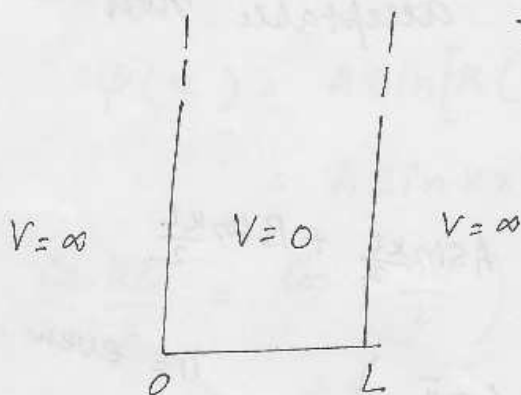


Particle in a box.

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V\psi = E\psi$$

$$\frac{d^2\psi}{dx^2} = -\frac{2mE}{\hbar^2} \psi$$

$$= -k^2 \psi$$

$$\psi = A \sin kx + B \cos kx$$

$$k = \sqrt{\frac{2mE}{\hbar^2}}$$

Boundary Conditions.

$$1. \psi(0) = 0 = \psi(L)$$

$$2. \frac{d\psi(0)}{dx} = \frac{d\psi(L)}{dx}$$

Cosine solution is unacceptable because of (1)

$$\psi = A \sin kx, \text{ using (1) } A \sin kL = 0$$

$$kL = n\pi$$

$$k = \frac{n\pi}{L}$$

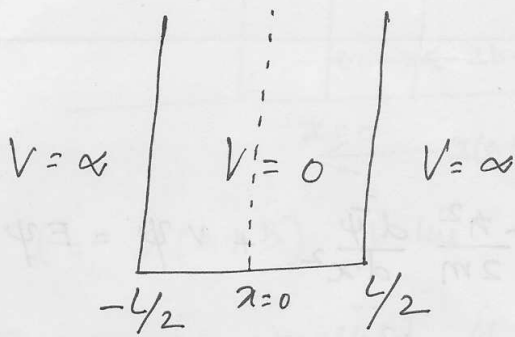
$$\psi = A \sin \frac{n\pi}{L} x \text{ using normalisation}$$

$$\int_0^L \psi^* \psi dx = 1 \text{ yields, } A = \sqrt{\frac{2}{L}}$$

$$\psi(x) = \sqrt{\frac{2}{L}} \sin \frac{n\pi}{L} x; E_n = \frac{n^2 \pi^2 \hbar^2}{2mL^2}$$

ASK Students to Calculate  $\frac{\Delta E_n}{E_n}$  for large  $n$  (correspondence principle)

# Particle in a symmetric box.



$$\psi(x) = A \sin kx + B \cos kx$$

Both solutions are acceptable now

$$\psi\left(-\frac{L}{2}\right) = \psi\left(\frac{L}{2}\right)$$

$$-A \sin \frac{kL}{2} + B \cos \frac{kL}{2} = A \sin \frac{kL}{2} + B \cos \frac{kL}{2}$$

$$2A \sin \frac{kL}{2} = 0 = \sin\left(\frac{n\pi}{2}\right) \quad n = \text{even}$$

$k = \frac{n\pi}{L}$ . For  $n = \text{even}$ , the solution is

$$\psi(x) = A \sin kx$$

$$\psi'\left(-\frac{L}{2}\right) = \psi'\left(\frac{L}{2}\right)$$

$$A k \cos \frac{kL}{2} + B k \sin \frac{kL}{2} = A k \cos \frac{kL}{2} \neq B k \sin \frac{kL}{2}$$

$$2B k \sin \frac{kL}{2} = 0$$

$k = \frac{n\pi}{L}$   $n = \text{odd}$ , the solution is

$$\psi(x) = B \cos kx$$

## Alternative Solution for particle in a symmetric box.

Consider  $u\bar{u}$  solution,

$$\psi(x) = A \sin kx$$

Change  $x \rightarrow x - \frac{L}{2}$

$$\begin{aligned}\psi(x) &= A \sin \left[ k \left( x - \frac{L}{2} \right) \right] \\ &= A \sin kx \cos \left( \frac{kL}{2} \right) - A \cos kx \sin \left( \frac{kL}{2} \right)\end{aligned}$$

