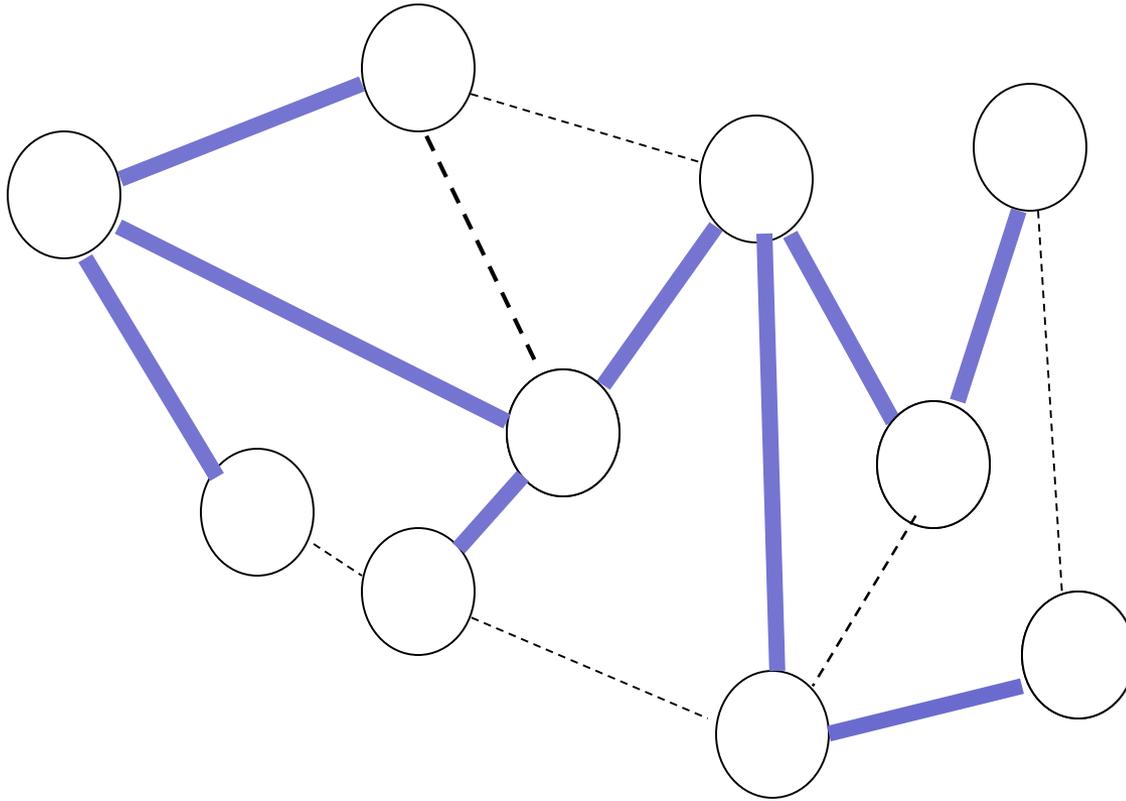
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**No, disconnected:  
spanning forest,  
not spanning tree!**

# Spanning Trees

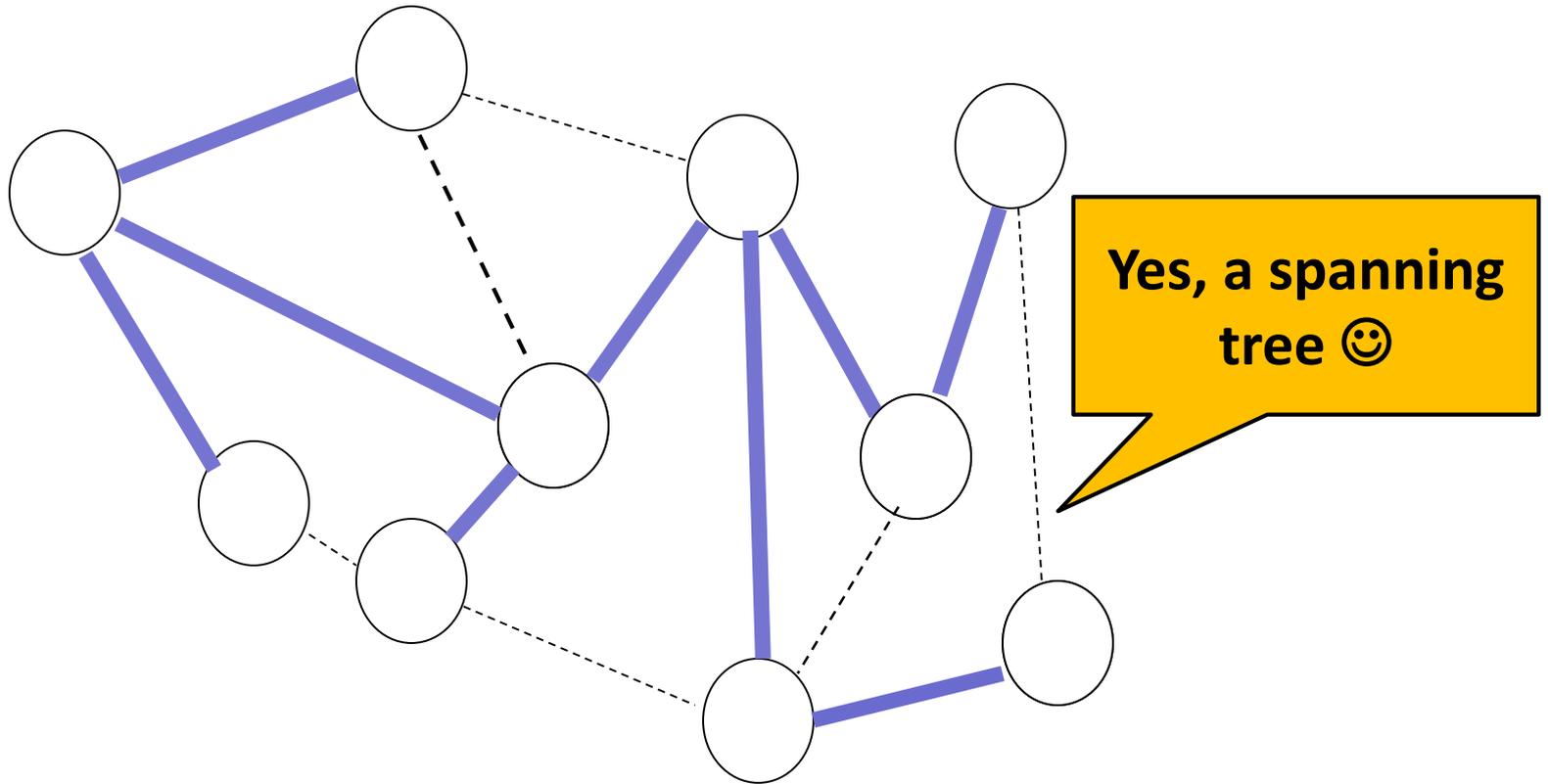
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Is this a spanning tree?

# Spanning Trees

---



# Applications

## Efficient Broadcast and Aggregation



- ❑ Used in Ethernet network to avoid Layer-2 forwarding loops: Spanning Tree Protocol
- ❑ In ad-hoc networks: efficient backbone: broadcast and aggregate data using a linear number of transmissions

## Algebraic Gossip



- ❑ Disseminating multiple messages in large communication network
- ❑ Random communication pattern with neighbors
- ❑ Gossip: based on local interactions

**ConvergeCast: a fundamental network service. Efficient with spanning trees!**

## Efficient Broadcast and Aggregation



- ❑ Used in Ethernet network to avoid Layer-2 forwarding loops: Spanning Tree Protocol
- ❑ In ad-hoc networks: efficient backbone: broadcast and aggregate data using a linear number of transmissions

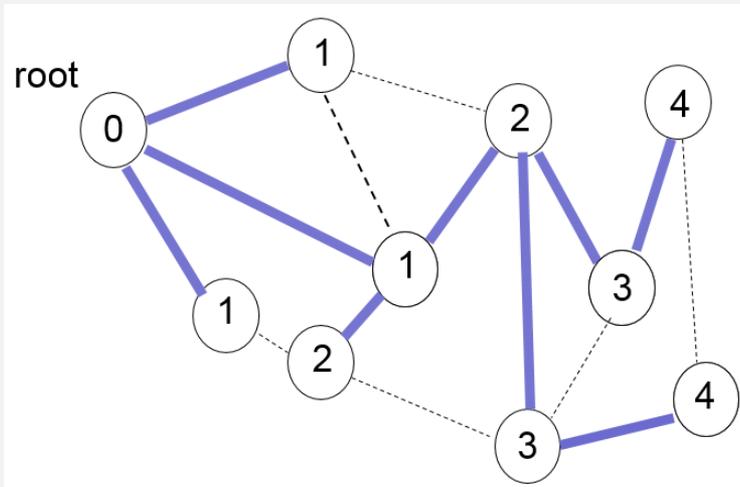
## Algebraic Gossip



- ❑ Disseminating multiple messages in large communication network
- ❑ Random communication pattern with neighbors
- ❑ Gossip: based on local interactions

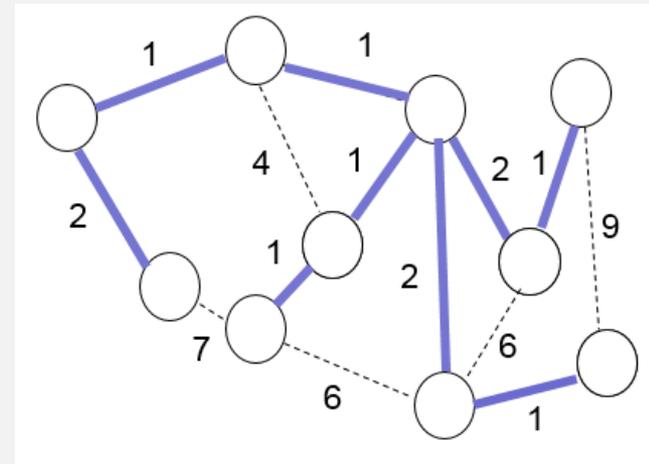
# Types of Spanning Trees

## BFS



- ❑ a.k.a. **shortest distance spanning tree** (may also be weighted)
- ❑ Spanning tree includes shortest paths from **a given root** to all nodes
- ❑ Interesting e.g. for fast broadcast

## MST

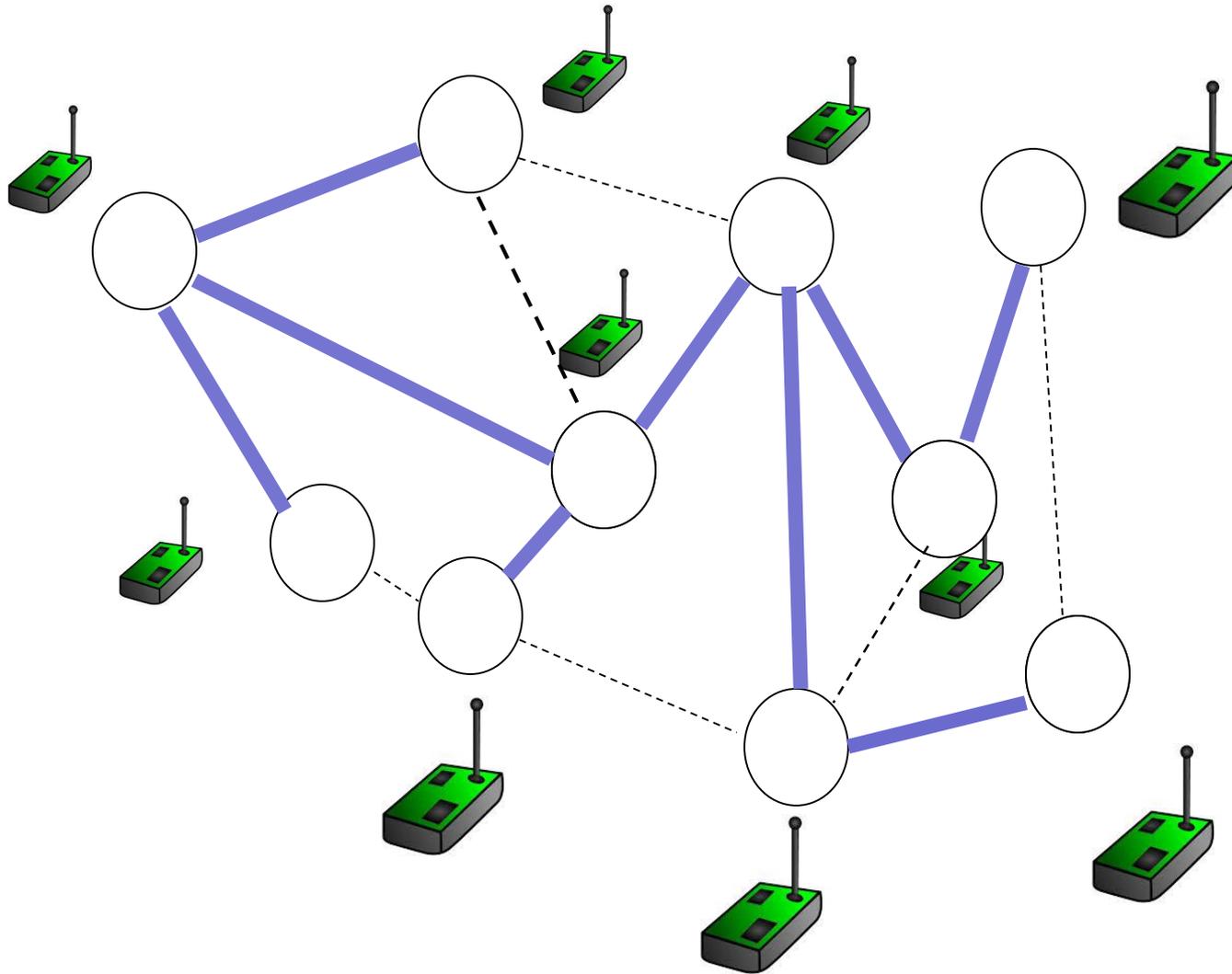


- ❑ Minimum link cost spanning tree
- ❑ Interesting, e.g., for least routing cost or energy cost

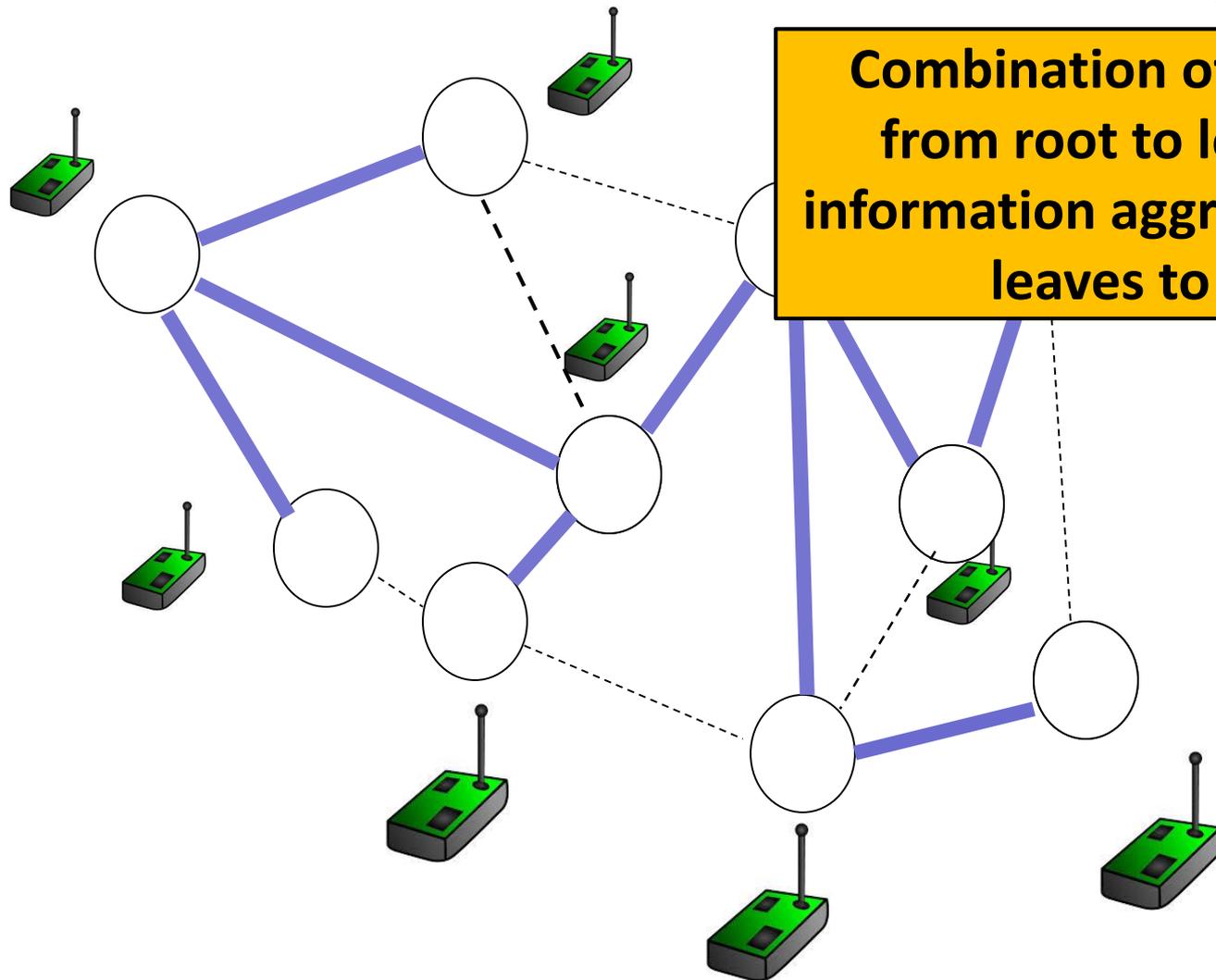
**How to compute a spanning tree in the LOCAL model?**

# Benefits from Spanning Tree: Fundamental ConvergeCast

---

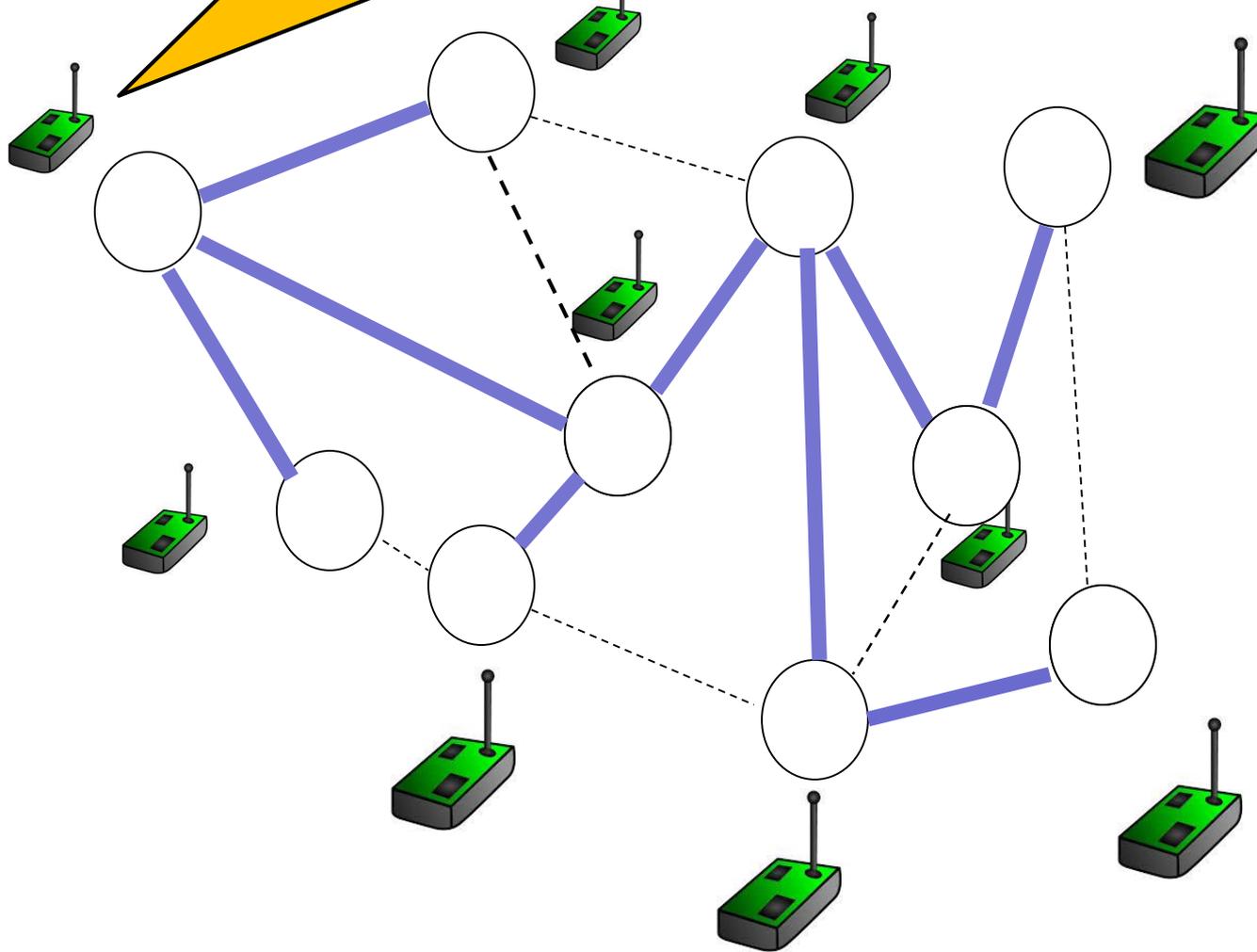


# Benefits from Spanning Tree: Fundamental ConvergeCast



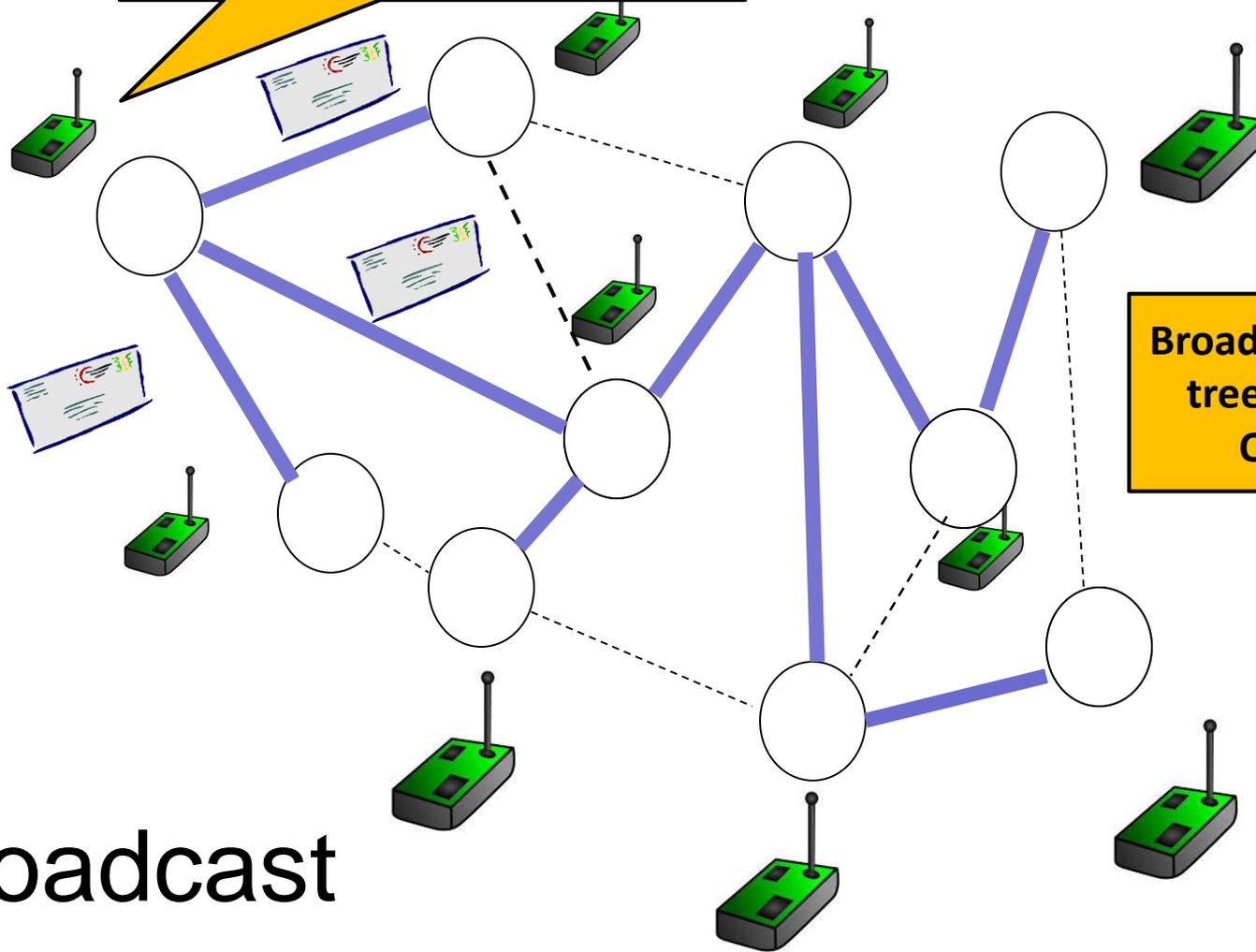
# Benefits from Spanning Tree: Fundamental ConvergeCast

**„Want to know average temperature!“**



# Benefits from Spanning Tree: Fundamental ConvergeCast

„Want to know average temperature!“

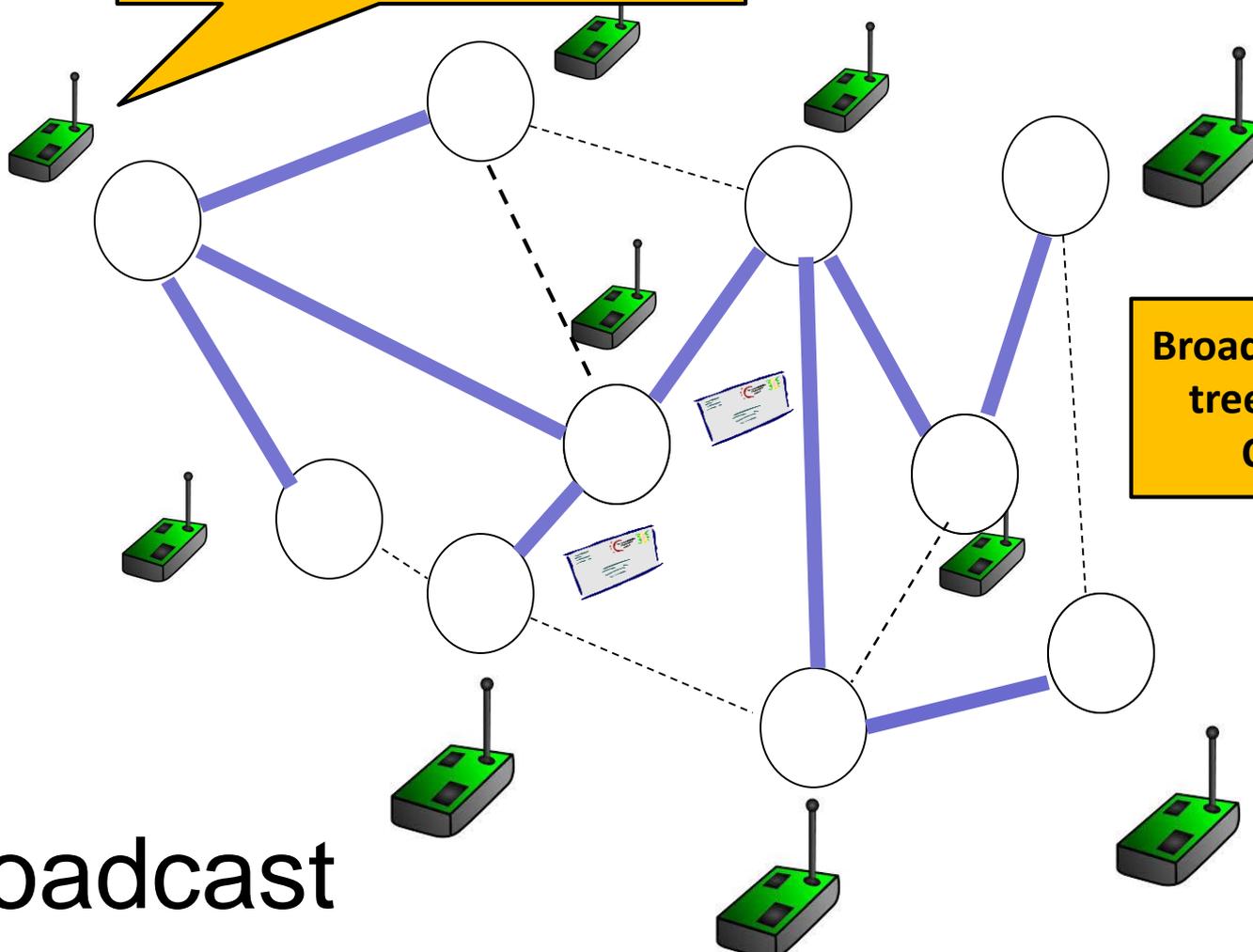


Broadcast along spanning tree:  $O(n)$  rather than  $O(m)$  messages!

