

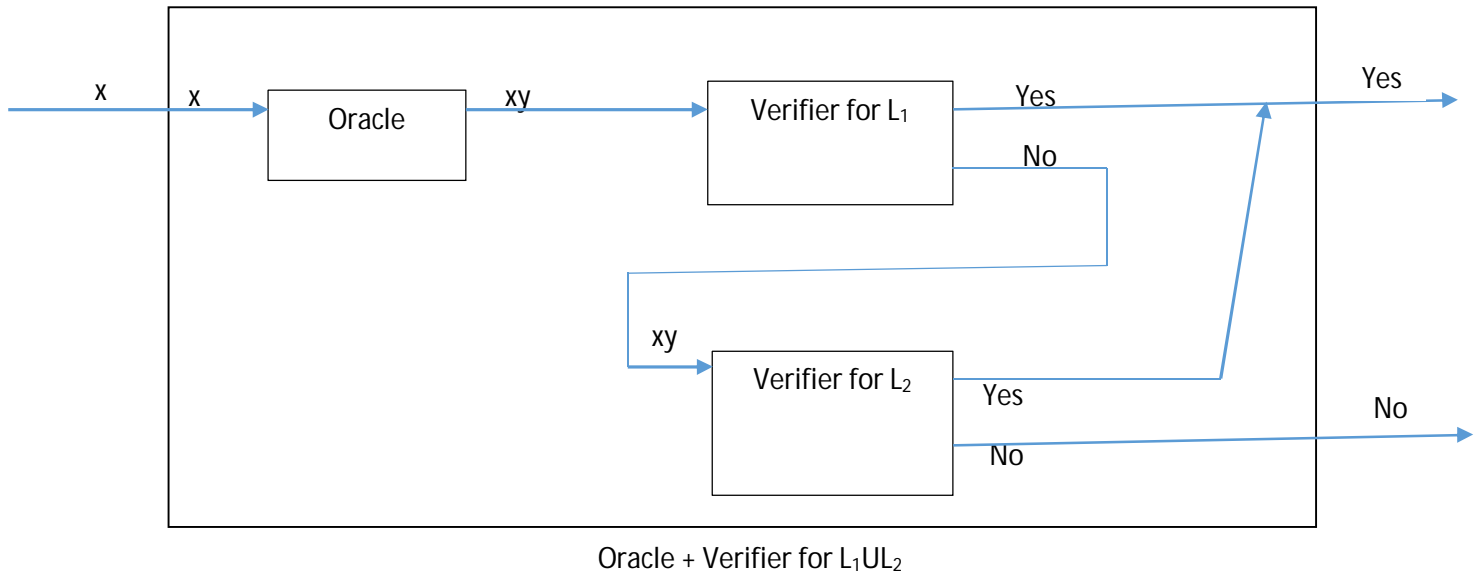
Q1) Suppose  $L_1, L_2 \in NP$ . Then is  $L_1 \cup L_2 \in NP$ ?

Ans: Yes,  $L_1 \cup L_2 \in NP$ . We will prove it as follows.

First of all, we note that a language  $L$  is in NP iff there exists a verification algorithm  $A$  (verifier  $A$ ), and polynomials  $p, q$  such that:

- $L = L_A$ ;
  - $\forall x \in L, \exists y$  such that  $|y| \leq p(|x|)$  and  $A(x, y) = \text{Yes}$ ;
  - $A$  on input  $(x, y)$  halts in time  $\leq q(|x|)$ .
- [Note: We use  $(p + q)(n)$  to denote the polynomial  $p(n) + q(n)$  ]

The diagram for our construction is as follows:



Based on the above diagram:

Let  $L_1, L_2 \in NP$ , with verification algorithms  $A_1, A_2$  (i.e.,  $L_1 = L_{A_1}, L_2 = L_{A_2}$ ), and polynomial bounds  $p_1, q_1$ , and  $p_2, q_2$ , respectively.

We know a define a new verification algorithm  $A$  as follows (it is based on the above figure):

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A(x, y)
  if  $A_1(x, y) = \text{Yes}$ 
    then return Yes
  else if  $A_2(x, y) = \text{Yes}$ 
    then return Yes
  else return No
    
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We observe that  $A(x, y) = \text{Yes}$  iff  $A_1(x, y) = \text{Yes}$  or  $A_2(x, y) = \text{Yes}$ .