$$\cos \frac{kL}{2} = \cos \left( \frac{n\pi}{2} \right) = 0 \quad \text{for } n = \text{odd } (1, 3, \dots)$$

$$= \pm 1 \quad \text{for } n = \text{even } (2, 4, \dots)$$

$$\sin \frac{kL}{2} = \sin \left( \frac{n\pi}{2} \right) = 0 \quad \text{for } n = \text{even } (2, 4, \dots)$$

$$= \pm 1 \quad \text{for } n = \text{odd.}$$

$$\psi(x) = A \sin kx \quad \text{for } n = \text{even } (1)$$

$$= B \cos kx \quad \text{for } n = \text{odd. } (2)$$

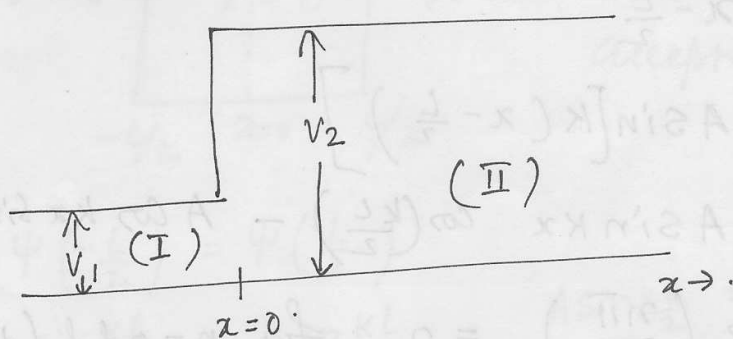
(1) has odd parity, (2) has even parity.

Discuss briefly Parity Violation.

# Barrier transmission problems.

## Transfer Matrix approach

Example 1 (Potential Discontinuity at  $x = 0$ ).



$$V(x) = \begin{cases} V_1 & -\infty < x \leq 0 \\ V_2 & x > 0 \end{cases}$$

$$E > V_2 > V_1$$

In regions (I)  $\psi_I(x) = A_1 e^{ik_1 x} + B_1 e^{-ik_2 x}$  (1)

(II)  $\psi_{II}(x) = A_2 e^{ik_2 x} + B_2 e^{-ik_2 x}$  (2)

The boundary conditions are -

$$\psi_I(0) = \psi_{II}(0) \Rightarrow A_1 + B_1 = A_2 + B_2 \quad (3)$$

$$\frac{d\psi_I}{dx}(0) = \frac{d\psi_{II}}{dx}(0) \Rightarrow ik_1(A_1 - B_1) = ik_2(A_2 - B_2) \quad (4)$$

The above eqns. (3) and (4) can be represented as -

$$\begin{pmatrix} A_2 \\ B_2 \end{pmatrix} = \frac{1}{2k_2} \begin{pmatrix} k_1 + k_2 & k_2 - k_1 \\ k_2 - k_1 & k_1 + k_2 \end{pmatrix} \begin{pmatrix} A_1 \\ B_1 \end{pmatrix}$$

$$= \frac{1}{2} \begin{pmatrix} 1 + \frac{k_1}{k_2} & 1 - \frac{k_1}{k_2} \\ 1 - \frac{k_1}{k_2} & 1 + \frac{k_1}{k_2} \end{pmatrix} \begin{pmatrix} A_1 \\ B_1 \end{pmatrix}$$

$$= M(k_1, k_2) \begin{pmatrix} A_1 \\ B_1 \end{pmatrix}$$

## Properties of the M-matrix

1.  $M(k_1, k_2) M(k_2, k_3) = M(k_2, k_3) M(k_1, k_2)$   
— self commuting.
2.  $M(k_1, k_2) M(k_2, k_3) = M(k_1, k_3)$ .
3.  $M(k_1, k_2) M(k_2, k_1) = \mathbb{1}$ .