Broadcast  
Round 1

# Benefits from Spanning Tree: Fundamental ConvergeCast

„Want to know average temperature!“

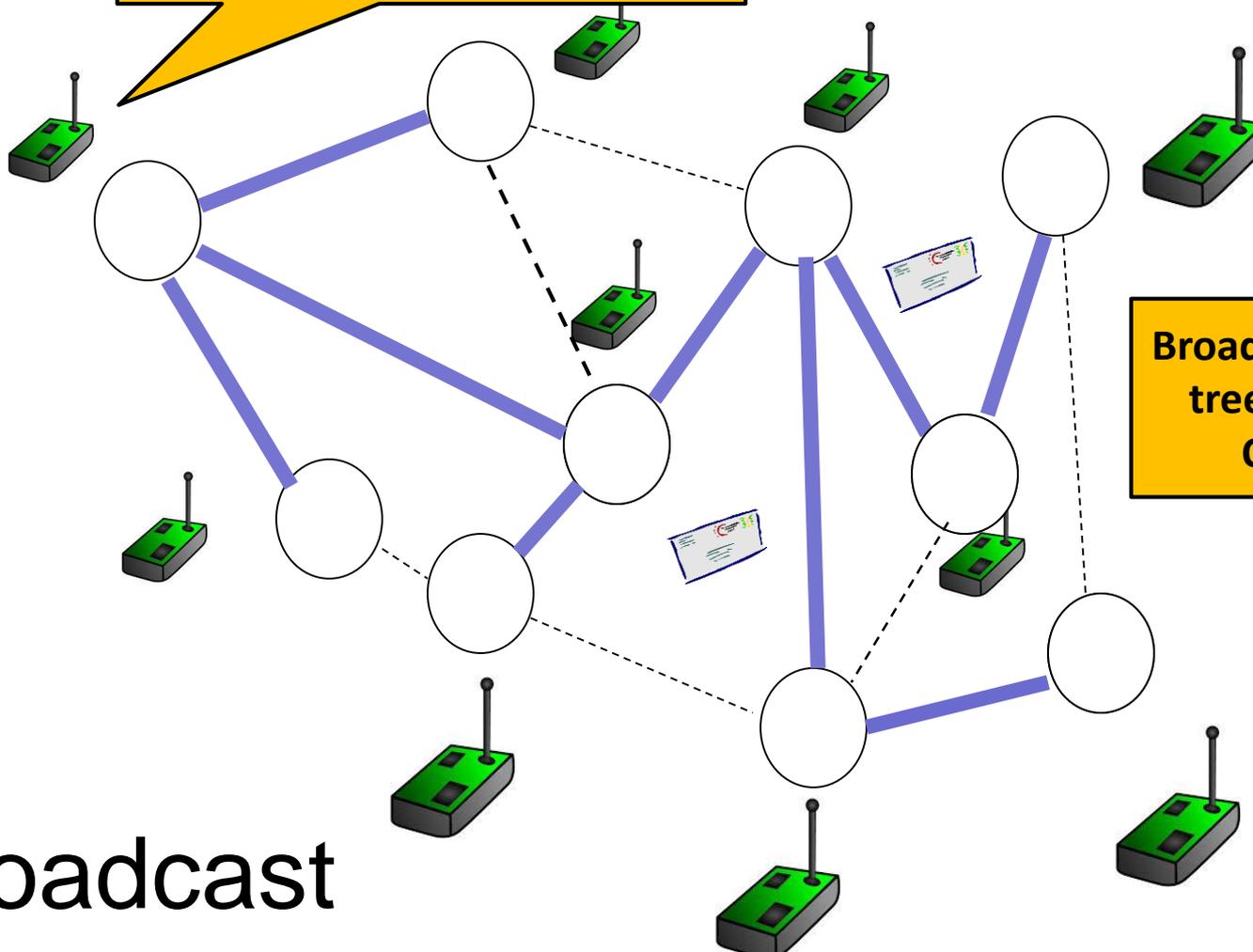


Broadcast along spanning tree:  $O(n)$  rather than  $O(m)$  messages!

Broadcast  
Round 2

# Benefits from Spanning Tree: Fundamental ConvergeCast

„Want to know average temperature!“

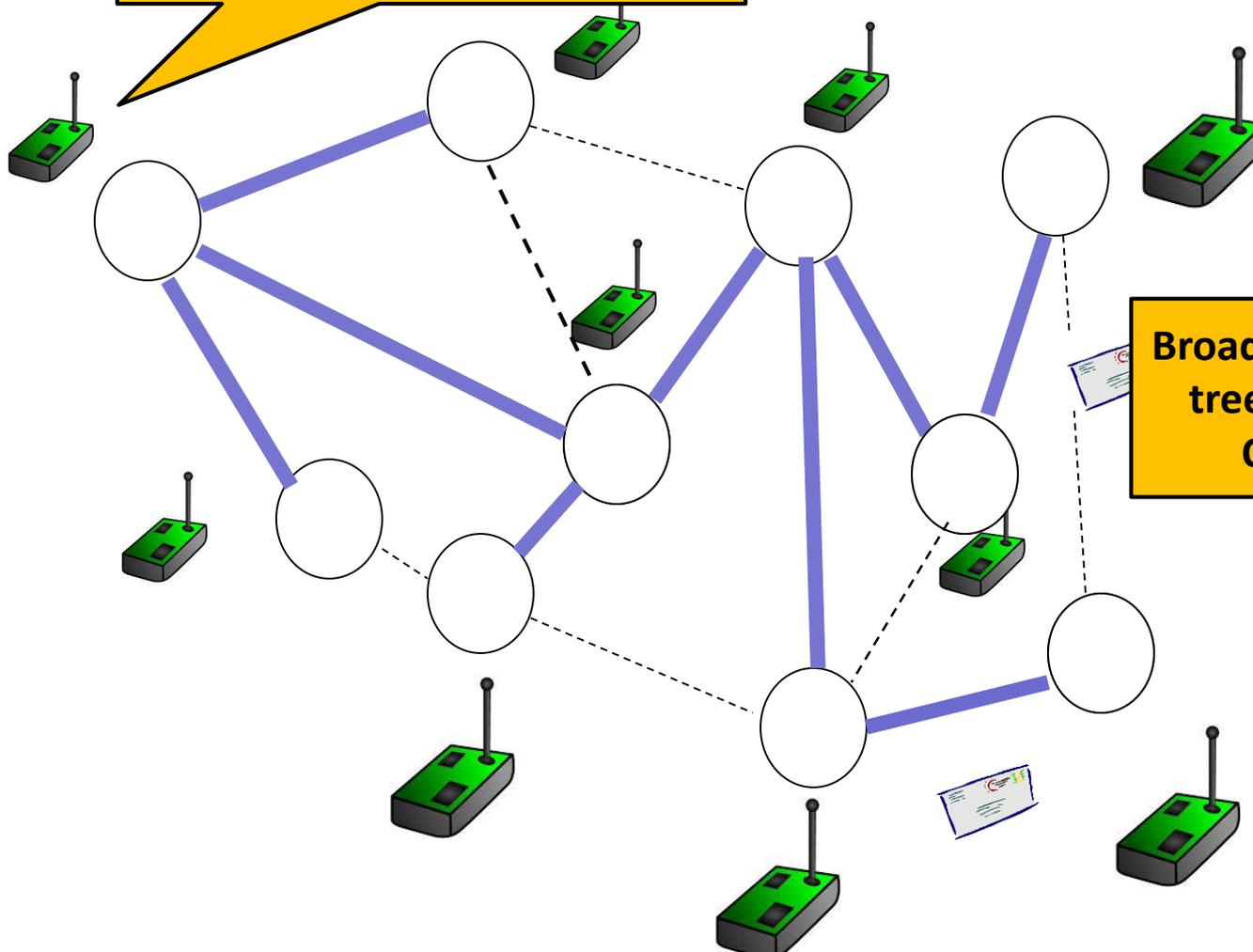


Broadcast along spanning tree:  $O(n)$  rather than  $O(m)$  messages!

Broadcast  
Round 3

# Benefits from Spanning Tree: Fundamental ConvergeCast

„Want to know average temperature!“

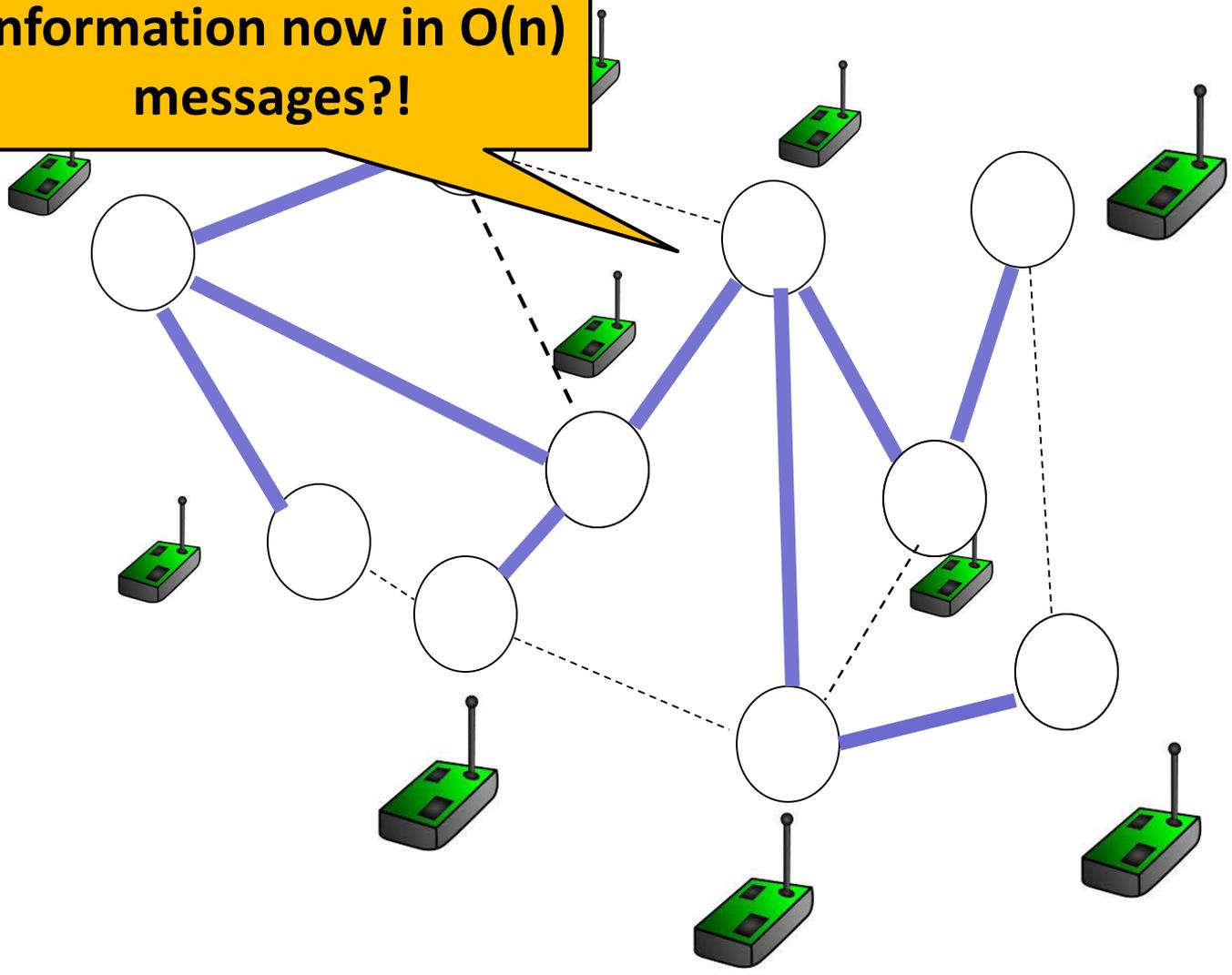


Broadcast along spanning tree:  $O(n)$  rather than  $O(m)$  messages!

$O(n)$  messages for broadcast!

# Benefits from Spanning Tree: Fundamental ConvergeCast

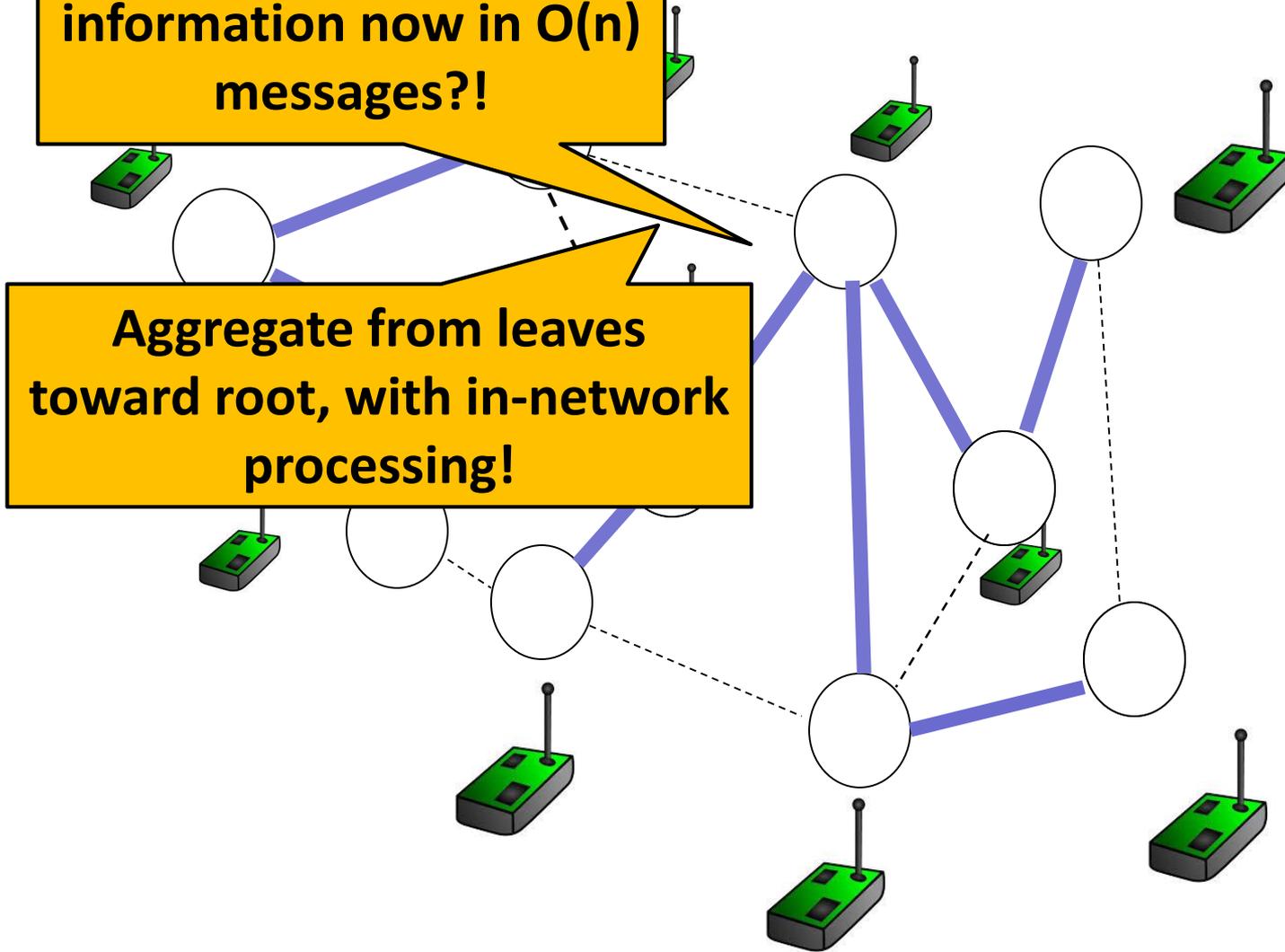
**But how to aggregate information now in  $O(n)$  messages?!**



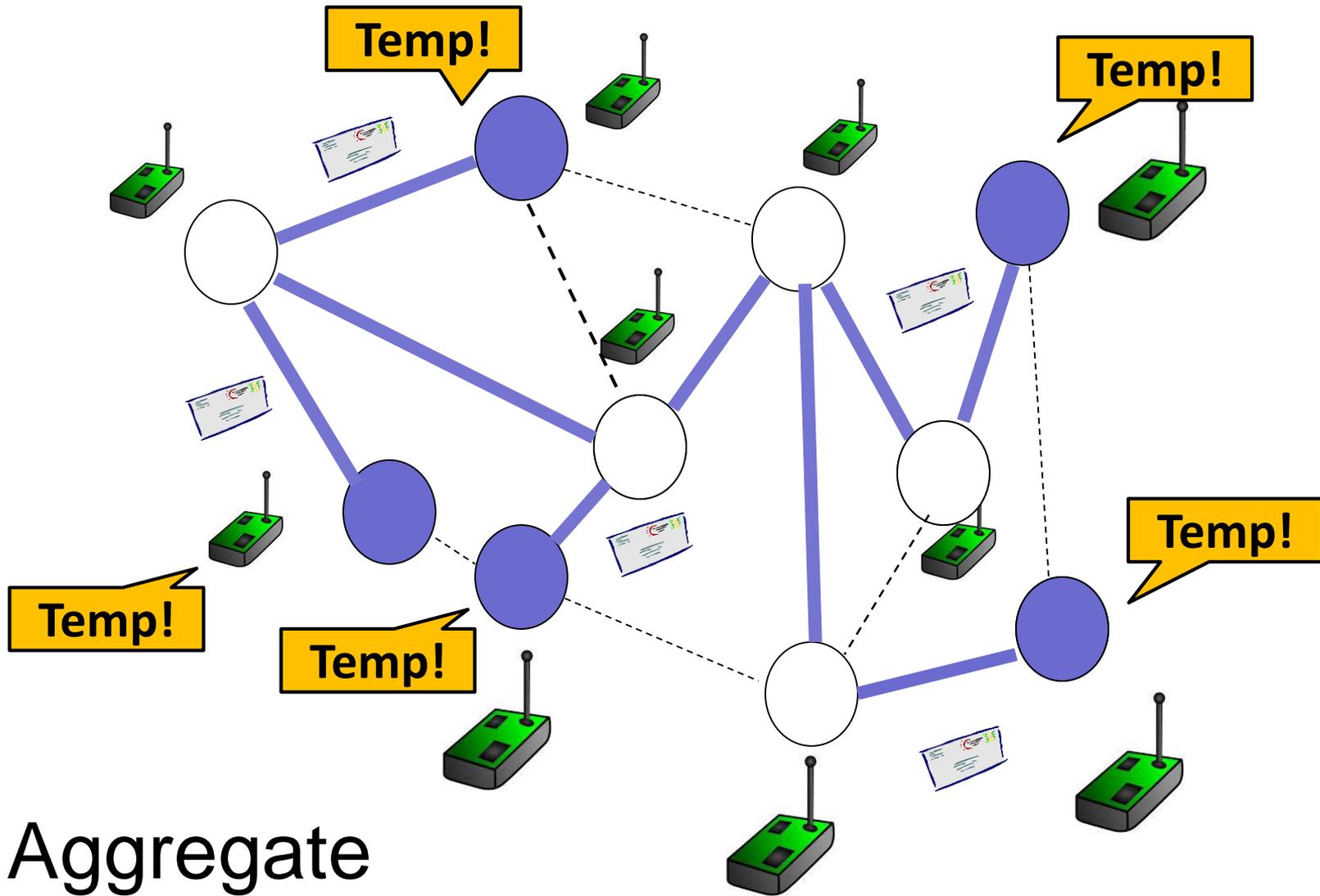
# Benefits from Spanning Tree: Fundamental ConvergeCast

**But how to aggregate information now in  $O(n)$  messages?!**

**Aggregate from leaves toward root, with in-network processing!**

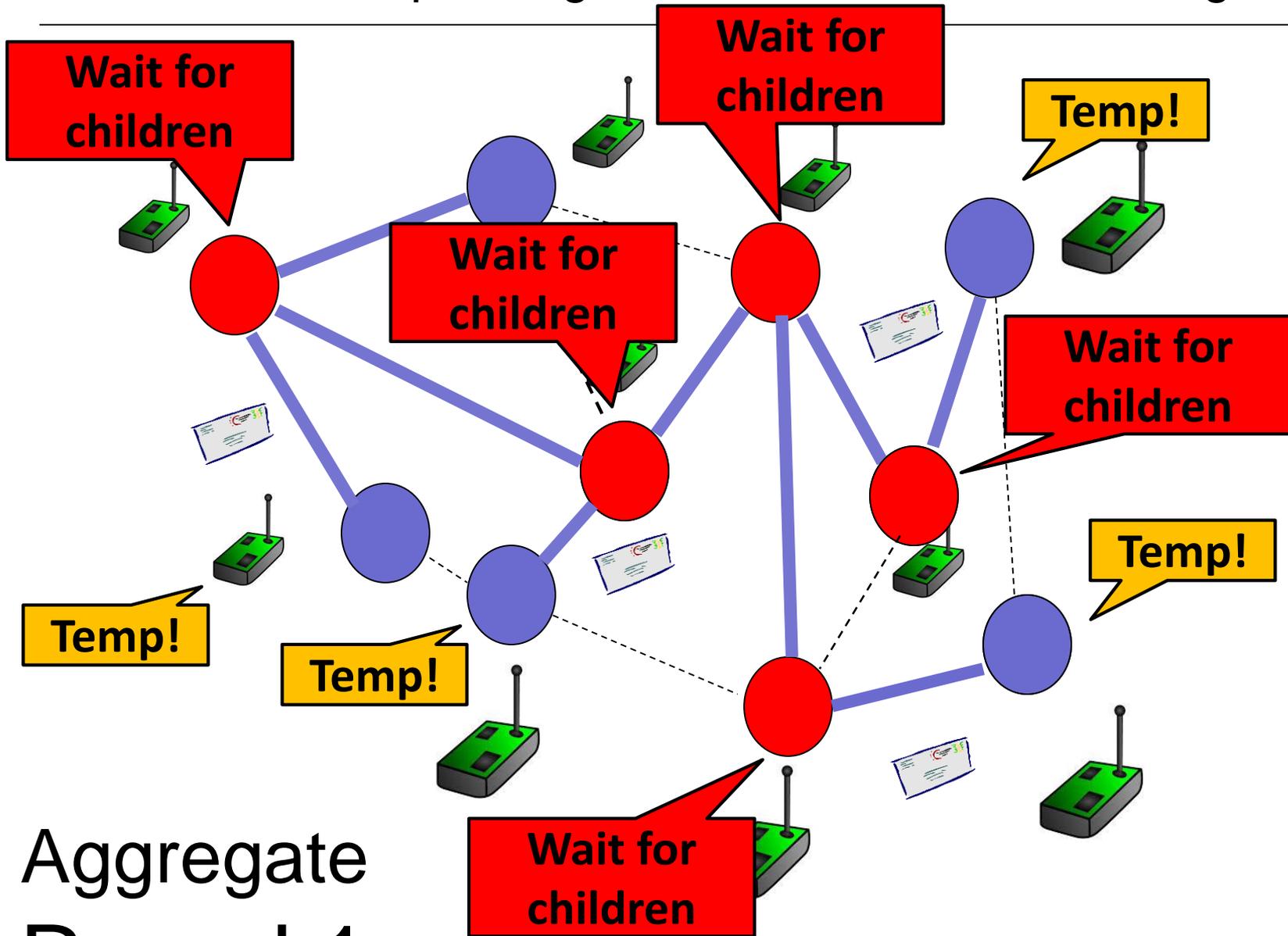


# Benefits from Spanning Tree: Fundamental ConvergeCast



Aggregate  
Round 1

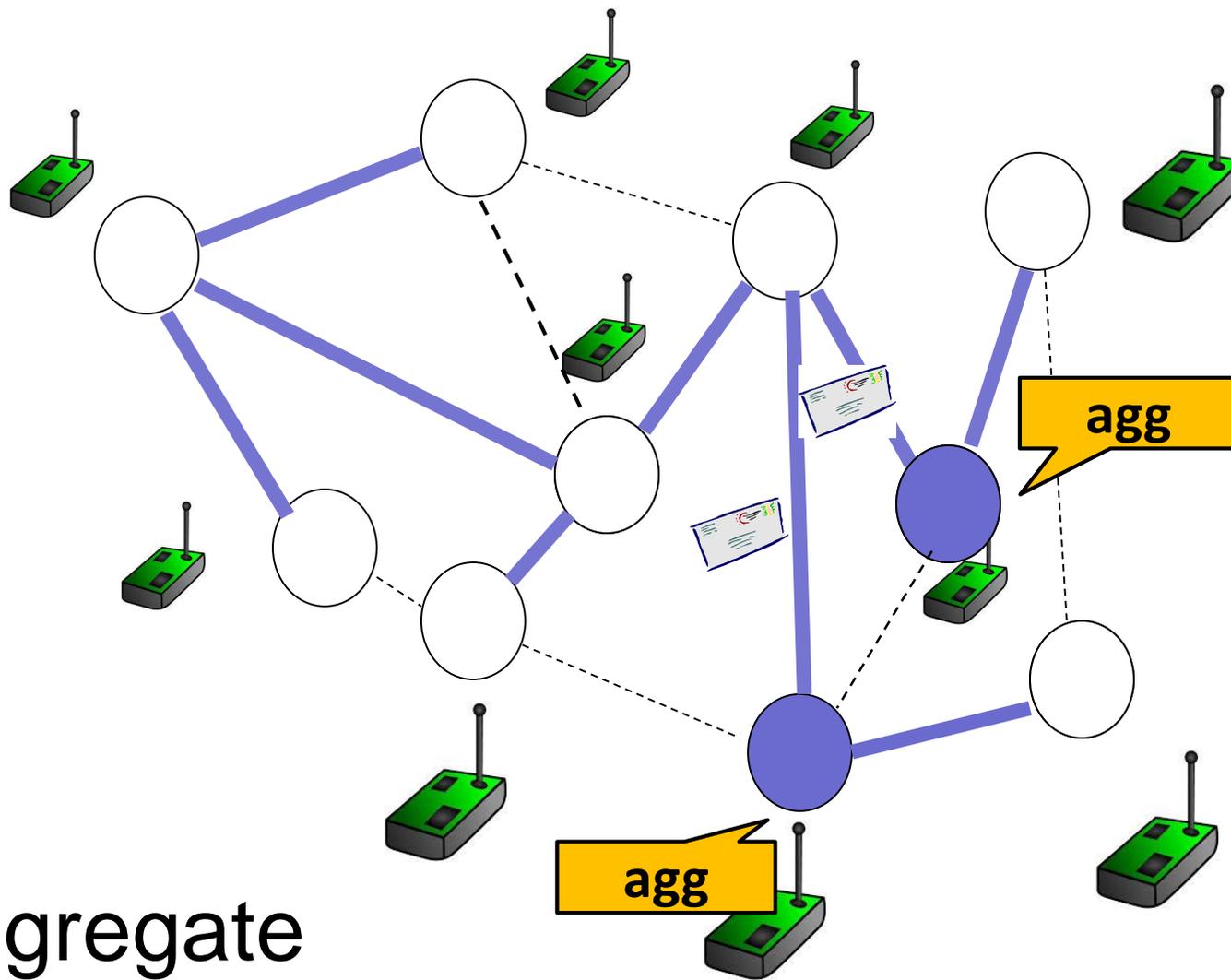
# Benefits from Spanning Tree: Fundamental ConvergeCast



Aggregate  
Round 1



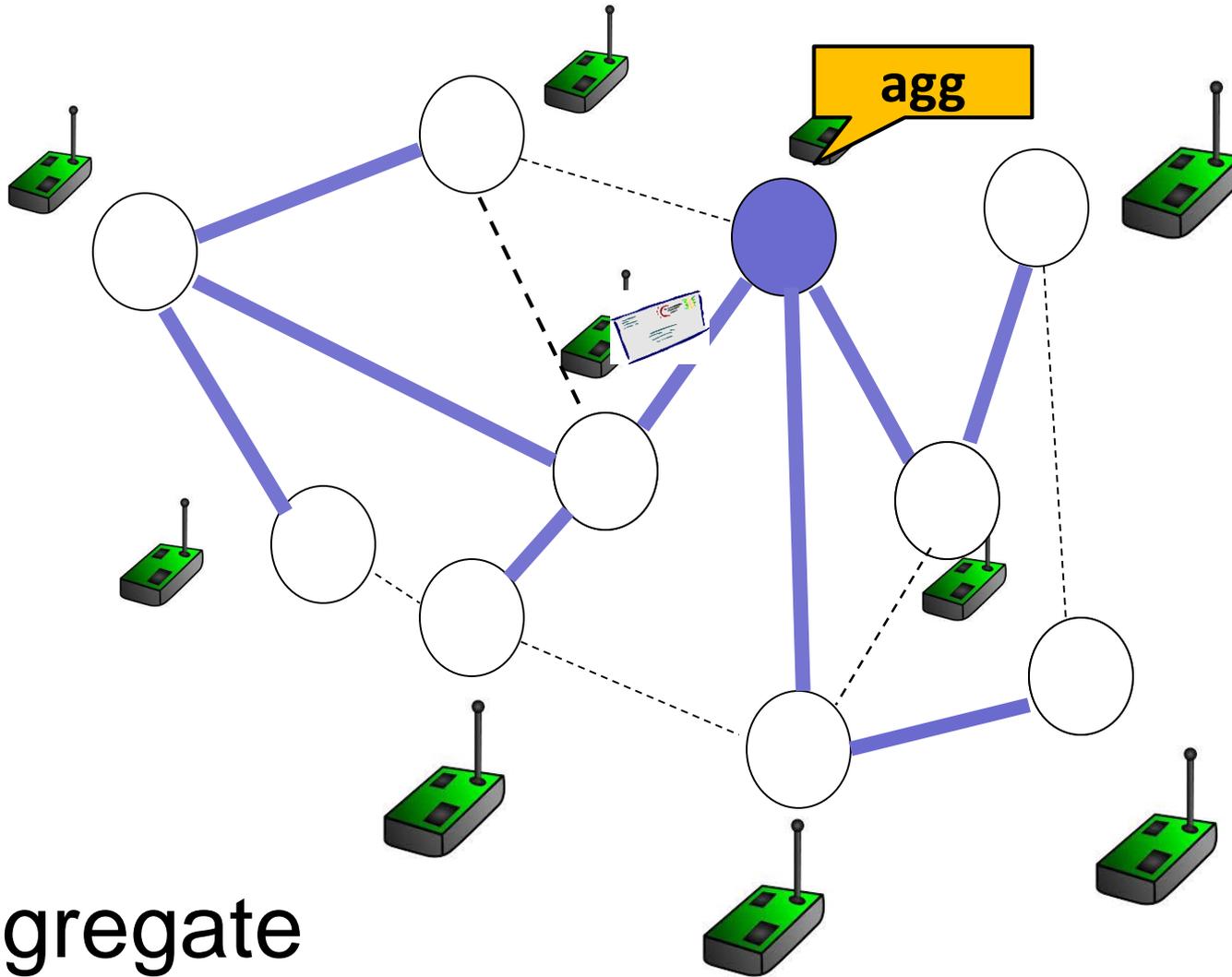
# Benefits from Spanning Tree: Fundamental ConvergeCast