Now we will prove our construction:

1. To Prove:  $L_1 \cup L_2 \subseteq L_A$ .  
 Proof: Let  $x \in L_1 \cup L_2$ . Then  $x \in L_1$  or  $x \in L_2$ . If  $x \in L_1$ , then  $\exists y$  such that  $A_1(x, y) = \text{Yes}$  (as  $L_1$  is an NP language). Hence,  $A(x, y) = \text{Yes}$ . Similarly, if  $x \in L_2$ , then  $\exists y$  such that  $A_2(x, y) = \text{Yes}$ . Hence,  $A(x, y) = \text{Yes}$ . Therefore  $x \in L_A$ .
2. To Prove:  $L_A \subseteq L_1 \cup L_2$ .  
 Proof: Let  $x \in L_A$ . Then  $\exists y$  such that  $A(x, y) = \text{Yes}$ . This implies that  $A_1(x, y) = \text{Yes}$  or  $A_2(x, y) = \text{Yes}$ , i.e.,  $x \in L_{A_1}$  or  $x \in L_{A_2}$ . Therefore  $x \in L_{A_1} \cup L_{A_2} = L_1 \cup L_2$ .
3. To prove:  $y$  is polynomially bounded by  $|x|$ .  
 Proof:  $\forall x \in L_A, \exists y$  such that  $A(x, y) = \text{Yes}$ . If  $x \in L_1$ , we have  $|y| \leq p_1(|x|)$ . If  $x \in L_2$ , we have  $|y| \leq p_2(|x|)$ . Therefore in either case,  $|y| \leq p_1(|x|) + p_2(|x|) = (p_1 + p_2)(|x|)$ .
4. To prove: Time taken by verifier  $A$  is polynomially bounded by  $|x|$ .  
 Proof:  $\forall x \in L_A, \exists y$  such that  $A(x, y) = \text{Yes}$ . If  $x \in L_1$ , then verifier  $A$  takes time  $\leq q_1(|x|)$ . If  $x \in L_2$ , then verifier  $A$  takes time  $\leq q_1(|x|) + q_2(|x|)$ . Therefore, in either case, time taken  $\leq q_1(|x|) + q_2(|x|) = (q_1 + q_2)(|x|)$ .  
 Thus  $A$  on  $(x, y)$  takes time  $O((q_1 + q_2)(|x|))$  and is therefore polynomially bounded by  $|x|$ .

Thus combining all the above results we have proved that  $L_1 \cup L_2 \in \text{NP}$ .