## Reflection & Transmission Coefficients.

We have,

$$\begin{pmatrix} A_2 \\ B_2 \end{pmatrix} = M(k_1, k_2) \begin{pmatrix} A_1 \\ B_1 \end{pmatrix}$$

$$\begin{aligned} \text{So, } \begin{pmatrix} A_1 \\ B_1 \end{pmatrix} &= M(k_2, k_1) \begin{pmatrix} A_2 \\ B_2 \end{pmatrix} \\ &= \frac{1}{2} \begin{pmatrix} 1 + \frac{k_2}{k_1} & 1 - \frac{k_2}{k_1} \\ 1 - \frac{k_2}{k_1} & 1 + \frac{k_2}{k_1} \end{pmatrix} \begin{pmatrix} A_2 \\ B_2 \end{pmatrix} \end{aligned}$$

Now to calculate ~~transmission~~ <sup>to reflection</sup> coefficient, we can assume  $B_2 = 0$  (no -ive moving wave)  
In addition take  $A_2 = 1$ . With this we have made  
the normalisation arbitrary.

$$\begin{pmatrix} A_1 \\ B_1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 + \frac{k_2}{k_1} \\ 1 - \frac{k_2}{k_1} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad R = \frac{|B_1|^2}{|A_1|^2} = \frac{\left(1 - \frac{k_2}{k_1}\right)^2}{\left(1 + \frac{k_2}{k_1}\right)^2}$$

$$\text{Hence } T = 1 - R = \frac{4k_2/k_1}{\left(1 + k_2/k_1\right)^2} = \frac{4k_1 k_2}{(k_1 + k_2)^2}$$

Example 2. (Finite potential discontinuity at  $x$ .)

$$V_1 < E < V_2$$

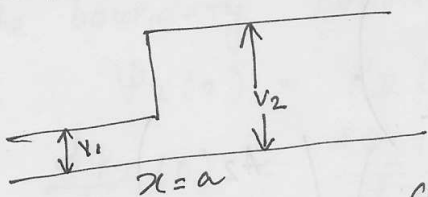
$$k_1 \rightarrow k_1$$

$$k_2 \rightarrow ik_2$$

$$M(ik_2, k_1) = \frac{1}{2} \begin{pmatrix} 1 + \frac{ik_2}{k_1} & 1 - \frac{ik_2}{k_1} \\ 1 - \frac{ik_2}{k_1} & 1 + \frac{ik_2}{k_1} \end{pmatrix}$$

$$M(k_1, ik_2) = \frac{1}{2} \begin{pmatrix} 1 + \frac{ik_1}{k_2} & 1 - \frac{ik_1}{k_2} \\ 1 - \frac{ik_1}{k_2} & 1 + \frac{ik_1}{k_2} \end{pmatrix}$$

Example 3. (Finite potential discontinuity at  $x=a$ )  
Again consider  $E > V_2 > V_1$



The Boundary conditions yield,

$$A_1 e^{ik_1 a} + B_1 e^{-ik_1 a} = A_2 e^{ik_2 a} + B_2 e^{-ik_2 a}$$

$$ik_1 (A_1 e^{ik_1 a} - B_1 e^{-ik_1 a}) = ik_2 (A_2 e^{ik_2 a} - B_2 e^{-ik_2 a})$$

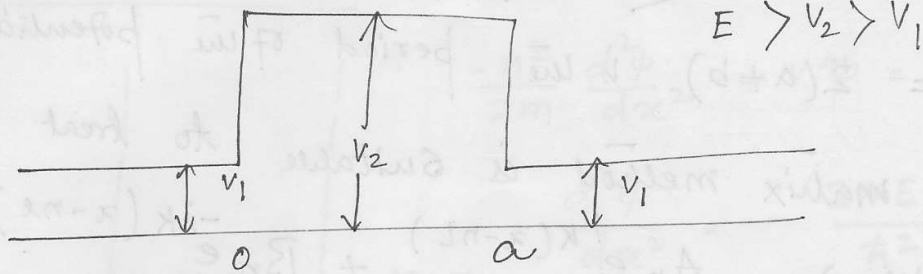
Define  $A' = A e^{ika}$ ;  $B' = B e^{ika}$

$$\begin{pmatrix} A' \\ B' \end{pmatrix} = \begin{pmatrix} e^{ika} & 0 \\ 0 & e^{-ika} \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix}$$

Thus we need to displace our earlier calculations by  $D(a) = \begin{pmatrix} e^{ika} & 0 \\ 0 & e^{-ika} \end{pmatrix}$ .