Aggregate  
Round 2

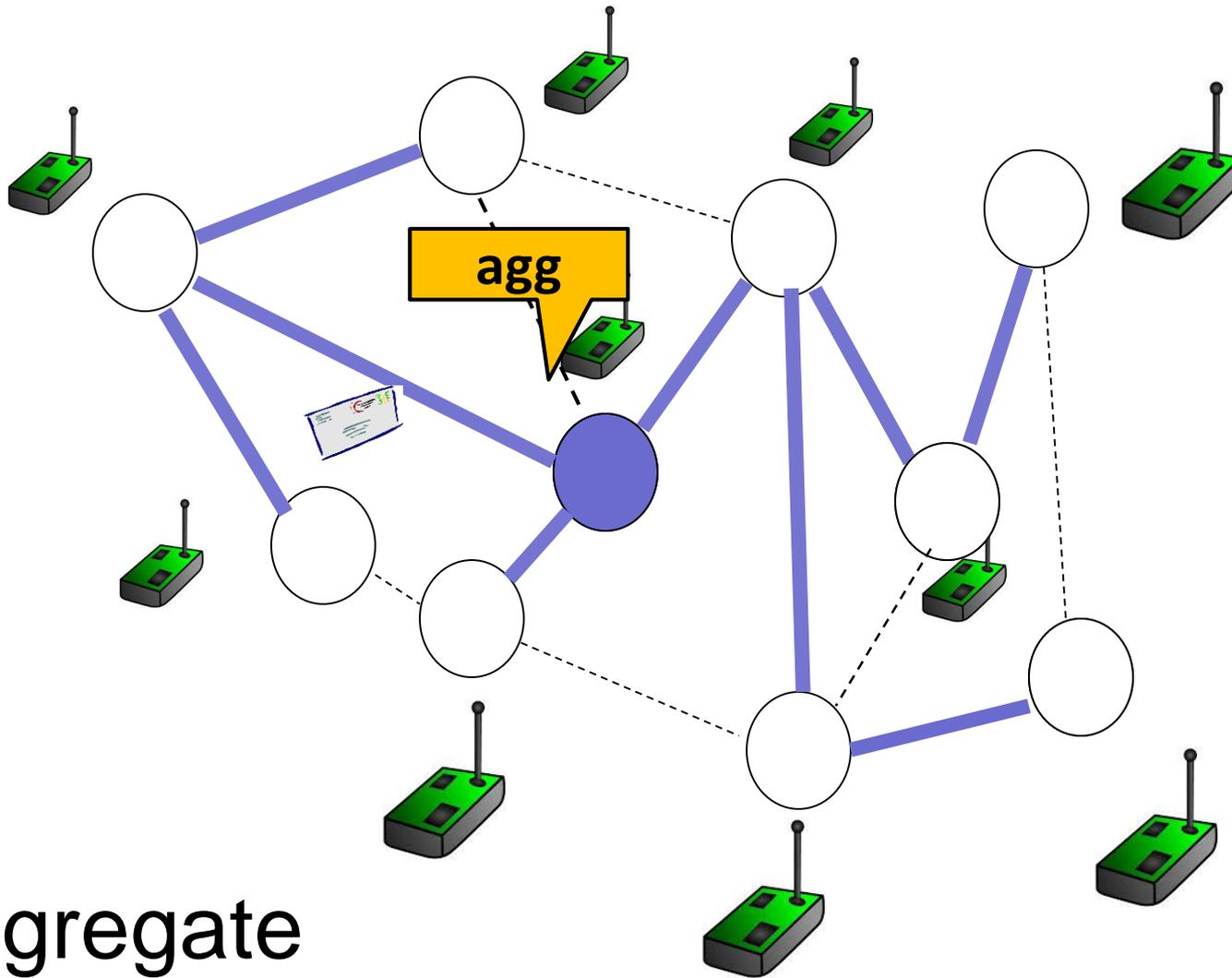
# Benefits from Spanning Tree: Fundamental ConvergeCast

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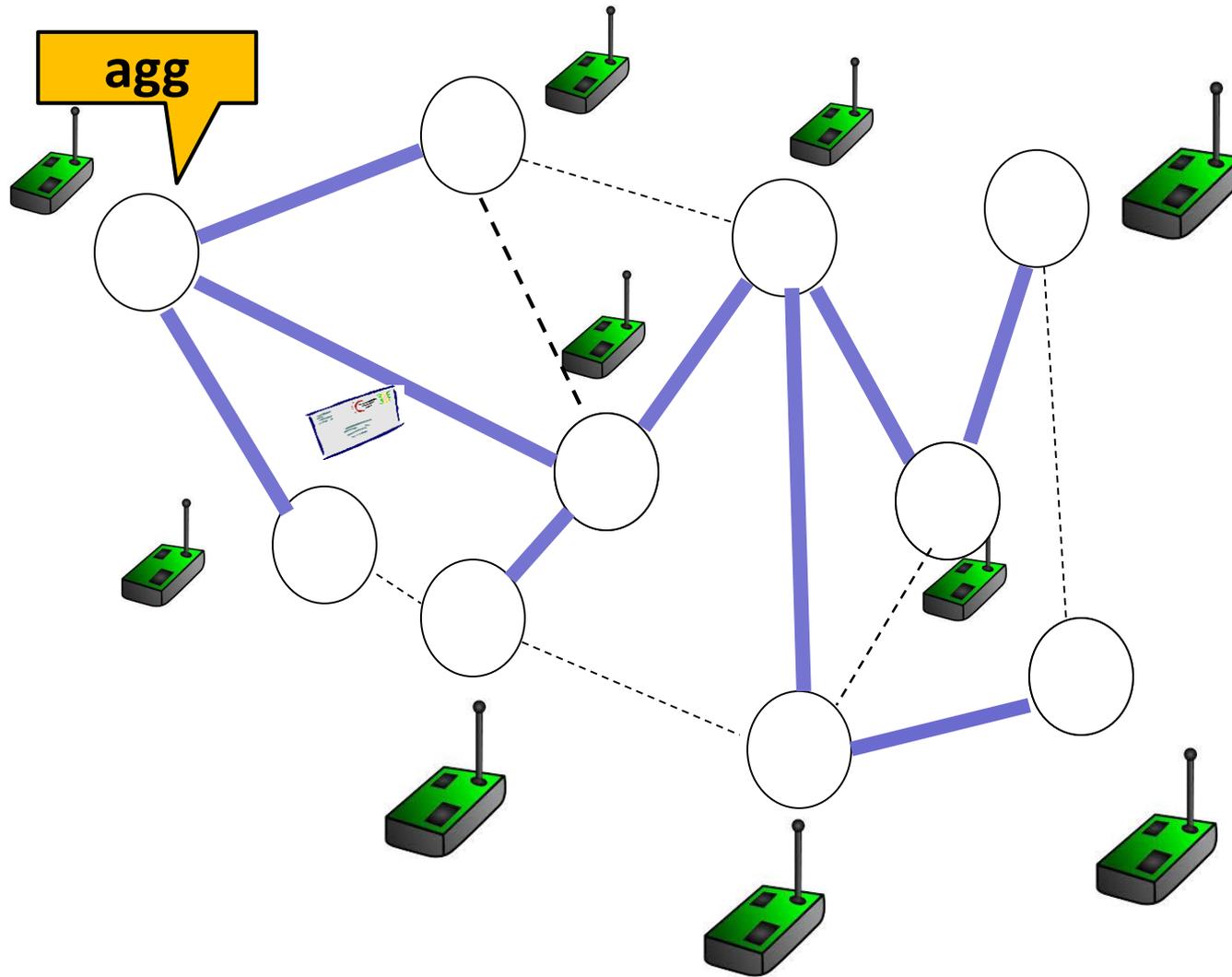
Aggregate  
Round 3

# Benefits from Spanning Tree: Fundamental ConvergeCast



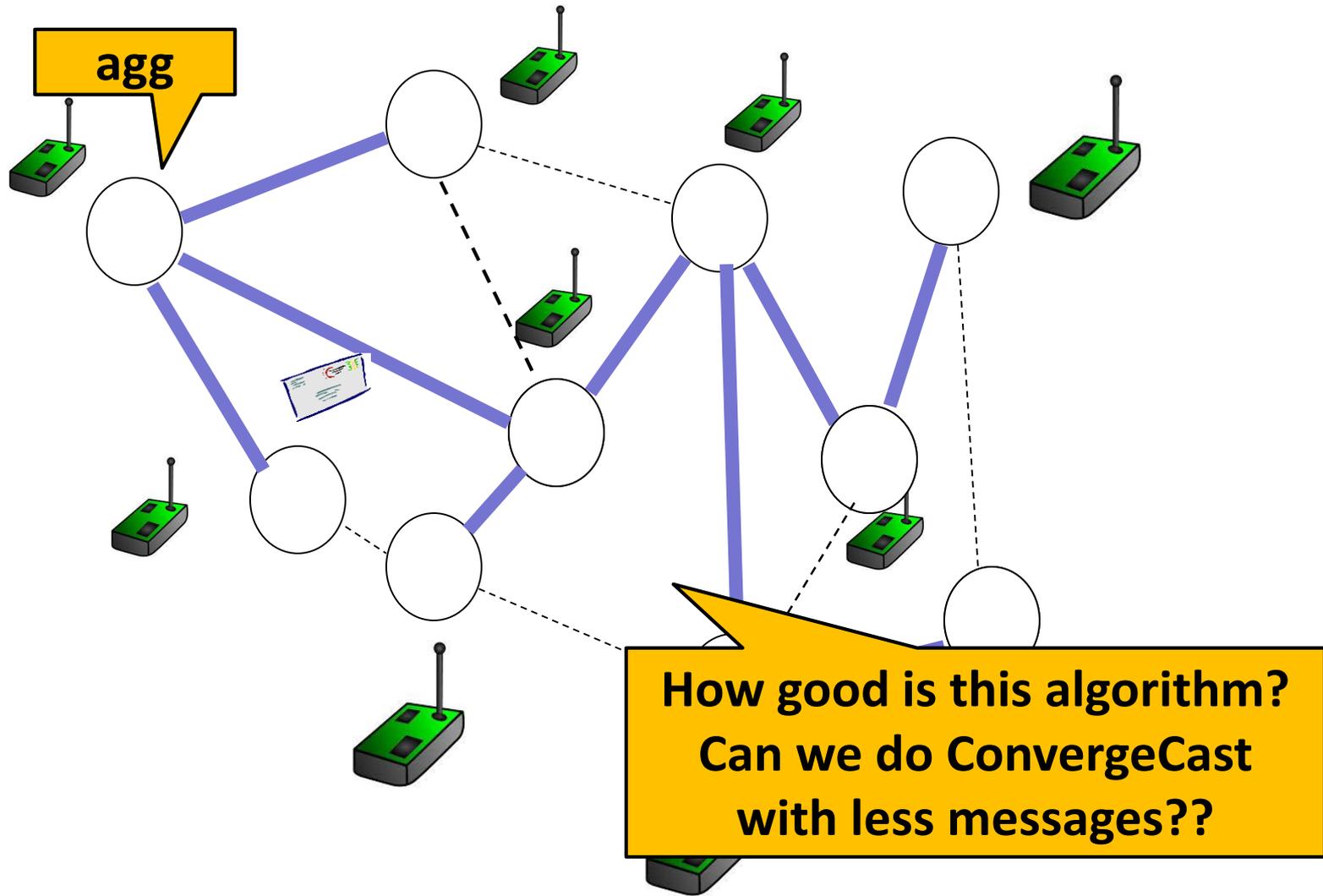
Aggregate  
Round 4

# Benefits from Spanning Tree: Fundamental ConvergeCast



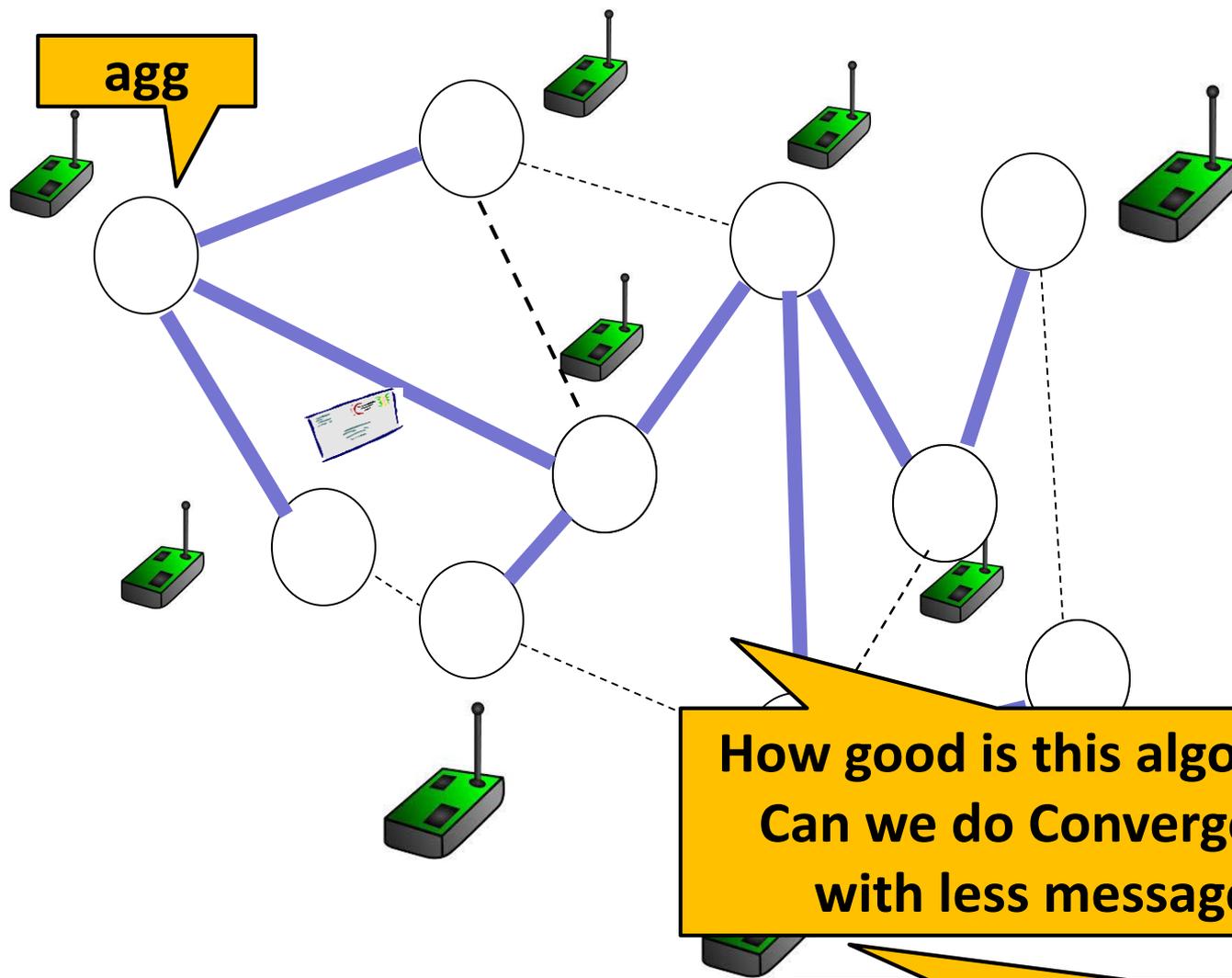
**Finished!**

# Benefits from Spanning Tree: Fundamental ConvergeCast



Finished!

# Benefits from Spanning Tree: Fundamental ConvergeCast

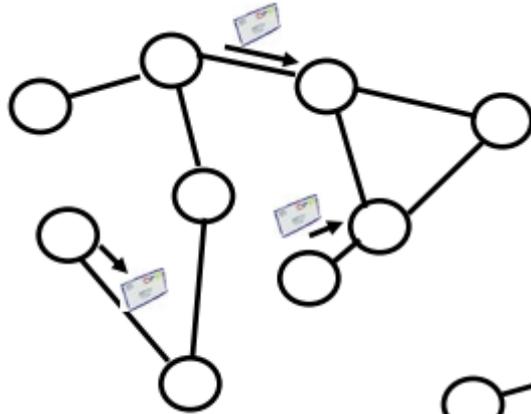


Finished!

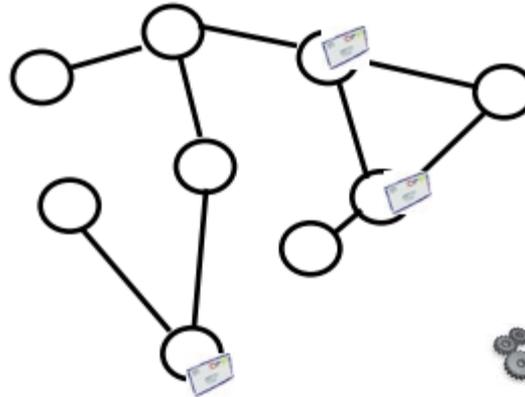
# Recall: Local Algorithm

---

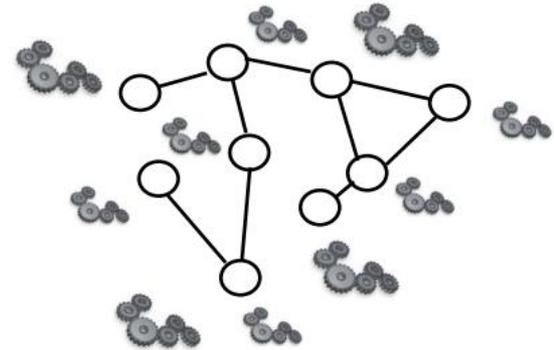
Send...



... receive...



... compute.



# Let us introduce some definitions

---

## Distance, Radius, Diameter

Distance between two nodes is # hops.

**Radius of a node** is max distance to any other node.

**Radius of graph** is *minimum* radius of any node.

**Diameter** of graph is *max* distance between any two nodes.

Relationship  
between R and D?

In general:  $R \leq D \leq 2R$ .

**Upper bound:** max distance cannot be longer than going via any given node (2x radius).

**Lower bound:** contradict definition of radius.

## Distance, Radius, Diameter

Distance between two nodes is # hops.

**Radius of a node** is max distance to any other node.

**Radius of graph** is *minimum* radius of any node.

**Diameter** of graph is *max* distance between any two nodes.

In the complete graph, for all nodes:  $R=D$ .

On the line, for broder nodes:  $2R=D$ .

In general:  $R \leq D \leq 2R$ .

**Upper bound:** max distance cannot be longer than going via any given node (2x radius).

**Lower bound:** contradict definition of radius.

## Distance, Radius, Diameter

Distance between two nodes is # hops.

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In the complete graph, for all nodes:  $R=D$ .

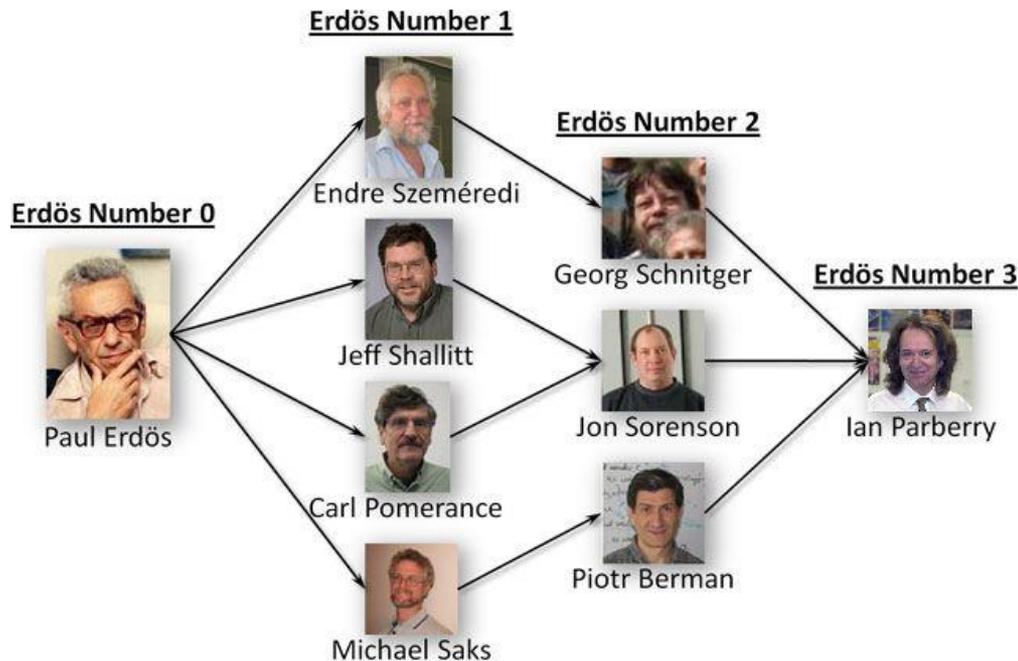
On the line, for broder nodes:  $2R=D$ .

Therefore, in the following, we will express all asymptotic results in  $D$  (not  $R$ ).

# Relevance: Radius

People enjoy identifying nodes of **small radius** in a graph!

E.g., Erdős number, Kevin Bacon number, joint Erdős-Bacon number, etc.



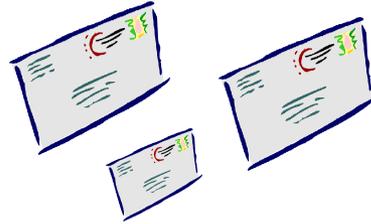
Kevin Bacon Number	# of People
0	1
1	3211
2	376831
3	1359872
4	347806
5	29593
6	3496
7	515
8	102
9	8
10	1

Total number of linkable actors: 2121436  
Weighted total of linkable actors: 6401157  
Average Kevin Bacon number: 3.017

# Lower Bounds for Broadcast

---

**Message complexity?**



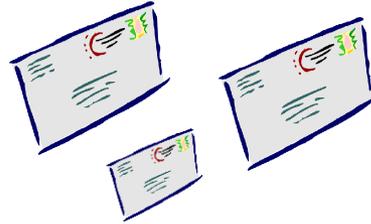
**Time complexity?**



# Lower Bounds for Broadcast

---

**Message complexity?**



Each node must receive message: so at least  $n-1$ .

**Time complexity?**

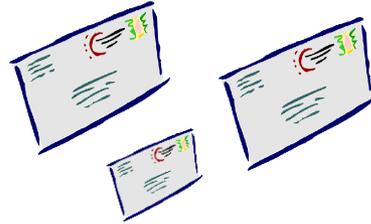


The **radius of the source**: each node needs to receive message.

# Lower Bounds for Broadcast

---

**Message complexity?**



Each node must receive message: so at least  $n-1$ .

**Time complexity?**



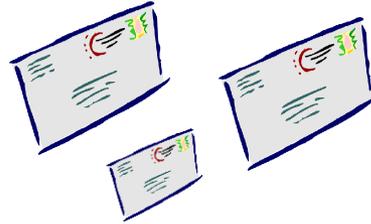
The **radius of the source**: each node needs to receive message.

**How to achieve this?**

# Lower Bounds for Broadcast

---

**Message complexity?**



Each node must receive message: so at least  $n-1$ .

**Time complexity?**



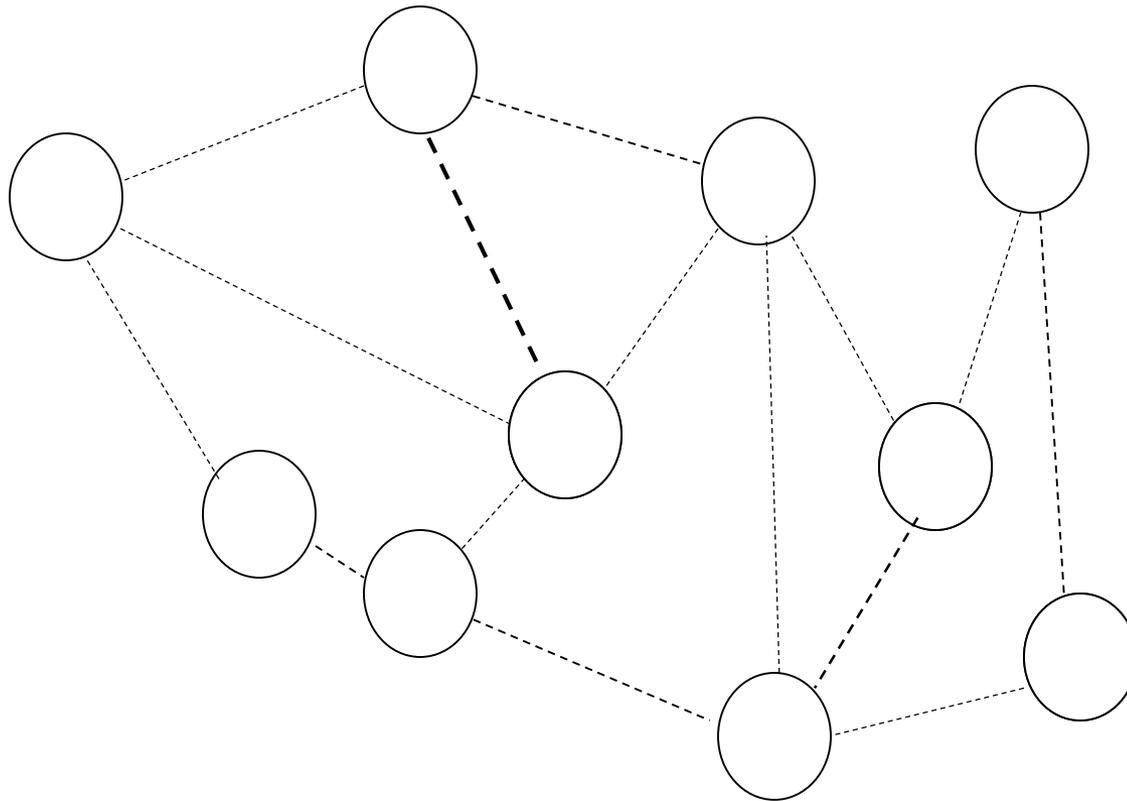
The **radius of the source**: each node needs to receive message.

**How to achieve this?**

**Compute a breadth first spanning tree! 😊 But how? Next!**

# Idea: Compute BFS using Flooding in LOCAL Model!

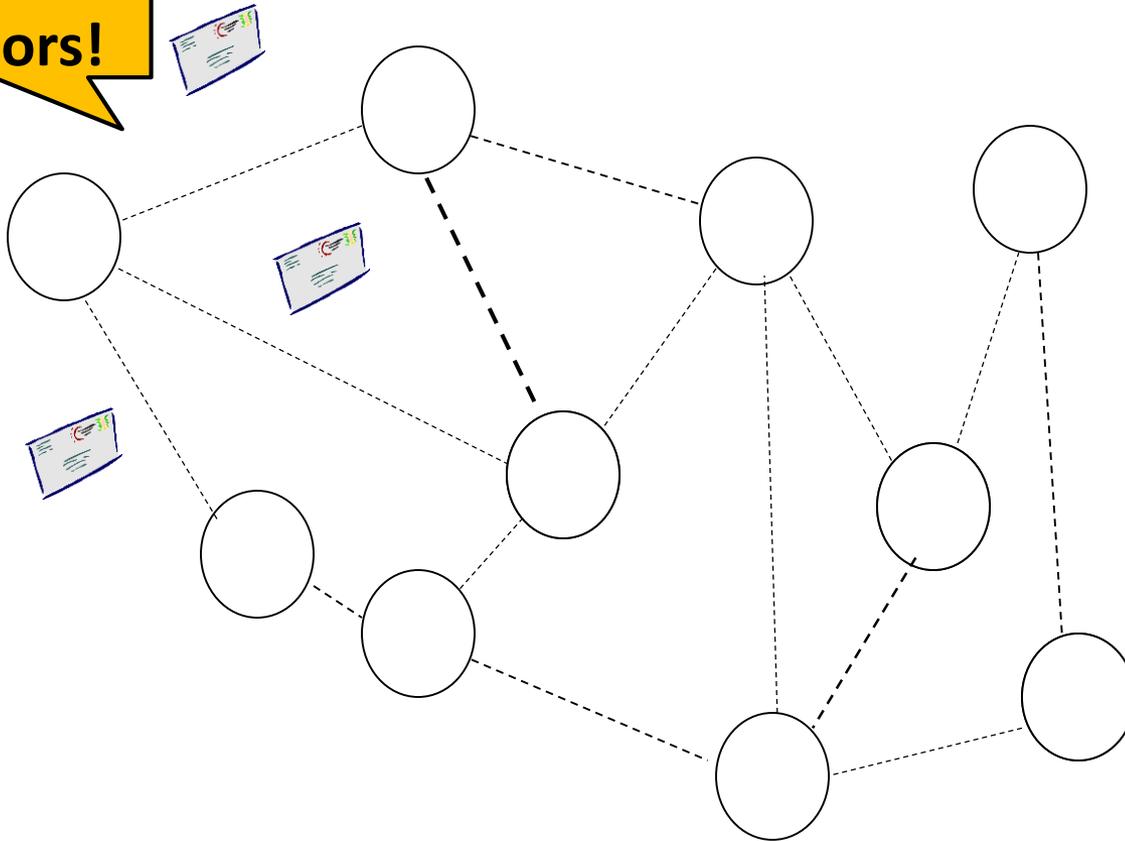
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# Idea: Compute BFS using Flooding in LOCAL Model!

---

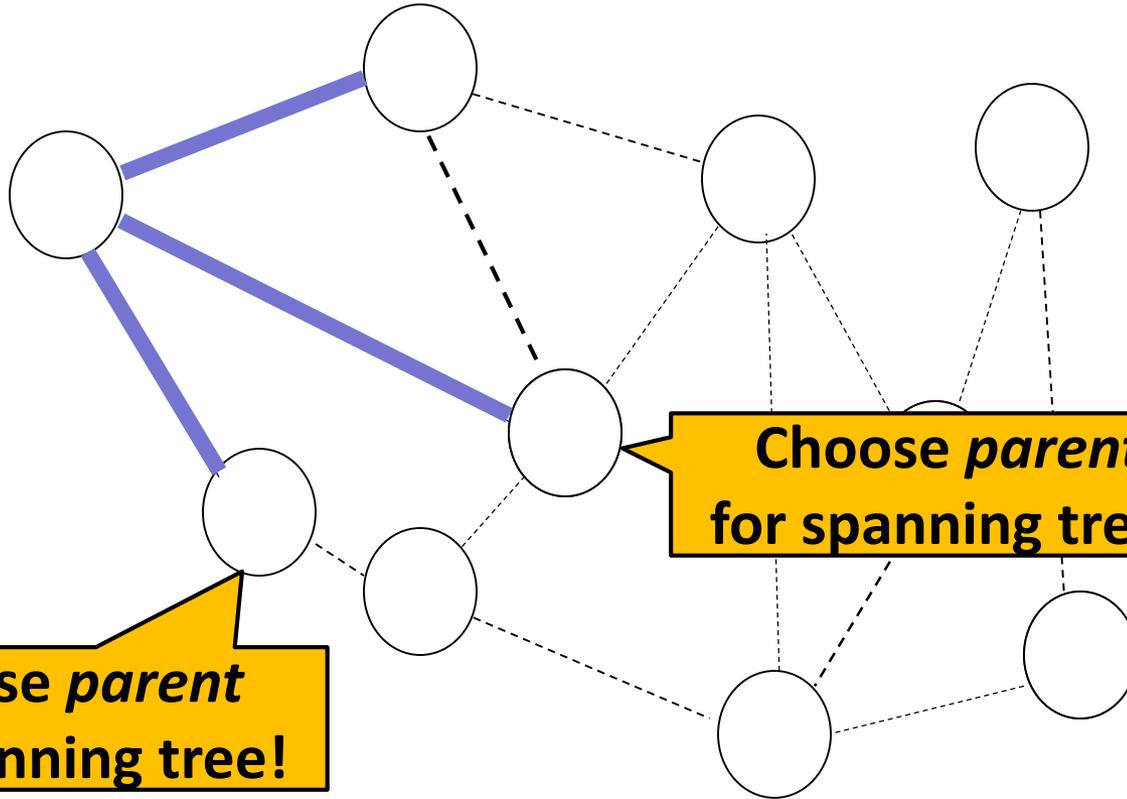
**Send to *all* neighbors!**



## Round 1

Idea: Compute B in LOCAL Model!