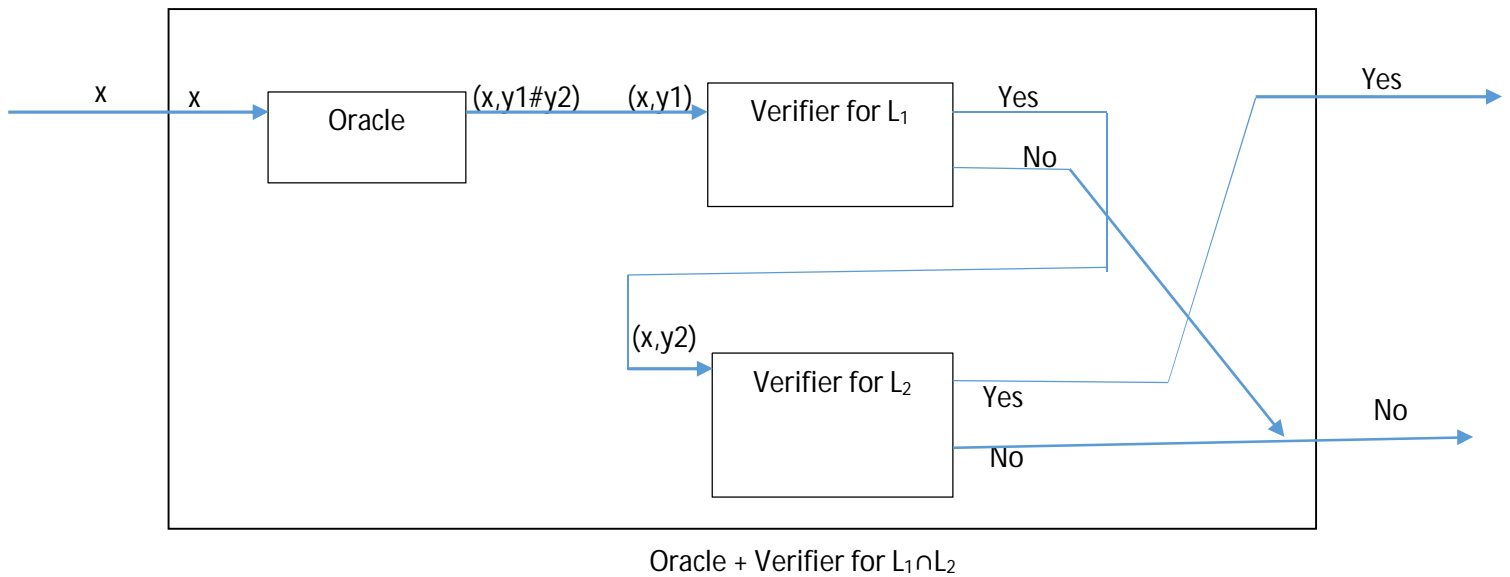
Q2) Suppose  $L_1, L_2 \in \text{NP}$ . Then is  $L_1 \cap L_2 \in \text{NP}$ ?

Ans: Yes,  $L_1 \cap L_2 \in \text{NP}$ . We will prove it as follows.

Let  $L_1, L_2 \in \text{NP}$ , with verification algorithms  $A_1, A_2$  and polynomial bounds  $p_1, q_1$  and  $p_2, q_2$ , respectively. Moreover, let  $\#$  be a distinguished character not in the alphabet of either  $L_1$  or  $L_2$ . We now define a new verification algorithm  $A$  as follows:

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A(x, y)
if y != y1#y2
  then return No
if A1(x, y1) = Yes
  then if A2(x, y2) = Yes
    then return Yes
return No
  
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Note that  $A(x, y) = \text{Yes}$  iff  $y = y_1\#y_2$  and  $A_1(x, y_1) = A_2(x, y_2) = \text{Yes}$ .

Now we will prove our construction.

1. To prove :  $L_1 \cap L_2 \subseteq L_A$ .

Proof: Let  $x \in L_1 \cap L_2$ . Then  $x \in L_1$  and  $x \in L_2$ . Then,  $\exists y_1, y_2$  such that  $A_1(x, y_1) = \text{Yes}$  and  $A_2(x, y_2) = \text{Yes}$ . This implies that  $A(x, y_1\#y_2) = \text{Yes}$ . Therefore  $x \in L_A$ .

2. To prove:  $L_A \subseteq L_1 \cap L_2$ .

Proof: Let  $x \in L_A$ . Then  $\exists y_1\#y_2$  such that  $A(x, y_1\#y_2) = \text{Yes}$ . This implies (by our construction) that  $A_1(x, y_1) = \text{Yes}$  and  $A_2(x, y_2) = \text{Yes}$ . Hence  $x \in L_{A_1}$  and  $x \in L_{A_2}$ . Therefore,  $x \in L_1 \cap L_2$ .

3. To prove:  $y$  is polynomially bounded by  $|x|$ .

Proof:  $\forall x \in L_A, \exists y$  such that  $A(x, y) = \text{Yes}$ . Moreover, since  $y = y_1\#y_2$ , with  $|y_1| \leq p_1(|x|)$  and  $|y_2| \leq p_2(|x|)$ , we have  $|y| = |y_1| + |y_2| + 1 \leq (p_1 + p_2)(|x|) + 1$ . Therefore  $|y|$  is polynomially bounded by  $|x|$ .

4. A on  $(x, y)$  runs in time  $O((q_1 + q_2)(|x| + |y|))$  [from our construction, it is true].

Thus combining all the above results we have proved that  $L_1 \cap L_2 \in \text{NP}$ .