Example 4. (Usage of  $\bar{u}$  discontinuity matrix  $M$  and  $\bar{u}$  propagation matrix  $D$ )

Consider a rectangular potential barrier.



$$\begin{pmatrix} A_3 \\ B_3 \end{pmatrix} = M(k_1, k_2) D(a) M(k_1, k_2) \begin{pmatrix} A_1 \\ B_1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 + \frac{k_1}{k_2} & 1 - \frac{k_1}{k_2} \\ 1 - \frac{k_1}{k_2} & 1 + \frac{k_1}{k_2} \end{pmatrix} \begin{pmatrix} e^{ika_2} & 0 \\ 0 & e^{ika_2} \end{pmatrix} \begin{pmatrix} 1 + \frac{k_1}{k_2} & 1 - \frac{k_1}{k_2} \\ 1 - \frac{k_1}{k_2} & 1 + \frac{k_1}{k_2} \end{pmatrix} \begin{pmatrix} A_1 \\ B_1 \end{pmatrix}$$

Thus a period structure can be investigated.

$V_2 > E > V_1$   
The general structure of the matrix connecting  $\bar{u}$  coefficients between  $\bar{u}$  extreme left and extreme right

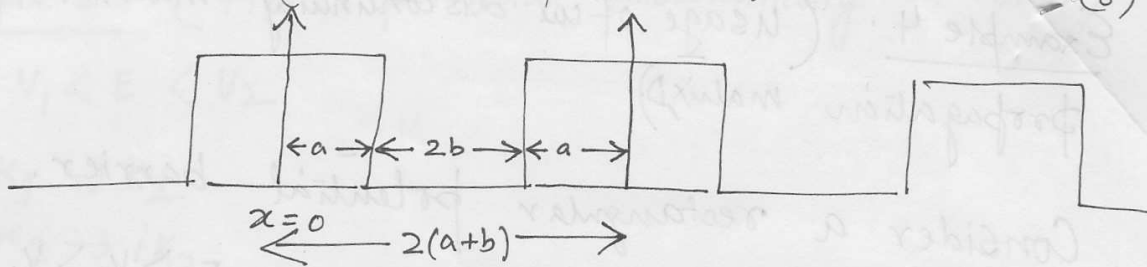
is

$$\begin{pmatrix} A_3 \\ B_3 \end{pmatrix} = \begin{pmatrix} \alpha_1 + i\beta_1 & i\beta_2 \\ i\beta_2 & \alpha_1 + i\beta_1 \end{pmatrix} \begin{pmatrix} A_3 \\ B_3 \end{pmatrix}$$

with  $\alpha_1, \beta_1, \beta_2$  satisfying  $\bar{u}$  condition

$$\alpha_1^2 + \beta_1^2 - \beta_2^2 = 1$$

Example (Periodic potential)



$l = 2(a+b)$  is the period of the potential.

The matrix method is suitable to treat this problem

$$\psi(x) = A_n e^{ik(x-nl)} + B_n e^{-ik(x-nl)} ; k = \sqrt{\frac{2mE}{\hbar^2}}$$

The relation between the coefficients can be written as -

$$\begin{pmatrix} A_{n+1} \\ B_{n+1} \end{pmatrix} = MD \begin{pmatrix} A_n \\ B_n \end{pmatrix} \\ = P \begin{pmatrix} A_n \\ B_n \end{pmatrix} \\ = \begin{bmatrix} (\alpha_1 - i\beta_1) e^{ikl} & -i\beta_2 e^{ikl} \\ i\beta_2 e^{-ikl} & (\alpha_1 + i\beta_1) e^{ikl} \end{bmatrix} \begin{pmatrix} A_n \\ B_n \end{pmatrix}$$