**Choose *parent*  
for spanning tree!**



**Choose *parent*  
for spanning tree!**

**Choose *parent*  
for spanning tree!**

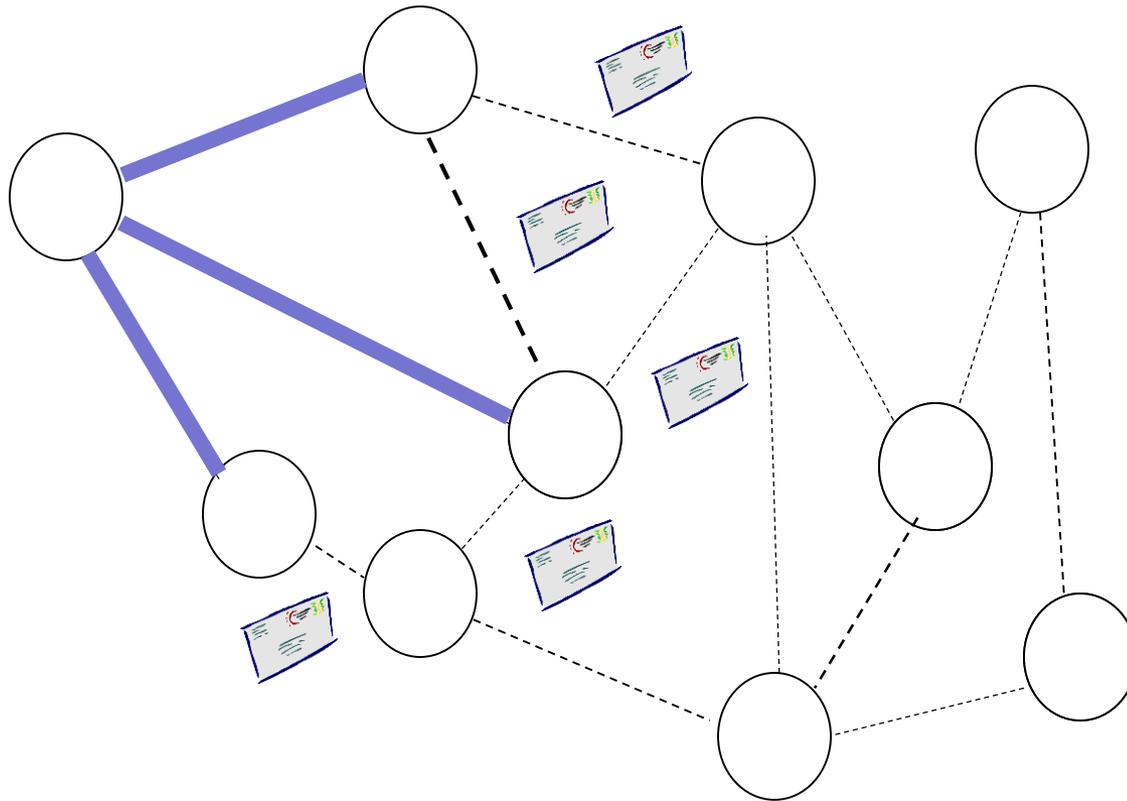
Round 1

**Invariant: parent has shorter  
distance to root: loop-free!**

Idea: Compute BFS using Flooding in LOCAL Model!

---

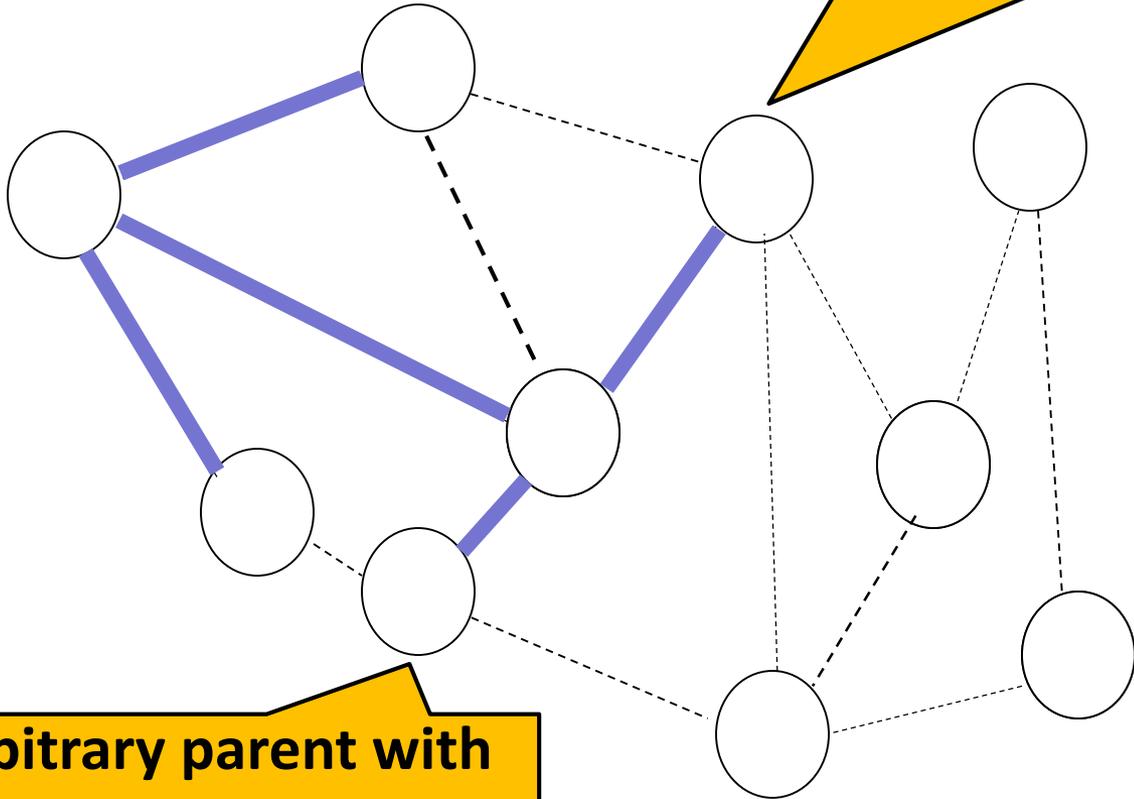
**Send to *all* neighbors!**



Round 2

Idea: Compute BFS using Flooding in LOCAL Model

**Choose a parent: if multiple arrive at same time, take *arbitrary*!**



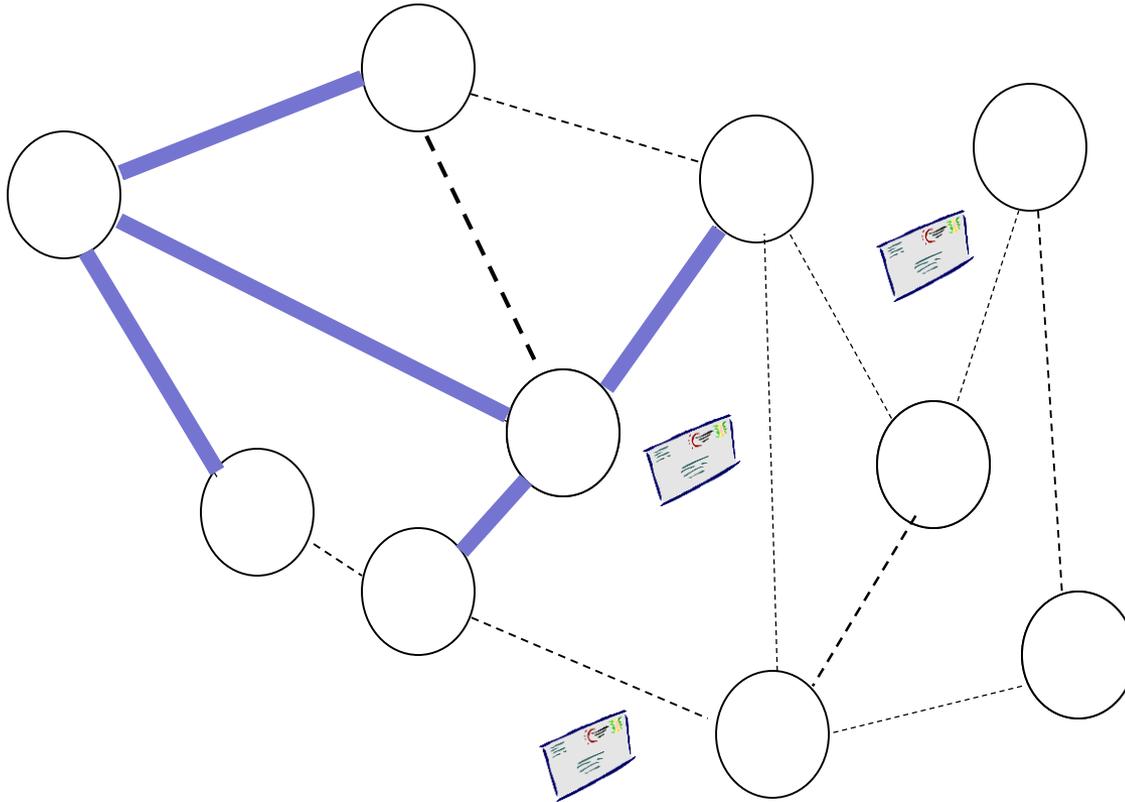
**arbitrary parent with shorter distance!**

Round 2

**Invariant: parent has shorter distance to root: loop-free!**

# Idea: Compute BFS using Flooding in LOCAL Model!

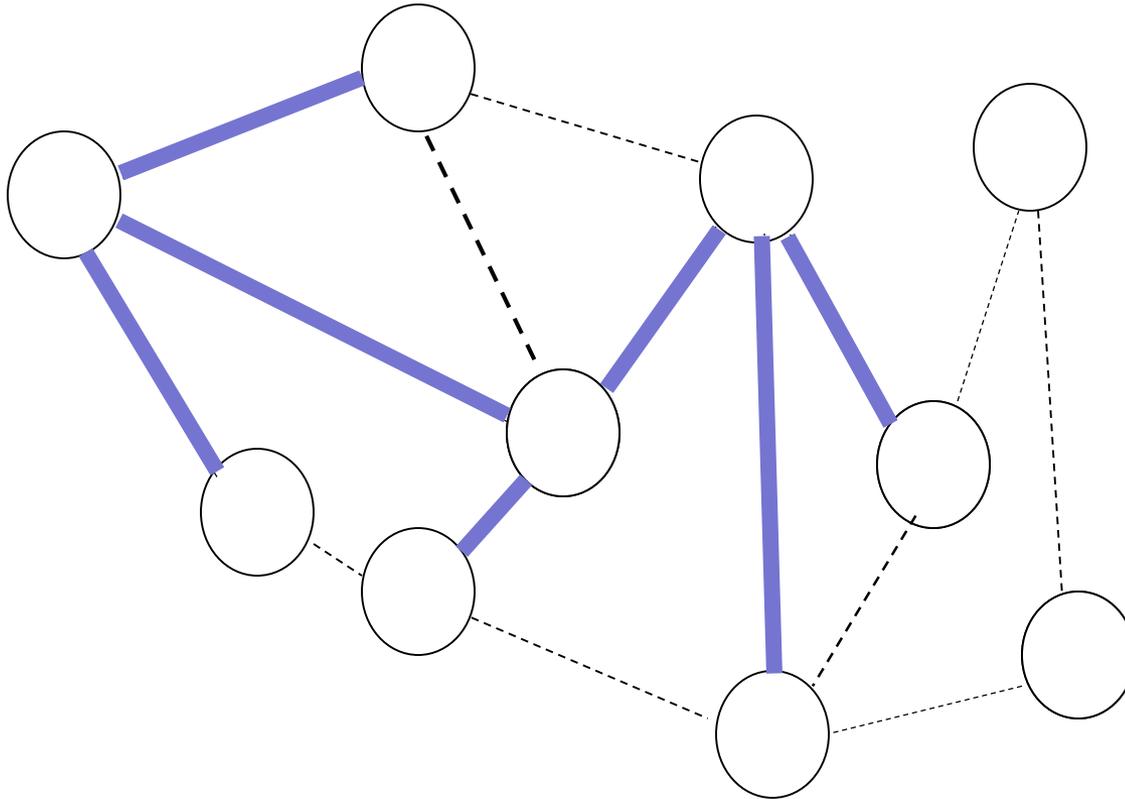
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Round 3

# Idea: Compute BFS using Flooding in LOCAL Model!

---

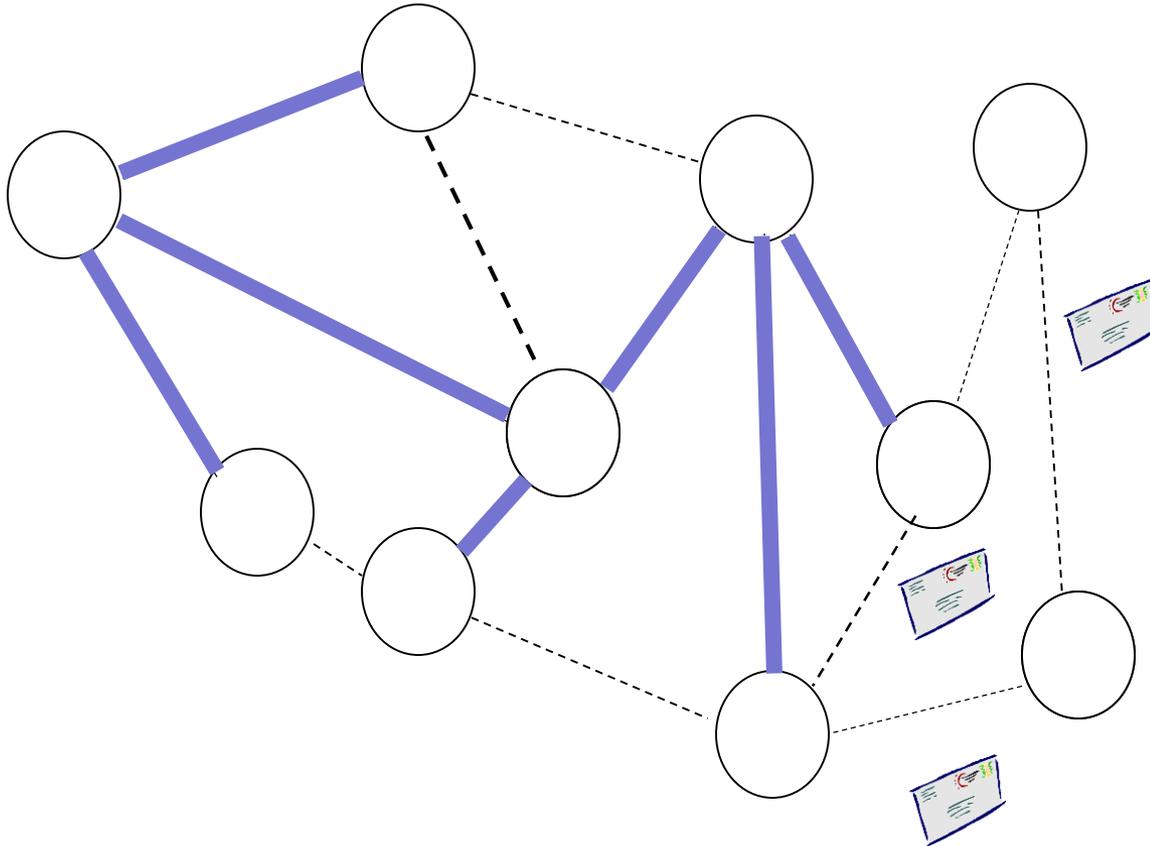


Round 3

**Invariant: parent has shorter distance to root: loop-free!**

# Idea: Compute BFS using Flooding in LOCAL Model!

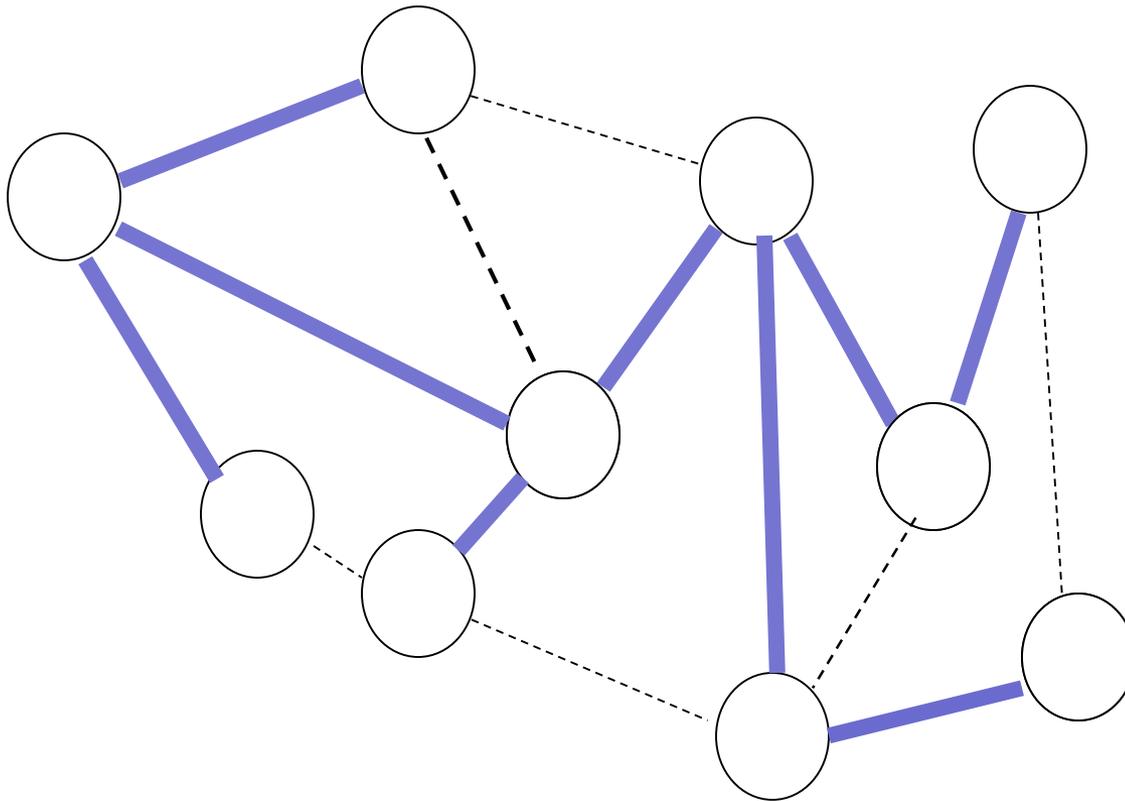
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Round 4

# Idea: Compute BFS using Flooding in LOCAL Model!

---

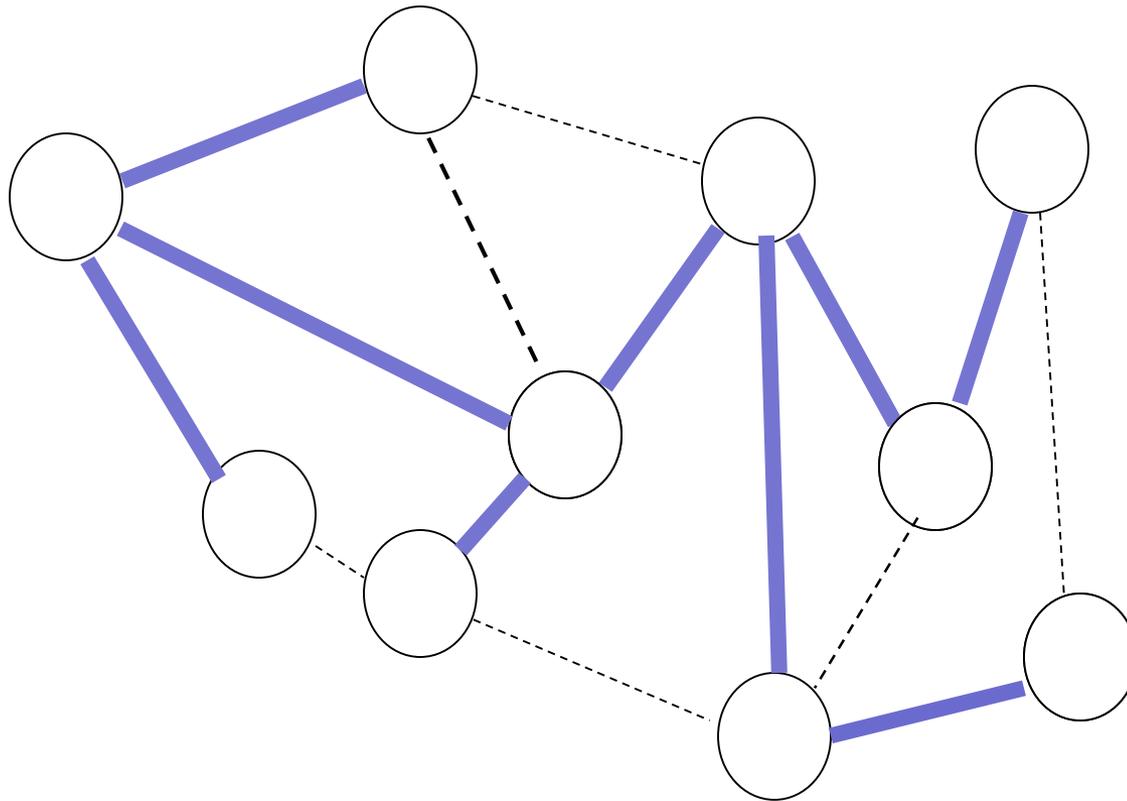


# BFS!

**Invariant: parent has shorter distance to root: loop-free!**

# Idea: Compute BFS using Flooding in LOCAL Model!

---

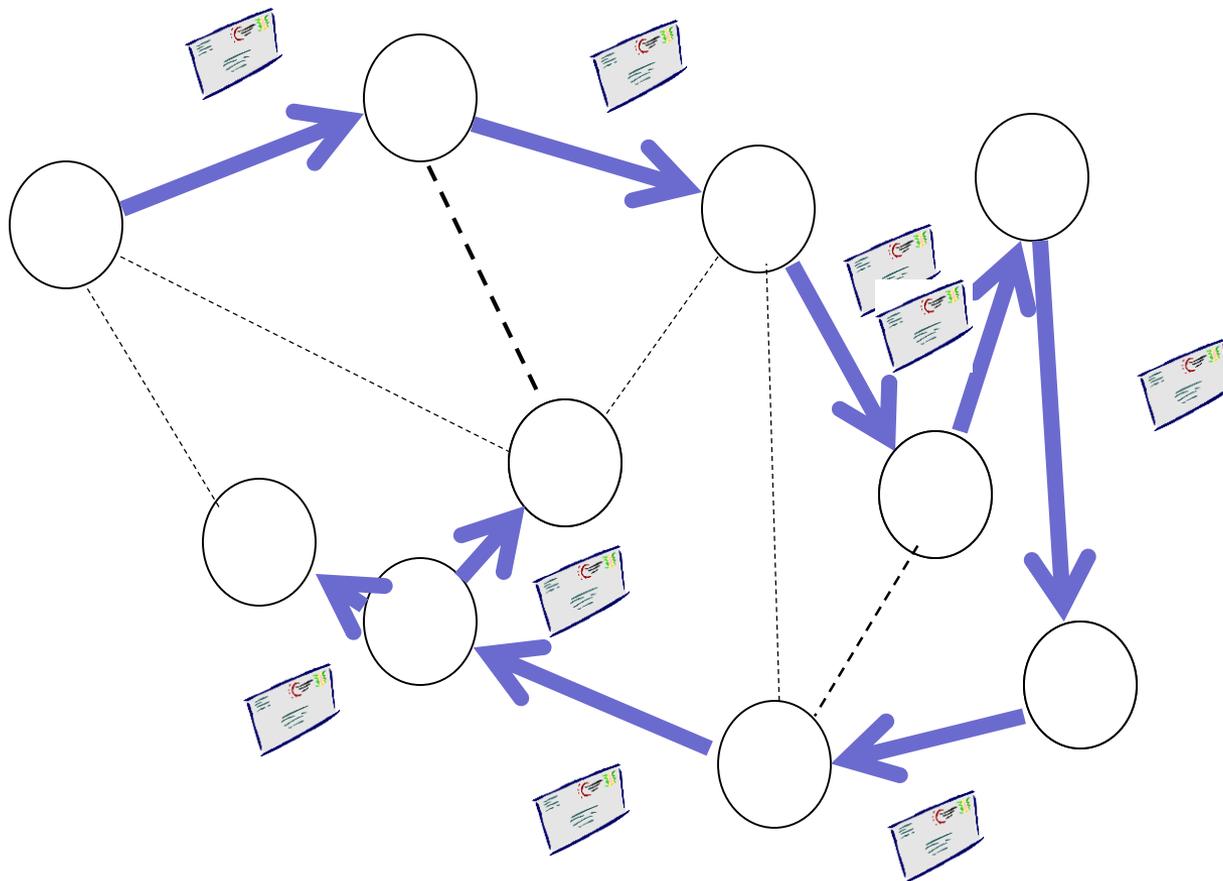


**BFS!**

**But careful! We assumed that messages propagate in synchronous manner! What if not?**

# Bad example

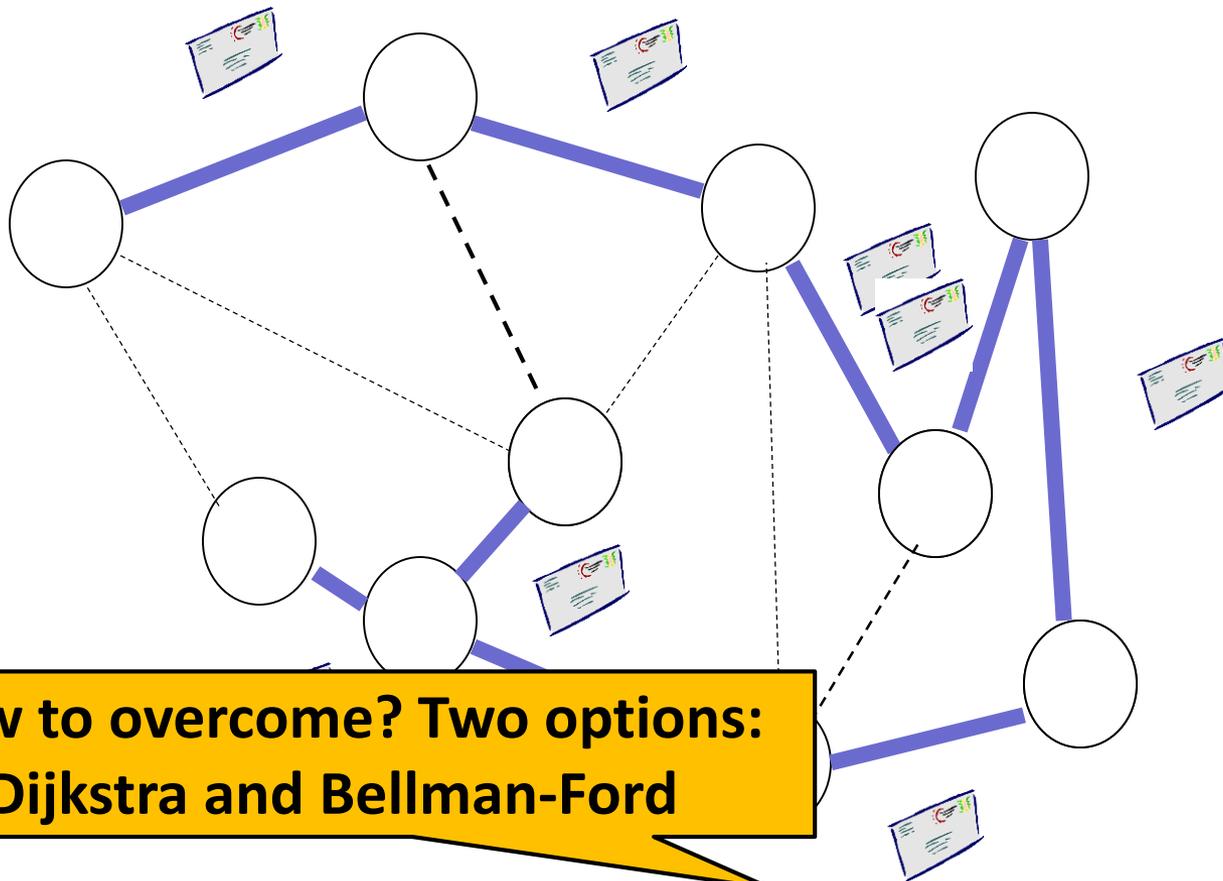
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**Careful: in asynchronous environment, should not make first successful sender my parent!**

# Bad example

---

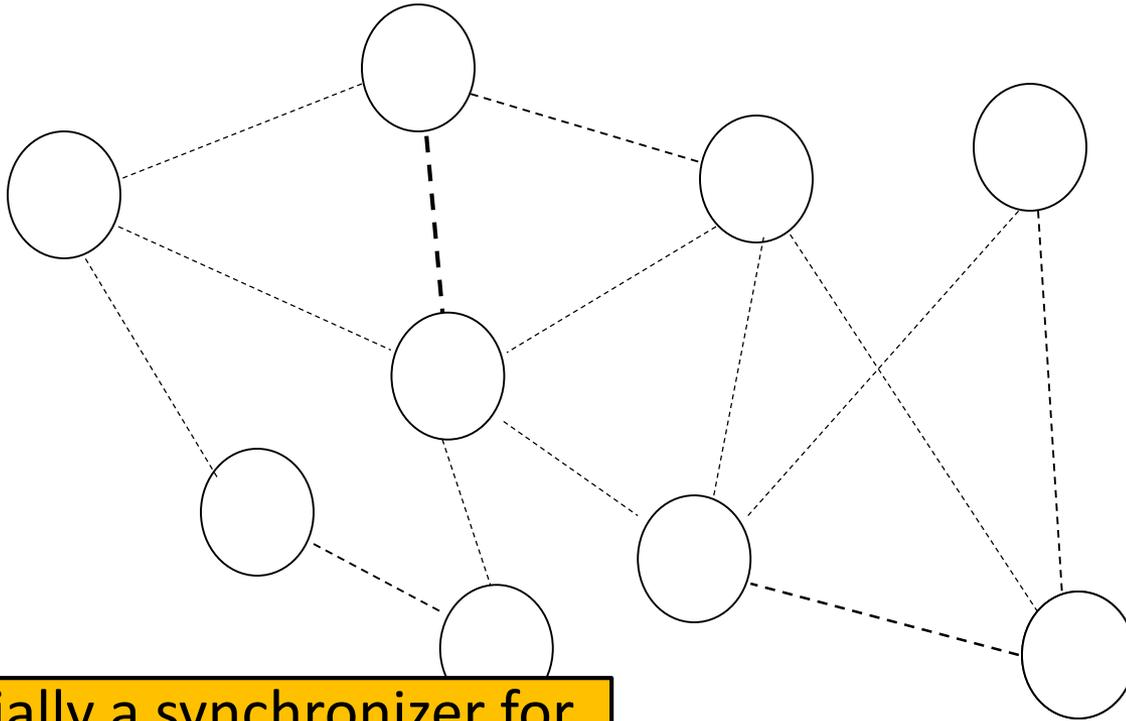


**How to overcome? Two options:  
Dijkstra and Bellman-Ford**

**Careful: in asynchronous environment, should  
not make first successful sender my parent!**

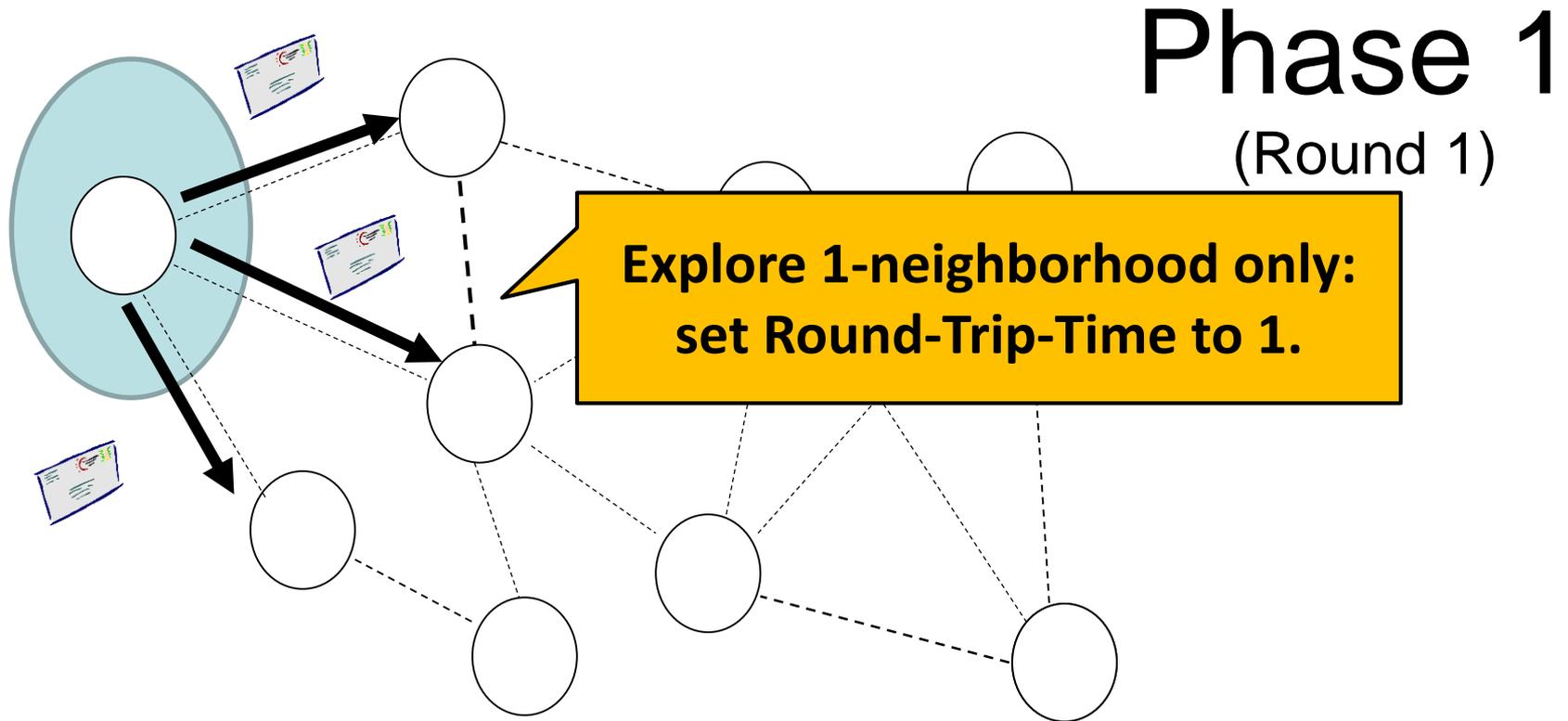
# Distributed BFS: Dijkstra Flavor

---



Essentially a synchronizer for  
the LOCAL model!

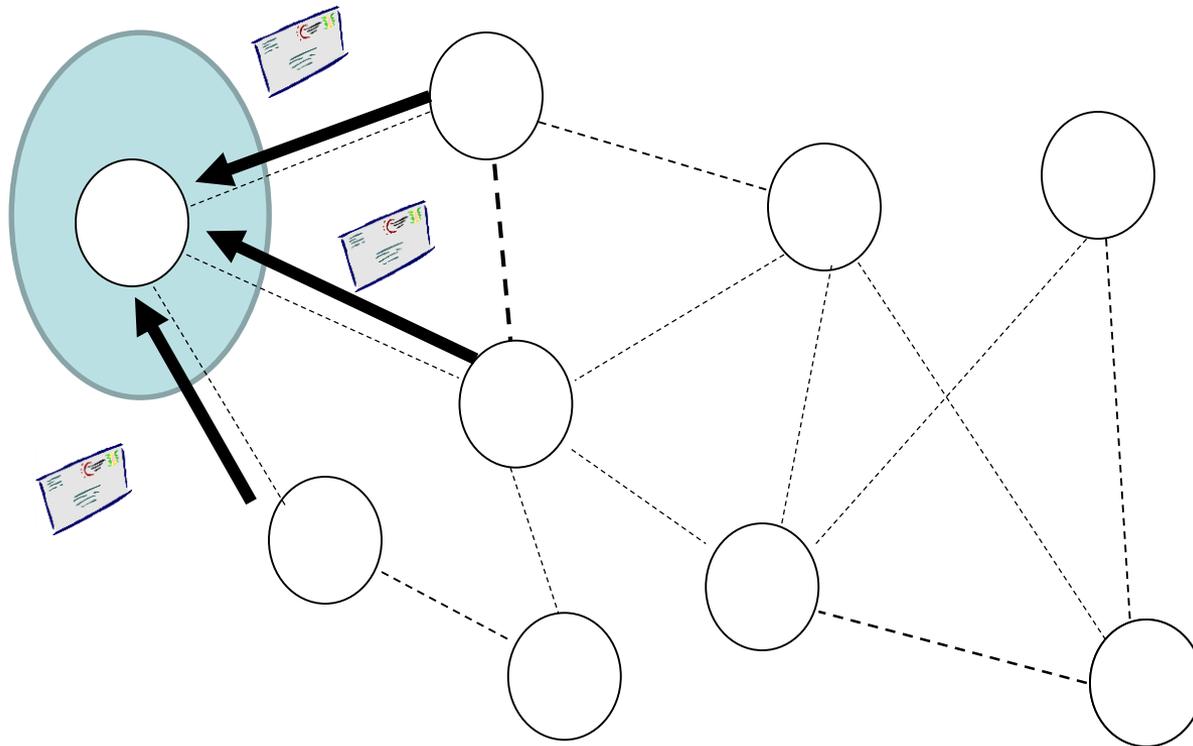
Idea: overcome asynchronous  
problem by **proceeding in phases!**



Idea: overcome asynchronous  
problem by **proceeding in phases!**

# Distributed BFS: Dijkstra Flavor

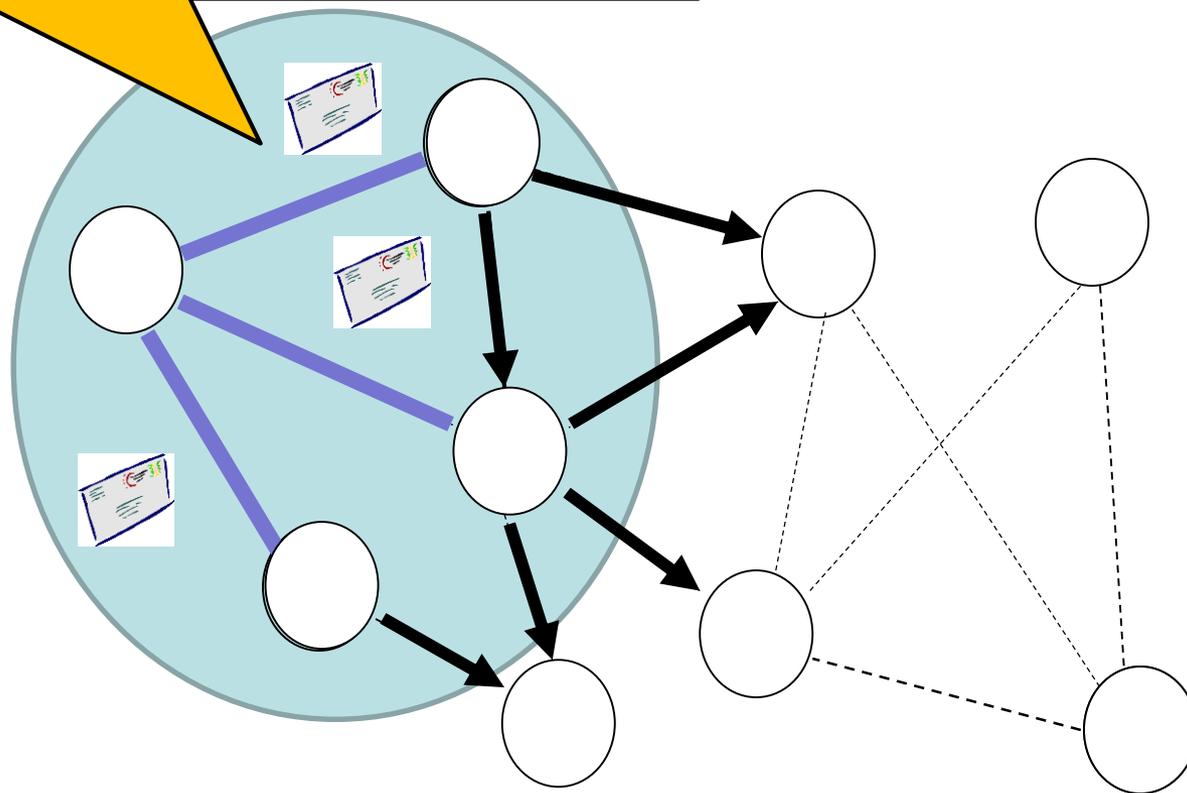
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**Phase 1**  
(Round 2)

Idea: overcome asynchronous  
problem by proceeding in phases!

**Start Phase 2! (Propagate  
along existing spanning tree!)**

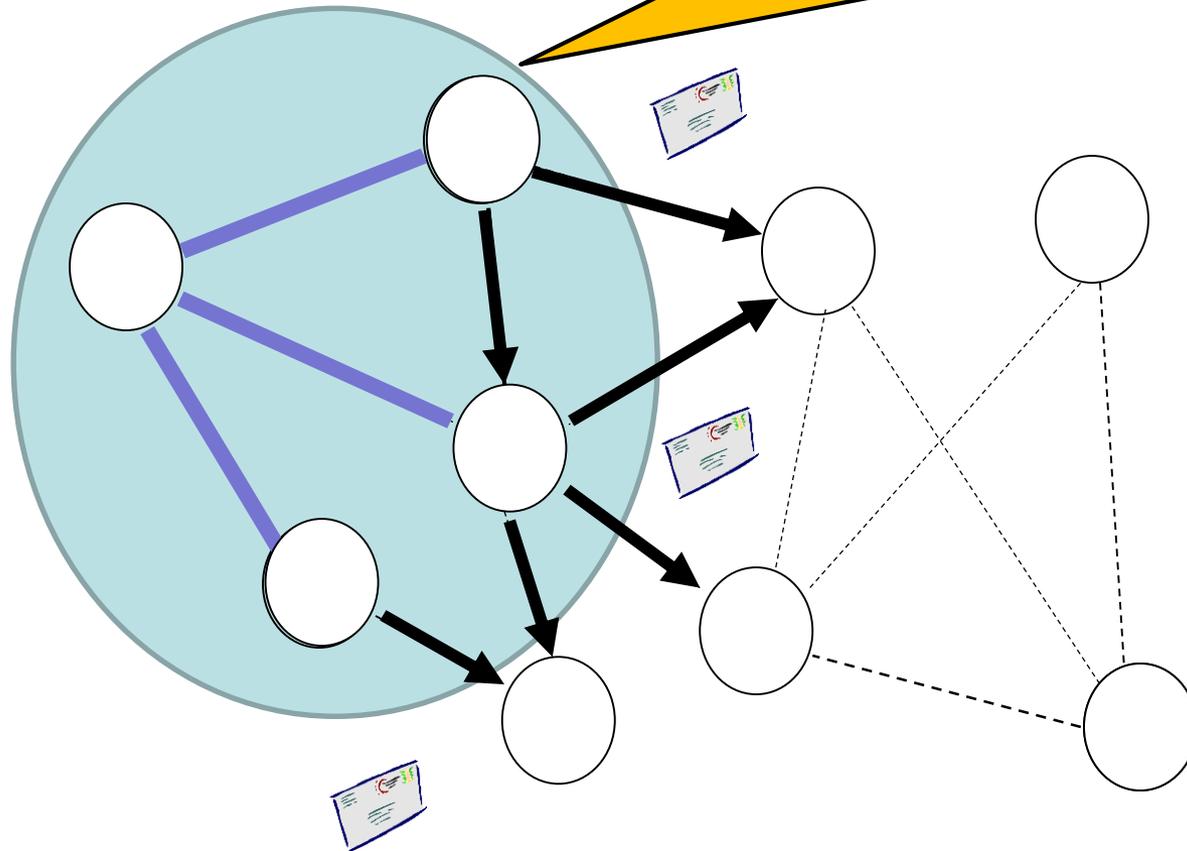


# Phase 2

(Round 1)

Idea: overcome asynchronous  
problem by proceeding in phases!

**Start Phase 2!**  
**I am at distance 1 from root!**

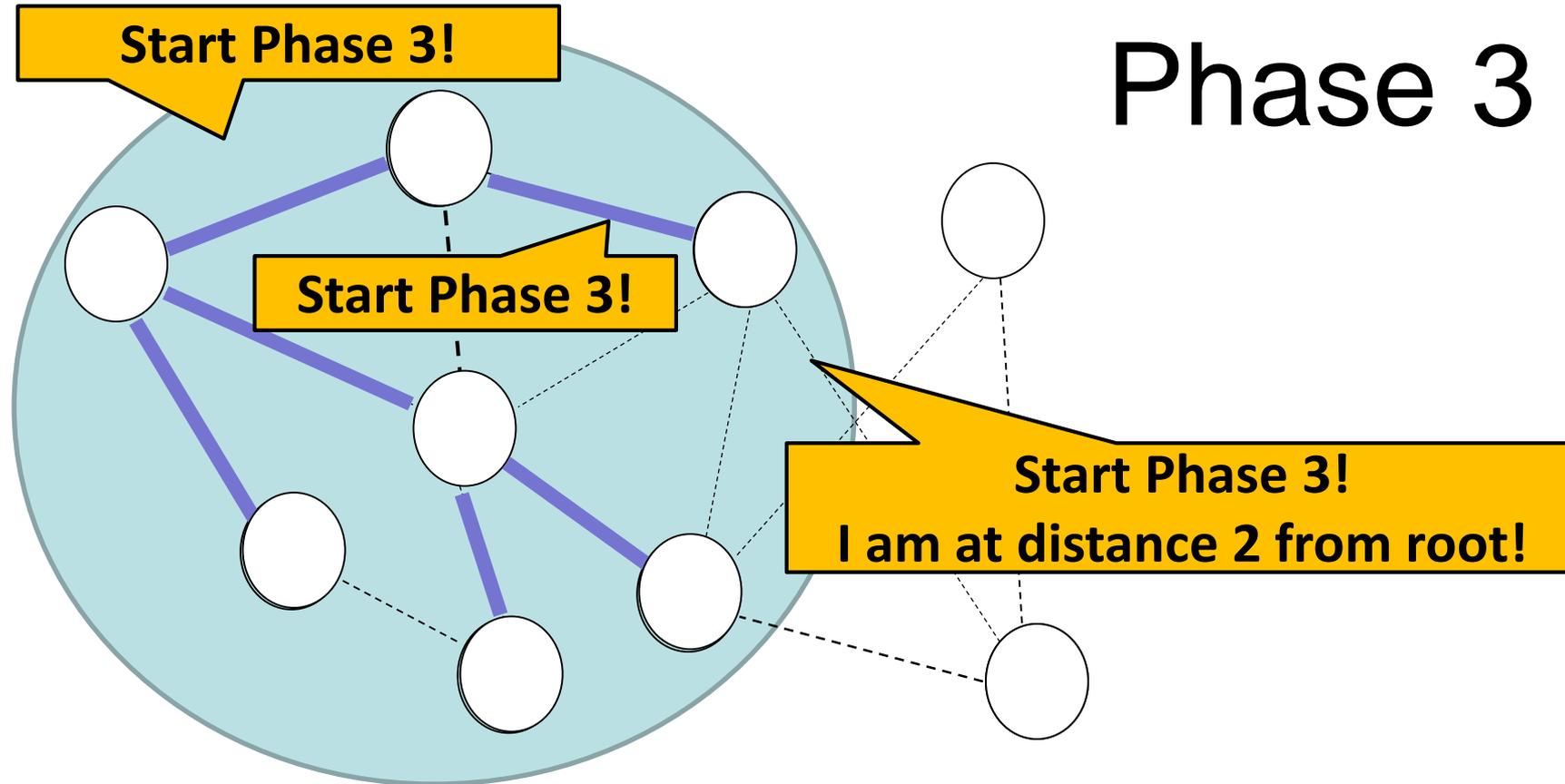


**Phase 2**  
(Round 2)

Idea: overcome asynchronous problem by proceeding in phases!



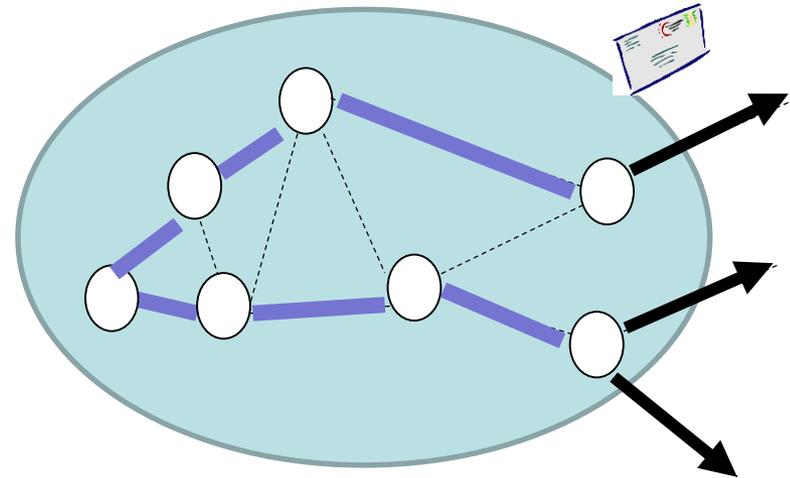
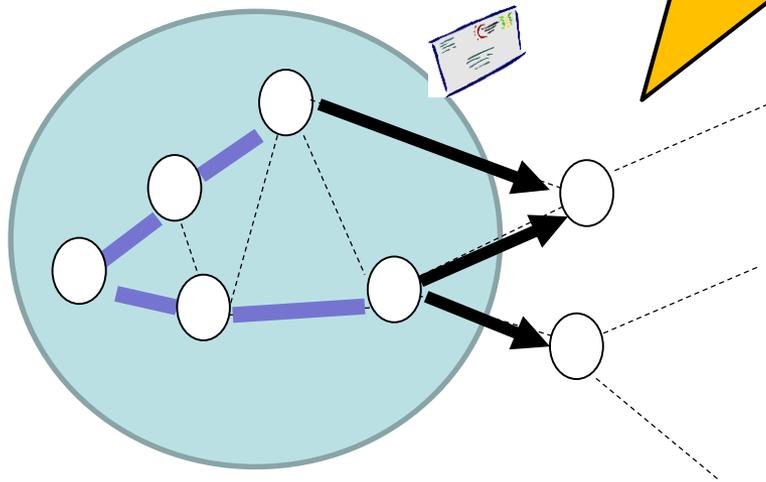
# Distributed BFS: Dijkstra Flavor



Idea: overcome asynchronous problem by proceeding in phases!

# General Scheme

In each phase: expand spanning tree by one hop: explore neighborhood!

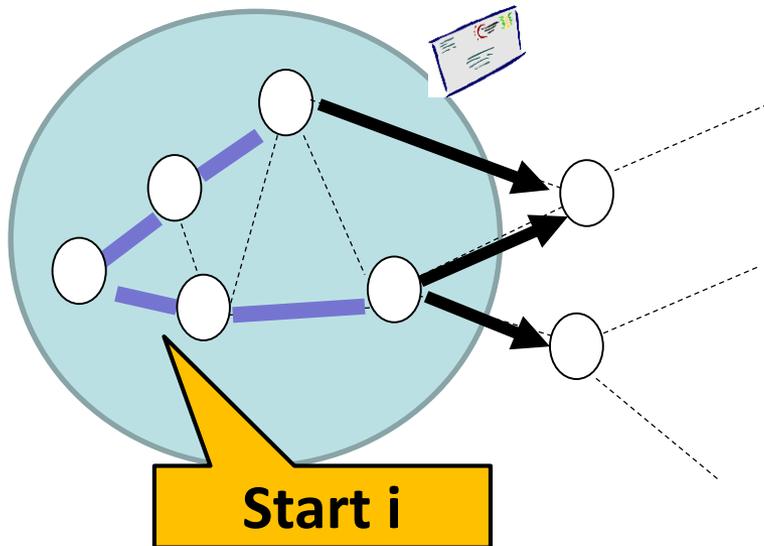


Phase i

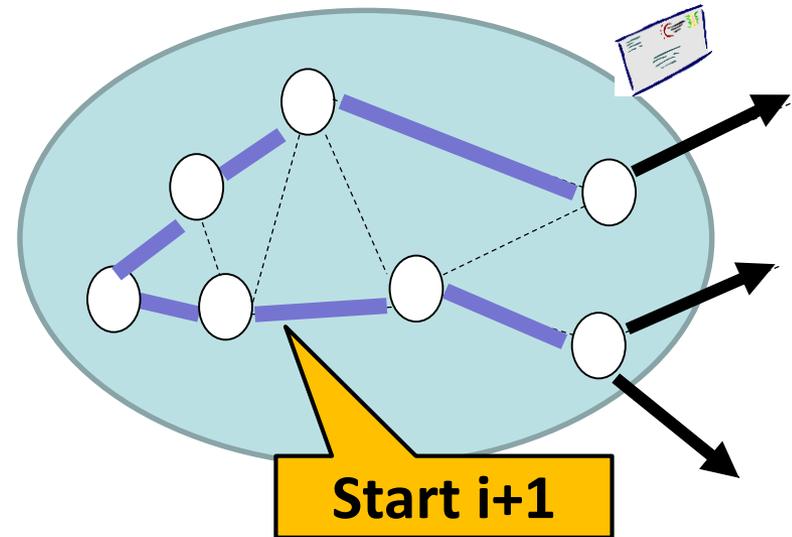
Phase i+1

# General Scheme

---



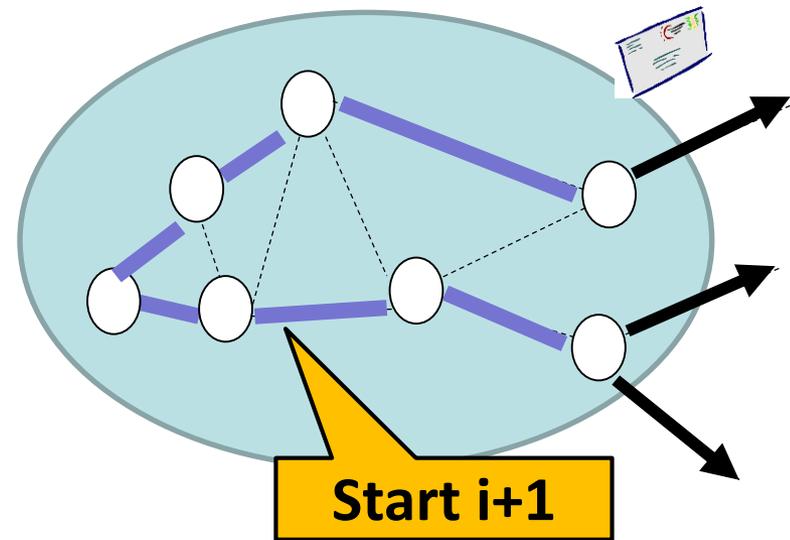
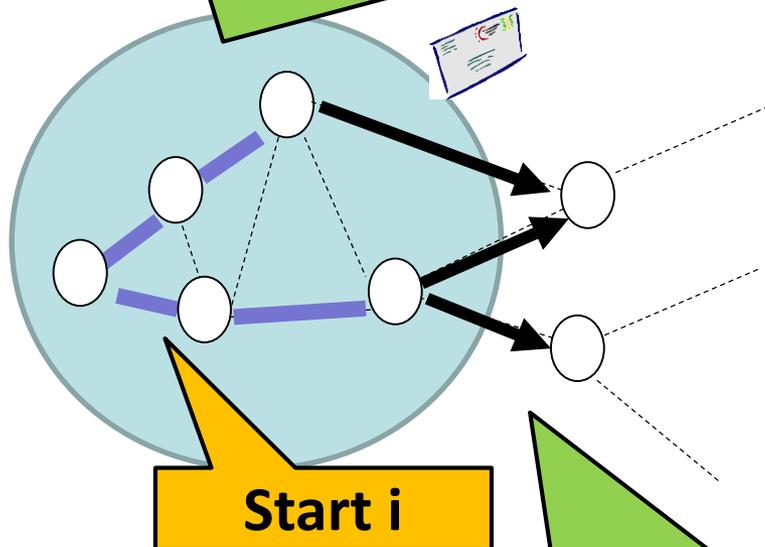
Phase i



Phase i+1

## General Scheme

For efficiency: can propagate start  $i$  messages along pre-established spanning tree!

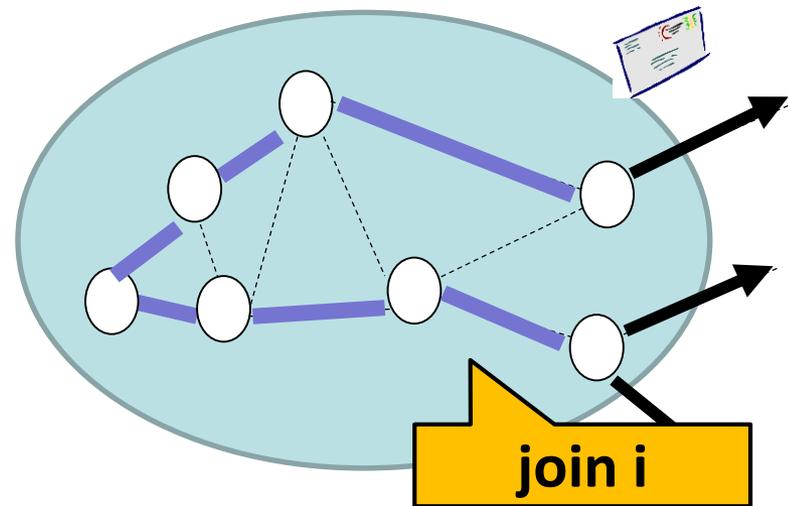
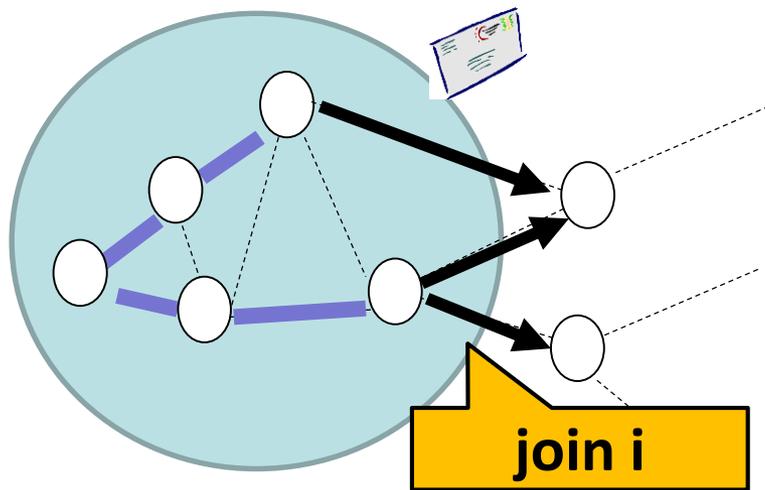


At edge we need to try all.