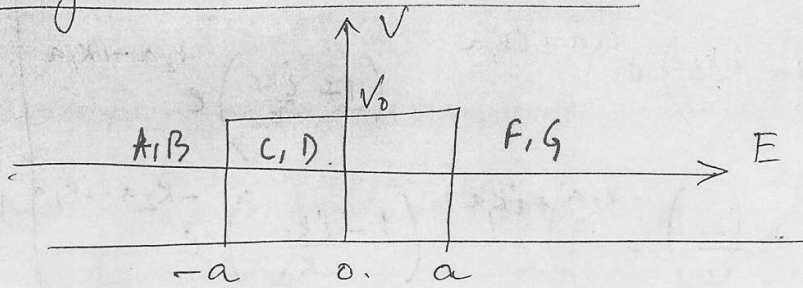
Subject to the condition.

$$\alpha_1^2 + \beta_1^2 - \beta_2^2 = 1.$$



# Rectangular Potential Barrier

(1)



For  $E < V_0$

$$\psi(x) = A e^{i k_1 x} + B e^{-i k_1 x} \quad \text{for } x < -a$$

$$C e^{-k_2 x} + D e^{k_2 x} \quad \text{for } -a < x < a$$

$$F e^{i k_1 x} + G e^{-i k_1 x} \quad \text{for } x > a$$

$$k_1 = \sqrt{\frac{2mE}{\hbar^2}} \quad ; \quad k_2 = \sqrt{\frac{2m(V_0 - E)}{\hbar^2}}$$

The boundary conditions at  $x = -a$  gives

$$A e^{-i k_1 a} + B e^{i k_1 a} = C e^{k_2 a} + D e^{-k_2 a} \quad (1)$$

$$\text{and } A e^{-i k_1 a} - B e^{i k_1 a} = \frac{i k_2}{k_1} (C e^{k_2 a} + D e^{-k_2 a}) \quad (2)$$

This can be represented as

$$\begin{pmatrix} A \\ B \end{pmatrix} = \frac{1}{2} \begin{bmatrix} \left(1 + \frac{i k_2}{k_1}\right) e^{k_2 a + i k_1 a} & \left(1 - \frac{i k_2}{k_1}\right) e^{-k_2 a + i k_1 a} \\ \left(1 - \frac{i k_2}{k_1}\right) e^{k_2 a - i k_1 a} & \left(1 + \frac{i k_2}{k_1}\right) e^{-k_2 a - i k_1 a} \end{bmatrix} \begin{pmatrix} C \\ D \end{pmatrix}$$

Similarly matching the conditions at  $x = a$

$$\begin{pmatrix} C \\ D \end{pmatrix} = \frac{1}{2} \begin{bmatrix} \left(1 - \frac{ik_1}{k_2}\right) e^{k_2 a + ik_1 a} & \left(1 + \frac{ik_1}{k_2}\right) e^{k_2 a - ik_1 a} \\ \left(1 + \frac{ik_1}{k_2}\right) e^{-k_2 a + ik_1 a} & \left(1 - \frac{ik_1}{k_2}\right) e^{-k_2 a - ik_1 a} \end{bmatrix} \begin{pmatrix} F \\ G \end{pmatrix}$$

Thus,

$$\begin{pmatrix} A \\ B \end{pmatrix} = \begin{bmatrix} \alpha_1 + i\beta_1 & \alpha_2 + i\beta_2 \\ \alpha_3 + i\beta_3 & \alpha_4 + i\beta_4 \end{bmatrix} \begin{pmatrix} F \\ G \end{pmatrix}$$

~~if check then it's.~~

$$\alpha_1 = \cosh(2k_2 a) e^{2ik_1 a}; \quad \beta_1 = \frac{i}{2} \left( \frac{k_2}{k_1} - \frac{k_1}{k_2} \right) \frac{\sinh(2k_2 a)}{e^{2ik_1 a}}$$

$$\alpha_2 = 0; \quad \beta_2 = \frac{i}{2} \left( \frac{k_2}{k_1} + \frac{k_1}{k_2} \right) \sinh(2k_2 a)$$

$$\beta_3 = -\beta_2$$

$$\alpha_3 = 0$$

$$\alpha_4 = \alpha_1; \quad \beta_4 = -\beta_1$$

They reduce the no. of variables.