Phase  $i$

Phase  $i+1$

# Distributed BFS: Dijkstra Flavor



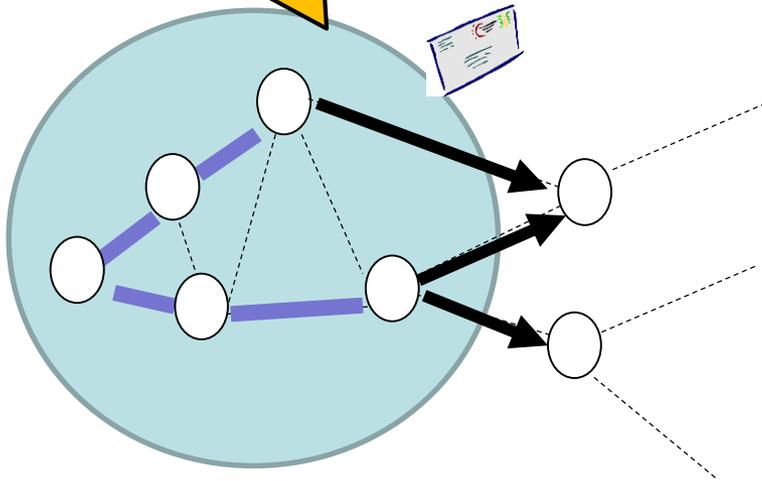
Same for responses...!  
(Aggregated along existing BFS)

Phase i

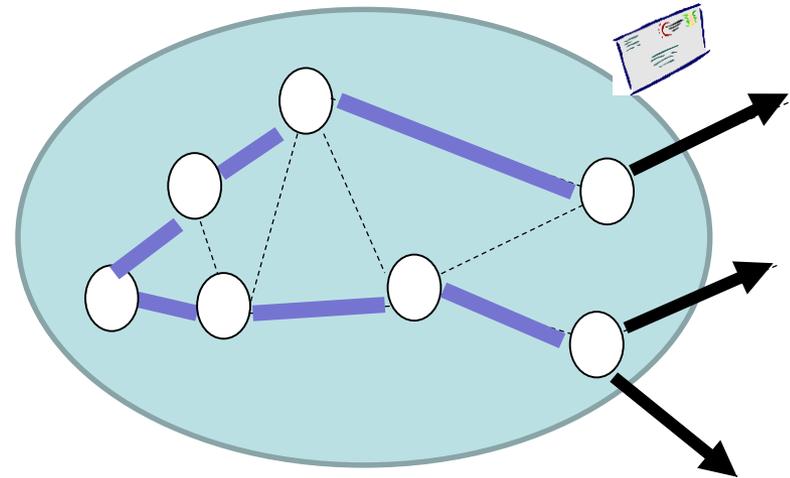
Phase i+1

# Time Complexity?

or



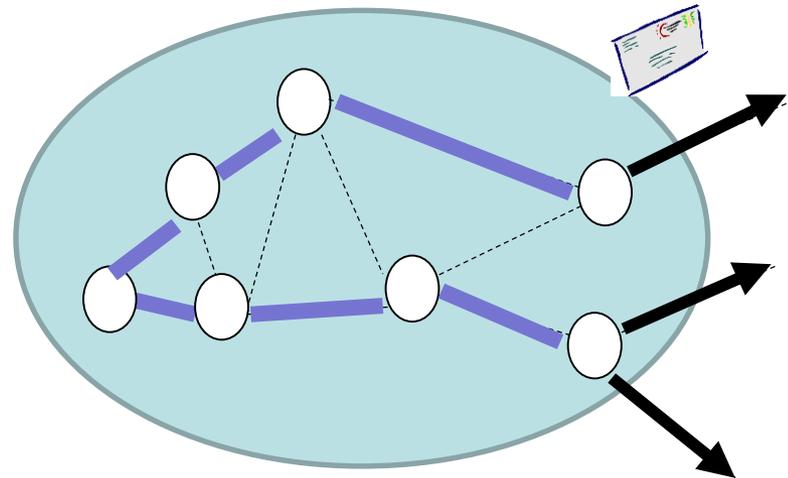
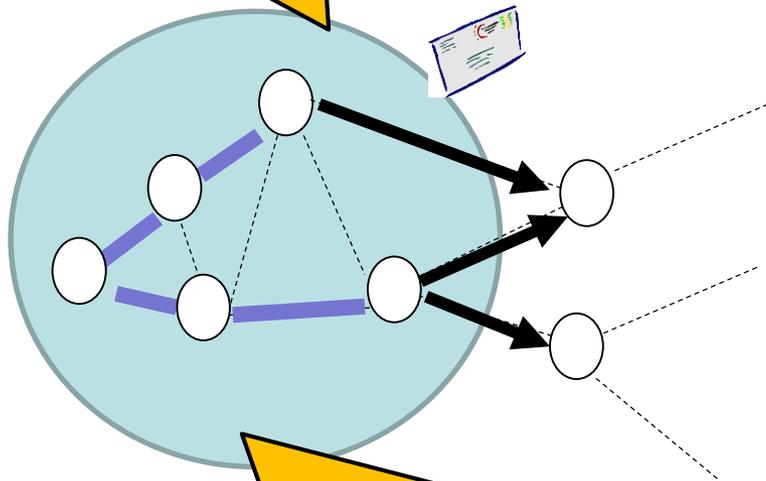
Phase i



Phase i+1

# Time Complexity?

or



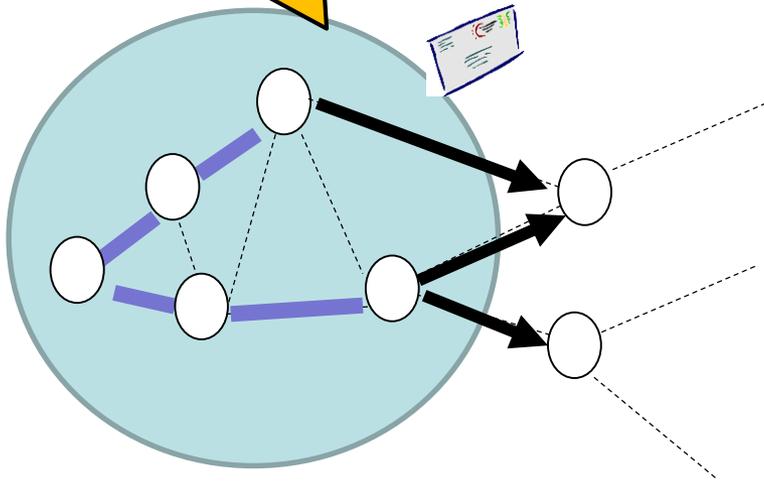
$O(D)$  phases, take time  $O(D): O(D^2)$   
where  $D$  is the radius from the root.

Phase  $i$

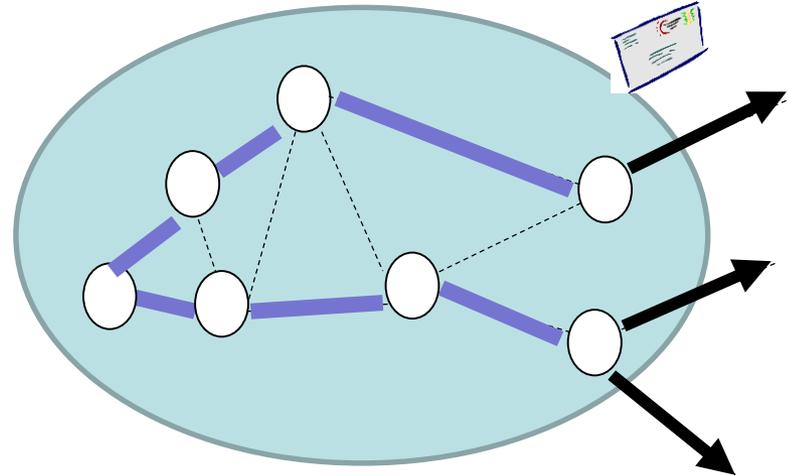
Phase  $i+1$

# Message Complexity?

or



Phase i

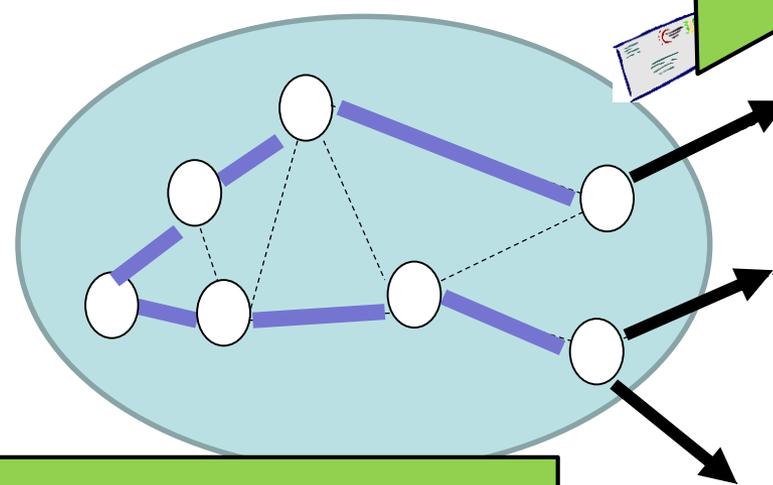
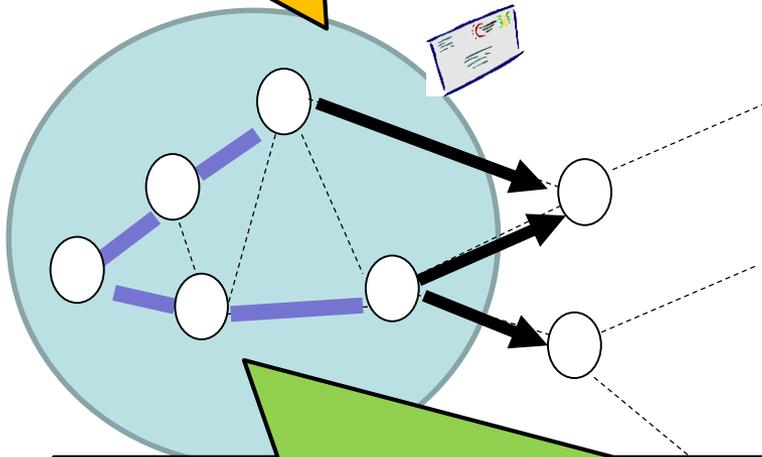


Phase i+1

# Message Complexity?

or

Plus: test each edge once: join, ACK/NAK at edge: total  $O(m)$ .



„start“ and „join“ propagation inside spanning tree:  $O(n)$  per phase:  $O(nD)$  in total.

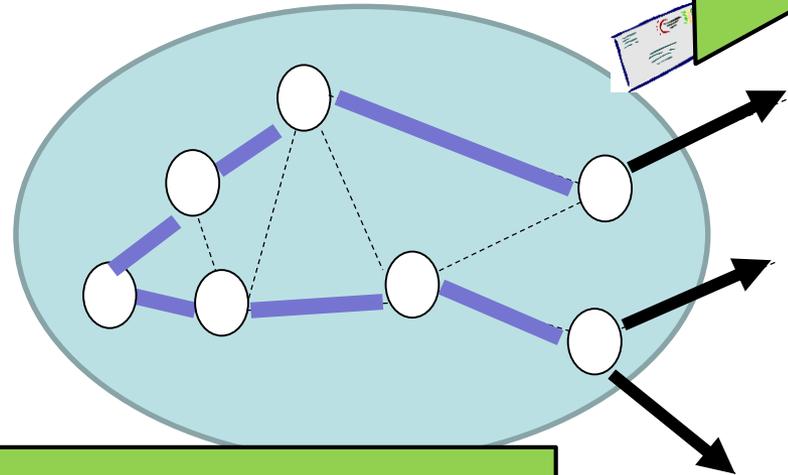
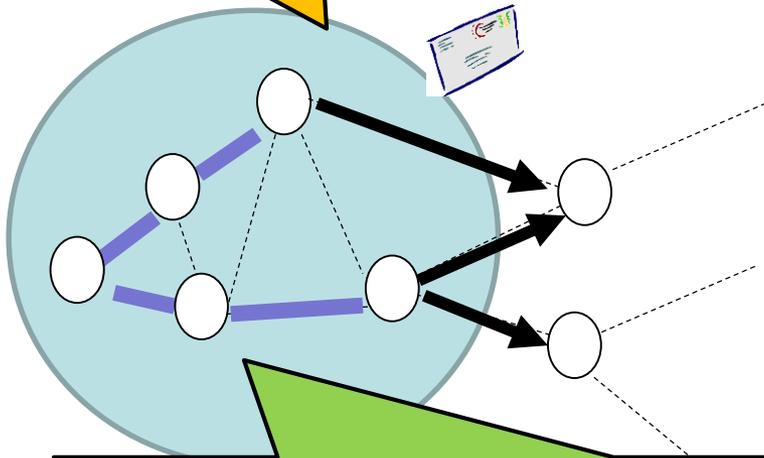
Phase i

Phase i+1

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„start“ and „join“ propagation inside spanning tree:  $O(n)$  per phase:  $O(nD)$  in total.

Phase  **$O(nD+m)$**  e  $i+1$

# Distributed BFS: Dijkstra Flavor

---

**Dijkstra:** find next closest node („on border“) to the root

## Dijkstra Style

Divide execution into *phases*. In *phase p*, nodes with distance p to the root are detected. Let  $T_p$  be the tree of phase p.  $T_1$  is the root plus all direct neighbors.

Repeat (until no new nodes discovered):

1. Root starts phase p by broadcasting „**start p**“ within  $T_p$
2. A leaf u of  $T_p$  (= node discovered only in last phase) sends „**join p+1**“ to all *quiet neighbors v* (u has not talked to v yet)
3. Node v hearing „join“ for first time sends back „**ACK**“: it becomes leaf of tree  $T_{p+1}$ ; otherwise v replied „**NACK**“ (needed since async!)
4. The leaves of  $T_p$  collect *all* answers and start *Echo Algorithm* to the root
5. Root initiates next phase

# Distributed BFS: Bellman-Ford Flavor

---

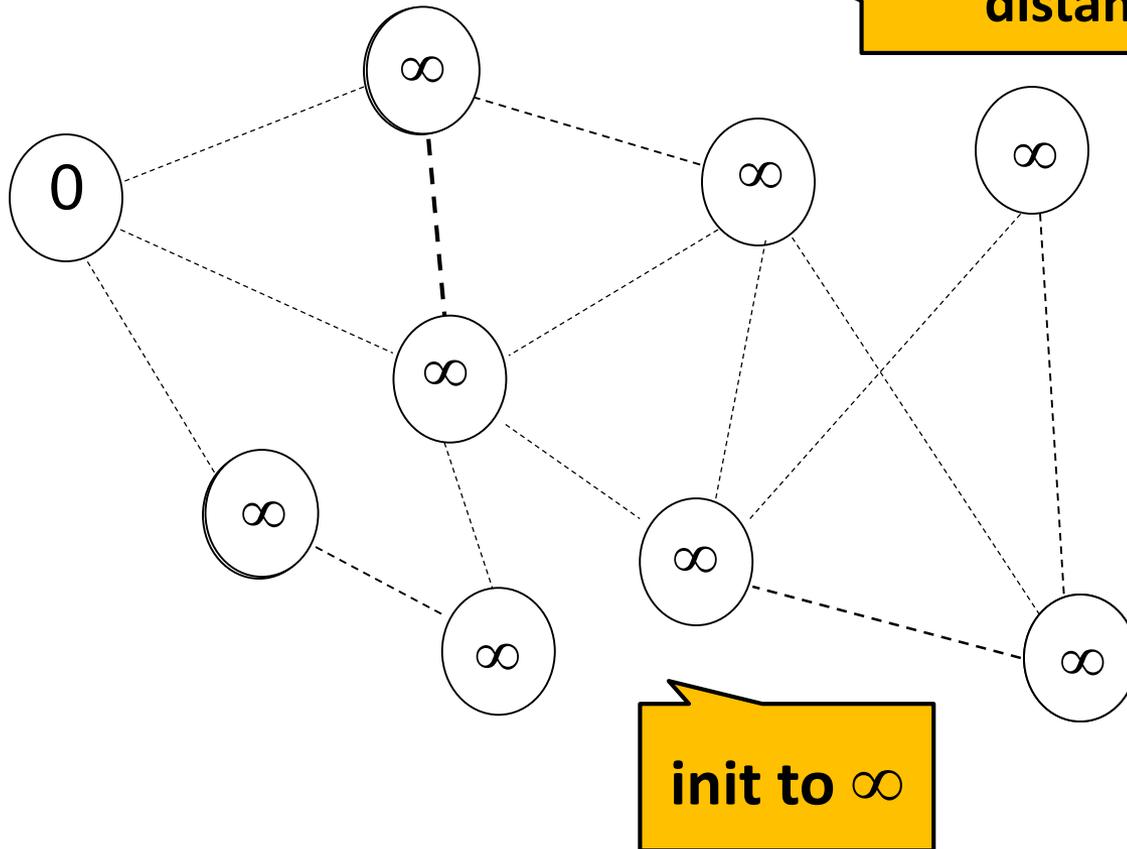
## Distributed BFS: Bellman-Ford Flaw

---

**Idea: Don't go through these time-consuming phases but blast out messages, but with distance information!**

# Distributed BFS: Bellman-Ford Flavor

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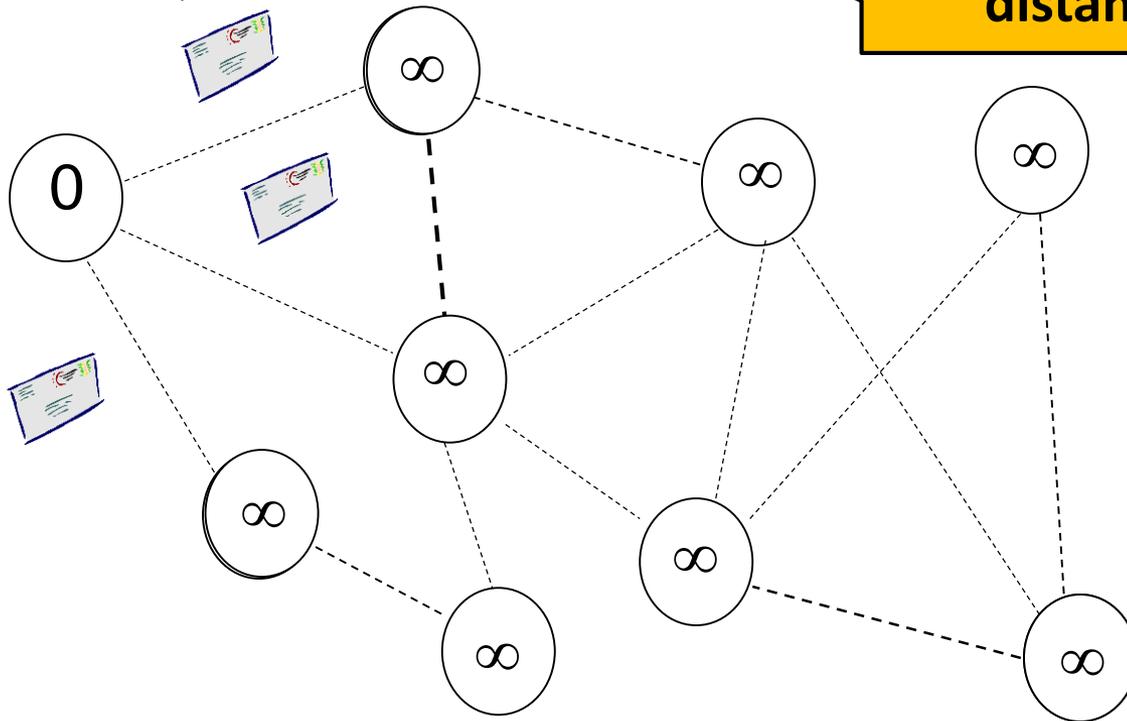


Initialize: root distance 0, other nodes  $\infty$

Distance Bellman-Ford Flaw

distance 1

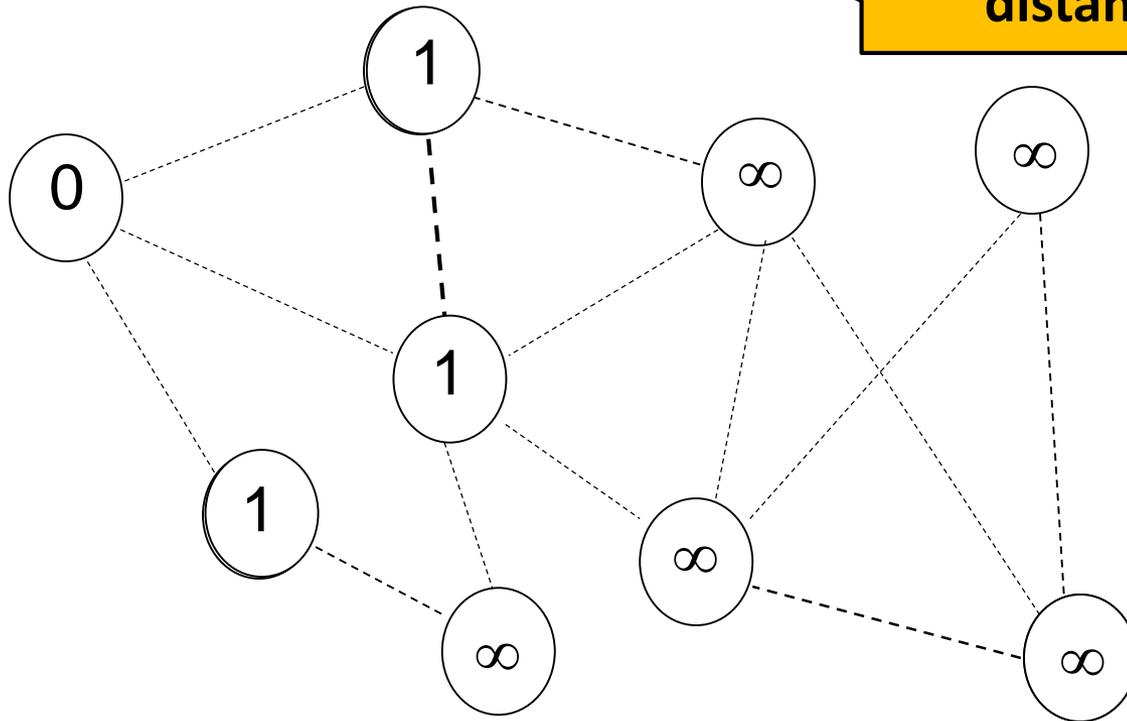
Idea: Don't go through these time-consuming phases but blast out messages, but with distance information!



Start: root sends distance 1 packet to neighbors

# Distributed BFS: Bellman-Ford Flavor

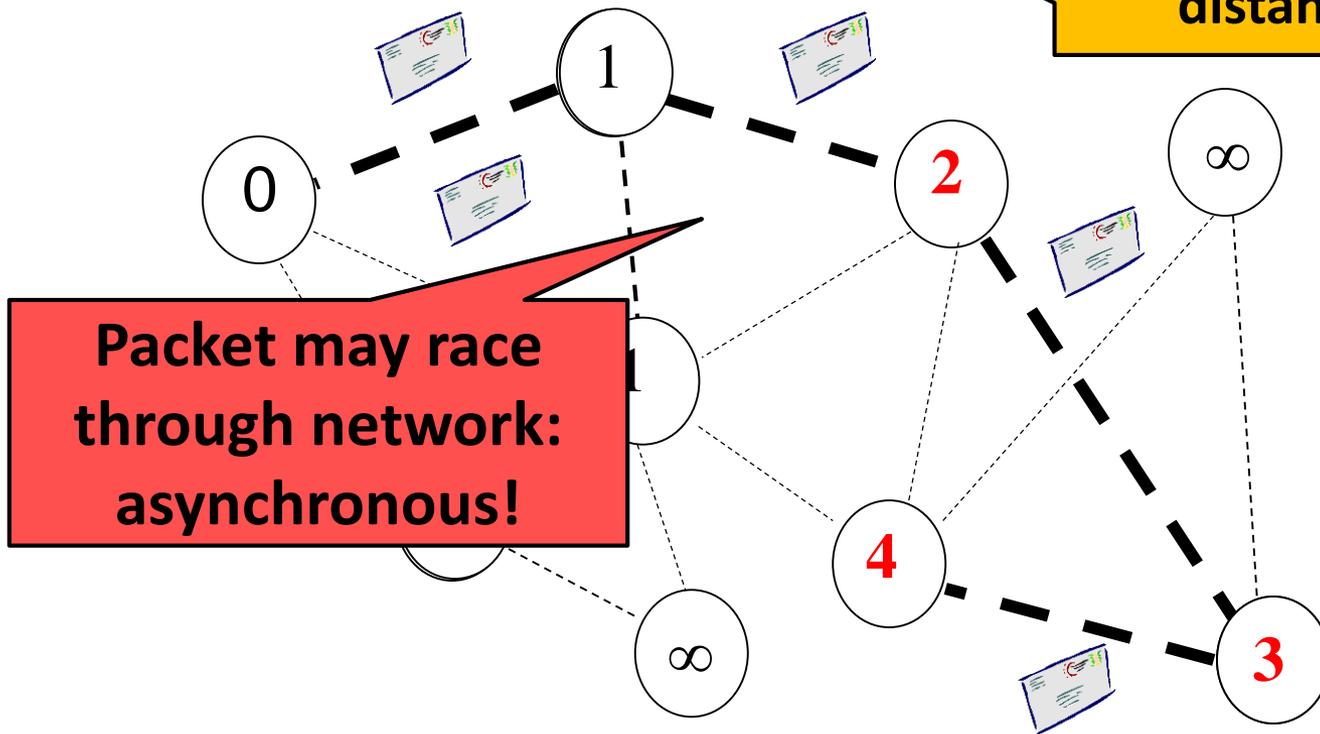
**Idea: Don't go through these time-consuming phases but blast out messages, but with distance information!**



**Repeat: whenever receive new packet: check whether new minimal distance (if so change parent), and propagate!**

# Distributed BFS: Bellman-Ford Flavor

**Idea: Don't go through these time-consuming phases but blast out messages, but with distance information!**

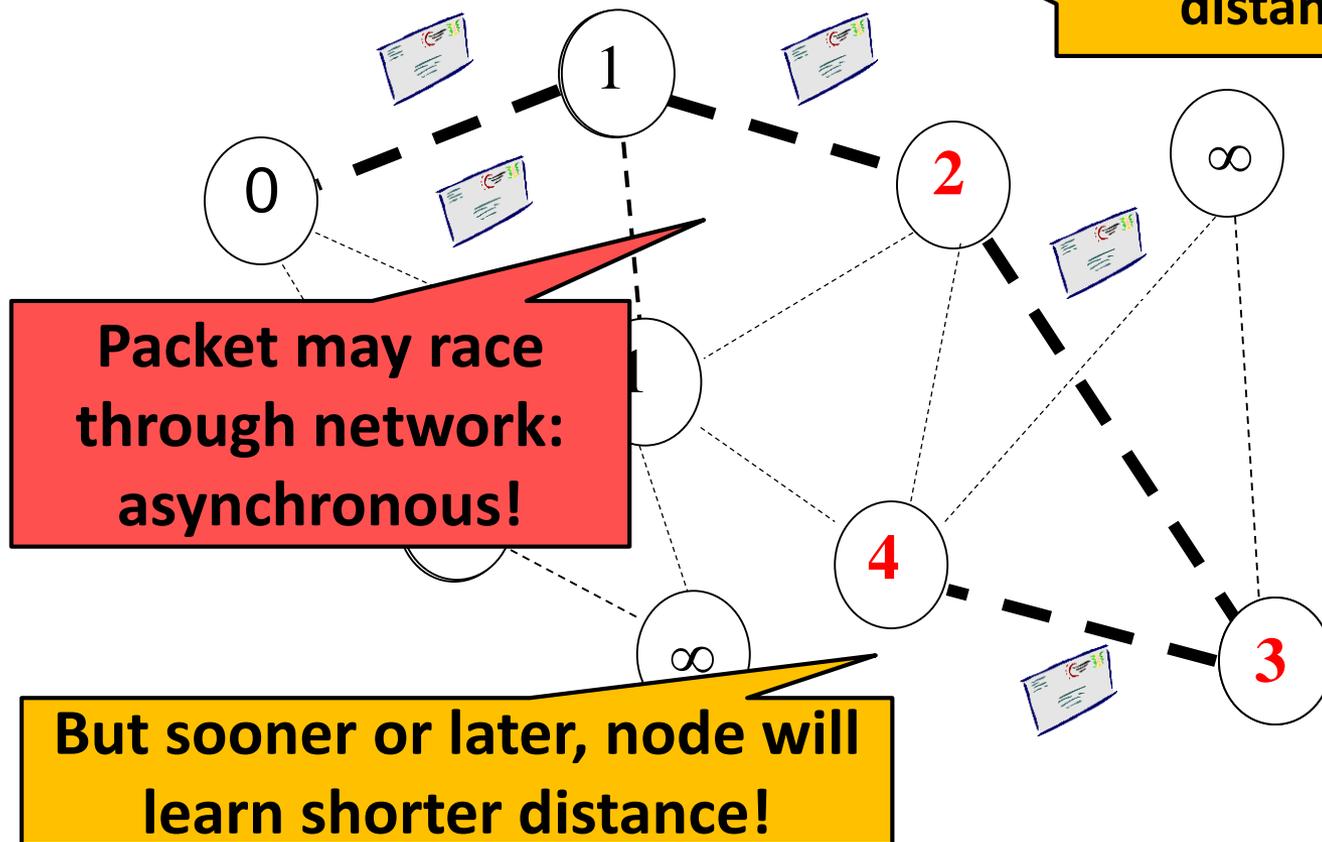


**Packet may race through network: asynchronous!**

**Repeat: whenever receive new packet: check whether new minimal distance (if so change parent), and propagate!**

# Distributed BFS: Bellman-Ford Flavor

**Idea: Don't go through these time-consuming phases but blast out messages, but with distance information!**



Repeat: whenever receive new packet: check whether new minimal distance (if so change parent), and propagate!

# Distributed BFS: Bellman-Ford Flavor

---

**Bellman-Ford:** compute shortest distances by flooding an all paths;  
best predecessor = parent in tree

## Bellman-Ford Style

Each node  $u$  stores  $d_u$ , the distance from  $u$  to the root.  
Initially,  $d_{\text{root}}=0$  and all other distances are 1. Root starts also by sending „1“ to all neighbors.

1. If a node  $u$  receives message „ $y$ “ with  $y < d_u$ 
  - $d_u := y$
  - send „ $y+1$ “ to all other neighbors

Analysis

**How is this defined?! Assuming a unit upper bound on per link delay!**

**Time Complexity?**



**Message Complexity?**



# Analysis

**Worst propagation time is simply the diameter.**



## Time Complexity?

$O(D)$  where  $D$  is diameter of graph. 😊

By induction: By time  $d$ , node at distance  $d$  got „ $d$ “.

Clearly true for  $d=0$  and  $d=1$ .

A node at distance  $d$  has neighbor at distance  $d-1$  that got „ $d-1$ “ on time by induction hypothesis. It will send „ $d$ “ in next time slot...

## Message Complexity?

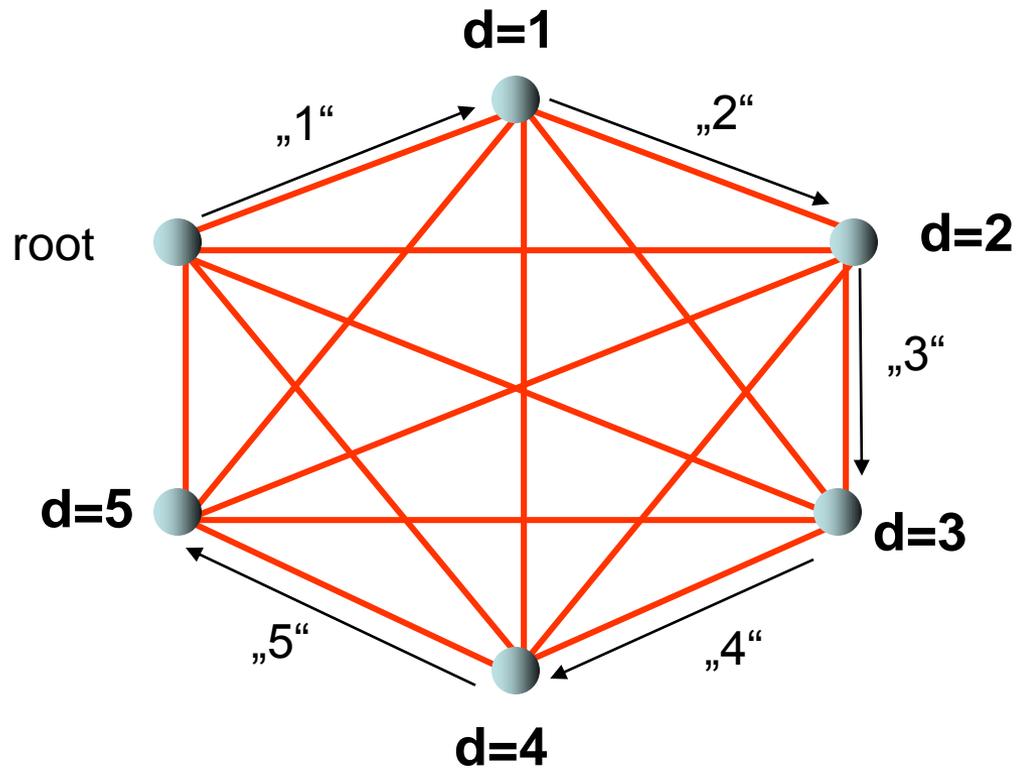


$O(mn)$  where  $m$  is number of edges,  $n$  is number of nodes. 😞

**Because: A node can reduce its distance at most  $n-1$  times (recall: **asynchronous!**). Each of these times it sends an update message to all its neighbors**

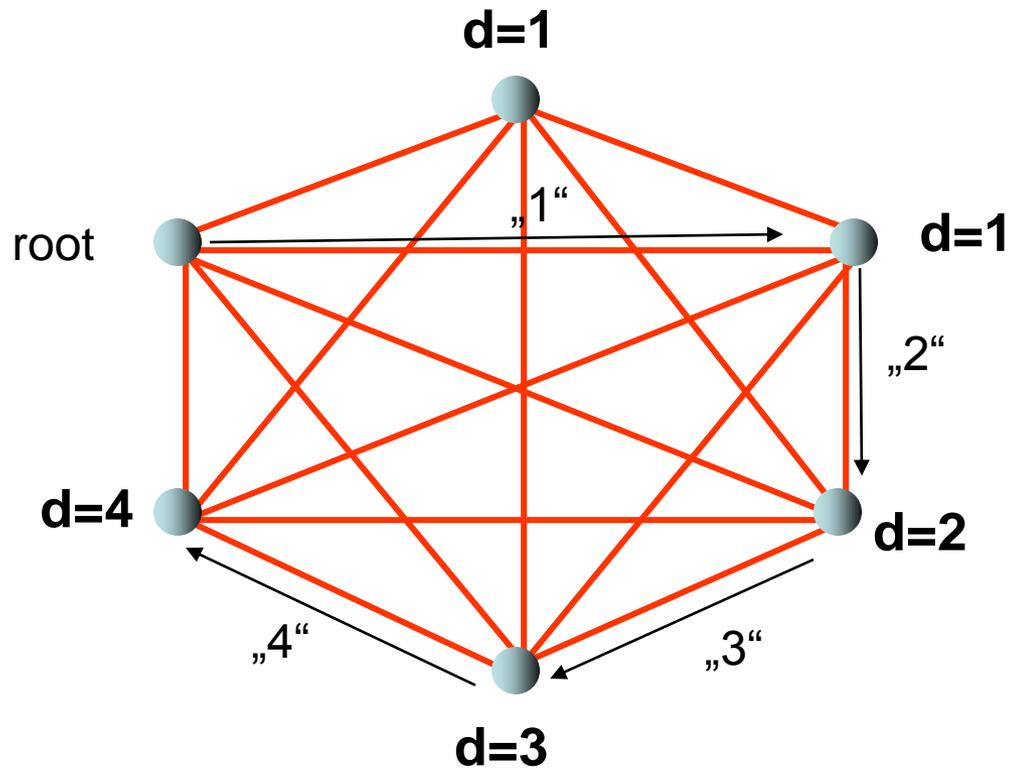
# Bellman-Ford with Many Messages

---



# Bellman-Ford with Many Messages

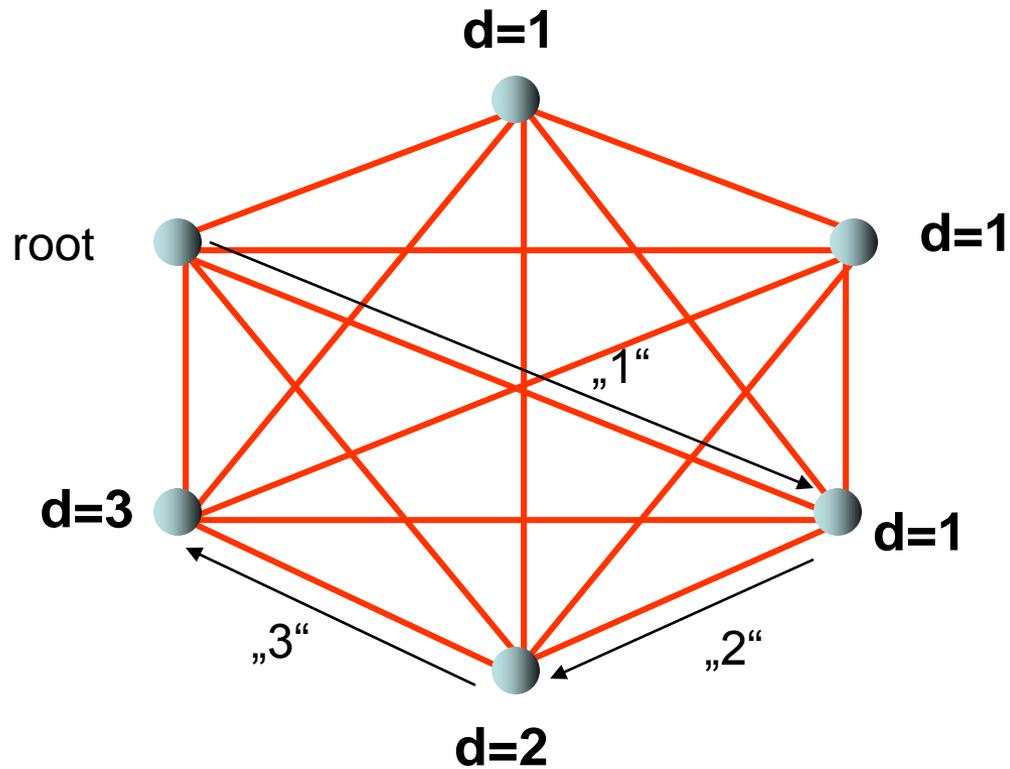
---



**Everyone has a new best distance and informs neighbors!**

# Bellman-Ford with Many Messages

---



**Everyone has a new best distance and informs neighbors!**

## Which algorithm is better?

Dijkstra has better message complexity, Bellman-Ford better time complexity.

## Can we do better?

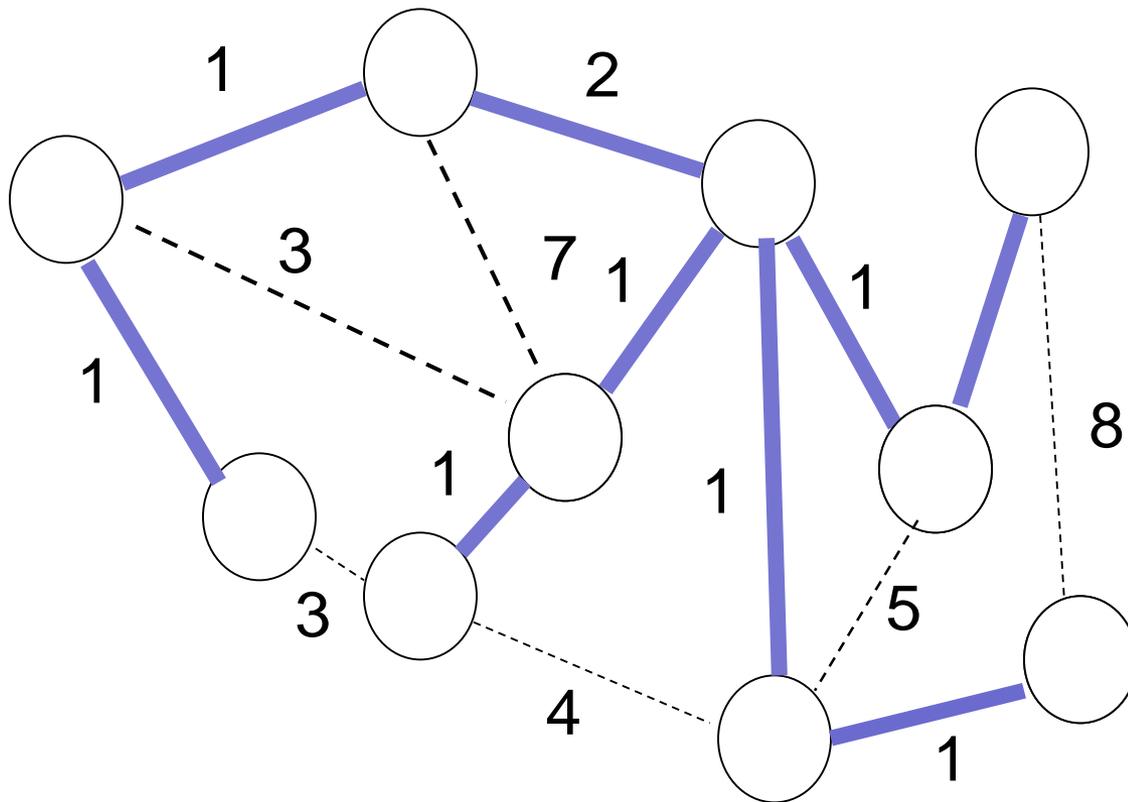
Yes, but not in this course... 😊

Remark: Asynchronous algorithms can be made synchronous... (e.g., by central controller or better: **local synchronizers**)

# How to compute an MST?

## MST

Tree with edges of minimal total weight.



# Idea: Exploit Basic Fact of MST: Blue Edges

## Blue Edge

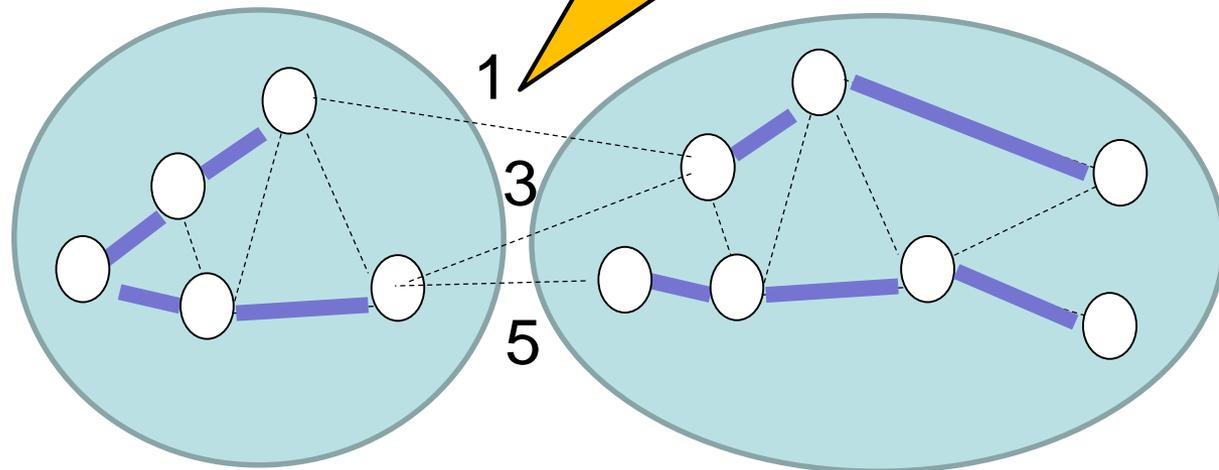
Let  $T$  be an MST and  $T'$  a subgraph of  $T$ .  
Edge  $e=(u,v)$  is *outgoing edge* if  $u \in T'$  and  $v \notin T'$ .  
The outgoing edge of minimal weight is called the *blue edge*.

## Lemma

If  $T$  is the MST and  $T'$  a subgraph of  $T$ , then the blue edge of  $T'$  is also part of  $T$ .

It holds: exactly one tree edge across and cut and the lightest edge across a cut must be part of the MST!

By contradiction:  
otherwise get a cheaper  
MST by swapping the  
two cut edges!



# Gallager-Humblet-Spira

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Gallager-Humblet-Spira

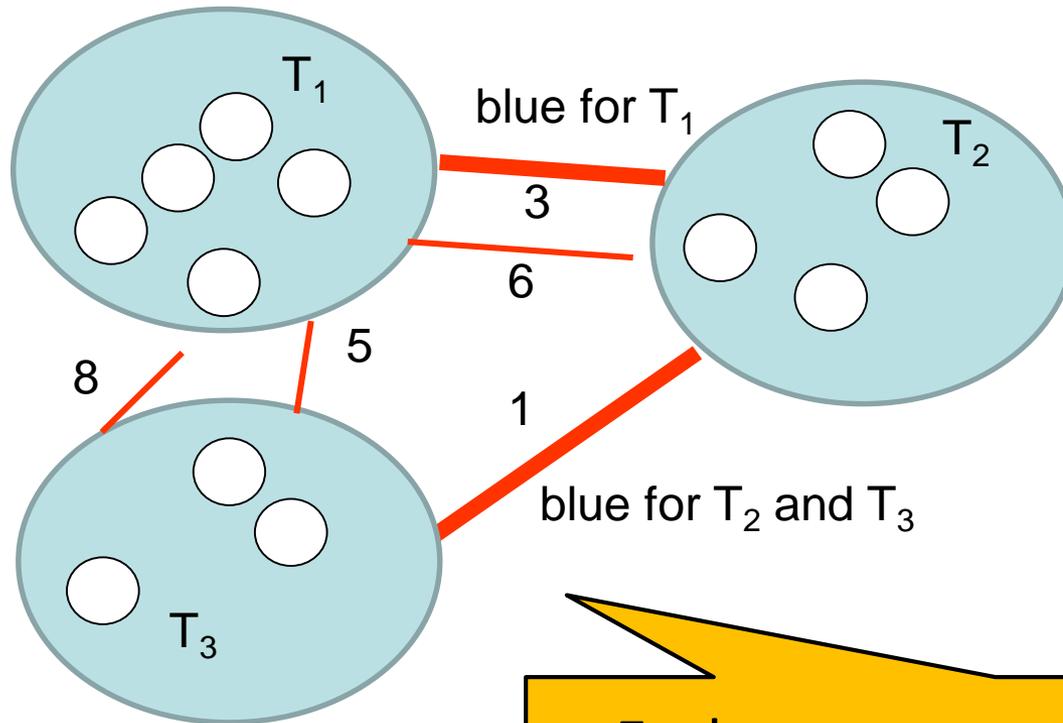
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**Basic idea: Grow components in parallel and merge them at the blue edge! Using Covergecast.**

# Gallager-Humblet-Spira

**Basic idea: Grow components in parallel and merge them at the blue edge! Using Covergecast.**

Assume some components have already emerged:

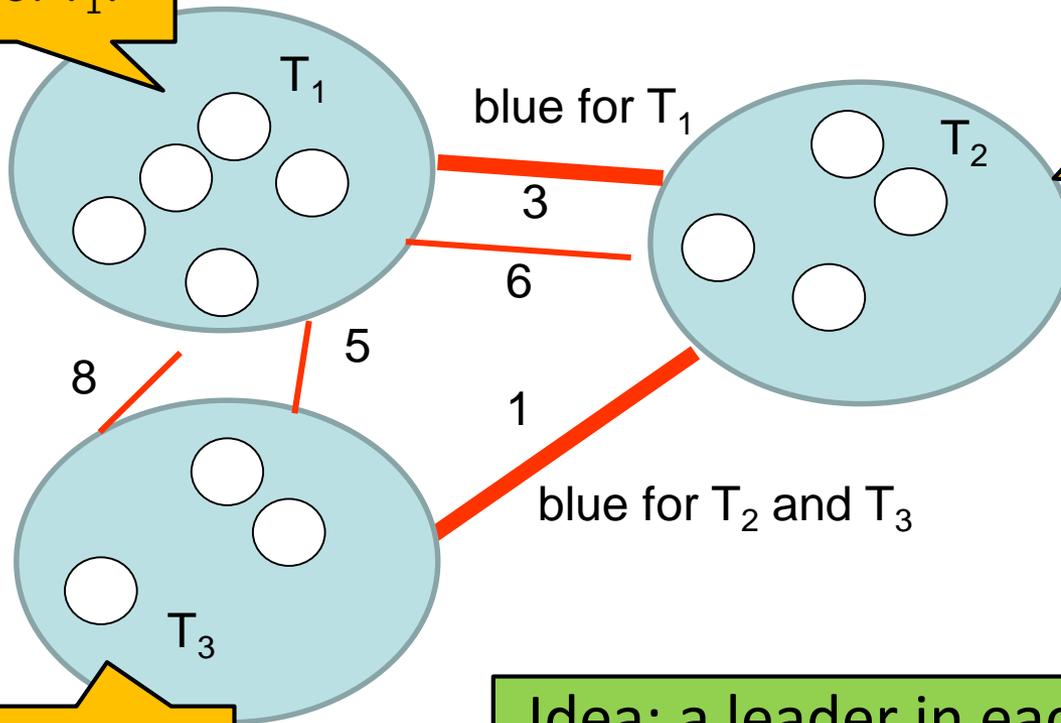


Each component has only one blue edge (cheapest outgoing): loops impossible, can take them in parallel!

# Gallager-Humblet-Spira

Basic idea: Grow components in parallel and merge them at the blue edge! Using Covergecast.

leader of  $T_1$ !



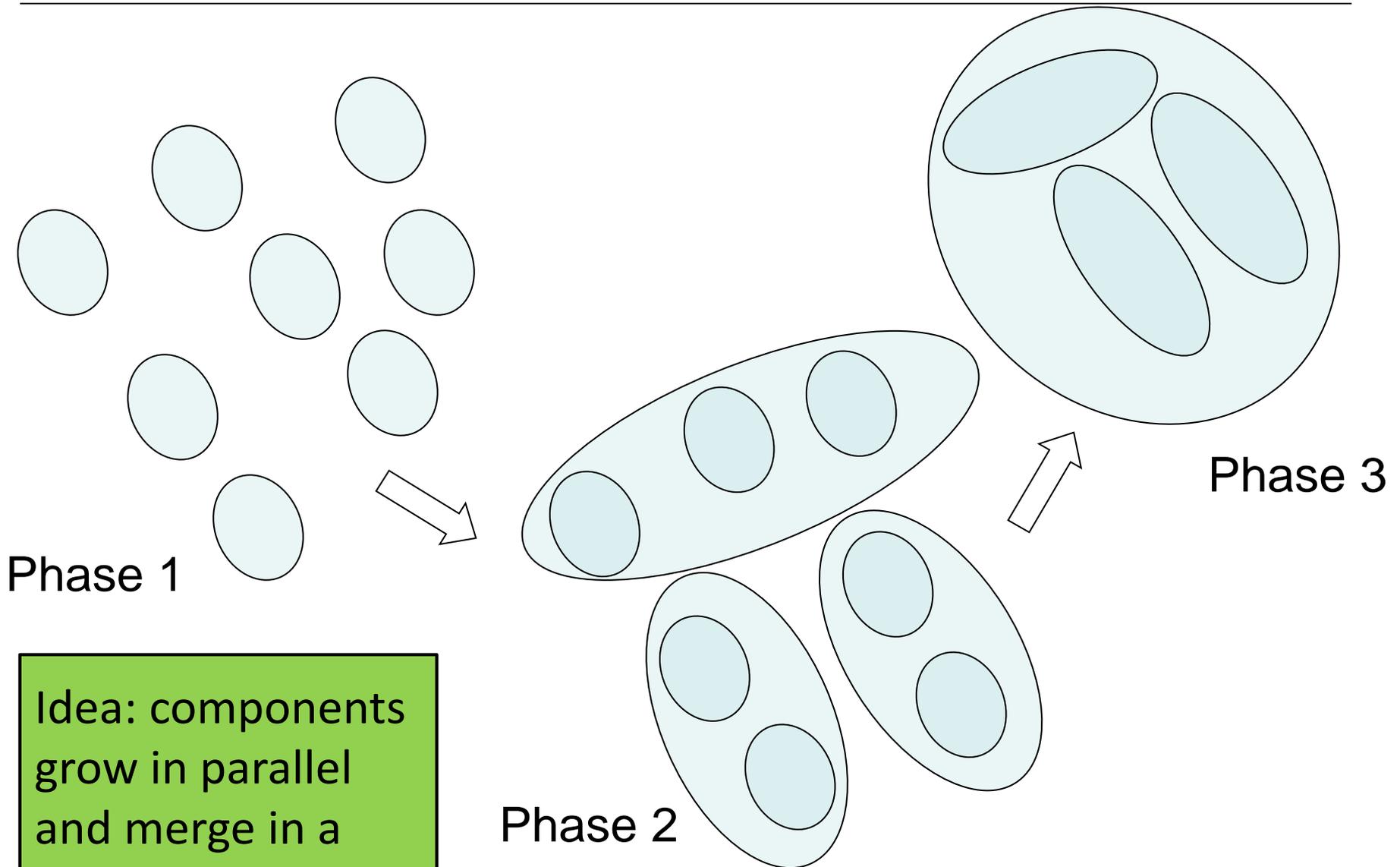
leader of  $T_2$ !

leader of  $T_3$ !

Idea: a leader in each cluster responsible of finding blue edge with convergecast!  
Inside component: maintain **spanning tree directed to leader**!

# Gallager-Humblet-Spira: High-level View

---



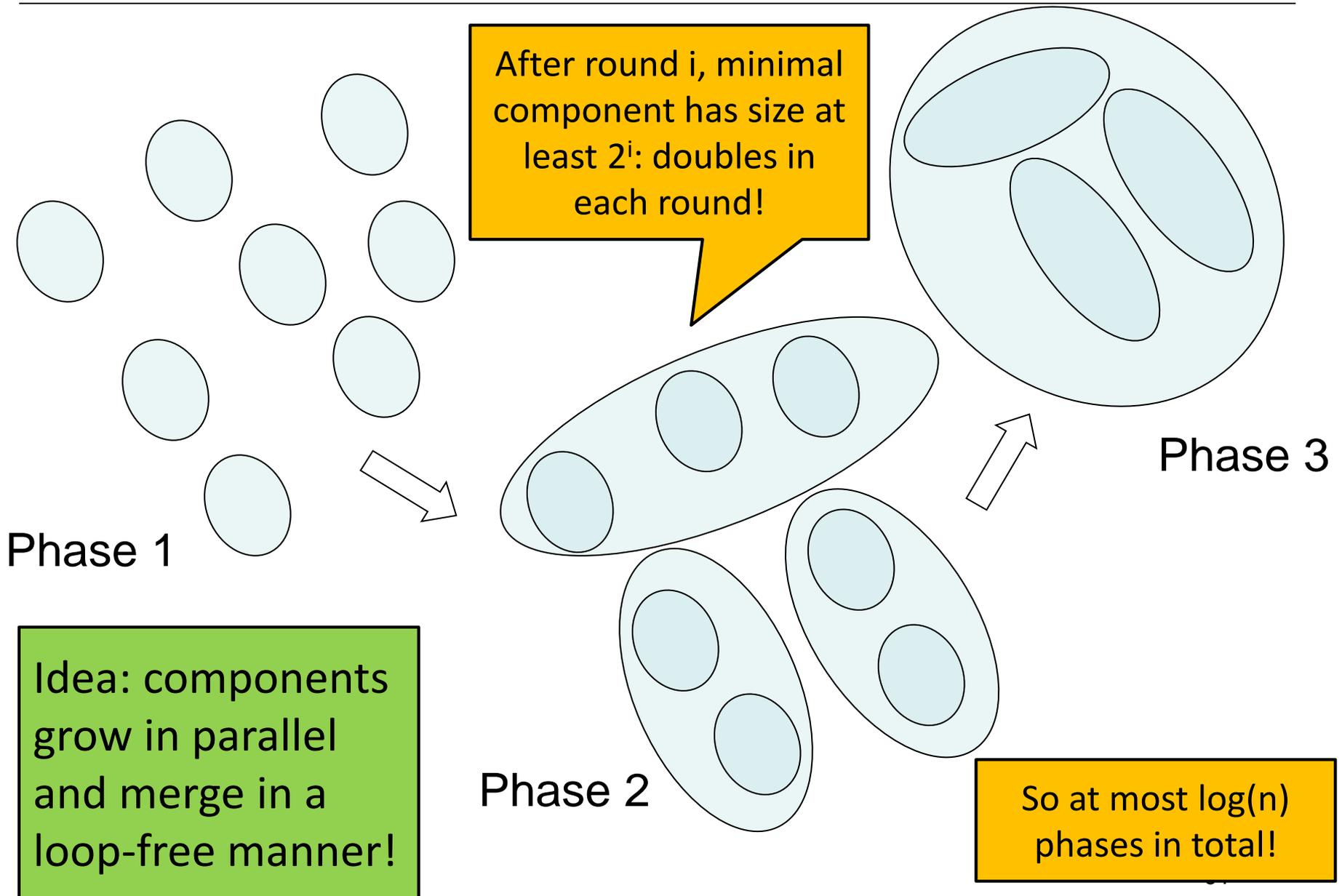
Phase 1

Phase 3

Phase 2

Idea: components  
grow in parallel  
and merge in a  
loop-free manner!

# Gallager-Humblet-Spira: High-level View



# Gallager-Humblet-Spira: High-level View

---

But how to determine blue edge quickly and re-elect new leader in merged larger component?

Phase 1

Minimal fragments  
in round  $i$ ?

$2^i$

Keep spanning tree in each  
component! Can do efficient  
covergecast there.

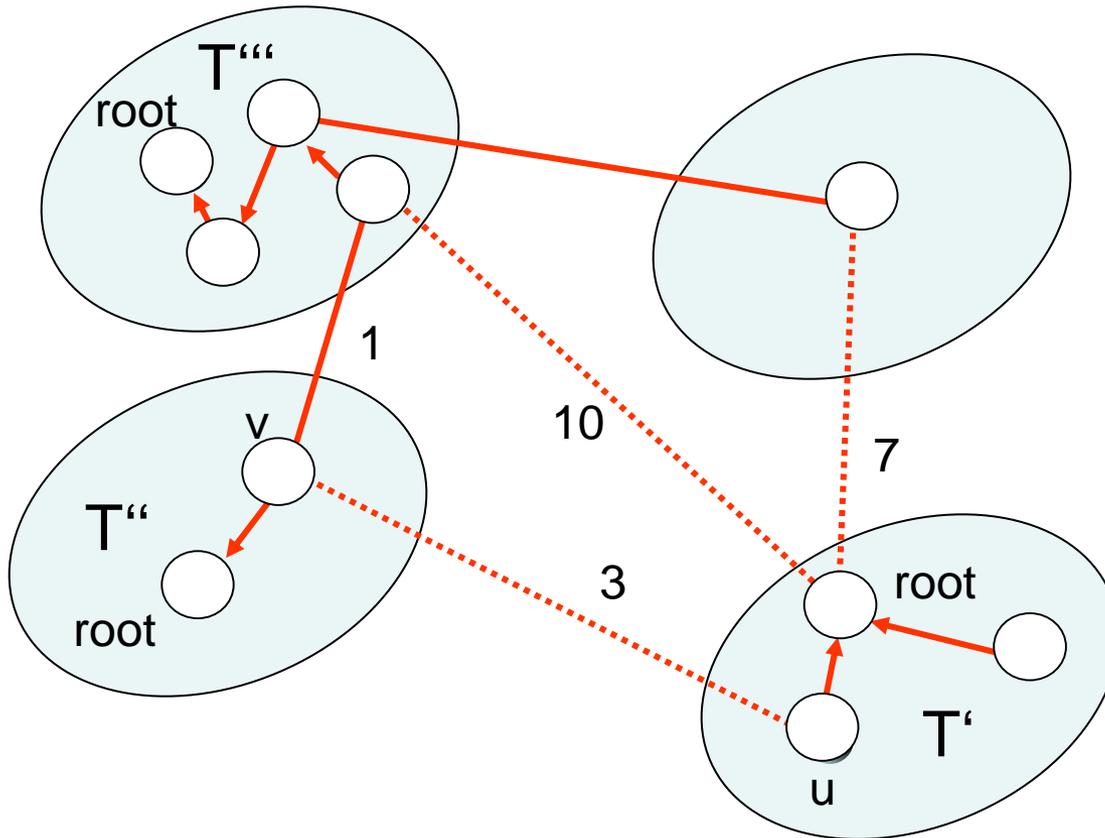
Phase 2

Phase 3

Total number  
of phases?

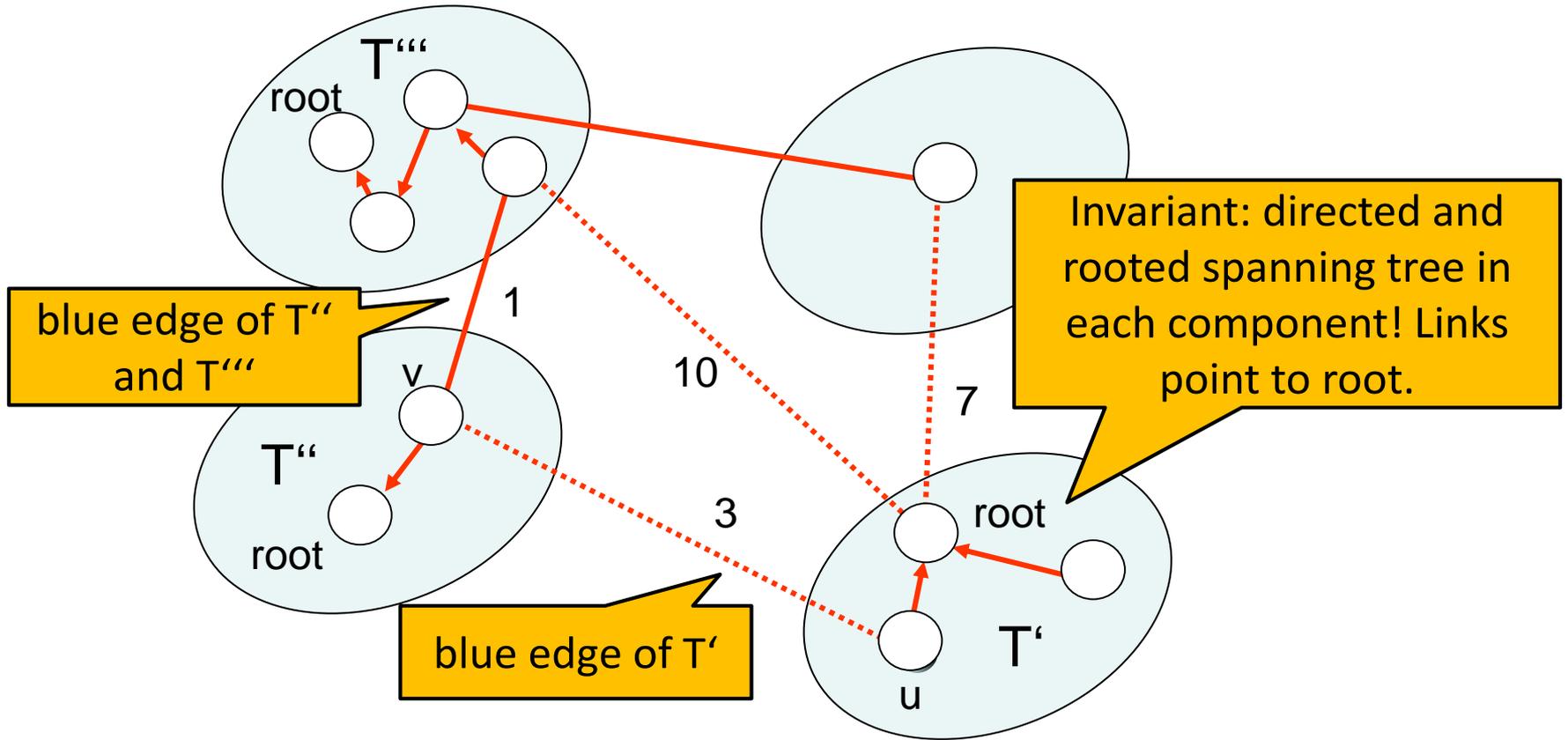
# Example: Agree on a New Root

How to merge  $T'$  and  $T''$  across  $(u,v)$ ?