(3)

Thus: 
$$\frac{F}{A} = \frac{-2ik_1 a}{\cosh(2k_2 a) + \frac{i}{2} \left( \frac{k_2}{k_1} - \frac{k_1}{k_2} \right) \sinh(2k_2 a)}$$

If:  $v_0 \rightarrow \infty$  and  $a \rightarrow \infty$  (very poor transmission)

$$\cosh(2k_2 a) \approx \sinh(2k_2 a) \approx \frac{e^{2k_2 a}}{2}$$

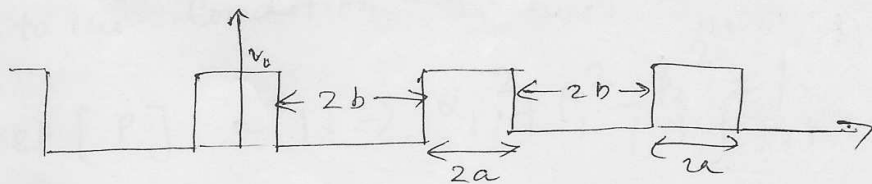
$$\text{Thus } T = \left| \frac{F}{A} \right|^2 \approx 16 e^{-4k_2 a} \left( \frac{k_1 k_2}{k_1^2 + k_2^2} \right)^2$$

Check: The determinant of  $\bar{u}$  matrix  $\Rightarrow D = 1$

$$\text{Thus } \alpha_1^2 + \beta_1^2 - \beta_2^2 = 1.$$

Because of this relation we are left with 2 independent parameters depending on  $k_1 a$  and  $k_2 a$ .

The periodic Potential (Kronig Penny model)



The periodic potential has period  $2(a+b)$

The Sch. Eqn. in the valleys ( $v=0$ ) and  $\hbar k = \sqrt{2mE}$  are

$$\psi(x) = A_n e^{ik(x-nl)} + B_n e^{-ik(x-nl)}$$

$l = \text{period} = 2(a+b)$ . ← check this.

and  $(a-b) < (x-nl) < -a$ .

Where the coefficients belonging to successive values of  $n$  can be related by a matrix. The maxima of the wavefn. have coordinates  $x = nl$ , Thus - (4)

$$\begin{pmatrix} A_n \\ B_n \end{pmatrix} = \begin{bmatrix} \alpha_1 + i\beta_1 & i\beta_2 \\ -i\beta_2 & \alpha_1 - i\beta_1 \end{bmatrix} \begin{pmatrix} A_{n+1} e^{-ikl} \\ B_{n+1} e^{ikl} \end{pmatrix}$$

This can also be written as -

$$\begin{pmatrix} A_{n+1} \\ B_{n+1} \end{pmatrix} = [P] \begin{pmatrix} A_n \\ B_n \end{pmatrix}$$

Where  $[P]$  is defined as -

$$[P] = \begin{bmatrix} (\alpha_1 - i\beta_1) e^{ikl} & -i\beta_2 e^{ikl} \\ i\beta_2 e^{-ikl} & (\alpha_1 + i\beta_1) e^{-ikl} \end{bmatrix}$$

Subject to the condition -

$$\det [P] = 1 \Rightarrow \alpha_1^2 + \beta_1^2 - \beta_2^2 = 1$$

Thus by iteration

$$\begin{pmatrix} A_n \\ B_n \end{pmatrix} = P^n \begin{pmatrix} A_0 \\ B_0 \end{pmatrix}$$

As  $n \rightarrow \pm \infty$ , the limit of  $P_n$  should exist. This is most conveniently discussed in terms of the eigenvalue problem of the matrix  $P$ . The eigenvalues of  $P$  are the roots of the equation.