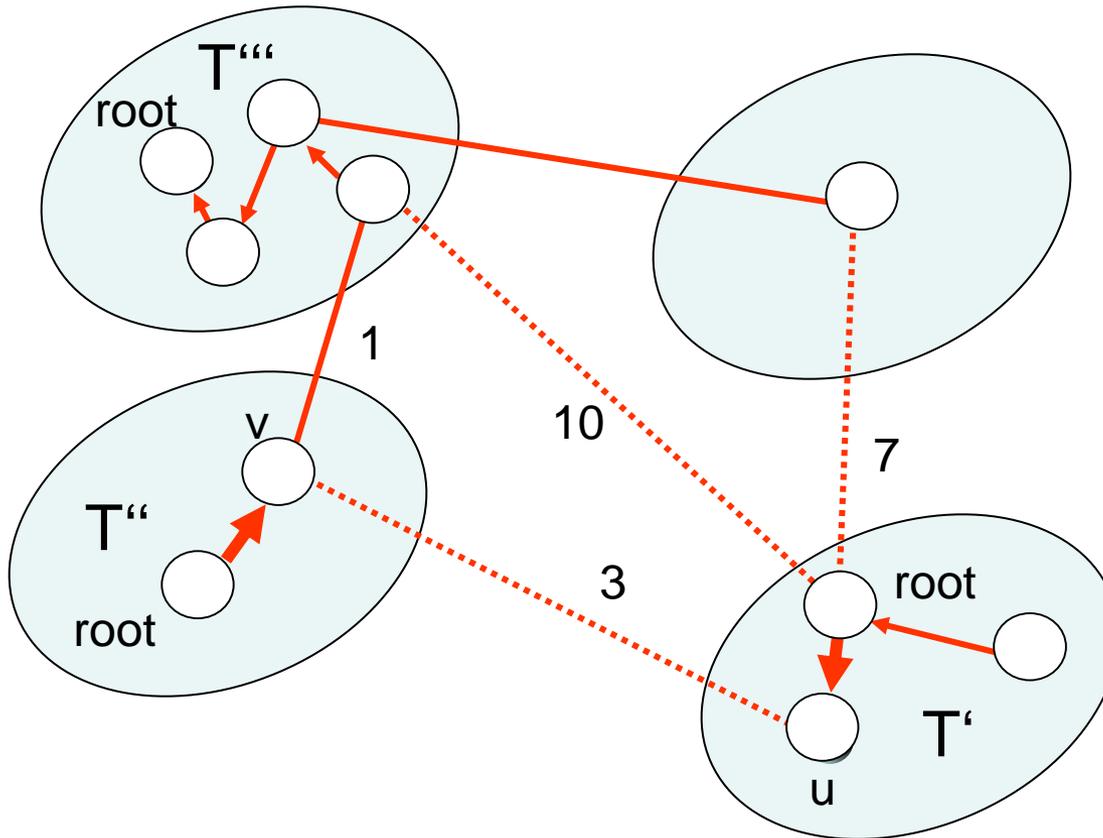
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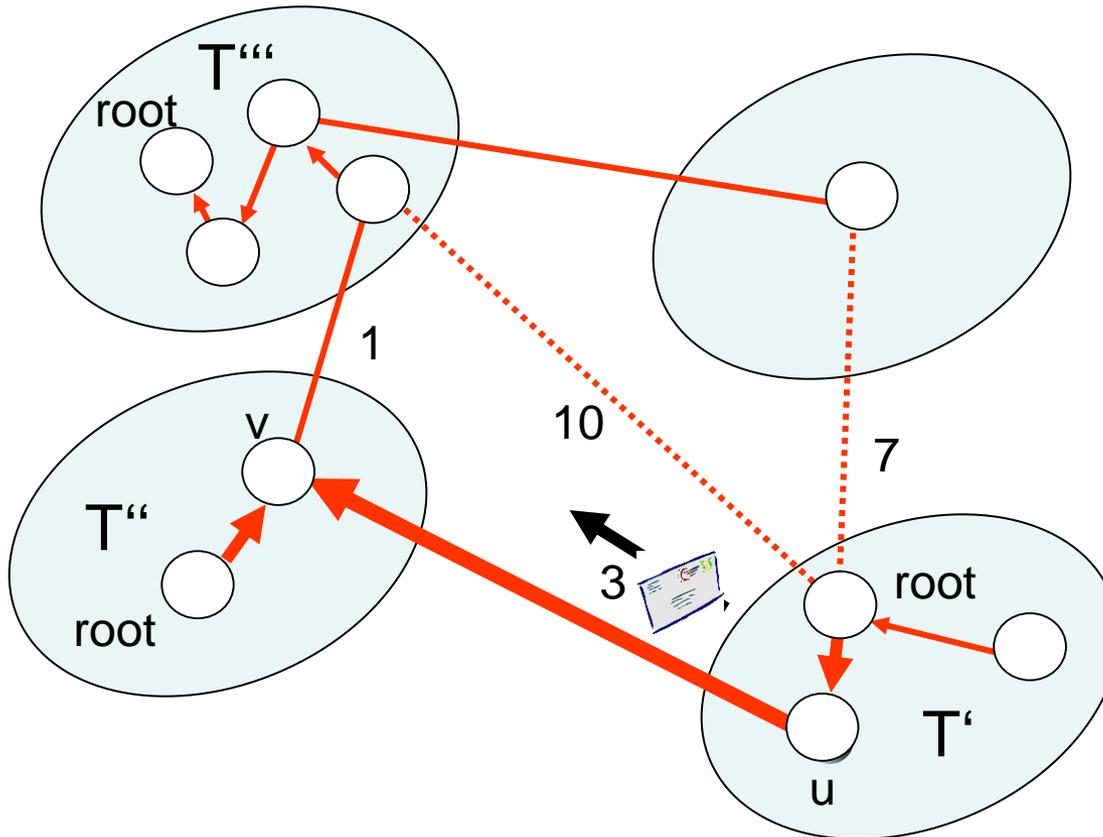
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**Step 1:** invert path from root1 to  $u$  and root2 to  $v$ .

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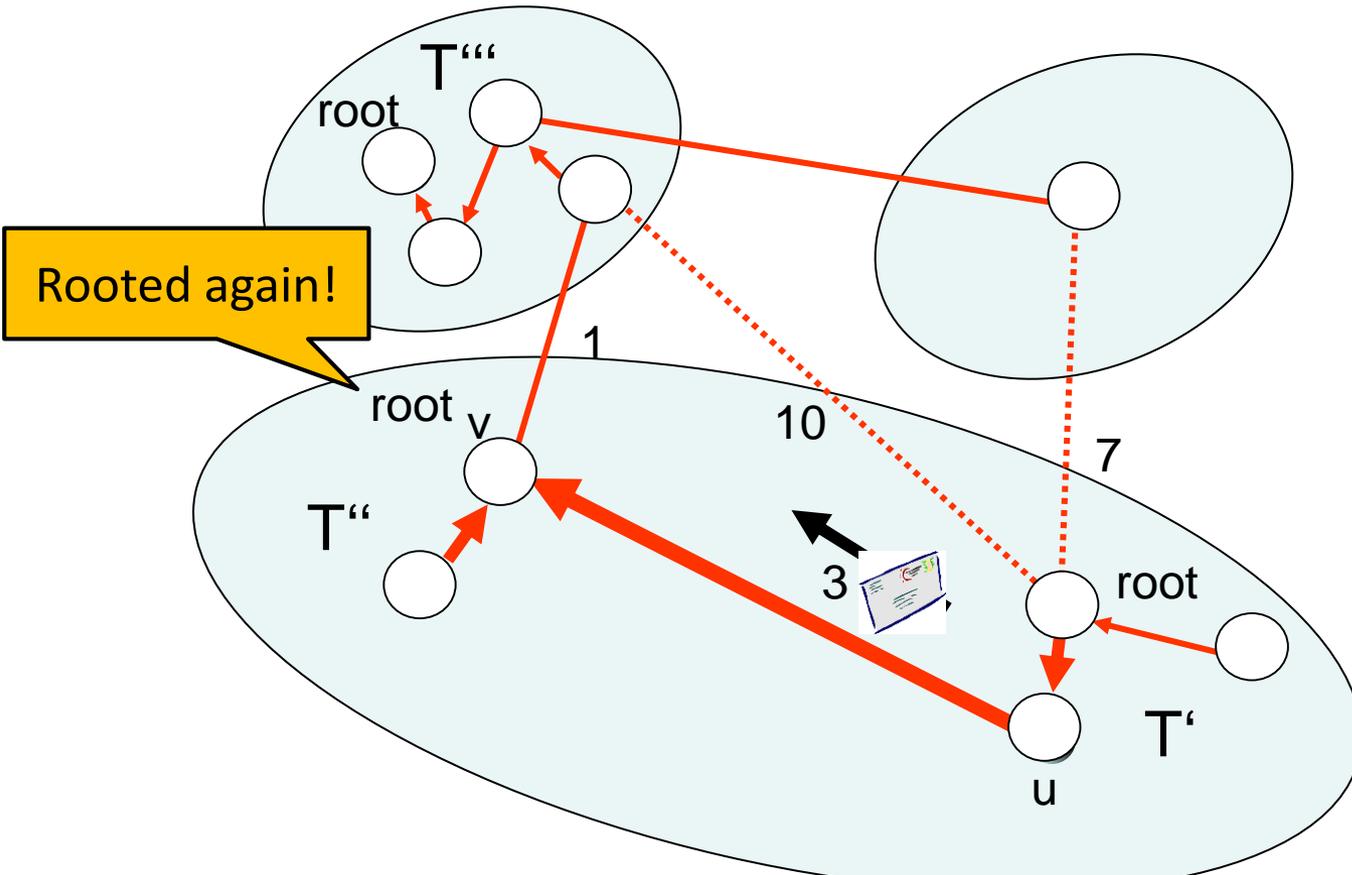
**Step 1:** invert path from root1 to  $u$  and root2 to  $v$ .

**Step 2:** send merge request across blue edge  $(u,v)$ . Here  $(u,v)$  is only the blue edge for  $T'$  so one message!

**Step 3:** consequence of link reversal:  $v$  becomes new root overall!

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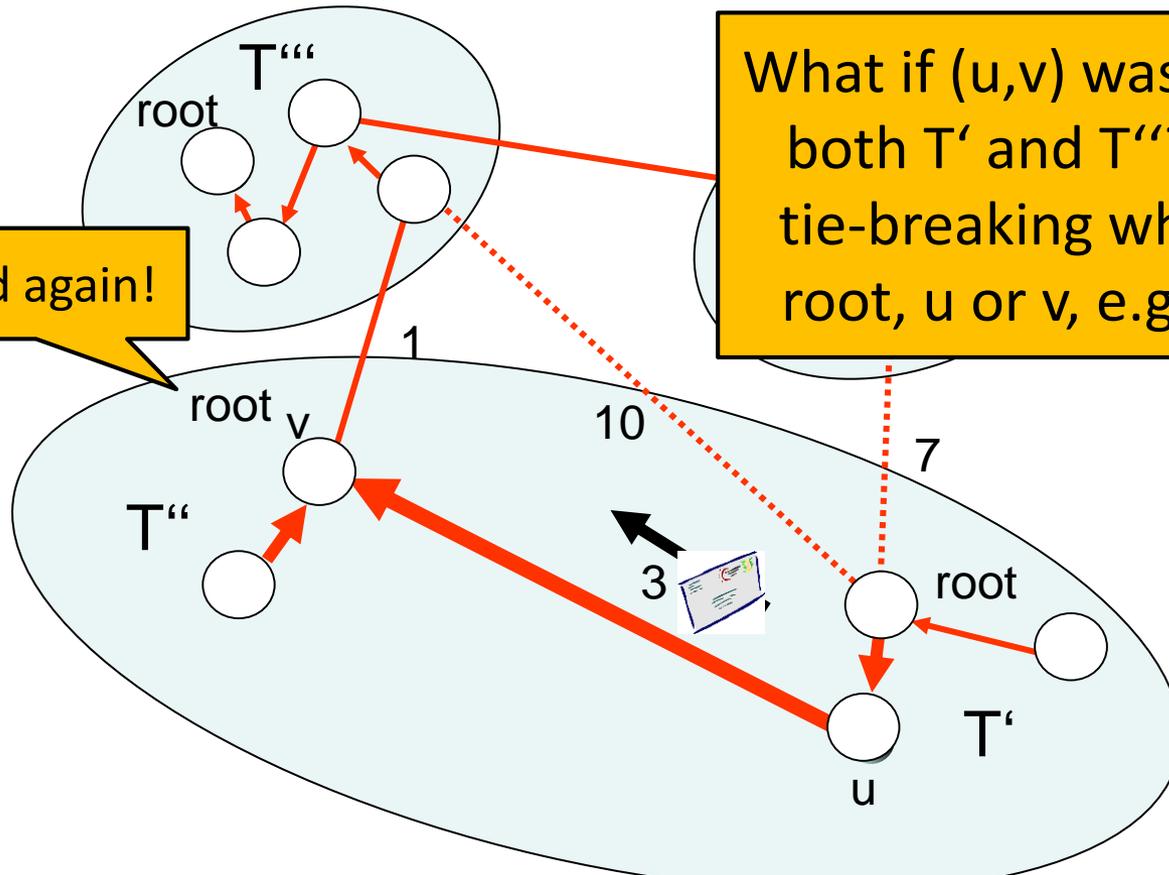
**Step 3:** consequence of link reversal:  $v$  becomes new root overall!

# Example: Agree on a New Root

How to merge  $T'$  and  $T''$  across  $(u,v)$ ?

What if  $(u,v)$  was blue link for both  $T'$  and  $T''$ ? Just make tie-breaking who becomes root,  $u$  or  $v$ , e.g., ID based!

Rooted again!



**Step 1:** invert path from root1 to  $u$  and root2 to  $v$ .

**Step 2:** send merge request across blue edge  $(u,v)$ . Here  $(u,v)$  is only the blue edge for  $T'$  so one message!

**Step 3:** consequence of link reversal:  $v$  becomes new root overall!

# Distributed Kruskal

---

Idea: Grow components by learning blue edge!  
But do many **fragments in parallel!**

## Gallager-Humblet-Spira

Initially, each node is root of its own fragment.

Repeat (until all nodes in same fragment)

1. nodes learn fragment IDs of neighbors
2. root of fragment finds **blue edge (u,v)** by convergecast
3. root sends message to u (inverting parent-child)
4. if v also sent a **merge request** over (u,v), **u or v becomes new root** depending on smaller ID (make **trees directed**)
5. new root informs fragment about new root (convergecast on „MST“ of fragment): new fragment ID

# Analysis

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**Time Complexity?**



**Message Complexity?**



Each phase mainly consists of two convergecasts, so  $O(D)$  time and  $O(n)$  messages per phase?

# Analysis

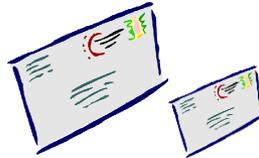
## Time Complexity?



Log n phases with  $O(n)$  time  
convergecast: spanning tree is not BFS!

The size of the smallest fragment **at least doubles** in each phase, so it's **logarithmic**. But converge cast may take n hops  
 $O(n \log n)$  where n is graph size.

## Message Complexity?



Log n phases but in each  
phase need to learn leader ID  
of neighboring fragments, for  
*all* neighbors!

$O(m \log n)$  where m is number of edges: at most  $O(1)$   
messages on each edge in a phase.

Really needed? Each phase mainly consists of two convergecasts, so  **$O(n)$  time and  $O(n)$  messages**. In order to learn fragment IDs of neighbors,  $O(m)$  messages are needed (again and again: ID changes in each phase).

**Yes, we can do better. 😊**

# Analysis

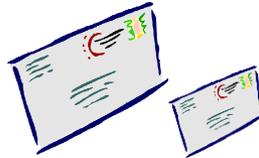
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messages on each edge in a phase.

Really needed? Each phase mainly consists of two convergecasts, so  $O(n)$   
time  $O(m)$   
mess

Note: this algorithm can solve leader  
election! Leader = last surviving root!

# Homework: License to Match

---

In preparation of a highly dangerous mission, the participating agents of the gargantuan Liechtensteinian secret service (LSS) need to work in pairs of two for safety reasons. All members in the LSS are organized in a tree hierarchy. Communication is only possible via the official channel: an agent has a secure phone line to his direct superior and a secure phone line to each of his direct subordinates. Initially, each agent knows whether or not he is taking part in this mission. The goal is for each agent to find a partner.

- a) Devise an algorithm that will match up a participating agent with another participating agent given the constrained communication scenario. A “match” consists of an agent knowing the identity of his partner and the path in the hierarchy connecting them. Assume that there is an even number of participating agents so that each one is guaranteed a partner. Furthermore, observe that<sup>1</sup> the phone links connecting two paired-up agents need to remain open at all times. Therefore, you cannot use the same link (i.e., an edge) twice when connecting an agent with his partner.
- b) What are the time and message (i.e., “phone call”) complexities of your algorithm?

Literature for further reading:

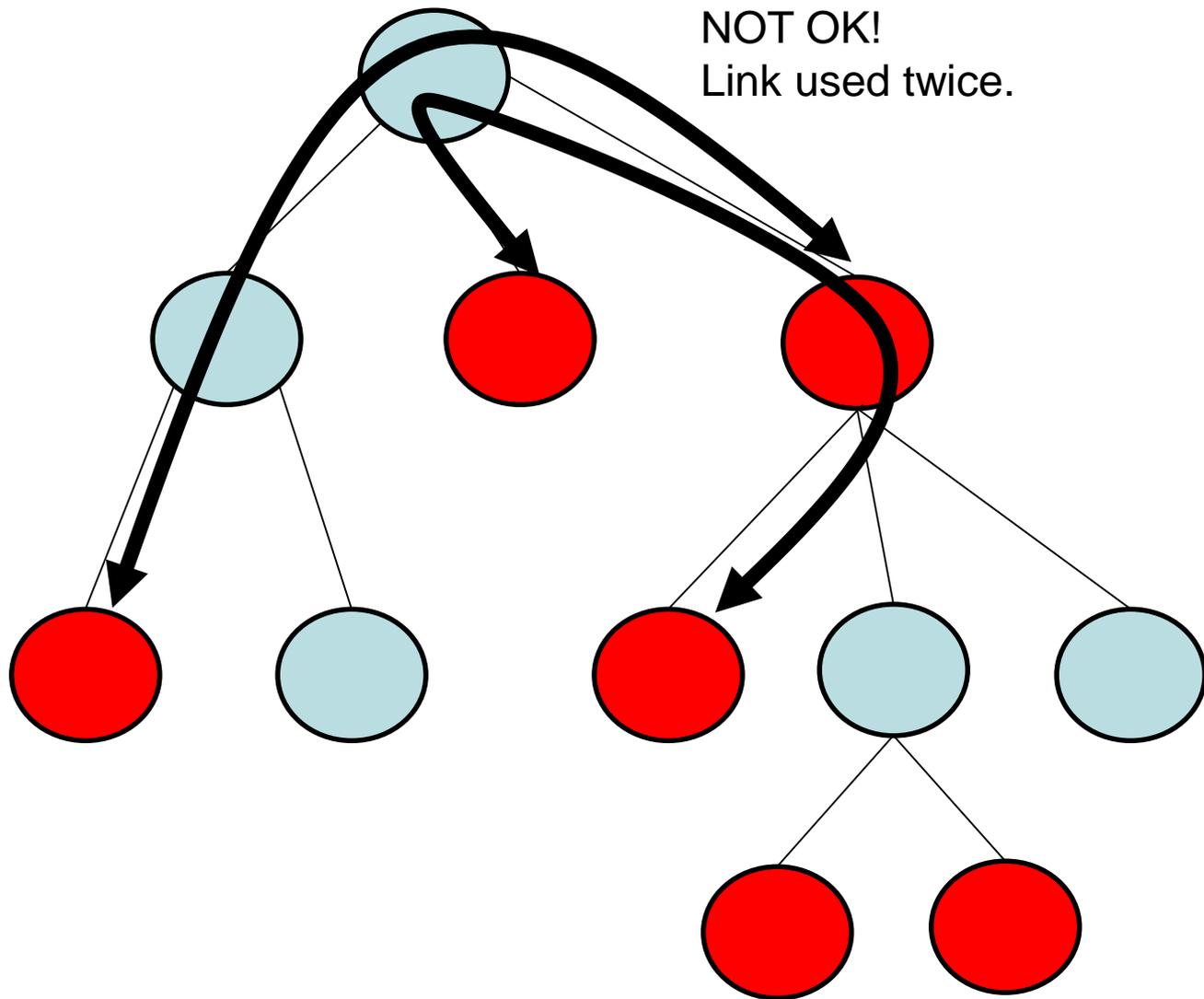
- Peleg's book

End of lecture

## Exercise 2: License to Match

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- Only a subset participates in the mission!
- Only know whether I myself participate initially
- Need to build pairs
- Communication along tree: use link only once!

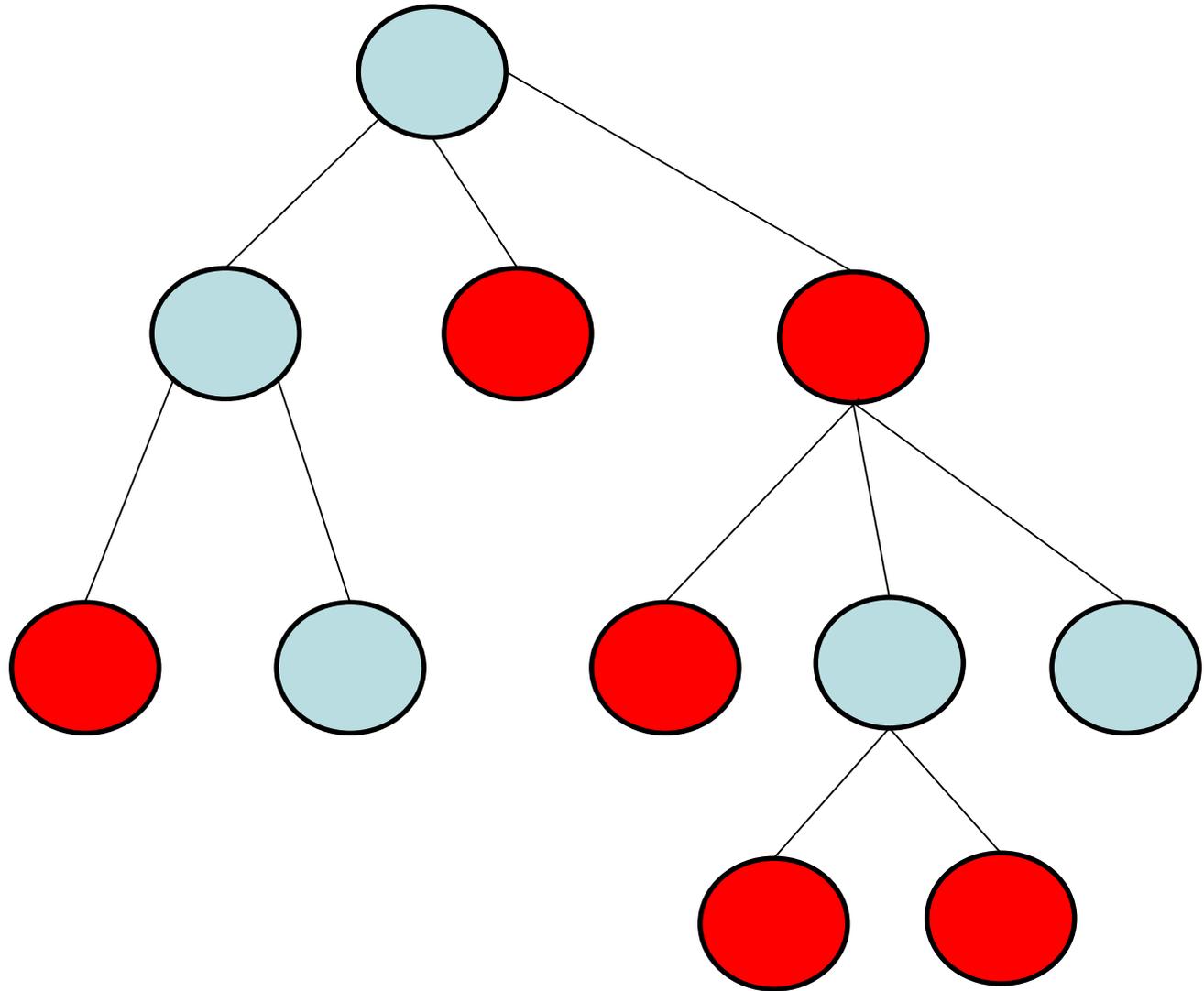


## Exercise 2: License to Match

---

Idea: Convergecast!

- Pair up from leaves upward
- At most one unmatched guy in subtree: either match with myself or propagate upward (links used at most once!)
- If total number of active nodes even, there is a solution



## Exercise 2: License to Match

---

---

### Algorithm 1 Edge-Disjoint Matching

---

```
1: wait until received message from all children
2: while remain  $\geq 2$  requests (including myself) do
3:   match any two requests
4: end while
5: if exists leftover request then
6:   send up "match"
7: else
8:   send up "no match"
9: end if
```

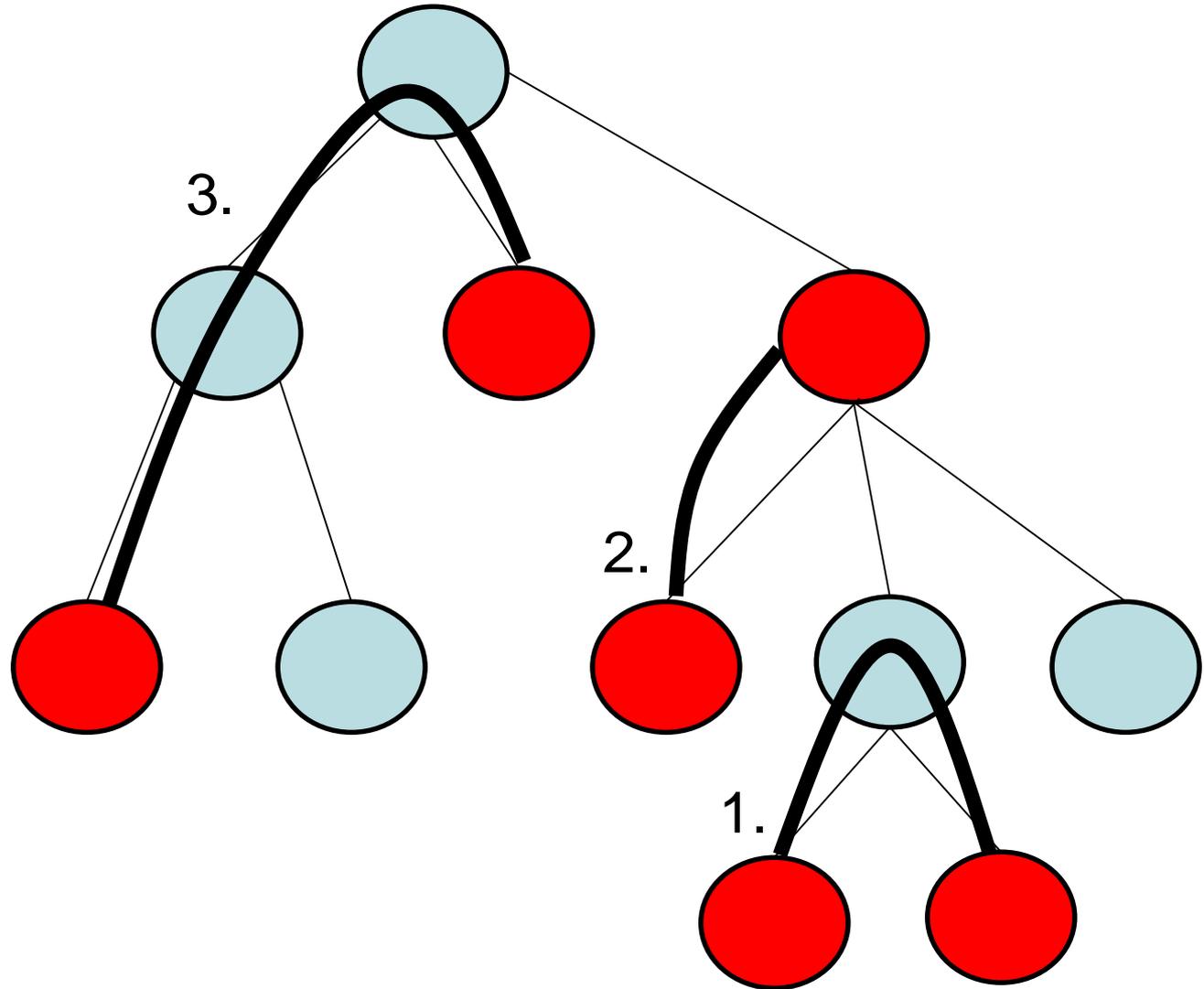
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- If total number of active nodes even, there is a solution



## Exercise 2: License to Match

---

### Analysis

- Runtime in the order of tree depth
- Number of messages linear in tree size