$$\lambda^2 - \lambda[\text{Trace } P] + [\det P] = 0$$

$$\text{or, } \lambda^2 - 2(\alpha_1 \cos kl + \beta_1 \sin kl)\lambda + 1 = 0.$$

$$\lambda_{\pm} = \frac{1}{2} \left[ \text{Trace } P \pm \sqrt{(\text{Trace } P)^2 - 4} \right]$$

If the roots are different, then the eigenvectors are linearly indep. Thus

$$P \begin{pmatrix} A_0^{(\pm)} \\ B_0^{(\pm)} \end{pmatrix} = \lambda_{\pm} \begin{pmatrix} A_0^{(\pm)} \\ B_0^{(\pm)} \end{pmatrix}$$

Thus the solution at the  $n^{\text{th}}$  period can be connected with those at the beginning -

$$\begin{pmatrix} A_n^{(\pm)} \\ B_n^{(\pm)} \end{pmatrix} = \lambda_{\pm}^n \begin{pmatrix} A_0^{(\pm)} \\ B_0^{(\pm)} \end{pmatrix}$$

If  $|\text{Trace } P| > 2$ ,  $\lambda_+$  and  $\lambda_-$  are real.

Thus  $\lim_{n \rightarrow \infty} |\lambda_{\pm}^n| \rightarrow \infty$  or  $\lim_{n \rightarrow -\infty} |\lambda_{\pm}^n| \rightarrow 0$ .

One can prove that - for  $E > V_0$ .

$$\cos k'l = \cos(2k_2 a) \cos(2k_1 b) - \frac{k_2'^2 + k_1^2}{2k_1 k_2'} \sin(2k_2 a) \sin(2k_1 b)$$

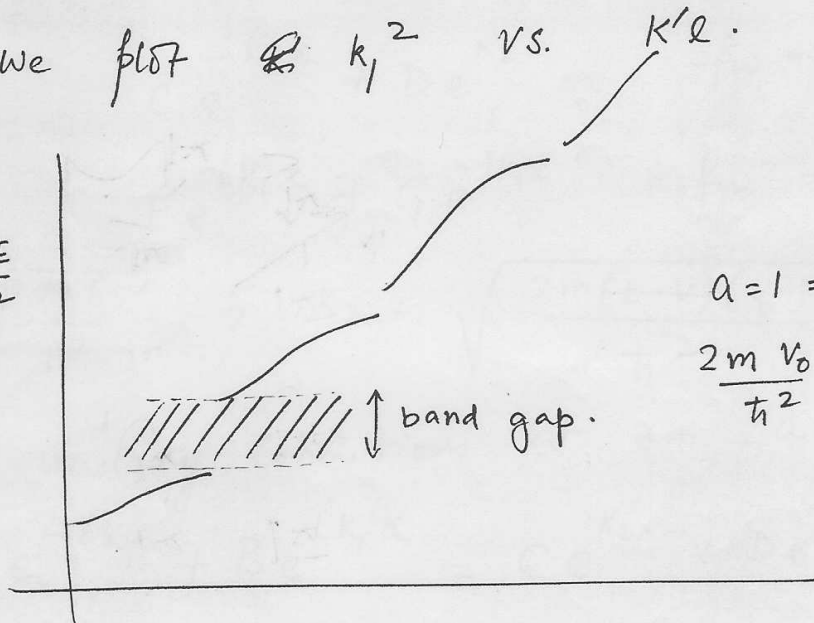
$$k_2' = \sqrt{\frac{2m}{\hbar^2} (E - V_0)}$$

Now the forbidden bands are

$$2k_2 a + 2k_1 b = N\pi$$

Now if we plot  $k_1^2$  vs.  $k'l$ .

$$k_1^2 = \frac{2mE}{\hbar^2}$$



$$a = l = b.$$

$$\frac{2m V_0}{\hbar^2} = \frac{\pi^2}{4}.$$

So the energy spectrum consists of continuous bands separated by forbidden gaps.